

## 2012 P2 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

Client Name: TCG Securities, L.L.C.

### Lobbyist Information

Principal Officer Name Harris, Monica

Principal Officer Title Chief Compliance Officer

Principal Officer Email BLOCKED\_EMAIL

Principal Officer Phone (202) 729-5579

Lobbyist Business Name TCG Securities, L.L.C.

Lobbyist Business Address 1001 Pennsylvania Avenue, NW, Suite 220 South,  
Washington, DC 20004 United States of America

Lobbyist Business Phone (202) 729-5626

### Client Information

Client Name TCG Securities, L.L.C.

Business Address 1001 Pennsylvania Avenue, NW, Suite 220 South,  
Washington, DC 20004 United States of America

Client P.O. Name Harris, Monica

Business Phone (202) 729-5626

Business Email BLOCKED\_EMAIL

### Employee(s) Lobbying for Client

#### Employee # 1

Employee's Name Brennan, Jessica Hoffman

Employee's Work Address 520 Madison Avenue, 41st Floor, New York, NY 10017  
United States of America

Employee's Work Phone (212) 813-4504

Employee's Salary \$90.14

#### Employee # 2

## 2012 P2 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

Client Name: TCG Securities, L.L.C.

Employee's Name Lippman, Christopher

Employee's Work Address 1001 Pennsylvania Avenue, NW, Suite 220 South,  
Washington, DC 20004 United States of America

Employee's Work Phone (202) 729-5329

Employee's Salary \$240.38

## Lobbying Activities

### Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details Meeting regarding Carlyle Realty Credit Partners, L.P.

Target	Office/Department	Contact Name
Comptroller's Office		Nelson, Yvonne Sussman, Carol Eglow Londono-Valle, Janet

### Activity # 2

Subject Category Pension Funds and Retirement Systems

Subject Details Call to discuss status of diligence process

Target	Office/Department	Contact Name
Employees' Retirement System (NYCERS)		Miller, Barry

### Activity # 3

Subject Category Pension Funds and Retirement Systems

Subject Details Call to discuss Hamilton Lane (P/E Management)

Target	Office/Department	Contact Name
Comptroller's Office		Caldas, Elizabeth

## Summary of Compensation from Client

Total Compensation Paid or Owed for Current Period \$330.52

## Lobbying Expenses

## 2012 P2 Client Periodic Report

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Aggregate of all expenses for salaries of support staff	\$165.26
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Total of Aggregate Expenses \$75.00 or less	\$20.00
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Are there expenses greater than \$75.00?	YES
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### Itemized Expenses

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#### Itemized Expense # 1

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Paid to	Delta Airlines
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Amount	\$647.00
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Purpose	Round Trip Travel _ Washington, DC to NYC
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#### Itemized Expense # 2

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Paid to	NYC Taxi Commission
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Amount	\$78.00
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Purpose	Round Trip transportation from LGA to NYC
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Itemized Expense Total	\$725.00
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Total Expenses for Current Period	\$910.26
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### Reimbursed Expenses

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Total Reimbursed Expenses for Current Period	\$0.00
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## 2012 P2 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

Client Name: TCG Securities, L.L.C.

### CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Harris, Monica
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	05/11/2012 09:11
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### ELECTRONIC SIGNATURE

Monica Harris was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."