

**THE CITY OF NEW YORK
MAYOR'S ADVISORY COMMITTEE ON THE JUDICIARY
100 GOLD STREET, 2ND FLOOR
NEW YORK, NEW YORK 10038
(212) 788-6800; FAX: (212) 312-0970**

UNIFORM JUDICIAL QUESTIONNAIRE

CONFIDENTIAL

Criminal Court

Date:

Family Court

Office Telephone:

Civil Court

Cell Phone:

Preferred Number:

Email Address:

This questionnaire must be completed by all candidates, including incumbent judges seeking reappointment, before they can be considered by the Mayor's Advisory Committee on the Judiciary.

Unless otherwise noted, every question and every part of each question must be answered, even though the answer may be negative.

Additional sheets may be used if the space to answer is insufficient. Please avoid unnecessary attachments.

1. (a) Full name:

(b) Have you ever used or been known by any other name?

(c) If so, give full details including name(s) and dates:

2. (a) Current residence address:

(b) Do you rent, other than for a season, or own any additional homes?

If yes, please list addresses:

(c) Current business address:

(d) Which of the above addresses do you wish the Committee to use as your mailing address?

3. State date, place of birth (giving date, borough, if any, city or town, and state or country), and country of citizenship:

If you are a naturalized citizen of the United States, please provide a clear copy of your Certificate of Naturalization.

4. (a) List name, occupation, residence and business address for each individual with whom you reside:

(b) In the case of a child, no matter where the child resides, list the child's name, date of birth and residence address, occupation, if any, and business address, and the name of any school where the child is currently in attendance and its address:

5. State every residence you have had in the last ten years, including any temporary residence, in the United States or elsewhere, with the exact address of each, and the month and year of the beginning and ending of such residence:*

	Period (give month and year of beginning and ending)	Street & Number	City or Village, Town and County (if in New York City, also give Borough)	State
From: To:				

* Residence shall mean every premise occupied by you for a period in excess of thirty days whether as owner, tenant, guest, etc.

6. State all colleges and professional schools (other than law schools) ever attended. If you did not receive a degree from any such institution, state that fact:

Name	City or Town	State or Country	Degree & Honors (if no degree, state reason)	Month & year of beginning & ending of period(s) of attendance

7. (a) State all law schools ever attended. If you did not receive a degree from any law school, state that fact:

Name	City or Town	State or Country	Degree & Honors (if no degree, state reason)	Month & year of beginning & ending of period(s) of attendance

(b) List the post-law school continuing legal education courses in which you have participated within the past four years (in chronological order) that qualified for mandatory continuing legal education credit. Provide:

- (i) Description of course;
- (ii) Date;
- (iii) Sponsor;
- (iv) Number of Hours.

(c) For your most recent biennial registration period, did you satisfy New York State's mandatory continuing legal education requirement?

If no, please describe the circumstances:

(d) Have you complied with all registration requirements for lawyers in the State of New York and any other jurisdiction in which you are licensed to practice law?

If no, please describe the circumstances:

8. State if you ever attended any other schools of any kind, other than elementary or secondary, or pursued any course of study in addition to those mentioned above:

Name	City or Town	State or Country	Degree & Honors (if no degree, state reason)	Month & year of beginning & ending of period(s) of attendance

9. Have you ever served in the Armed Forces (Reserves or otherwise)?

(a) If so, give the following information:

- i. Dates of service:
- ii. Branch of service:
- iii. Nature of discharge and rank at time of discharge:
- iv. Awards or citations:

(b) Have you registered under the U.S. Selective Service Act of 1948?

If so, give the following information:

- i. State original classification and each reclassification:
- ii. If your classification or reclassification is other than 1A, give the reasons therefor:

10. Have you ever been rejected or released from service by any of the armed services for reasons other than honorable?

If so, state the details:

11. (a) List, in chronological order, all employment and periods of unemployment since graduation from law school, including if you have practiced in partnership with others. Provide:

- (i) Name of Firm or Employer;
- (ii) Address;
- (iii) Name of Supervisor in each title held;
- (iv) Dates of Employment or Unemployment (Month/Year of the beginning and ending thereof);
- (v) Include all titles with relevant dates and a brief description of the nature of Employment (or activity while unemployed);
- (vi) Reason for Leaving.

