

## 2011 P1 Client Periodic Report

Lobbyist Name: Rockefeller Group Investment Management Corp.

Client Name: Rockefeller Group Investment Management Corp.

### Lobbyist Information

Principal Officer Name Irvin, Dennis

Principal Officer Title President and CEO

Principal Officer Email BLOCKED\_EMAIL

Principal Officer Phone (212) 282-2578

Lobbyist Business Name Rockefeller Group Investment Management Corp.

Lobbyist Business Address 1221 Avenue of the Americas, New York, NY 10020

Lobbyist Business Phone (212) 282-2000

### Client Information

Client Name Rockefeller Group Investment Management Corp.

Business Address 1221 Avenue of the Americas, New York, NY 10020

Client P.O. Name Irvin, Dennis

Business Phone (212) 282-2000

Business Email BLOCKED\_EMAIL

### Employee(s) Lobbying for Client

#### Employee # 1

Employee's Name Irvin, Dennis

Employee's Work Address 1221 Avenue of the Americas, New York, NY 10020

Employee's Work Phone (212) 282-2578

Employee's Salary \$251.32

#### Employee # 2

Employee's Name Bottomley, John

## 2011 P1 Client Periodic Report

Lobbyist Name: Rockefeller Group Investment Management Corp.

Client Name: Rockefeller Group Investment Management Corp.

Employee's Work Address 1221 Avenue of the Americas, New York, NY 10020

Employee's Work Phone (212) 282-2498

Employee's Salary \$147.12

## Lobbying Activities

### Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details Seek investments

Target	Office/Department	Contact Name
Comptroller's Office		Nelson, Yvonne

## Summary of Compensation

Total Compensation Paid or Owed for Current Period \$398.44

## Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$39.23

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

Itemized Expense Total \$0.00

Total Expenses for Current Period \$39.23

## 2011 P1 Client Periodic Report

Lobbyist Name: Rockefeller Group Investment Management Corp.

Client Name: Rockefeller Group Investment Management Corp.

### CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Irvin, Dennis
------------------------	---------------

Principal Officer Email	BLOCKED_EMAIL
-------------------------	---------------

Certification Date	03/08/2011 17:14
--------------------	------------------

### ELECTRONIC SIGNATURE

Dennis Irvin was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."