

Client Information

Client Name	Smith Breeden Associates, Inc.
Business Address	280 South Mangum St., Suite 301, Durham, NC 27701 United States of America
Client P.O. Name	Aimee, Harmelink
Business Phone	(919) 967-7221
Business Email	BLOCKED_EMAIL

Lobbyist Entities

Lobbyist Entity # 1

Lobbyist Entity's Name	Smith Breeden Associates, Inc.
Lobbyist Entity's Business Phone	(919) 967-7221
Lobbyist Entity's Business Address	280 South Mangum St., Suite 301, Durham, NC 27701 United States of America

Compensation Paid or Owed to Smith Breeden Associates, Inc.

Compensation Paid or Owed During the Calendar Year	\$0
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Client Expenses Reimbursed to Smith Breeden Associates, Inc.

Total of Aggregate Expenses \$75.00 or less	\$0.00
Are there expenses greater than \$75.00?	YES

Itemized Expenses

Itemized Expense # 1

Paid to	Smith Breeden Associates, Inc.
Purpose	Overhead
Amount	\$29,942.25

2010 Amended Client Annual Report for Smith Breeden Associates, Inc.

Itemized Expense Total

\$29,942.25

Lobbying Activities

Activity # 1

Subject Category

Pension Funds and Retirement Systems

Subject Details

Provide investment advisory services.

Target	Office/Department	Contact Name
New York City Employees' Retirement System		Gantz, Martin Barclay, Karen
New York City Police Pension Fund		Barclay, Karen Gantz, Martin
New York Fire Department Pension Fund		Gantz, Martin Barclay, Karen
New York City Teachers' Retirement System		Barclay, Karen Gantz, Martin
New York City Board of Education Retirement System		Barclay, Karen Gantz, Martin
Comptroller's Office		Gantz, Martin Barclay, Karen

CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Aimee, Harmelink
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	04/07/2011 13:40
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ELECTRONIC SIGNATURE

Harmelink Aimee was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."