DOB NOW: *Build* – Civil Penalties Review Request (L2) Step-By-Step Guides

The Civil Penalties Review Request (L2) is used in instances where an applicant is requesting an override, reduction, or waiver of civil penalties resulting from a work without a permit violation. A Work Permit (PW2) request cannot be submitted for a job filing in DOB NOW: *Build* if a civil penalty violation exists on the BIN. In order to be able to submit the PW2 an L2 Request must be submitted and approved.

If there is a Work Without Permit violation on the BIN associated to the Work Permit (PW2) then:

- The PW2 cannot be submitted for both initial and renewal of permits.
- The Professional Certification Job Filing with PW2 cannot be submitted.
- A L2 Request must be submitted and approved in DOB NOW: *Build* in order to obtain a permit.
- Only one L2 request can be open per filing at a given time.

The following roles are authorized to initiate and submit a Civil Penalty Review Request (L2):

- Owner specified on the associated filing
- The applicant of record of the associated PW1
- Any licensed PE/RA
- Any DOB licensee
- A Class II filing representative

At this time, DOB NOW: *Build* does not accept any payment associated to the L2 fee. The L2 fee will continue to be paid in the borough office.

Waiver requests in connection with violations of stop work orders (SWO) will continue to be handled via the current paper process. Therefore, L2 requests for the following L2 codes <u>cannot</u> be submitted in DOB NOW: *Build*:

• **LEG** - Work performed without a permit and an applicant is seeking a permit before notice of violation is issued.

- **SWBC** Where the Commissioner had determined that the violation should not have been issued for working against the SWO.
- **SWOE** Where the ECB violation issued for violating the SWO was dismissed for any reason.

NOTE: For job filings initiated before July 1, 2019 in DOB NOW: *Build*, customers will continue to submit an L2 request on the DOB NOW Online Help Form.

Refer to the steps below for the steps to submit a L2 Request in DOB NOW: *Build* and also for the steps to submit an L2 Request on the Online Help Form.

Civil Penalties Review Request (L2) Process for Job Filings Created On or <u>After</u> July 1, 2019*.

*Applies to all job filings ending with 187000 or higher.

Complete the following steps to enter the L2 request.

Step	Action				
i	When a civil penalty for a work without permit violation exist on a BIN the following error message will appear when an attempt is made to submit a Work Permit (PW2).				
	Notification ×				
	There is a work without permit violation(s) on this BIN for which a Civil Penalty Review Request (L2) has not been submitted. To proceed, go to the Actions dropdown for this filing on the Dashboard and select Request L2.				
1.	On the DOB NOW: <i>Build</i> Dashboard, scroll to the far right to the Filing Action column and click on the dropdown menu. Select Request L2 .				
	The second				
	Filter My Jobs •				
	Job# Filing# Filing Type Filing Status Address Borough Applicant of Rec Owner Modified Date Payment Filing Action View				
	M00372698 I1 New Job Filing Approved 290 BROADW MANHATTAN ADAM JOE1 APPLE ROME18 07/08/2019 Exempted Select Action: Subsequent Filing PAA				

Step	Action					
2.	The following pop-up will appear. Click Yes to confirm that you would like to proceed with creating a L2 request.					
Confirm						
3.	Please confirm that you want to proceed with creating a Civil Penalties Review Request (L2).					
	DOB DOB NOW Submit F	IOW ilings, Payments, and Requests to th	e NYC Department of Buildings		Welcome, ADAM JOE1 AUSEISTIJeCMAL.COM Need Help? Sign Out	
	← Back to Filing 🎽 Save				🖾 View Filing 🖉 Dashboard	
	General Information	General Information			Application Highlights Location 290 BROADWAY	
	Documents	Applicant Information*		>	MANHATTAN Job Number M00372698	
	Statements & Signature	Applicant Type*	Email*	License Type*	Filing Number 11 L2 Filing Status	
		License Number*	First Name	Middle Initial	Fees	
			ADAM		L2 Fee	
		JOE1	Business Name* Select:	Business Address		
		City	State	Zip Code		
		NYC Business Telephone	NY	10000		
		2013332222				
		Violations*				
		Comments				
					~	

Step	Action	Action			
4.	Click on the Applicant Type drop to select the appropriate applicant.				
	DOB DOB NOW Now Submit Filings, Pa	DOB NOW Now BUILD DOB NOW			
	← Back to Filing H Save				
	General Information General Documents Applic	ral Information			>
	Statements & Signature	icant Type* lect Type: vner ensee	Email* AJOETEST1@GMAIL.COM First Name	License Ty Select T Middle Ini	ype* ype: ✓ itial
	Last JOI	Name E1	ADAM Business Name* Select:	Business / AJ 1	Address
5.	Click on the License Typ	e drop to select th	e appropriate lic	ense.	
	DOB NOW BUILD Back to Filing Page	yments, and Requests to the	NYC Department of Buildin	ıgs	
	General Information General Documents Applica	al Information			,
	Statements & Signature Applic	iant Type* ct Type: e Number* ame	Email* AJOETEST1@GMAILCOM First Name ADAM Business Name*	License Type* Select Type Registered / Midate initial	Architect
6.	Click on the Business Na	ame drop to select	the appropriate	business na	me.
	DOB DOB NOW BUILD Submit Filings, Payments	s, and Requests to the NYC Depar	tment of Buildings		
	General Information General Info	ormation			
	Documents Applicant Inform Statements & Signature Applicant Type* Select Type: License Number	nation Email AJOETEST10 r* First Name	GMAILCOM	Type* ered Architect nitial	✓
	202020 Last Name JOE1 City	ADAM Business Nam Select: State	e* Business AJ 1 Zip Code	Address	

