

DEPARTMENT OF PROBATION

Ana Bermúdez, Commissioner



WHAT WE DO

The Department of Probation (DOP) helps build stronger and safer communities by working with and supervising people on probation, fostering positive change in their decision-making and behavior through research-based practices and expanding opportunities for them to move out of the criminal and juvenile justice systems through meaningful education, employment, health services, family engagement and civic participation. The Department supplies information and recommendations to the courts on both adult and juvenile cases to help inform sentencing and disposition decisions. In Family Court reports are also provided in family offense, custody, child support, visitation, adoption, and guardianship cases. In total, the Department of Probation provides intake services, investigations and supervision for more than 55,000 adult and juvenile cases per year, and supervises approximately 22,000 adults and 1,100 juveniles on any given day.

FOCUS ON EQUITY

The Department of Probation is committed to minimizing the adverse collateral consequences of being involved in the criminal and juvenile justice systems. These consequences, which include the inability to obtain meaningful employment, pursue education and access stable housing, impact not just those on probation but also their families and communities. Through service practices grounded in research and partnerships with community-based organizations, DOP fosters personal change, increases opportunities to thrive and strengthens communities, thereby building a more equal, and safer, City.

OUR SERVICES AND GOALS

SERVICE 1 Improve public safety by motivating clients using evidence-based principles and practice.

- Goal 1a Minimize clients' misconduct and non-compliance with the conditions of probation, based on risk level, to improve their chances of completing a probation supervision term successfully.
- Goal 1b Reduce the percentage of probationers who re-offend.

SERVICE 2 Assist all probationers in minimizing contact with the criminal and juvenile justice systems.

- Goal 2a Establish individual action plans and promote early discharge for successful completion of probation conditions and programmatic objectives.
- Goal 2b Maximize the use of diversion services at intake and alternatives-to-placement at disposition for juveniles.

HOW WE PERFORMED IN FISCAL 2015

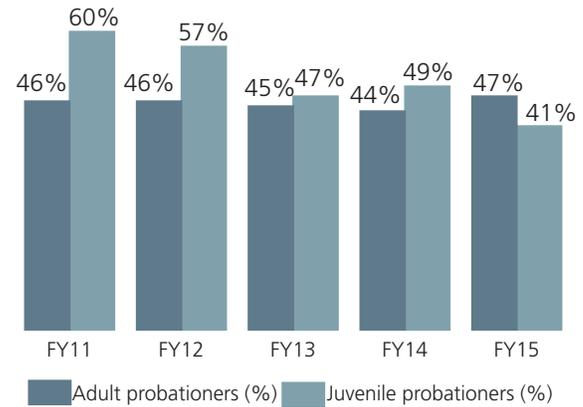
SERVICE 1 Improve public safety by motivating clients using evidence-based principles and practice.

Goal 1a Minimize clients' misconduct and non-compliance with the conditions of probation, based on risk level, to improve their chances of completing a probation supervision term successfully.

The rate of adult clients successfully completing their probation terms increased seven percentage points to 66 percent in Fiscal 2015. In addition to a higher percentage of clients completing maximum sentences, the improvement was aided by an increase in the rate of clients who were granted an early discharge. The successful completion rate for juvenile clients was 59 percent, representing no significant change from the rate in Fiscal 2014.

The average monthly violation rates for both adult and juvenile probationers were stable, at 0.8 percent and 3.0 percent, respectively. Probation violation proceedings ending in revocation for adult clients increased three percentage points to 47 percent. There were fewer technical violations filed for rules violations, which are less likely to result in a revocation at disposition. The violation revocation rate for juveniles decreased eight percentage points to 41 percent. Increased availability of alternative-to-placement programs, as well as an array of services available to probation officers, contributed to the improvement in the juvenile revocation rate.

Probation Violation Proceedings Ending in Revocation



Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY11	FY12	FY13	FY14	FY15	FY15	FY16		
Successful completion rate for adult probationers (%)	62%	64%	58%	59%	66%	*	*	Up	Neutral
Successful completion rate for juvenile probationers (%)	64%	62%	58%	60%	59%	*	*	Up	Neutral
★Average monthly violation rate for adult probationers (%)	1.0%	0.9%	0.9%	0.8%	0.8%	*	*	Neutral	Down
★Average monthly violation rate for juvenile probationers (%)	2.1%	2.8%	2.7%	3.0%	2.7%	3.0%	3.0%	Down	Up
Probation violation proceedings ending in revocation for adult probationers (%)	46%	46%	45%	44%	47%	*	*	Down	Neutral
Probation violation proceedings ending in revocation for juvenile probationers (%)	60%	57%	47%	49%	41%	*	*	Down	Down

★ Critical Indicator "NA" - means Not Available in this report ↕ shows desired direction

Goal 1b Reduce the percentage of probationers who re-offend.

