

## Client Information

Client Name	New York Bankers Association, Inc.
Business Address	99 Park Avenue, 4th Floor, New York, NY 10016 United States of America
Client P.O. Name	KOTKIN, ROBERTA
Business Phone	(212) 297-1600
Business Email	BLOCKED_EMAIL

## Lobbyist Entities

### Lobbyist Entity # 1

Lobbyist Entity's Name	Wilson, Elser Moskowitz Edelman & Dicker LLP
Lobbyist Entity's Business Phone	(518) 449-8893
Lobbyist Entity's Business Address	677 Broadway, Albany, NY 12207 United States of America

### Compensation Paid or Owed to Wilson, Elser Moskowitz Edelman & Dicker LLP

Compensation Paid or Owed During the Calendar Year	\$75,000
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### Client Expenses Reimbursed to Wilson, Elser Moskowitz Edelman & Dicker LLP

Total of Aggregate Expenses \$75.00 or less	\$0.00
Are there expenses greater than \$75.00?	YES

### Itemized Expenses

#### Itemized Expense # 1

Paid to	Wilson, Elser Moskowitz Edelman & Dicker LLP
Purpose	Reimbursed Expenses
Amount	\$246.00

# 2012 Client Annual Report for New York Bankers Association, Inc.

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Itemized Expense Total \$246.00

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## Lobbying Activities

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### Activity # 1

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Subject Category Introduction

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Subject Details Bandit Barriers (Int. 355)

Target	Office/Department	Contact Name
NYC Council Members	Vallone, Jr., Peter - District No. 22	
Attaches of the Council		Newman, Rob

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### Activity # 2

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Subject Category Introduction

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Subject Details Banking Commission Legislation (Int. 485, Local Law 38)

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## 2012 Client Annual Report for New York Bankers Association, Inc.

Target	Office/Department	Contact Name
Attaches of the Council		Niblack, Preston Newman, Rob Caras, Jim Axelrod, Lauren Majewski, Ray Edwards, Tanisha Martinez, Ramon Pristin, Dave Meara, Chuck Quinn, Christine Fine, Elizabeth Lebron, Dottie Schmookler, Elizabeth
NYC Council Members	Recchia, Jr., Domenic M. - District No. 47 Vann, Albert - District No. 36 Brewer, Gale - District No. 6 Cabrera, Fernando - District No. 14 Ferraras, Julissa - District No. 21 Comrie, Leroy - District No. 27 Foster, Helen - District No. 16 Ignizio, Vincent - District No. 51 Jackson, Robert - District No. 7 Koppell, G. Oliver - District No. 11 Koslowitz, Karen - District No. 29 Mealy, Darlene - District No. 41 Oddo, James - District No. 50 Reyna, Diana - District No. 34 Rivera, Joel - District No. 15 Bramer, James Van - District No. 26	
Comptroller's Office		Hofnung, Ari
Finance, Department of (DOF)		Salkin, Andrew Kloss, Elaine Feinberg, Debra
City Legislative Affairs, Office of		Wehle, Patrick Keberle, Erica
Mayor, Office of the (OTM)	Counselor to the Mayor	Sullivan, Tim
Law Department, Office of the Corporation Counsel		Hochberg, Evan Gantz, Toni

### Activity # 3

**Subject Category**

Introduction

**Subject Details**

Foreclosure Reporting Bill (Int. 501, Local Law 4)

## 2012 Client Annual Report for New York Bankers Association, Inc.

Target	Office/Department	Contact Name
Attaches of the Council		Newman, Rob Halm, Baba Rogers, Laura Goodman, Ben Arbelo, Rick Constantinides, Costa
NYC Council Members	Dilan, Erik Martin - District No. 37 Gennaro, James - District No. 24 Lander, Bradford - District No. 39 Fidler, Lewis - District No. 46 James, Letitia - District No. 35 Williams, Jumaane - District No. 45 Crowley, Elizabeth - District No. 30 Ulrich, Eric - District No. 32 Oddo, James - District No. 50 Comrie, Leroy - District No. 27	
City Legislative Affairs, Office of		Wehle, Patrick Keberle, Erica
Housing Preservation & Development, Department of (HPD)		Gonzalez, Chris Madden, Peter