Step	Action	
7.	Click on the Violations tab to see the violations that are on the BIN.	Welcome, Al AlOETISTI 64 Net
	General Information General Information	View Filing Application Highlights Location 290 BROADWAY
	Documents Applicant Information* C Statements & Signature Violations* Violations* Violation Number Selected L2 Code Associated Job Number	MANHATTAN Job Number M00372698 Filing Number I1 L2 Filing Status Fees
	070819C1011281 34383940M	L2 Fee
	Note: DOB NOW: <i>Build</i> will auto-populate all of the open DOB and B permit (WWP) violations that exist on the BIN at the time the L	CB work without 2 request has been
	initiated.	
8.	For each individual violation listed, specify the L2 code that applies. It to the Action column. Click on Edit Icon.	Scroll to the far right

Step	Action					
9.	A pop up will appear to select the appropriate L2 code for the violation.					
	Reason for Request				×	
	Override Request	Reduction Request	Waiver Request BFP ECB EXP1 TPT	 CPP DUP1 EWG EWP EXP2 GOV SAPW 	v	
					Update Cancel	
	Note: Only one L	2 code can be selec	ted per violatio	n.		
10.	For L2 codes NRV	/, ECB, TPT, EWG,	SAPW, EWP o	r GOV, made the	selection then click	
	On Update . Reason for Request					×
	Override Request	Reduction Request	Waiver Req	uest		
	NRV	O REDT	BFP ECB EXP1 TPT	CPP EWG EXP2 SAPW	GOV	
	NRV No relationship to the violation; w violation, nor is it connected to the only to condominium or cooperati	here a work permit is being sought by a e violation in any way. The permit sough ive owners for work inside individual un	tenant/lessee or an owner for a t must be to the benefit of an o its where the notice of violation	commercial space that neither addre ccupant not cited in the notice of vio was issued for a shared common spa	esses the existing work without a permit lation. For residential spaces this applies ce.	
					Update Cancel	
11.	For L2 codes EXP	1 or EXP2 after ma	king the selection	on enter the Asso	ciated Job Number	<u> </u>
	then click on Upd	late.				
	Reason for Request	Paduction Paquact	Waiyor Ros	wort		~
	O NRV	O REDT	BFP FCB EXP1 TPT	CPP EWG EXP2 SAPW	O DUPW EWP GOV	
	EXP2 When permits (other than for tem Associated Job Number:*	porary construction equipment) expired	and there was no ongoing work	(
					Update Cancel	

For L2 codes CF	'P or DUPW after ma	king the selection	n enter the B	15 Invoice Numb
then click on Up	odate.			
Reason for Request				
Override Request	Reduction Request	Waiver Request BFP ECB EXP1 TPT	CPP EWG EXP2 SAPW	O DUPW O EWP O GOV
CPP Where the civil penalty was all	raadu fullu paid. Dravida invaica numbar ar c	ony of front and back of cancelled	chock	
RIS Invoice Number*	ready fully paid. Provide invoice number of c	opy of front and back of cancelled	спеск	
bis involce number.				
For L2 codes RE	DT additional inform	nation will be rec	quired.	opune ,
For L2 codes RE Reason for Request Override Request	DT additional inform	Nation will be rec	CPP CPP EWG EXP2 SAPPW	 DUPW EWP GOV
For L2 codes RE Reason for Request Override Request NRV	DT additional inform	Mation will be rec	Quired. ○ CPP ○ EWG ○ EXP2 ○ SAPW	 DUPW EWP GOV
For L2 codes RE Reason for Request Override Request NRV REDT Work completed without benefit	CDT additional inform	Mation will be rec	Quired. ○ CPP ○ EWG ○ EXP2 ○ SAPW	 DUPW EWP GOV
For L2 codes RF Reason for Request Override Request NRV REDT Work completed without benefit Email*	CDT additional inform	Mation will be red	CPP CPP EWG EW2 SAPW License Number	 DUPW EWP GOV
For L2 codes RE Reason for Request Override Request NRV REDT Work completed without benefit Email* Last Name	CDT additional inform	Mation will be red	CPP CPP EWG EXP2 SAPW License Number License Number	 DUPW EWP GOV
For L2 codes RE Reason for Request Override Request NRV REDT Work completed without benefit Email* Last Name	CDT additional inform	Mation will be rec	CPP CVG EVVG EVVG EVP2 SAPW License Number Middle Initial	 DUPW EWP GOV
For L2 codes RE Reason for Request Override Request NRV REDT Work completed without benefit Email* Last Name Business Name*	CDT additional inform	Mation will be rec	CPP EWG EWG EXF2 SAPW License Number Middle Initial Business Address	 DUPW EWP GOV
For L2 codes RE Reason for Request Override Request NRV REDT Work completed without benefit Email* Last Name Business Name* Select Type:	CDT additional inform	Mation will be red	Quired.	 DUPW EWP GOV
For L2 codes RE Reason for Request Override Request NRV REDT Work completed without benefit Email* Last Name Business Name* Select Type: City	CDT additional inform	Pation will be rec	CPP CPP EWG DE72 SAPW License Number Middle Initial Business Address License Number	 DUPW EWP GOV

Step	Action				
14.	Enter your Email addre	ess.			
	Reason for Request				×
	Override Request F	Reduction Request	Waiver Request BFP ECB EXP1 TPT	 CPP EWG EXP2 SAPW 	DUPW EWP GOV
	REDT Work completed without benefit of a permit con Email*	License Type*	otal work.	License Number Middle Initial	
15.	Select the License Type	e from the drop	down list.		
	Override Request	Reduction Request	Waiver Request BFP ECB EXP1 TPT	CPP EWG EXP2 SAPW	O DUPW O EWP O GOV
	REDT Work completed without benefit of a permit Email* AJOETEST1@GMAILCOM Last Name	constituted only a percentage of License Type* Select Type: First Name	of the total work.	License Number Middle Initial	
16.	Select the Business Nation Reason for Request REDT Work completed without benefit of a	me from the dro	op down list. a percentage of the total wo	rk.	
	Email*	L	License Type*		License Number
		F	First Name		 Middle Initial
	JOE1		ADAM		
	Business Name* Select Type:	E	Business Telephone		Business Address
	City	2	State		Zip Code

