

New Procurements

COMPROLLER

Plan ID #	Description of Services to be Procured	Anticipated Contract Info					Similar Agency Roles	
		Contract Start	Contract End	Procurement Method	Procurement Industry	Fiscal Quarter	Job Titles	Headcount
FY16NCOMPTRROLLER4	Independent Actuarial Audits and other related actuarial services	4/19/2016	6/30/2020	Request for Proposal	Professional Services	3	None	0
FY16NCOMPTROLLERS5	Independant Evaluator for Transition Management Services	1/1/2016	12/31/2019	Request for Proposal	Professional Services	1	None	0
FY16NCOMPTROLLER6	Fixed Income Toolbox; Emerging Market Debt; US Large Cap & All Cap; Int'l Emerging Markets	1/1/2016	12/31/2019	Notice of Search	Professional Services	1	None	0
FY16NCOMPTROLLER7	Legal Services for Private Equity and Hedge Funds	8/1/2016	7/31/2019	Request for Proposal	Professional Services	3	None	0
FY16NCOMPTROLLER8	Diversity Consultant	1/1/2016	12/31/2018	Request for Proposal	Professional Services	3	None	0
FY16NCOMPTROLLER9	RFP for Pool of IT Consultants	4/1/2016	3/31/2019	Request for Proposal	Professional Services	3	None	0
FY16NCOMPTROLLER10	Microsoft Premier Support	7/1/2015	6/30/2018	Intergovernmental	Professional Services	1	None	0
FY16NCOMPTROLLER11	HP Printer Purchase & MPS	9/1/2015	8/31/2018	Intergovernmental	Standardized Services	1	None	0
FY16NCOMPTROLLER12	Bloomberg AIM system	1/1/2016	12/31/2018	Sole Source	Standardized Services	3	None	0
FY16NCOMPTROLLER13	Symantec Clearwell E-Discovery Tool & warranty	9/1/2015	8/31/2018	Intergovernmental	Standardized Services	1	None	0
FY16NCOMPTROLLER14	Microsoft Consulting for O365	10/1/2015	9/30/2016	Intergovernmental	Professional Services	2	None	0
FY16NCOMPTROLLER15	Network Backup	1/1/2016	12/31/2018	Intergovernmental	Standardized Services	3	None	0
FY16NCOMPTROLLER16	Evault Conversion	11/1/2015	10/31/2016	Required Source	Professional Services	2	None	0
FY16NCOMPTROLLER17	SharePoint	1/1/2016	12/31/2016	Intergovernmental	Professional Services	3	None	0
FY16NCOMPTROLLER18	Conference Rooms Technology Upgrade	9/1/2015	8/31/2016	Competitive Sealed Bid	Standardized Services	1	None	0
FY16NCOMPTROLLER19	Network Security	9/1/2015	8/31/2016	Intergovernmental	Professional Services	1	None	0
FY16NCOMPTROLLER20	EMC Equipment & Consulting for Data Mining	9/1/2015	8/31/2018	Intergovernmental	Professional Services	1	None	0
FY16NCOMPTROLLER21	E-Invoicing	4/1/2016	3/31/2019	Request for Proposal	Professional Services	4	None	0
FY16NCOMPTROLLER22	Managed Print Services	9/1/2015	9/30/2018	Intergovernmental	Professional Services	1	None	0

Comptroller's Office - Renewals/ Extensions (Local Law 63 ONLY)

