

2011 P6 Client Periodic Report/Lobbyist Annual Report

Lobbyist Name: Research Affiliates LLC

Client Name: Research Affiliates LLC

Lobbyist Information

Principal Officer Name	Harkins, Daniel
Principal Officer Title	Chief Legal & Compliance Officer
Principal Officer Email	BLOCKED_EMAIL
Principal Officer Phone	(949) 325-8731
Lobbyist Business Name	Research Affiliates LLC
Lobbyist Business Address	620 Newport Center Drive, Suite 900, Newport Beach, CA 92660 United States of America
Lobbyist Business Phone	(949) 325-8700

Client Information

Client Name	Research Affiliates LLC
Business Address	620 Newport Center Drive, Suite 900, Newport Beach, CA 92660 United States of America
Client P.O. Name	Harkins, Daniel
Business Phone	(949) 325-8700
Business Email	BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name	Harkins, Daniel
Employee's Work Address	620 Newport Center DR, Ste 900, Newport Beach, CA 92660 United States of America
Employee's Work Phone	(949) 325-8700
Employee's Salary	\$3,100.00

Employee # 2

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Lobbyist Name: Research Affiliates LLC

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Employee's Name	West, John
Employee's Work Address	620 Newport Center Dr., Ste 900, Newport Beach, CA 92660 United States of America
Employee's Work Phone	(949) 325-8700
Employee's Salary	\$3,300.00

Employee # 3

Employee's Name	Wilson, John
Employee's Work Address	620 Newport Center Dr, Ste 900, Newport Beach, CA 92660 United States of America
Employee's Work Phone	(949) 325-8700
Employee's Salary	\$2,900.00

Lobbying Activities

Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details Tel. Conference with Eric Wollman, NYC Office of
Comptroller

Target	Office/Department	Contact Name
Comptroller's Office		Wollman, Eric

Summary of Compensation from Client

Total Compensation Paid or Owed for Current Period \$9,300.00

Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$0.00

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

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Itemized Expense Total	\$0.00
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Total Expenses for Current Period	\$0.00
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Reimbursed Expenses

Total Reimbursed Expenses for Current Period	\$0.00
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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Harkins, Daniel
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	01/12/2012 12:15
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ELECTRONIC SIGNATURE

Daniel Harkins was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."