

2011 P4 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

Client Name: TCG Securities, L.L.C.

Lobbyist Information

Principal Officer Name	Harris, Monica
Principal Officer Title	Chief Compliance Officer
Principal Officer Email	BLOCKED_EMAIL
Principal Officer Phone	(202) 729-5579
Lobbyist Business Name	TCG Securities, L.L.C.
Lobbyist Business Address	1001 Pennsylvania Avenue, NW, Suite 220 South, Washington, DC 20004 United States of America
Lobbyist Business Phone	(202) 729-5626

Client Information

Client Name	TCG Securities, L.L.C.
Business Address	1001 Pennsylvania Avenue, NW, Suite 220 South, Washington, DC 20004 United States of America
Client P.O. Name	Harris, Monica
Business Phone	(202) 729-5626
Business Email	BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name	Arpey, Michael
Employee's Work Address	1001 Pennsylvania Avenue, NW, Suite 220 South, Washington, DC 20004 United States of America
Employee's Work Phone	(202) 729-5037
Employee's Salary	\$240.38

Employee # 2

2011 P4 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

Client Name: TCG Securities, L.L.C.

Employee's Name Lippman, Christopher

Employee's Work Address 1001 Pennsylvania Avenue, NW, Suite 220 South,
Washington, DC 20004 United States of America

Employee's Work Phone (202) 729-5329

Employee's Salary \$751.19

Lobbying Activities

Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details "Touching base" with NYC Pension.

Target	Office/Department	Contact Name
Comptroller's Office		Schloss, Lawrence

Activity # 2

Subject Category Pension Funds and Retirement Systems

Subject Details Due diligence meetings on July 7, July 12, and July 20.

Target	Office/Department	Contact Name
Comptroller's Office		Nelson, Yvonne Schloss, Lawrence

Summary of Compensation for Client

Total Compensation Paid or Owed for Current Period \$991.57

Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$495.79

Total of Aggregate Expenses \$75.00 or less \$277.02

Are there expenses greater than \$75.00? YES

Itemized Expenses

2011 P4 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

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Itemized Expense # 1

Paid to Delta Airlines

Amount \$146.98

Purpose Flight from DCA to LGA.

Itemized Expense # 2

Paid to Blackbird Limo

Amount \$79.06

Purpose Sedan from LGA to restaurant.

Itemized Expense # 3

Paid to Delta Airlines

Amount \$293.00

Purpose Travel

Itemized Expense # 4

Paid to US Air

Amount \$382.00

Purpose Travel

Itemized Expense # 5

Paid to Delta Airlines

Amount \$146.50

Purpose Travel

Itemized Expense # 6

Paid to Blackbird Sedan

2011 P4 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

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Amount	\$213.00
Purpose	Car service

Itemized Expense # 7

Paid to	Delta Airlines
Amount	\$382.00
Purpose	Travel

Itemized Expense # 8

Paid to	NYC Taxis
Amount	\$86.00
Purpose	Transportation

Itemized Expense Total	\$1,728.54
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Total Expenses for Current Period	\$2,501.35
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Reimbursed Expenses

Total Reimbursed Expenses for Current Period	\$0.00
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Lobbyist Name: TCG Securities, L.L.C.

Client Name: TCG Securities, L.L.C.

CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Harris, Monica
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	09/12/2011 17:44
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ELECTRONIC SIGNATURE

Monica Harris was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."