Plan ID #	Expiring Contract Info						Renewal or Extension Info					Similar Agency Roles	
	Agency	Vendor	Description	Award Method	Contract Type	End Date	R/E Method	Modifications to Scope (if any)	New Start Date	New End Date	Reason	Job Titles	Headcount
FY16RCOMPTROLLER1295	COMPTRROLLER	QED INFORMATION SYSTEMS INC.	MAINTENANCE AND SUPPORT FOR PARIS	RENEWAL OF CONTRACT	CONSULTANT	7/31/2015		None	8/1/2015	7/31/2016		None	0
FY16RCOMPTROLLER1296	COMPTRROLLER	HEWLETT-PACKARD COMPANY	PAGE PLAN MANAGED SERVICES	INTERGOVERNMENTAL PROCUREMENT	OTHERS	6/30/2015	Amendment Extension	None	7/1/2015	10/31/2015		None	0
FY16RCOMPTROLLER1298	COMPTRROLLER	GLASS LEWIS & CO LLC	SHAREHOLDER RESEARCH SERVICES FOR NYCERS AND RELATED FUNDS	REQUEST FOR PROPOSAL (RFP)	CONSULTANT	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCOMPTROLLER1299	COMPTRROLLER	BLACKROCK FINANCIAL MGMT INC	CORPUS RCT1 20138200526-MGMT SERVICES FOR NYCERS & FUNDS MANAGEMENT SERVICES FOR NYCERS & RELATED FUNDS	RENEWAL OF CONTRACT	CONSULTANT	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCOMPTROLLER1300	COMPTRROLLER	T. ROWE PRICE ASSOCIATES, INC.	SHARED /RCT1 20138200661 MGT SVCS FOR NYCERS & FUNDS MANAGEMENT SERVICES FOR NYCERS & RELATED FUNDS	INNOVATIVE PROCUREMENT	CONSULTANT	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCOMPTROLLER1304	COMPTRROLLER	BAILLIE GIFFORD OVERSEAS LTD	INVESTMENT MANAGEMENT SERVICES FOR NYCERS & FUNDS SHARED W/RCT1 015 20138202416	INNOVATIVE PROCUREMENT	CONSULTANT	12/31/2015	Renewal	None	1/1/2016	12/31/2018		None	0
FY16RCOMPTROLLER1316	COMPTRROLLER	REI SYSTEMS, INC.	WEBHOSTING/ENHANCEMENT & MAINTENANCE MYMONEY/CHECKBOOK	NEGOTIATED ACQUISITION AND DOE NEGOTIATED SERVICES	CONSULTANT	6/30/2016	Amendment Extension	None	7/1/2016	6/30/2019		None	0
FY16RCompptroller2	Compptroller	Townsend Holdings, LLC	Real Estate Investment Consultant	Request for Proposal	Consultant	6/30/2015	Renewal	None	7/1/2015	12/31/2015		None	0
FY16RCompptroller3	Compptroller	Fort Washington Investment Advisors, Inc.	Enhanced Yield Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller4	Compptroller	Loomis, Sayles & Company, L.P.	Enhanced Yield Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller5	Compptroller	Neuberger Berman Fixed Income LLC	Enhanced Yield Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller6	Compptroller	Penn Capital Mgmt Company	Enhanced Yield Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller7	Compptroller	Shenkman Capital Management, Inc.	Enhanced Yield Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller8	Compptroller	Stone Harbor Investment Partners LP	Enhanced Yield Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller9	Compptroller	T.Rowe Price Associates	Enhanced Yield Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller10	Compptroller	Oaktree Capital Management, L.P.	Enhanced Yield Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller11	Compptroller	Babson Capital Management, LLC	Bank Loans Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller12	Compptroller	Credit Suisse Asset Management., LLC	Bank Loans Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller13	Compptroller	ING Investment Management Co. LLC	Bank Loans Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller14	Compptroller	Guggenheim Partners Investment Mgmt LLC	Bank Loans Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller15	Compptroller	Invesco Senior Secured Management, Inc.	Bank Loans Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller16	Compptroller	Wells Capital Mgmt Incorporated	Bank Loans Fixed Income Investment Management Agreement	Notice of Search	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller17	Compptroller	Barrow Hanley, Mewhinney & Strauss, Inc.	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller19	Compptroller	Fischer, Francis, Trees & Watts	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller20	Compptroller	Goldman Sachs Asset Management, LP	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller21	Compptroller	Nueberger Fixed Income LLC	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller22	Compptroller	Prudential Investment Corporation	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller23	Compptroller	Pyramis Global Investors Trust Company	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller24	Compptroller	State Street Global Advisors	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller25	Compptroller	Smith Breeden Associates Inc.	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller26	Compptroller	Taplin, Canida & Habacht	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller28	Compptroller	Wellington Mgmt Company, LLP	U.S. Fixed Income Core +5 Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller30	Compptroller	Governance Holdings Company	Shareholder Research Services	Request for Proposal	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller31	Compptroller	Institutional Shareholder Services	Shareholder Research Services	Request for Proposal	Investment Management	6/30/2015	Renewal	None	7/1/2015	6/30/2018		None	0
FY16RCompptroller32	Compptroller	Boston Trust & Investment Mgmt Company	U.S. Small Cap Investment Management Agreement	Notice of Search	Investment Management	8/31/2015	Renewal	None	9/1/2015	8/31/2018		None	0
FY16RCompptroller33	Compptroller	Brown Capital Mgmt	U.S. Small Cap Investment Management Agreement	Notice of Search	Investment Management	8/31/2015	Renewal	None	9/1/2015	8/31/2018		None	0
FY16RCompptroller34	Compptroller	Dalton, Griener, Hartman, Maher & Co, LLC.	U.S. Small Cap Investment Management Agreement	Notice of Search	Investment Management	8/31/2015	Renewal	None	9/1/2015	8/31/2018		None	0
FY16RCompptroller35	Compptroller	Dimensional Fund Advisors	U.S. Small Cap Investment Management Agreement	Notice of Search	Investment Management	8/31/2015	Renewal	None	9/1/2015	8/31/2018		None	0