In any instance where you practiced law as an associate or a partner and such association or partnership was terminated, state the reason for such termination.

- (b) If law school attendance did not commence within a few months following completion of undergraduate course study, list all employment and periods of unemployment between college and law school. Provide:

- (i) Name of Employer;
- (ii) Address;
- (iii) Name of Supervisor;
- (iv) Dates of Employment or Unemployment (Month/Year of the beginning and ending thereof);
- (v) Nature of Employment (or activity while unemployed);
- (vi) Reason for Leaving.

(c) Have you ever been disciplined, in any manner, in connection with any employment (e.g., suspended, demoted, reprimanded, fined, penalized, or terminated?)

If yes, provide details including name of employer, dates, action taken, and the reason(s) for such action.

(d) Have you ever resigned or been asked to resign from any employment?

If yes, provide details including name of employer, dates, and reason(s) for resignation.

12. (a) Have you ever been engaged on your own account or with others in any business or profession, part-time or full-time, other than those listed in your answer to question 11?

If so,

- (i) state under what name;
- (ii) give in detail the nature thereof;
- (iii) the month and year of the beginning and ending (if applicable) of your connection therewith;
- (iv) position held;
- (v) all business or professional addresses.
- (vi) Any such business or profession carried on by you either alone or with others or in partnership, and any incorporated business carried on by you either alone or with others, should be listed here with names and addresses of all partners or associates.
- (vii) If any business or profession has been discontinued, or if your connection therewith has ceased, state why and whether or not there are unpaid debts or claims or pending litigation:

(b) Are you now an officer or director or otherwise engaged in the management of any enterprise?

If so, unless that enterprise is described above, give details, including names, dates, nature of business and description of your position:

(c) If you are now an officer or director or otherwise engaged in the management of any enterprise, (i) is it your intention to resign each such position and withdraw from participating in the management of any such enterprise should you be appointed a judge?

If not, give reasons: (ii) if you are currently a judge, furnish the authority under which you have been permitted to maintain each such position and to manage any such enterprise:

(d) Identify any litigation or present financial arrangements that are likely to present a potential conflict of interest during your judicial service and explain how you will resolve such conflict, including the procedures you will follow in determining these areas of concern:

(e) Do you have any plans, commitments or agreements to pursue outside employment, with or without compensation, during the period in which you would serve as a judge?

If so, explain:

13. State all courts (federal and state) in which you are admitted to practice, together with the dates of admission:

(a) New York State:

Date:

Judicial Department:

(b) Others:

14. State all areas of the law in which you have concentrated or have had substantial experience and state the period during which you have had such substantial experience:

15. (a) Have you ever held judicial (in addition to the office you now hold if you are currently a judge), public or political office, elective or appointive, including that of arbitrator, mediator, law clerk?

If so, state position held, dates of service, whether the office was attained by election or appointment, and any specific functions you have been assigned:

(b) Describe any executive or administrative experience you have had, whether through your occupation or otherwise, giving details and duties:

(c) Do you or any members of your family hold any memberships (other than voter registration) in political organizations or engage actively in any political activities?

If yes, please describe:

(d) Are you related by blood or marriage to, or do you have a significant relationship with anyone involved in the judicial screening process with respect to the position you are seeking?

If so, please supply name, relation and position of such individual:

(e) Are you related by blood or marriage to, or do you have a significant relationship with any attorney or judge, or any other person whose employment, position, affiliation or activity may reasonably create, with respect to your carrying out the duties of the office you are seeking, the appearance of a conflict of interest?

If yes, please supply name, relation and employment, position, affiliation or activity:

16. Are you now, or have you ever been, the subject of any formal complaint or charge filed with any disciplinary committee, investigative office, court, government agency, employer, or bar association arising out of your official or professional responsibilities during the course of your:
- (a) law practice?
 - (b) public or judicial service?
 - (c) campaign for public or political party office?

If yes, please describe each complaint or charge and its outcome, including the time frame and whether the governmental agency or other entity to whom such complaint or charge was made censured you, issued a caution, imposed a sanction or took any other action whatsoever criticizing your conduct, even if the complaint or charge was dismissed:

In addition, provide documentation pertaining to each complaint or charge.