Step	Action				
17.	Enter the Percentage of work completed without a permit in the field.				
	Reason for Request				
	Percentage of work completed without a permit.* Date of Inspection* Time of Inspection*				
	Description of Work Completed without a Permit				
18.	Select the Date of Inspection .				
	Reason for Request				
	Percentage of work completed without a permit.* Date of Inspection* Time of Inspection*				
	Description of Work Completed without a Permit				
	Mon Tue Wed Thu Fri Sat Sun				
	08 09 10 11 12 13 14				
	15 16 17 18 19 20 21 22 23 24 25 26 27 28				
	29 30 31 01 02 03 04 255 characters remaining 05 06 07 08 09 10 11				
	This affidavit is submitted in support of the request for a real of the total provide the provided of the total provided on the following vic On (MMDD/YVY) at (an/pm), inspected in the provided on the prov				
	four (A) consolition obstantiable taken at the time of my increasion, we included with this submission and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately the time of my increasion at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion at the time of my increasion and accurately reasonable taken at the time of my increasion and accurately reasonable taken at the time of my increasion a				
19.	Enter the Time of Inspection .				
	Reason for Request				
	Percentage of work completed without a permit:* Date of Inspection* Time of Inspection*				
	HH : MM AM				
	Description of Work Completed without a Permit				

Step	Action
20.	Enter the Description of Work Completed without a Permit .
	Reason for Request
	Description of Work Completed without a Permit
	255 characters remaining This affidavit is submitted in support of the request for a reduction of a civil penalty submitted in association with job application # and the following violation #(s): 070819C1011281 . On 07/08/2019 (MM/DD 0000) at 01:30 PM L inspected the premises located at 280 BROADWAY. MANHATTAN to determine whether the work covered by the above referenced
21.	Click in the box to apply your electronic signature.
	This affidavit is submitted in support of the request for a reduction of a civil penalty submitted in association with job application # and the following violation #(s): 070819C1011281. On 07/08/2019 (MM/DD/YYY) at 01:30 PM. I inspected the premises located at 290 BROADWAY MANHATTAN to determine whether the work covered by the above-referenced job application was commenced without the benefit of a New York City Department of Buildings permit(s). I certify that my inspection revealed that the work performed without the benefit of a permit(s) is 50 percent of the total work indicated on the job application. I further certify that a written description of such work completed without a permit and at least four (4) supporting photographs taken at the time of my inspection are included with this submission and accurately represent the findings of my inspection. Falsification of any statement is a misdemeanor under the NYC Administrative Code and is punishable by a fine or imprisonment, or both. I am aware of the additional sanctions imposed on false filings by § 28-211.1.2 of the NYC Administrative Code. It is unlawful to give to a City employee, or for a City employee to accept, any benefit, monetary or otherwise, either as a gratuity for property performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both.
	Name Date
22.	Click on Update .
	This affidavit is submitted in support of the request for a reduction of a civil penalty submitted in association with job application # and the following violation #(s): 070819C1011281. On 07/08/2019 (MM/DD/YYYY) at 01:30 PM, I inspected the premises located at 290 BROADWAY MANHATTAN to determine whether the work covered by the above-referenced job application was commenced without the benefit of a New York City Department of Buildings permit(s). I certify that my inspection revealed that the work performed without the benefit of a permit(s) is 50 percent of the total work indicated on the job application. I further certify that a written description of such work completed without a permit and at least four (4) supporting photographs taken at the time of my inspection are included with this submission and accurately represent the findings of my inspection. Falsification of any statement is a misdemeanor under the NYC Administrative Code and is punishable by a fine or imprisonment, or both. I am aware of the additional sanctions imposed on false fillings by § 28-211.1.2 of the NYC Administrative Code. It is unlawful to give to a City employee, or for a City employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both.
	expressing my agreement with the statements and terms herein. I understand that this detectronic signature shall have the same valuation and effect as a signature antixed by hand, and i further agree that an uploaded electronic image of my signature and professional seal that is part of this submission is hereby applied to this signed statement and each document and statement included in this submission as if I had personally signed and sealed these documents and statements by hand.
	Name Date
	(Electronically Signed)
	Update

Step	Action				
23.	The selected L2 code information will update in the violations grid.				
	General Information	General Information			
	Documents	Applicant Information	☞ >		
	< >	Violations*	~		
		Violation Number Selected L2 Code Associated Job Number BIS Invoice Number Action 070819C1011281 DUPW 12345678 2 34383940M EXP2 M00372684 2	n ~		
24.	Click on the Comm	nents section to enter comments related to the L2 request.			
	← Back to Filing 🎽 Save				
	General Information	Total Items: 2			
	Documents				
	Statements & Signature	Comments			
	< >>				
		255 characters remaining			
25.	Click Save .				
	← Back to Filing 🏼 Save				
	General Information	General Information	Application Hig Location		
	Documents Statements & Signature	Applicant Information*	Job Number Filina Number		
	< >>	Violations 🗸	L2 Filing Status		
		Violation Number Selected L2 Code Associated Job Number BIS Invoice Number Action 070819C1011281 DUPW 12345678 7	Fees		
		34383940M EXP2 M00372684	L2 Fee		

Step	Action
26.	The following pop up will appear to notify you that once the L2 request is save, the Applicant Type cannot be changed. Click Yes to continue.
	Confirmation
	The following information cannot be changed after save:
	• Applicant Type Do you wish to continue?
	Yes No
27.	A pop up notification will appear to indicate that the L2 Request was saved. Click OK .
	Notification
	L2 Request has been saved.
	ОК
28.	The L2 Request tracking number will appear on the upper left.
	DOB DOB NOW NOW Submit Filings, Payments, and Requests to the NYC Department of Buildings
	662528566 General Information Location General Information* Image: Source of the sourc
	Documents Filing Number L2 Filing Status L2 Filing Status
	Violation Number Selected L2 Code Associated Job Number BIS Invoice Nt Fees 070819C1011281 DUPW 12345678 L2 Fee 34383940M EXP2 M00372684 L2

