

## 2012 P5 Client Periodic Report

Lobbyist Name: Emmes Asset Management Company LLC

Client Name: Emmes Asset Management Company LLC

### Lobbyist Information

Principal Officer Name Rigby, Ellen November

Principal Officer Title Authorized Signatory

Principal Officer Email BLOCKED\_EMAIL

Principal Officer Phone (212) 293-8910

Lobbyist Business Name Emmes Asset Management Company LLC

Lobbyist Business Address 420 Lexington Avenue, Suite 900, New York, NY 10170  
United States of America

Lobbyist Business Phone (212) 293-8900

### Client Information

Client Name Emmes Asset Management Company LLC

Business Address 420 Lexington Avenue, Suite 900, New York, NY 10170  
United States of America

Client P.O. Name Rigby, Ellen November

Business Phone (212) 293-8900

Business Email BLOCKED\_EMAIL

### Employee(s) Lobbying for Client

#### Employee # 1

Employee's Name Coles, Richard A.C.

Employee's Work Address 420 Lexington Avenue, Suite 900, New York, NY 10170  
United States of America

Employee's Work Phone (212) 293-8820

Employee's Salary \$400.00

#### Employee # 2

## 2012 P5 Client Periodic Report

Lobbyist Name: Emmes Asset Management Company LLC

Client Name: Emmes Asset Management Company LLC

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Employee's Name	Ebert, Cathy
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Employee's Work Address	3161 Michelson Drive, Suite 900, Irvine, CA 92612 United States of America
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Employee's Work Phone	(650) 862-1200
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Employee's Salary	\$400.00
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### Employee # 3

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Employee's Name	Oschner, Melnaie
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Employee's Work Address	420 Lexington Avenue, New York, NY 10170 United States of America
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Employee's Work Phone	(212) 293-8871
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Employee's Salary	\$400.00
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### Employee # 4

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Employee's Name	Tareke-Williams, Seble
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Employee's Work Address	420 Lexington Avenue, Suite 900, New York, NY 10170 United States of America
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Employee's Work Phone	(212) 293-8896
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Employee's Salary	\$400.00
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### Employee # 5

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Employee's Name	Tischler, Gary M.
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Employee's Work Address	420 Lexington Avenue, Suite 900, New York, NY 10170 United States of America
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Employee's Work Phone	(212) 293-8907
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Employee's Salary	\$400.00
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## Lobbying Activities

# 2012 P5 Client Periodic Report

Lobbyist Name: Emmes Asset Management Company LLC

Client Name: Emmes Asset Management Company LLC

## Activity # 1

**Subject Category** Pension Funds and Retirement Systems

**Subject Details** Investment Management Services

Target	Office/Department	Contact Name
Education Retirement System, Board of (BERS)		Londono-Valle, Janet Martino, Kathy

## Activity # 2

**Subject Category** Pension Funds and Retirement Systems

**Subject Details** Investment Management Services

Target	Office/Department	Contact Name
Comptroller's Office		Martino, Kathy

## Activity # 3

**Subject Category** Pension Funds and Retirement Systems

**Subject Details** Investment Management Services

Target	Office/Department	Contact Name
Employees' Retirement System (NYCERS)		Banat, Catherine Martino, Kathy Londono-Valle, Janet Schloss, Larry Martinez, Yvonne

## Activity # 4

**Subject Category** Pension Funds and Retirement Systems

**Subject Details** Investment Management Services

Target	Office/Department	Contact Name
Fire Department Pension Fund		Banat, Catherine Martino, Kathy Londono-Valle, Janet Schloss, Larry Martinez, Yvonne

## Activity # 5

## 2012 P5 Client Periodic Report

Lobbyist Name: Emmes Asset Management Company LLC

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**Subject Category** Pension Funds and Retirement Systems

**Subject Details** Investment Management Services

Target	Office/Department	Contact Name
Comptroller's Office		Eglow, Carol

### Summary of Compensation from Client

**Total Compensation Paid or Owed for Current Period** \$2,000.00

### Lobbying Expenses

**Aggregate of all expenses for salaries of support staff** \$0.00

**Total of Aggregate Expenses \$75.00 or less** \$0.00

**Are there expenses greater than \$75.00?** No

**Itemized Expense Total** \$0.00

**Total Expenses for Current Period** \$0.00

### Reimbursed Expenses

**Total Reimbursed Expenses for Current Period** \$0.00

## 2012 P5 Client Periodic Report

Lobbyist Name: Emmes Asset Management Company LLC

Client Name: Emmes Asset Management Company LLC

### CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Rigby, Ellen November
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	11/13/2012 16:35
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### ELECTRONIC SIGNATURE

Ellen November Rigby was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."