17. Are you now, or have you ever been the subject of any claim of malpractice, in an action or otherwise?

If yes, please describe the claim and/or proceeding and its outcome. Please provide documentation pertaining to each claim(s). If you are or were a member of a firm or organization that was the subject of any claim of malpractice, please describe the claim if it is related to a case or matter on which you worked and state whether your conduct was the subject of the claim:

Provide documentation pertaining to each claim.

18. Have you, your firm, your employer or any of your clients ever been cited for contempt or otherwise had a sanction imposed upon you or them as a result of your conduct in any judicial or administrative proceeding?

If yes, please describe, even if the citation or sanction was later withdrawn, suspended or modified:

19. Have you ever been the subject of a claim, or received individual counseling from an employer arising from an actual or perceived claim, about discrimination, or harassment on the grounds of an individual's actual or perceived age, race, creed, color, gender, sexual orientation, religion, national origin, disability, marital status, socioeconomic status, alienage or citizenship status?

If yes, please describe the circumstances:

20. It is expected that a judge and other public officials shall not, by words or conduct, manifest, or appear to condone bias or prejudice, including but not limited to bias or prejudice based upon age, race, creed, color, gender, sexual orientation, religion, national origin, disability, marital status, socioeconomic status, alienage or citizenship status and shall require staff, court officials and others subject to his or her direction and control to refrain from such words or conduct. Will you be able to meet this expectation?

If no, please describe the circumstances:

21. State whether you have ever: (answer each subdivision of this question "Yes" or "No"):

If you answered "Yes" to any subdivision of this question, and in addition to the information requested immediately following certain subdivisions, state the facts in detail, including in each case, the name and locality of the court, agency, or other body, the dates of beginning and termination of action or proceeding, and the judgment or other disposition including any charges and sentence, where it applies.

Also state if you have documents pertaining to each case/investigation including Certificate(s) of Disposition. If so, please provide copies.

(a) Been summoned, arrested, or charged with any offense or crime other than a traffic offense, even if the summons, arrest, or charge was later withdrawn, voided, vacated or dismissed?

(b) Have you ever been taken into custody, or indicted, or convicted, or tried or cited for, or charged with, or pleaded guilty to the violation of any law, ordinance, or the commission of any felony, misdemeanor, or contempt of court?

(c) Have you ever been subpoenaed, called as a witness, questioned or interviewed, or asked to provide testimony or documents before any federal, state, or local prosecutor, court, legislative, civil, regulatory, criminal, or other investigative body, or grand jury?

If so, state the date, body or agency, the matter involved, and your role.

(d) Have you ever failed to answer on the return date any ticket, summons or other legal process served upon you personally at any time?

If so, was any warrant, subpoena, or further process issued against you as a result of your failure to respond to such legal process?

(e) As a member of any armed forces, been the subject of any charges or complaints, formal or informal, or have any proceedings been instituted against you, or have you been a defendant in any court martial or been disciplined?

(f) Been the subject of any investigation by any federal, state or city, or other governmental agency, or other investigative body, or by any grand jury, or the Internal Revenue Service?

If so, please describe each investigation and its outcome.

(g) Has any federal, state or city or other governmental agency, or other investigative body, or a grand jury or the IRS initiated or completed an investigation of any law firm, corporation, business, partnership, joint venture, government agency or other similar entity with which you are, or were at the relevant time, affiliated?

If so, please describe each investigation and its outcome.

(h) Resigned from or, for other reasons, ceased to be a member of the bar or bench of any state or court in any jurisdiction, a member of any governmental body, a hearing officer or an occupant of any other similar position?

22. (a) Have you ever been involved as a party to any litigation (criminal, civil, administrative or matrimonial), other than an action you have previously identified in answer to question 17?

If so, state the circumstances including dates and the disposition (e.g., pending, settled, judgment, dismissed) or status of action:

Do you have documents pertaining to each disposition?

If so, please provide copies.

(b) Have there been any judgments entered against you, even if previously satisfied?

If yes, state the facts in detail (including the year(s) in question, year(s) imposed, name of judgment creditor, full amount owed and amount outstanding):

(c) Have there been any tax warrants, tax liens, or mechanics' liens entered against you or property you own or have an interest in, even if previously satisfied?

If yes, state the facts in detail (including the year(s) in question, year(s) imposed, name of tax authority, full amount owed and amount outstanding).