Step	Action					
29.	DOB NOW: <i>Build</i> Fees section on th	DOB NOW: <i>Build</i> will display the estimated L2 fees after the L2 Request is saved in the Fees section on the right.				
	Application Highlights Application Highlights Location 290 BROADWAY MANHATTAN Job Number M00372698 Filing Number 11					
	Violations* Set 070819C1011281 DUP 34383940M EXP2	Ected L2 Code × Associated Job Number × BIS Invoice Ni W 12345678 2 M00372684				
	Note: DOB NOW: fee will need	<i>Build</i> does NOT accept any payment associated to the L2 fee. The L2 to be paid in the borough office				
30.	Click on the Docu complete the L2 R	ment tab to view all required documents that are necessary to equest.				
	DOB DOB Now Submit F	IOW ilings, Payments, and Requests to the NYC Department of Buildings				
	← Back to Filing 🏼 🗎 Save 📑 Su	amit				
	662528566	Additional Supporting Documents				
	Documents Statements & Signature	Document Name Document Status Prior To 34383940M_Supporting Document Required Approval				
31.	Click on the Uplo Check)	Click on the Upload Icon to upload the document (e.g. Copy of Invoice and/or Cancelled Check)				
	DOB DOB NOW NOW Submit Filings, Payments, and Requests to the NYC Department of Buildings					
	omit					
	662528566	Documents				
	Documents	Additional Supporting Documents Required Documents				
	Statements & Signature	Document Name Y Document Status Prior To Upload 34383940M_Supporting Document Required Approval				

Action
Click on Browse to locate the document on your computer.
Document Uploader File Name: Supporting Document Browse Upload
After selecting the document, click on Upload.
Click on OK. Document Uploader File Name: Supporting Document ments\Staging Test Docu_Browse] Upload Document has been uploaded. OK

Step	Action	
35.	The status of the d	NOW Filings, Payments, and Requests to the NYC Department of Buildings
	662528566	Documents
	General Information Documents Statements & Signature	Additional Supporting Documents Required Documents Document Name ^ Document Status Prior To ^ Upload ~ 34383940M_Supporting Document Submitted Approval 1
36.	Click on the Stater box to apply your	ments & Signatures tab to apply your electronic signature. Click in the electronic signature.
37.	Click Save.	VOW Filings, Payments, and Requests to the NYC Department of Buildings ubmit Statements & Signatures I hereby affirm that all the information provided in this request for an override, reduction or waiver of a civil penalty and supporting documentation is true and complete to the best of my knowledge. I understand that falsification of any statement is a misdemeanor under the NYC Administrative Code and is purishable by a fine or imprisonment, or boh. I am aware of the additional sanctions imposed on false filings by 38-21:12:20 the NYC Administrative Code. It is unlawful to give to a City employee to a ccept, any benefit, monetary or otherwise, either as a gratuity for property performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or bub. I have personally reviewed all information in this submission. I understand and agree that by personally clicking on the box at left I am electronically signing this document and expressing my agreement with the statements and terms herein. I understand that this electronic ignature shall have the same validity and effect as a signature affixed by hand.

Step	Action
38.	The following pop up will appear. Click OK .
	Notification
	L2 Request has been saved.
39.	Click Submit.
	DOB NOW NOW BUILD C Back to Filing Save Submit I Save S
	662528566 Statements & Signatures Location 290 BROADW
	General Information Ø Job Number M00372698 Filing Number 11
	Documents I hereby affirm that all the information provided in this request for an override, reduction or waiver of a civil penalty and supporting L2 Filing Pre-filing
40.	The following pop up will appear to confirm that you would like to file the L2 Request. Click Yes .
	Confirm
	Are you sure you want to file?
	Yes
41.	The following pop up will appear to let you know that the L2 Request was submitted successfully. Click OK .
	Notification
	L2 Request has been submitted.

Step	Action			
42.	The L2 Filing Status will up	date to Pending CPE/ACPE Assignment	t.	
	Statements & Signatures		Application Hi	ghlights 290 BROADWAY
	Applicant Statement*	⊠ >	Job Number Filing Number	MANHATTAN M00372698 I1
	I hereby affirm that all the information provided ir documentation is true and complete to the best o under the NYC Administrative Code and is punishab on false filings by § 28-211.1.2 of the NYC Administ proceedings of the NYC Administrative of the the NYC Administrative of the NYC Administrative of the NYC Administrative of the NYC Administrative of the NYC Administrative of th	In this request for an override, reduction or waiver of a civil penalty and supporting if my knowledge. I understand that falsification of any statement is a misdemeanor le by a fine or imprisonment, or both. I am aware of the additional sanctions imposed ative Code. It is unlawful to give to a City employee, or for a City employee to accept, the for encoding endinger of the ide or give molegner, or considering periodensities. Violation	L2 Filing Status Fees	Pending CPE/ACPE Assignment
43.	Click on View Filings to dov	wnload a PDF version of the L2 Request.	•	
	c to Filing 🗎 Save 🌓 Submit		🖪 Vie	sign Ou ew Filing 🞯 Dashb
	528566 General Information	1	Application Location	Highlights 290 BROADWAY MANHATTAN
	al Information Applicant Information*	⊘ >	Job Number	M00372698
44.	A PDF will appear.			
	M00372692_7019270122224564_pdf - Adobe Azrobat Pro Ele Edit Yew Document Comments Forms Icols Advanced Window Help Create - Co DOB DOB NOW BUILD	mbine • 🔊 Collaborate • 📦 Secure • 🖋 Sign • 🗐 Forms • 📑 Multimedia • 🔗 Comment •	Buildings	
	Requests for Overrides, Reduct Order Violations	ions, or Waivers of Civil Penalties for Work Without a P	ermit and S	top Work
	Location: Job Number:	290 BROADWAY MANHATTAN M00372698		
	Filing Number: L2 Filing Status:	I1 Pending CPE/ACPE Assignment		
	Fees L2 Fee:	\$6,000.00		—

