

2011 P1 Client Periodic Report

Lobbyist Name: Research Affiliates LLC

Client Name: Research Affiliates LLC

Lobbyist Information

Principal Officer Name Harkins, Daniel

Principal Officer Title Chief Legal & Compliance Officer

Principal Officer Email BLOCKED_EMAIL

Principal Officer Phone (949) 325-8731

Lobbyist Business Name Research Affiliates LLC

Lobbyist Business Address 620 Newport Center Drive, Suite 900, Newport Beach, CA 92660

Lobbyist Business Phone (949) 325-8700

Client Information

Client Name Research Affiliates LLC

Business Address 620 Newport Center Drive, Suite 900, Newport Beach, CA 92660

Client P.O. Name Harkins, Daniel

Business Phone (949) 325-8700

Business Email BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name Harkins, Daniel

Employee's Work Address 600 Newport Center DR, Ste 900, Newport Beach, CA 92660

Employee's Work Phone (949) 325-8700

Employee's Salary \$3,100.00

Employee # 2

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Employee's Name	West, John
Employee's Work Address	620 Newport Center Dr., Ste 900, Newport Beach, CA 92660
Employee's Work Phone	(949) 325-8700
Employee's Salary	\$3,300.00

Employee # 3

Employee's Name	Wilson, John
Employee's Work Address	620 Newport Center Dr, Ste 900, Newport Beach, CA 92660
Employee's Work Phone	(949) 325-8700
Employee's Salary	\$2,900.00

Lobbying Activities

Activity # 1

Subject Category	Pension Funds and Retirement Systems
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Subject Details	Discussions with officials regarding renewal of Investment Management Contract.
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Target	Office/Department	Contact Name
New York City Employees' Retirement System		Schloss, Lawrence Hingorani, Seema Merseburg, John

Summary of Compensation

Total Compensation Paid or Owed for Current Period	\$9,300.00
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Lobbying Expenses

Aggregate of all expenses for salaries of support staff	\$0.00
Total of Aggregate Expenses \$75.00 or less	\$0.00

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Are there expenses greater than \$75.00?	No
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Itemized Expense Total	\$0.00
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Total Expenses for Current Period	\$0.00
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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Harkins, Daniel
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	03/17/2011 11:55
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ELECTRONIC SIGNATURE

Daniel Harkins was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."