(d) Are you in default or has any party claimed that you are in default in the performance or discharge of any duty or obligation imposed upon you by any governmental agency or decree or order of any court including alimony/maintenance and support orders and decrees?

If so, state the facts:

(e) Have you ever made an assignment for the benefit of creditors?

Has any petition in bankruptcy ever been filed by or against you?

If so, state the circumstances and the outcome:

(f) Have you, whether personally, as a fiduciary, a partner or an officer, timely filed all tax returns of any nature, including, but not limited to, income, information, gross receipts, rent and occupancy, franchise, unincorporated business, etc.?

If not, give details:

(g) Has any Federal, State, City or other taxing authority claimed that you have failed to pay adequate taxes, penalties or other charges for any tax year?

If yes, state the facts in detail (including the year(s) in question, year(s) imposed, full amount owed and amount outstanding).

23. (a) Have you ever been issued a license, other than a marriage license, license to practice law, license as a notary public or driver's license?

If so, describe the license, providing the dates of its original issuance and its last renewal:

(b) Has any license, including a license to practice law, a license as a notary public, or a driver's license, ever been revoked or suspended?

If so, furnish full details:

24. A judge is expected to be on the bench or otherwise handling legal matters by about 9:30 A.M. for at least seven hours per day, five days per week, and at times, a judge's responsibilities may require him or her to be on the bench or at work into the evenings and on weekends. Are you able to perform these tasks on your own or with reasonable accommodation?

If no, please describe the circumstances:

25. A judge may be required to handle emergency applications, cope with media scrutiny, issue quick decisions, deal with fractious litigants, recall significant amounts of information, and otherwise respond to extremely stressful situations. Are you able to perform these tasks on your own or with reasonable accommodation?

If no, please describe the circumstances:

26. (a) Have you used or do you currently use illegal drugs?

If yes, please describe the circumstances and give approximate time period.

- (b) Have you abused or do you currently abuse any prescription drugs?

If yes, please describe the circumstances and give approximate time period.

- (c) Have you abused or do you currently abuse alcohol?

If yes, please describe the circumstances and give approximate time period.

27. Has any employer or supervisor ever counseled you regarding, or expressed concern about, your absenteeism?

- (a) If yes, please describe the frequency of the absenteeism complained of:

- (b) Was the situation rectified? If yes, how:

28. Do you know of any factors that would adversely affect your ability to competently serve as a judge, to comply with a judge's ethical responsibilities, or to complete the day-to-day responsibilities that a judge is required to assume?

If yes, please explain:

29. (a) List all bar associations, other professional societies and community organizations of any kind of which you are a member, and give the titles and dates of any office, chairmanship or committee membership which you have held:

(b) List any other organizations of which you are or have been a member, including social or fraternal, and give the titles and dates of any office, chairmanship or committee membership which you have held:

30. (a) Have you written any articles for publication?

If so, give the name and date of the publication and the title and subject matter of each article:

(b) List any teaching positions you have held, including part-time or occasional, with dates and a description of the subject matter and educational institution or sponsor:

31. Have you ever been rated as a candidate for office (including the present office for which you wish to be considered) by any bar association or other professional association?

If yes, state the office(s) for which you were rated, the name and address of the organization(s) which issued the rating, the date of the rating, and the rating which you were given:

**ATTACH THE FOLLOWING SCHEDULES TO THIS QUESTIONNAIRE.
AS TO EACH SCHEDULE, SPECIFY THE QUESTION NUMBER TO WHICH
IT APPLIES.**

In answering Questions 32 – 40 about your litigation experience, you may include adversarial proceedings before a court, an administrative tribunal or an arbitrator or other forum for alternative dispute resolution. In addition, you should count as “trials” all adversarial evidentiary hearings involving presentation of witness testimony.