Step	Action						
45.	On the job filing ir appear. All parties clicking on the vie	DOB NOW: <i>Build,</i> the Civil Penalties Review Request (L2) tab will associated with the job filing will be able to see the submitted L2 by w icon .					
	Save Preview to File	D Vie					
	M00372698-I1	Requests for Overrides, Reductions, or Waivers of Civil Penalties for Work Without a					
	Plans/Work (PW1)	Tracking # Civil Benalties Beview Status Applicant Applicant					
	Zoning Information	662528566 Pending CPE/ACPE Assignment AJOETESTI@GMAILCOM Image: Constraint of the second					
	Plumbing						
	Cost Affidavit (PW3)						
	Technical Report						
	Technical Report (TR1)						
	Work Permit (PW2)						
	Statements & Signatures	Total Items: 1					
	Civil Penalties Review Request						
	(L2)						
46.	An email notificati email notifications the review is comp	on will be sent to you once the L2 Request is submitted. Additional will be sent when the L2 Request is assigned to a reviewer and when bleted.					
	L2 Request with Trackin	g No. 662528566 Submitted for M00372698/I1/290 BROADWAY. Inhox IYCDev@buildings.nyc.gov> Mon, Jul 8, 2019 at 12:23 PM					
	To: ADAM JOE1 <ajoetest1@gm Reply Reply to all Forward Print Delete S</ajoetest1@gm 	iail.com>					
	This email references L2 Request	with Tracking No. 662528566 for M00372698/ <u>I1/290 BROADWAY</u> .					
	This Civil Penalties Review Request (L2) has been submitted in DOB NOW: Build and is awaiting assignment to a fee estimator. You will receive another email notification when it is assigned.						
	DOB NOW Support						
	NYC Department of Buildings						
	Buildin	gs					
You h	ave completed the s	teps to submit a L2 Request in DOB NOW: <i>Build</i> . Continue to review					
the ste	eps to view a rejected	1 L2 Request.					

Viewing a Rejected L2 and Submitting a New Request

Complete the following steps if your L2 request was rejected.

Step	Action	
i	An L2 Request may notification email w be submitted until t for the procedure w	be denied for various reasons. Whenever an L2 Request is denied a rill be sent with the reason for rejection. A new L2 Request will need to he civil penalty violation is resolved. Please refer to the steps below then an L2 Request is denied.
47.	When the L2 Reque is rejected the email L2 Request with Tracking	st review is completed an email notification will be sent. When the L2 notification will contain a reason for rejection.
	DOB NOW Support <crmbuildny(To: ADAM JOE1 <ajoetest1@gmail< p=""></ajoetest1@gmail<></crmbuildny(CDev@buildings.nyc.gov> Wed, Jul 10, 2019 at 10:48 AM .com>
	Reply Reply to all Forward Print Delete Sho	
	This email references L2 Request w	th Tracking No. 662528566 for M00372698/ <u>11/290 BROADWAY</u> .
	Inis Civil Penalties Review Request	(L2) has been rejected by the Fee Estimator in DOB NOW, Build for the following reasons:
	No further action can be taken on th	is request Submit a new Civil Penalties Review Request (12) if further consideration is required
		s request, oublink a new oran conducts review request (E2) in failled consideration is required.
	DOB NOW Support	
	NYC Department of Buildings	
48.	In DOB NOW: Build	<i>l</i> , the Civil Penalties Review Status will display Rejected.
	Save Preview to File	🖄 View Filing
	M00372698-I1	Requests for Overrides, Reductions, or Waivers of Civil Penalties for Work Without a Permit and Stop Work Order Violations
	Plans/Work (PW1)	Tracking # Civil Penalties Review Status Applicant
	Scope of Work	662528566 Rejected AJOETEST1@GMAILCOM
	Plumbing	
	Sprinklers	
	Technical Report	
	Technical Report (TR1)	
	Documents	
	Work Permit (PW2)	C Total Items: 1
	Statements & Signatures	
	Civil Penalties Review Request	

