

2012 P4 Client Periodic Report

Lobbyist Name: Emmes Asset Management Company LLC

Client Name: Emmes Asset Management Company LLC

Lobbyist Information

Principal Officer Name Rigby, Ellen November

Principal Officer Title Authorized Signatory

Principal Officer Email BLOCKED_EMAIL

Principal Officer Phone (212) 293-8910

Lobbyist Business Name Emmes Asset Management Company LLC

Lobbyist Business Address 420 Lexington Avenue, Suite 900, New York, NY 10170
United States of America

Lobbyist Business Phone (212) 293-8900

Client Information

Client Name Emmes Asset Management Company LLC

Business Address 420 Lexington Avenue, Suite 900, New York, NY 10170
United States of America

Client P.O. Name Rigby, Ellen November

Business Phone (212) 293-8900

Business Email BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name Coles, Richard A.C.

Employee's Work Address 420 Lexington Avenue, Suite 900, New York, NY 10170
United States of America

Employee's Work Phone (212) 293-8820

Employee's Salary \$400.00

Employee # 2

2012 P4 Client Periodic Report

Lobbyist Name: Emmes Asset Management Company LLC

Client Name: Emmes Asset Management Company LLC

Employee's Name Ebert, Cathy

Employee's Work Address 3161 Michelson Drive, Suite 900, Irvine, CA 92612
United States of America

Employee's Work Phone (650) 862-1200

Employee's Salary \$400.00

Employee # 3

Employee's Name Tareke-Williams, Seble

Employee's Work Address 420 Lexington Avenue, Suite 900, New York, NY 10170
United States of America

Employee's Work Phone (212) 293-8896

Employee's Salary \$400.00

Employee # 4

Employee's Name Tischler, Gary M.

Employee's Work Address 420 Lexington Avenue, Suite 900, New York, NY 10170
United States of America

Employee's Work Phone (212) 293-8907

Employee's Salary \$400.00

Lobbying Activities

Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details Investment Management Services

Target	Office/Department	Contact Name
Comptroller's Office		Martino, Kathy Schloss, Larry Ndoye, Kali Kim, Mark

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Summary of Compensation from Client

Total Compensation Paid or Owed for Current Period	\$1,600.00
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Lobbying Expenses

Aggregate of all expenses for salaries of support staff	\$0.00
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Total of Aggregate Expenses \$75.00 or less	\$0.00
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Are there expenses greater than \$75.00?	No
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Itemized Expense Total	\$0.00
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Total Expenses for Current Period	\$0.00
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Reimbursed Expenses

Total Reimbursed Expenses for Current Period	\$0.00
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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Rigby, Ellen November
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	09/21/2012 15:40
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ELECTRONIC SIGNATURE

Ellen November Rigby was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."