32. **For attorneys:** In no more than two pages, describe in detail how your career prepared you for a career on the bench:
33. **For attorneys:** Attach a statement specifying:
- (a) whether within the last ten years you have appeared in court regularly, occasionally or not at all and, if the frequency of your court appearances varied during this period, explain the variance;
 - (b) the number and types of cases you have handled in the past ten years;
 - (c) what percentage was civil, criminal, family, or administrative, or attributable to a more specific type of case, such as personal injury, landlord and tenant, etc.
 - (d) of these cases, the types and number of each type of trials you have conducted in the past ten years;
 - (e) the number of cases in category (d) of this question which ended in a verdict or judgment or ruling by the trier of fact;
 - (f) the number of cases listed in category (d) of this question which were tried before a jury;
 - (g) the courts or other tribunals in which the cases were tried;
 - (h) the number and types of appeals briefed in the past ten years;
 - (i) the number of appeals argued and the courts in which the arguments were heard;
 - (j) the number and types of dispositive motions you have litigated in the past ten years;
 - (k) the number of dispositive motions you have argued and the courts or other tribunals in which the arguments were heard, and
 - (l) the title and citation of reported cases in which you conducted the trial, wrote the brief and/or argued the appeal, or wrote the papers on the dispositive motion.

34. **For attorneys:** Submit a list of the last ten trials, dispositive motions, or appeals in which you have actively and substantially participated in any state or federal court at the trial or appellate level, including the title of the case, the index, docket or indictment number, the court in which the case was heard, a concise description of the nature of the case, the date of the trial or oral argument, the name, address and telephone number of each adversary and co-counsel, and the names, addresses, and telephone numbers of the judges who presided at trial or sat on the appellate panel. For each appellate matter, please submit one copy of your brief.
35. **For attorneys:** Submit a list of the name, court and telephone number of the last ten judges, other than the judges listed in your answer to Question 34, before whom you appeared in the last three years (other than for routine calendar appearances).
36. (a) **For attorneys:** Attach a statement describing your legal experience other than litigation. Include in that statement a general description of the last ten matters you handled and the names, addresses, and telephone numbers of the lawyers, other than your associates, employees, partners, co-tenants, supervisors or employers, with whom you worked on each of those ten matters. For example, judicial law clerks should list the attorneys with whom they had substantial contact. Similarly, law professors should list attorneys, judges and/or other law professors who are familiar with their work
- (b) **For attorneys:** Attach three recent writing samples of your legal writing, and if you are not the sole author of the sample, please explain your role in its preparation.
- (c) **For attorneys:** State any other relevant experience for this position not requested in any of the foregoing questions:
37. (a) **For Judges:** Date of appointment (and reappointment, if applicable) to the court indicated on page 1 of this questionnaire:
- (b) **For Judges:** Current court address:
- (c) **For Judges:** List all courts to which you have been assigned, including the county and time period for each assignment:

38. (a) ***For Judges:*** Provide a list of the last ten trials over which you have presided, including the title and dates of each case, a brief description of the nature of the case, and the names, addresses and telephone numbers of the attorneys involved. You may add substantial evidentiary hearings in the absence of recent trials:
- (b) ***For Judges:*** Provide a list of governmental agencies, private agencies, private lawyers and private law firms which have appeared before you in the last year on a regular basis, specifying the name and phone number of the supervising attorneys for such agencies and law firms:
- (c) ***For Judges:*** Provide copies of ten of your most recent, substantive and noteworthy opinions, whether published or not. Please briefly summarize each. *
- (d) ***For Judges:*** Provide a list of your cases which have been appealed, the appellate disposition and the corresponding citations. Also, provide copies of the appellate decisions.*

*PLEASE NOTE THAT ALL MATERIAL SUBMITTED SHOULD BE RECENT. MORE SPECIFICALLY, CASES HANDLED SINCE OR APPELLATE DECISIONS RENDERED SINCE YOUR LAST REAPPOINTMENT.

39. ***For all candidates:*** List here the names, addresses, and telephone numbers of no more than ten persons, particularly judges, public officials, and attorneys, who know you well enough in a relevant context, and with whom the Committee may communicate with respect to your candidacy:
40. ***For all candidates:*** Set forth any information not elicited by this questionnaire which would affect, favorably or unfavorably, your eligibility for the office for which you are a candidate, or your eligibility for reappointment, or which would bear upon the Committee's consideration of your candidacy for appointment or reappointment:

N.B. The Committee requests that if you change your address or if anything occurs which would affect your answers to this questionnaire, notice of such change be sent to the Committee.

A false statement in response to any question herein will result in your automatic disqualification from consideration for judicial appointment or reappointment.

Signature

Date

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