	My Jobs My	Work Peri	nits My AHV W	ork Permits My LO	C Requests My	Sign Off Reque	sts Emerge	ncy Work Notifica	tions Certifica	te of Operatio	n Bo	iler Removal	Notifica
	Job Number Sea	arch		.,				,					
-	Filter My Jobs	-											
<u>_</u>	Job#	Filing	Filing Type	Filing Status	Address	Borough	Applicant	Owner	Modified Da	Pavment	Filing	Action	View
2												,	
ji ji	M00372698	11	New Job Filing	Approved	290 BROADWAY	MANHATTAN	ADAM JOE1	APPLE ROME18	07/10/2019	Exempted	Selec	t Action:	
	M00372677	11	New Job Filing	Pending CPE/ACPE	290 BROADWAY	MANHATTAN	ADAM JOE1	APPLE ROME18	06/29/2019	Exempted	Subs	equent Filing	
	M00372656	11	New Job Filing	Pre-filing	1 BROADWAY	MANHATTAN	ADAM JOE2	ADAM JOE1	06/28/2019	Due	Creat	te Work Perm	it
	M00372644	P2	PAA	Approved	1 BROADWAY	MANHATTAN	ADAM JOE2	ADAM JOE1	06/27/2019	Paid	Requ	iest L2	
Vo	M00372644	P1	PAA	Approved	1 BROADWAY	MANHATTAN	ADAM JOE2	ADAM JOE1	06/27/2019	Exempted	Selec	t Action: 🗸	Ø
	M00372644	11	New Job Filing	Permit Entire	1 BROADWAY	MANHATTAN	ADAM JOE2	ADAM JOE1	06/27/2019	Paid	Selec	t Action: 🗸	Ø
	M00372641	11	New Job Filing	Prof Cert QA Review	1 BROADWAY	MANHATTAN	ADAM JOE2	ADAM JOE1	06/27/2019	Exempted	Selec	t Action: 🗸	Ø
	M00372617	P2	PAA	Approved	1 BROADWAY	MANHATTAN	ADAM JOE2	ADAM JOE2	06/27/2019	Paid	Selec	t Action: 🗸	C
	M00372615	S1	Subsequent Fili	LOC Issued	1 BROADWAY	MANHATTAN	ADAM JOE2	ADAM JOE1	06/27/2019	Exempted	Selec	t Action: 🗸	E
A	11 L2 Ree	ques	New Job Filing	LOC Issued	1 BROADWAY	MANHATTAN	adam JOE2	ADAM JOE2	06/27/2019 w Reque	Paid	Selec	o.	
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	III L2 Rec M00372617 III L2 Rec M00372617 III L2 Rec M00372698 Plans/Work (PW1 Zoning Information Scope of Work Plumbing Sprinklers Cost Affidavit (PW1 Technical Report Technical Report Documents Work Permit (PW2 Statements & Sig	11 ques: iew to File :-11) on (3) t (TR1) 2) natures	New Job Filing t will be Reque Permi 5642798 66252851	toC issued displayed ests for Overric t and Stop Wo source of the second se	1 BROADWAY	MANHATTAN	ADAM JOE2 Penaltie vers of Civ Applicant TEST1@GMAILC	ADAM JOE2 s Review vil Penalties	o6/27/2019	Paid est (L2 Pierest ithout a	Selec	tt Action: C. E History Application Location Job Numt Filing Num Filing Typ Created C Current filing Rev Hing Rev Work Witt Permit Via Estimated Total Job Payment Si Filing Fee Legalizati Record	Field of the second sec
	III L2 Rec M00372617 III L2 Rec M00372698 Plans/Work (PW1 Zoning Informatic Scope of Work Plumbing Sprinklers Cost Affidavit (PW Technical Report Technical Report Documents Work Permit (PW Statements & Sig	iew to File	New Job Filing t will be Reque Permi 5642798 6625285	LOC Issued displayed ests for Overric t and Stop Wo solution (Contemportation) displayed rests for Overric t and Stop Wo Pending (Contemportation) Rejected ms: 2	1 BROADWAY	MANHATTAN	ADAM JOE2 enaltie vers of Civ Applicant TEST1@GMAILC	ADAM JOE2 s Review /il Penalties	o6/27/2019	Paid est (L.2 @View ithout a	Selec	t Action: ∨ D. ≡ History ▼ Application Location Job Numt Filing Num Filing Typ Created C Current Fi Status Job Statu: Hung Rev Work Witi Permit Vic Estimated Total Job Payment St Filing Fee Legalizati Record Managem Pert Anno	Fight High ber hber e in biling ; iew Ty hout lation Job C Cost immai on Fee ent Fee oval

approved L2 Request is in DOB NOW: Build.

Viewing an Approved L2 and Submitting the PW2 Request

Complete the following steps when your L2 request is approved.

Step	Action							
i	Once an L2 Request is be submitted.	approved a notification email will be sent. At that time the PW2 can						
50.	A notification email w	vill be sent once the L2 Request is approved.						
	L2 Request with Tracking No.	. 564279880 Approved for M00372698/I1/290 BROADWAY. https://www.						
	DOB NOW Support <crmbuildnycdev To: ADAM JOE1 <ajoetest1@gmail.com< th=""><th>/@buildings.nyc.gov> Wed, Jul 10, 2019 at 2:59 Pl</th></ajoetest1@gmail.com<></crmbuildnycdev 	/@buildings.nyc.gov> Wed, Jul 10, 2019 at 2:59 Pl						
	Reply Reply to all Forward Print Delete Show orig	inal						
	This email references L2 Request with T	racking No. 564279880 for M00372698/ <u>I1/ 290 BROADWAY</u> .						
	This Civil Penalties Review Request (L2) dashboard in <u>DOB NOW:Build</u> .) has been Approved by the Fee Estimator. The applicant may now select Create Work Permit from the Filing Action column on the						
	DOB NOW Support							
	NYC Department of Buildings							
51.	The Civil Penalties Re with the job filing be a	view Status will update in DOB NOW: <i>Build</i> . Everyone associated able to see the approved L2 in the job filing in DOB NOW: <i>Build</i> .						
	M00372698-11	Requests for Overrides, Reductions, or Waivers of Civil Penalties for Work Without a Permit and Stop Work Order Violations						
	Zoning Information	Tracking # ^V Civil Penalties Review Status ^V Applicant ^V Actions ^V						
	Scope of Work	564279880 Approved AJOETESTI@GMAILCOM 662528566 Rejected AJOETESTI@GMAILCOM						
	[®] Plumbing							
	Cost Affidavit (PW3)							
	Technical Report							
	Technical Report (TR1)							
	Documents							
	Work Permit (PW2)	Total Items: 2						
	Civil Penalties Review Request							
	_(12)							

Step	Action						
52.	The contractor or appropriate person will now be able to submit the Permit Requ (PW2) in DOB NOW: <i>Build</i> . On the PW2 click Submit .						
	DOB DOB NOW Submit	NOW Filings, Payments, and Requests to the NYC Department of Buildings	Welcome, CERESI LANI DOBTESTINGQ@YANDI Need Sig				
	🗲 Back to Filing 🛛 🗎 Save	Submit					
	Tracking # 485739120	General Information	Application Highlights Location 290 BROADWAY MANHATTAN				
	General Information	Reason for Filing*	Job Number M00372698 Filing Number I1				
	Documents	Type of Permit	Work Permit Status Tracking 485739120 Number				
	Statements & Signatures	Applicant Information*	Work Permit Pre-filing Status				
		Filing Representative	Work Permit Permit is not yet Number issued Sequence				
		Applicant's insurance information •	Permit Submitted				
53	Note: For deta By-Step G	il steps on how to submit a PW2, please refer to the Permit uide.	Request Step-				
55.	to submit the v	vork permit request.	you would like				
	Confirm	×					
	Are you sure	you want to submit the work permit?					
		Yes No					
54.	The following	confirmation pop-up will appear. Click OK .					
	Notificatio	n ×					
	Work permi	it has been submitted for review.					
		ОК					

O	Pending Prof C	ert QA	~			
Pre-filing	Assignmer	nt	Prof Cert QA R	eview	Approved	Permit Issue
M00372698-I1	Work Pern	nit (PW2)				
Plans/Work (PW1)	Work Permit:	Add			_	
Zoning Information	Tracking #	Work Permit Number ~	Sequence #	Work Permit Status	Y Permit Type Y II	nitial Permit No Wor
Scope of Work	485739120	Permit is not yet issued		Pending QA Assignment	Plumbing Y	es No
Plumbing Sprinklers						
Cost Affidavit (PW3)						
Technical Report						
Technical Report (TR1)						
Documents						
Work Permit (PW2)						
Note: For addition Permit Req	onal inform Juest Step-I	aiton on the 3y-Step Gui	work pe de.	ermit request p	process please	e refer to the

Civil Penalties Review Request (L2) Process for Job Filings Created <u>Before</u> July 1, 2019.

