

2011 P3 Client Periodic Report

Lobbyist Name: Schroder Investment Management North America Inc

Client Name: Schroder Investment Management North America Inc

Lobbyist Information

Principal Officer Name DeTore, Stephen

Principal Officer Title Chief Compliance Officer

Principal Officer Email BLOCKED_EMAIL

Principal Officer Phone (212) 641-3888

Lobbyist Business Name Schroder Investment Management North America Inc

Lobbyist Business Address 875 Third Avenue, New York, NY 10022 United States of America

Lobbyist Business Phone (212) 641-3800

Client Information

Client Name Schroder Investment Management North America Inc

Business Address 875 Third Avenue, New York, NY 10022 United States of America

Client P.O. Name DeTore, Stephen

Business Phone (212) 641-3800

Business Email BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name Levesque, Shaun

Employee's Work Address 875 Third Avenue, New York, NY 10022 United States of America

Employee's Work Phone (212) 632-2900

Employee's Salary \$275.00

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Lobbying Activities

Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details The solicitation and marketing of asset management services to Pension Funds and Retirement Systems of the City of New York.

| Target | Office/Department | Contact Name |
|---------------------------------------|-------------------|--------------|
| Employees' Retirement System (NYCERS) | | Divgi, Adi |

Summary of Compensation

Total Compensation Paid or Owed for Current Period \$275.00

Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$400.00

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

Itemized Expense Total \$0.00

Total Expenses for Current Period \$400.00

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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

| | |
|------------------------|-----------------|
| Principal Officer Name | DeTore, Stephen |
|------------------------|-----------------|

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|-------------------------|---------------|
| Principal Officer Email | BLOCKED_EMAIL |
|-------------------------|---------------|

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|--------------------|------------------|
| Certification Date | 07/15/2011 09:59 |
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ELECTRONIC SIGNATURE

Stephen DeTore was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."