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FY16RComptroller36	Comptroller	Ceredex Value Advisors LLC	U.S. Small Cap Investment Management Agreement	Notice of Search	Investment Management	8/31/2015	Renewal	None	9/1/2015	8/31/2018		None	0
FY16RComptroller37	Comptroller	Wellington Management Company, LLP	U.S. Small Cap Investment Management Agreement	Notice of Search	Investment Management	8/31/2015	Renewal	None	9/1/2015	8/31/2018		None	0
FY16RComptroller38	Comptroller	Daruma Asset Mgmt, Inc.	U.S. Small Cap Investment Management Agreement	Renewal of Contract (1st Renewal)	Investment Management	12/31/2015	Renewal	None	1/1/2016	12/31/2018		None	0
FY16RComptroller39	Comptroller	Hamilton Lane Advisors LLC	Private Equity Investment Consultant Agreement	Renewal of Contract (1st Renewal)	Consultant	9/30/2015	Renewal	None	10/1/2015	9/30/2018		None	0
FY16RComptroller40	Comptroller	Aksia LLC	Hedge Fund Investment Consultant Agreement	Renewal of Contract (1st Renewal)	Consultant	12/31/2015	Renewal	None	1/1/2016	12/31/2017		None	0
FY16RComptroller42	Comptroller	Acadian Asset Management LLC	Int'l EAFE Active Equity Investment Management Agreement	Notice of Search	Investment Management	12/31/2015	Renewal	None	1/1/2016	12/31/2018		None	0
FY16RComptroller44	Comptroller	Causeway Capital Mgmt LLC	Int'l EAFE Active Equity Investment Management Agreement	Notice of Search	Investment Management	12/31/2015	Renewal	None	1/1/2016	12/31/2018		None	0
FY16RComptroller45	Comptroller	Pyramis	Int'l EAFE Active Equity Investment Management Agreement	Notice of Search	Investment Management	12/31/2015	Renewal	None	1/1/2016	12/31/2018		None	0
FY16RComptroller46	Comptroller	Sprucegrove Investment Mgmt Lmt.	Int'l EAFE Active Equity Investment Management Agreement	Notice of Search	Investment Management	12/31/2015	Renewal	None	1/1/2016	12/31/2018		None	0
FY16RComptroller47	Comptroller	Walter Scott	Int'l EAFE Active Equity Investment Management Agreement	Notice of Search	Investment Management	12/31/2015	Renewal	None	1/1/2016	12/31/2018		None	0
FY16RComptroller48	Comptroller	JP Morgan Chase, N.A.	Demand Deposit Account	Request for Proposal	Investment Management	12/31/2015	Renewal	None	1/1/2016	12/31/2018		None	0

New Procurements Added During the FY

COMPTROLLER

Plan ID #	Description of Services to be Procured	Anticipated Contract Info			Similar Agency Roles	
		Contract Start	Contract End	Procurement Method	Job Titles	Headcount
Comptroller	Client Relationship Manager	7/1/2016	6/30/2019	Request for Proposal	None	0
Comptroller	Foreign Tax Services and Local Tax Advisor	10/1/2016	9/30/2019	Request for Proposal	None	0
Comptroller	Carbon Footprint Analysis Services and Climate Risk Investment Strategy Consultant	10/1/2016	9/30/2019	Request for Proposal	None	0
Comptroller	Risk Management Reporting Dashboard Software	1/1/2017	12/31/2019	Request for Proposal	None	0
Comptroller	Risk Management Factor Model Software	1/1/2017	12/31/2019	Request for Proposal	None	0
Comptroller	Risk Management Analytical Platform Software	1/1/2017	12/31/2019	Request for Proposal	None	0
Comptroller	Alternative Assets Administrator	1/1/2017	12/31/2019	Request for Proposal	None	0
Comptroller	Treasury Management System	7/1/2016	6/30/2019	Negotiated Acquisition	None	0
Comptroller	Proxy Voting Platform and Reporting Services	7/1/2016	9/30/2019	Request for Proposal	None	0

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Agency	Vendor	Description	Award Method	Contract Type	End Date	R/E Method	Modifications to Scope (if any)	New Start Date	New End Date	Reason	Job Titles	Headcount
Comptroller	Abel Noser Corporation, Inc.	Transition Management Independent Evaluation Services	Request for Proposal	Investment Management Services	12/31/2015	Extension	None	1/1/2016	12/31/2016	Continuity of Services	None	0
Comptroller	Zeno Consulting Group, LLC	Transition Management Independent Evaluation Services	Request for Proposal	Investment Management Services	12/31/2015	Extension	None	1/1/2016	12/31/2016	Continuity of Services	None	0
Comptroller	Townsend Holdings LLC	Real Estate Investment Consultant Agreement	Request for Proposal	Consultant	6/30/2016	Renewal	None	1/1/2016	6/30/2016	Continuity of Services	None	0
Comptroller	FIS Group, Inc.	Manager of Manager Investment Management Services	Request for Proposal	Investment Management Services	3/31/2016	Extension	None	4/1/2016	3/31/2017	Continuity of Services	None	0
Comptroller	Attucks Asset Management, LLC	Manager of Manager Investment Management Services	Request for Proposal	Investment Management Services	3/31/2016	Extension	None	4/1/2016	3/31/2017	Continuity of Services	None	0
Comptroller	Capital Prospects LLC	Manager of Manager Investment Management Services	Request for Proposal	Investment Management Services	3/31/2016	Extension	None	4/1/2016	3/31/2017	Continuity of Services	None	0
Comptroller	Progress Investment Management Company, LLC	Manager of Manager Investment Management Services	Request for Proposal	Investment Management Services	3/31/2016	Extension	None	4/1/2016	3/31/2017	Continuity of Services	None	0