The average monthly rearrest rate for adult probationers declined from 3.2 percent to 3.1 percent in Fiscal 2015. The juvenile rate increased from 3.5 percent to 3.9 percent. When viewed as a percentage of all NYPD arrests, the rates of adult probationer re-arrests and juvenile rearrests remained stable.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY11	FY12	FY13	FY14	FY15	FY15	FY16		
★Adult probationer rearrest rate (monthly average) (%)	3.1%	3.0%	3.1%	3.2%	3.1%	3.0%	3.0%	Down	Neutral
★Adult probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	2.6%	2.6%	2.8%	2.9%	3.1%	2.6%	2.6%	Down	Up
★Juvenile probationer rearrest rate (monthly average) (%)	3.0%	2.9%	2.7%	3.5%	3.9%	2.6%	3.0%	Down	Up
★Juvenile probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	0.3%	0.3%	0.2%	0.3%	0.3%	*	*	Neutral	Neutral

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SERVICE 2 Assist all probationers in minimizing contact with the criminal and juvenile justice systems.

Goal 2a

Establish individual action plans and promote early discharge for successful completion of probation conditions and programmatic objectives.

Early discharges represented 12 percent of all adult probation supervision case closings, compared to 11 percent during Fiscal 2014. The Citywide approval rate from local courts for early discharge applications was 81 percent, an increase of five percentage points. The Department continues to prioritize identifying qualified candidates for early discharge based on compliance with the terms of probation and meeting the requirements of individual action plans.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY11	FY12	FY13	FY14	FY15	FY15	FY16		
Adult probationer early discharge rate (%)	19%	17%	12%	11%	12%	*	*	Neutral	Down
Adult probationer early discharge approval rate (%)	80.0%	82.0%	81.0%	76.0%	81.0%	*	*	Up	Neutral

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Goal 2b

Maximize the use of diversion services at intake and alternatives-to-placement at disposition for juveniles.

The juvenile intake adjustment rate increased three percentage points to 31 percent during the reporting period. Probation officers utilized individualized service plans for youths, combined with outreach and communication with complainants, NYPD, and youths' families, to seek adjustment for appropriate candidates.

New enrollments of juveniles in alternative-to-placement (ATP) programs decreased four percent to 160 in Fiscal 2015. ATP enrollments were influenced by an 11 percent decrease in overall juvenile intakes to probation compared to Fiscal 2014. The Department utilizes a risk and needs assessment as well as a structured decision-making grid to identify youth appropriate for community supervision, rather than placement, at disposition.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY11	FY12	FY13	FY14	FY15	FY15	FY16		
New enrollments in alternative-to-placement (ATP) programs	NA	NA	194	167	160	*	*	Neutral	NA
Total probationers supervised in Enhanced Supervision Program (ESP)	1,287	1,158	977	738	527	*	*	Down	Down
★ Juvenile delinquency cases eligible for adjustment (%)	40.0%	37.0%	34.0%	28.0%	31.0%	32.0%	32.0%	Up	Down
- low-risk (%)	NA	NA	47.0%	37.0%	38.0%	*	*	Up	NA
- medium-risk (%)	NA	NA	16.0%	12.0%	13.0%	*	*	Neutral	NA
- high-risk (%)	NA	NA	8.0%	7.0%	6.0%	*	*	Neutral	NA

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AGENCY CUSTOMER SERVICE

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY11	FY12	FY13	FY14	FY15	FY15	FY16		
Customer Experience									
Completed requests for interpretation	19,393	18,764	16,505	16,520	15,859	*	*	Neutral	Down
Letters responded to in 14 days (%)	100%	100%	97%	77%	100%	*	*	Up	Neutral
E-mails responded to in 14 days (%)	100%	100%	100%	100%	100%	*	*	Up	Neutral

AGENCY RESOURCES

Resource Indicators	Actual					Plan ¹		5yr Trend
	FY11	FY12	FY13	FY14	FY15	FY15	FY16	
Expenditures (\$000,000) ²	\$81.9	\$79.7	\$81.7	\$83.2	\$99.0	\$99.6	\$95.5	Up
Revenues (\$000)	\$198	\$266	\$268	\$282	\$339	\$302	\$302	Up
Personnel	1,024	976	952	960	945	1,073	1,030	Neutral
Overtime paid (\$000)	\$156	\$184	\$404	\$445	\$534	\$534	\$534	Up

¹Authorized Budget Level "NA" - Not Available in this report ²Expenditures include all funds.

NOTEWORTHY CHANGES, ADDITIONS OR DELETIONS

- The Department revised the Fiscal 2016 target for the indicator 'Juvenile probationer rearrest rate (monthly average) (%)'.
- The Department introduced a new performance indicator related to adult probationer early discharge applications: 'Early Discharge Approval Rate.' This measure reports the percent of approvals of all decisions issued by local courts Citywide for early discharge requests filed by DOP on behalf of adult probationers in good standing.

ADDITIONAL RESOURCES

For more information on the agency, please visit: www.nyc.gov/probation.