Complete the following steps to submit an L2 Request for a job filing that was submitted in DOB NOW: *Build* prior to July 1, 2019.

Step	Action
i	The following pop up message will appear if a civil penalty violation exist on a BIN for a job filing that was submitted in DOB NOW: <i>Build</i> prior to July 1, 2019 that you are attempting to submit a PW2 request for.
	Notification
	There is a work without permit violation on this BIN. To request an override, reduction or waiver, complete an L2 Form.Submit the completed form and any supporting documents at www.nyc.gov/dobnowhelp (select Question category Request Override, Reduction or Waiver and include the Job Filing Number). Upload Certificate of Insurance Document (on Work Permit).
1.	Complete an L2: Requests for Overrides, Reductions, or Waivers of Civil Penalties for Work Without a Permit and Stop Work Order Violations paper form. L2: Requests for Overrides, Reductions, or Waivers of Civil Penalties for Work Without a Permit and Stop Work Order Violations (form must be typewritten)
	1 JOB & REQUEST INFORMATION (required for all requests; a copy of the violation is required with the L2 submission)
	Job #
	REDUCTION REQUEST: REDT: Work completed without benefit of a permit constituted only a percentage of the total work. (SECTION 4: Affidavit of Reduction is required) LEG: Work performed without a permit and an applicant is seeking a permit before notice of violation is issued. WAIVER REQUEST/WWP Waiver Reasons:
	Note: A copy of the form can be obtained here:
	https://www1.nyc.gov/assets/buildings/pdf/l2.pdf
	Instructions on completing the paper form can be obtained here:
	https://www1.nyc.gov/assets/buildings/pdf/l2_instr.pdf.

Step	Action
2.	Combine the completed L2 form , a copy of the violation and other required documents and create a PDF of one document and save the file.
	L2: Requests for Overrides, Reductions, or Waivers of Civil Penalties for Work Without a Permit and Stop Work Order Violations (form must be typewritten)
	1 JOB & REQUEST INFORMATION (required for all requests; a copy of the violation is required with the L2 submission)
	Job # M0000123 Violation # 12345678M
	Indicate reason for request by checking the applicable box:
	OVERRIDE REQUEST: NRV: No relationship to the violation; where a work permit is being sought by a tenant/lessee or an owner for a commercial space that neither addresses the existing work without a permit violation, nor is it connected to the violation in any way. The permit sought must be to the benefit of an occupant not cited in the notice of violation. For residential spaces this applies only to condominium or cooperative owners for work inside individual units where the notice of violation was issued for a shared common space.
	REDUCTION REQUEST:
	REDT: Work completed without benefit of a permit constituted only a percentage of the total work. (SECTION 4: Affidavit of Reduction is required)
	LEG: Work performed without a permit and an applicant is seeking a permit before notice of violation is issued.
	WAIVER REQUEST/WWP Waiver Reasons:
3.	Go to the Online Help for Department of Buildings Self-Service Tools website located at <u>www.nyc.gov/dobnowhelp</u>
	on the field for Department of Databased Dervice roots
	Let us know how we can help you by filling out the form below. Most inquiries will receive a response by email within one business day. Items marked with * are required. Module* Select a Module Question Category* Select a Question Category Job/Filling Reference Number Type Select a Job/Filling Reference Number Vhat is your role for this filling? Select a Role What is your role for this filling? Select a Role Explain Question*(Maximum limit: 600 Characters)
	Supporting Information Upload Upload limited to one POF (less than 10MB). Your Name: Your Phone: 999-999-9999 Email Address* Send

Step	Action	
4.	Click on the Module drop do	own list to select and select Build .
	Online	Help for Department of Buildings Self-Service Tools
	Let us know how we can help you by filling out t Items marked with * are required.	he form below. Most inquiries will receive a response by email within one business day.
	Module* Question Category* Job/Filing Reference Number Type License Number, if applicable What is your role for this filing? Explain Question* (Maximum limit: 600 Charact	Select a Module Appointments Build eFiling Inspections Licensing Safety ers)
5.	Select the Project/Filing Typ	e ne Help for Department of Buildings Self-Service
	Let us know how we can help you by filling or Items marked with * are required.	ut the form below. Most inquiries will receive a response by email within one business day.
	Module*	Build
	Project/Filing Type*	Fence Limited Alteration Application (LAA) Mechanical Systems Place of Assembly Plumbing Sidewalk Shed Sign Sprinkler Standpipe Structural Supported Scaffold Temporary Place of Assembly
	Question Category*	Select a Question Category
	Job/Filing Reference Number Type	Select a Job/Filing Reference Number
	License Number, if applicable	
	What is your role for this filing?	Select a Role
	Explain Question* (Maximum limit: 600 Char	racters)

