

2011 P1 Client Periodic Report

Lobbyist Name: Smith Breeden Associates, Inc.

Client Name: Smith Breeden Associates, Inc.

Lobbyist Information

Principal Officer Name Aimee, Harmelink

Principal Officer Title Senior Compliance Officer

Principal Officer Email BLOCKED_EMAIL

Principal Officer Phone (919) 328-4762

Lobbyist Business Name Smith Breeden Associates, Inc.

Lobbyist Business Address 280 South Mangum St., Suite 301, Durham, NC 27701

Lobbyist Business Phone (919) 967-7221

Client Information

Client Name Smith Breeden Associates, Inc.

Business Address 280 South Mangum St., Suite 301, Durham, NC 27701

Client P.O. Name Aimee, Harmelink

Business Phone (919) 967-7221

Business Email BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name Adler, Daniel

Employee's Work Address 280 South Mangum Street, Suite 301, Durham, NC 27701

Employee's Work Phone (919) 967-7221

Employee's Salary \$1,710.95

Employee # 2

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Lobbyist Name: Smith Breeden Associates, Inc.

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Employee's Name	Cunneen, Timothy
Employee's Work Address	280 South Mangum St., Suite 301, Durham, NC 27701
Employee's Work Phone	(919) 967-7221
Employee's Salary	\$1,710.95

Employee # 3

Employee's Name	Curd, Julie
Employee's Work Address	280 South Mangum St., Suite 301, Durham, NC 27701
Employee's Work Phone	(919) 967-7221
Employee's Salary	\$1,647.10

Employee # 4

Employee's Name	Eason, Stephen
Employee's Work Address	280 South Mangum St., Suite 301, Durham, NC 27701
Employee's Work Phone	(919) 967-7221
Employee's Salary	\$1,710.95

Employee # 5

Employee's Name	Flood, Jr., Eugene
Employee's Work Address	280 South Mangum St., Suite 301, Durham, NC 27701
Employee's Work Phone	(919) 967-7221
Employee's Salary	\$1,710.95

Employee # 6

Employee's Name	Walker, Brenda
Employee's Work Address	280 South Mangum St., Suite 301, Durham, NC 27701

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Lobbyist Name: Smith Breeden Associates, Inc.

Client Name: Smith Breeden Associates, Inc.

Employee's Work Phone (919) 967-7221

Employee's Salary \$1,500.87

Lobbying Activities

Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details Provide investment advisory services

Target	Office/Department	Contact Name
New York City Employees' Retirement System		Gantz, Martin Barclay, Karen
New York City Police Pension Fund		Barclay, Karen Gantz, Martin
New York Fire Department Pension Fund		Gantz, Martin Barclay, Karen
New York City Teachers' Retirement System		Barclay, Karen Gantz, Martin
New York City Board of Education Retirement System		Gantz, Martin Barclay, Karen
Comptroller's Office		Barclay, Karen Gantz, Martin

Summary of Compensation

Total Compensation Paid or Owed for Current Period \$9,991.77

Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$7,298.50

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

Itemized Expense Total \$0.00

Total Expenses for Current Period \$7,298.50

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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name Aimee, Harmelink

Principal Officer Email BLOCKED_EMAIL

Certification Date 03/11/2011 14:29

ELECTRONIC SIGNATURE

Harmelink Aimee was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."