Step	Action	
6.	Click on the drop down for Reduction or Waiver .	the Question Category and select Request Override,
	Items marked with * are required. Module*	Build
	Project/Filing Type*	□ Antenna □ Curb Cut □ Electrical □ Elevators □ Emergency Work Notification (EWN) □ Fence □ Limited Alteration Application (LAA) □ Mechanical Systems □ Place of Assembly ☑ Plumbing □ Sidewalk Shed □ Sign □ Sprinkler □ Standpipe □ Structural □ Supported Scaffold □ Temporary Place of Assembly
	Question Category* Job/Filing Reference Number Type License Number, if applicable What is your role for this filing? Explain Question* (Maximum limit: 600 Char	Select a Question Category Account Registration Issues After-Hours Variance Permit Assistance With Completing a Filing General Request Document Deferral Request Override, Reduction or Waiver Request Supersede or withdrawat Submit Additional Information Form (AI1) Technical Information Form (AI1)
7.	Click on the Question Subc your L2 request.	ategory drop down and select the appropriate selection for
	Project/Filing Type*	□ Antenna □ Curb Cut □ Electrical □ Elevators □ Emergency Work Notification (EWN) □ Fence □ Limited Alteration Application (LAA) □ Mechanical Systems □ Place of Assembly ☑ Plumbing □ Sidewalk Shed □ Sign □ Sprinkler □ Standpipe □ Structural □ Supported Scaffold □ Temporary Place of Assembly
	Question Category* Question Subcategory* Job/Filing Reference Number Type	Request Override, Reduction or Waiver Select a Question Subcategory Override Reduction Waiver of Civil Penalties for Work Without a Permit
	Reference Number* License Number, if applicable	Waiver of Stop Work Order Violations
8.	On the Job/Filing Reference Number	e Number Type drop down chose Select to enter Job Filing
	Module*	
	Project/Filing Type*	Antenna Curb Cut Clectrical Clevators Clewargency Work Notification (EWN) Fence Limited Alteration Application (LAA) Mechanical Systems Place of Assembly Plumbing Sidewalk Shed Sign Sprinkler Standpipe Structural Supported Scaffold Temporary Place of Assembly
	Question Category* Question Subcategory*	Request Override, Reduction or Waiver
	Job/Filing Reference Number Type Reference Number*	Select to enter Job Filing Number Select to enter Control Number Celect to enter Control Number
	License Number, if applicable What is your role for this filing?	Select to enter Mork Permit Number Select to enter Payment Tracking Number Select to enter Device Number

Step	Action	
9.	Enter the DOB NOW: Bu	<i>tild</i> job filing number in the Reference Number field.
	Module* Project/Filing Type*	Build Image: Standpice of Assembly
	Question Category*	Request Override, Reduction or Waiver
	Question Subcategory*	Override V
	Job/Filing Reference Number Type	Select to enter Job Filing Number
	Reference Number*	
	License Number, if applicable	
	What is your role for this filing?	Select a Role
	Module* Project/Filing Type*	Build Image: Second
	Question Cotogonat	Standpipe Structural Supported Scaffold Temporary Place of Asse Request Overside, Reduction or Weiver
	Question Subcategory*	Override V
	Job/Filing Reference Number Type	Select to enter Job Filing Number
	Reference Number* License Number, if applicable	M00000123
	What is your role for this filing? Explain Question* (Maximum limit: 600 Characters	Statistical NMC Administrative Assistant to one of the above Boller Insurance Agency Inspector Building Wanager Building Owner Concrete Test Lab Director Electrical Contractor Elevator Director/Co-Director
	Supporting information Upload Upload L2 Form Your Name: Your Phone:	Fire Suppression Contractor Drowse General Contractor Journeyman Master Plumber Oil Burner Installer Other
	Email Address*	Voles Professional Engineer Qualified Exterior Wall Inspector Registered Architect Sign Hanger Welder

Step	Action		
11.	Enter a comment in the Explain Question field.		
	Reference Number* M00000123 License Number, if applicable		
	Supporting Information Upload Browse Upload L2 Form Upload limited to one PDF (less than 10MB). Your Name:		
12.	Click on Browse to upload the L2 form with the supporting document PDF you created. Explain Question* (Maximum limit: 600 Characters)		
13.	Enter your name in the Your Name field. Supporting Information Upload Immers\Documents\Staging Test Document .pdf Browse Upload L2 Form Impload limited to one PDF (less than 10MB). Your Name: 999-999-9999 Email Address* Send		

Step	Action	
14.	Enter your phone number in the Your Phone field.	
	Supporting Information Upload iambers\Documents\Staging Test Document .pdf Browse Upload L2 Form Upload limited to one PDF (less than 10MB). Your Name: 199-999-9999 Email Address* Send	
15.	Enter your email address in the Email Address field. Please ensure that the email address is entered correctly since this will be the email address that will be used to communicate with you regarding the L2 request.	;
	Supporting Information Upload nambers\Documents\Staging Test Document .pdf Browse Upload L2 Form Upload limited to one PDF (less than 10MB). Your Name: 999-999-9999 Email Address* Send	
16.	Click on Send to submit the L2 request.	
	Supporting Information Upload iambers\Documents\Staging Test Document .pdf Browse Upload L2 Form Upload limited to one PDF (less than 10MB). Your Name: 999-999-9999 Email Address* Send	

Step	Action	
17.	An email notification will be sent to the email address that was entered on the Online Help Form.	
	From: Do. Not. Reply@buildings.nyc.gov < Do. Not. Reply@buildings.nyc.gov> Sent: Friday, June 28, 2019 2:20 PM To: test@test.com Subject: Your question has been submitted to DOB	
	Joe Test, Thank you for contacting the NYC Department of Buildings with the below comments. Most inquiries will receive a response by email within one business day. To submit another inquiry, use the DOB NOW and eFiling Online Help Form.	
	Service Number: 1000075911 Area: Build	
	ProjectFiling Type: Plumbing Question Category: Request Override, Reduction or Waiver	
	Question Subcategory: Waiver of Civil Penalties for Work Without a Permit DOB NOW Filing Role: Filing Representative	
	Job/Filing Reference Number Type: Job Filing Number Job/Filing Reference Number: M00000123	
	Question: PLEASE SEE ATTACHED L2 FOR OPEN VIOLATIONS AT 280 BROADWAY Name: Joe Test	
	Phone: 212-123-4567 Email Address: <u>lesl@test.com</u>	
	Note: Once the review of the L2 is completed, an email will be sent send to the email address that was entered on the Online Help Form with the status of the L2.	
You have completed the steps to submit an L2 request for a job filing with a civil penalty violation that was submitted in DOB NOW: <i>Build</i> prior to July 1, 2019.		