### Activity # 4

#### Subject Category

Introduction

#### Subject Details

Foreclosure Related Legislation (Int. 494, 500)

Target	Office/Department	Contact Name
Attaches of the Council		Newman, Rob Halm, Baba Rogers, Laura Goodman, Ben Arbelo, Rick Constantinides, Costa
NYC Council Members	Dilan, Erik Martin - District No. 37 Gennaro, James - District No. 24 Lander, Bradford - District No. 39 Fidler, Lewis - District No. 46 James, Letitia - District No. 35 Williams, Jumaane - District No. 45 Crowley, Elizabeth - District No. 30 Ulrich, Eric - District No. 32 Oddo, James - District No. 50 Comrie, Leroy - District No. 27	
City Legislative Affairs, Office of		Wehle, Patrick Keberle, Erica

# 2012 Client Annual Report for New York Bankers Association, Inc.

## Activity # 5

**Subject Category** Local Law

**Subject Details** City Planning Issue (Upper West Side)

Target	Office/Department	Contact Name
Attaches of the Council		Benjamin, Gail Lee, Richard Davis, Derrick Ulrich, Julie Tranato, Joe Bender, Andrea Miraglia, Robert Hylton, Christian Borelli, Joseph Boemio, Teresa
City Planning, Department of (DCP)		Dinnerstein, Barry Grossman, Carolyn Smith, Laura Wobel, Barak
City Legislative Affairs, Office of		Wehle, Patrick
NYC Council Members	Comrie, Leroy - District No. 27 Brewer, Gale - District No. 6 Viverito, Melissa - District No. 8 Seabrook, Larry - District No. 12 Vacca, James - District No. 13 Weprin, Mark - District No. 23 Koslowitz, Karen - District No. 29 Ignizio, Vincent - District No. 51 Lappin, Jessica - District No. 5 Garodnick, Daniel - District No. 4	
Borough President - Manhattan		Cook, Brian Zeng, Lin Lindsay, Erika Silver, Jessica Godlewicz, Rebecca
Mayor, Office of the (OTM)	Mayor	Greenbaum, Johanna

## Activity # 6

**Subject Category** Local Law

**Subject Details** Secured Credit Cards

Target	Office/Department	Contact Name
Attaches of the Council		Codey, Katie

# 2012 Client Annual Report for New York Bankers Association, Inc.

## Activity # 7

**Subject Category** Introduction

**Subject Details** Paid Sick Leave (Int. 97)

Target	Office/Department	Contact Name
Attaches of the Council		Newman, Rob Nelson, Margaret Popa, Laura Carlin, Matthew

## Activity # 8

**Subject Category** Local Law

**Subject Details** Small Business Issues

Target	Office/Department	Contact Name
Attaches of the Council		Codey, Katie Hernandez, Ralph Corbett, Faith Nelson, Margaret Niblack, Preston
NYC Council Members	Reyna, Diana - District No. 34 Chin, Margaret - District No. 1	

## Activity # 9

**Subject Category** Local Law

**Subject Details** Storm Sandy Relief

Target	Office/Department	Contact Name
Attaches of the Council		Niblack, Preston Nelson, Margaret Martinez, Ramon Codey, Katie Newman, Rob Pustilnick, Alix
Business Services, Department of Small (DBS)		Bishop, Greg
Mayor, Office of the (OTM)	Counselor to the Mayor	Lee, Eugene

## Activity # 10

**Subject Category** Local Law

**Subject Details** Opposition on frontage limit on Upper West Side

## 2012 Client Annual Report for New York Bankers Association, Inc.

Target	Office/Department	Contact Name
City Planning, Department of (DCP)		Grossman, Carolyn Dinnerstein, Barry Karnovsky, David

### Lobbyist Entity # 2

Lobbyist Entity's Name	New York Bankers Association, Inc.
Lobbyist Entity's Business Phone	(212) 297-1600
Lobbyist Entity's Business Address	99 Park Avenue, 4th Floor, New York, NY 10016 United States of America

### Compensation Paid or Owed to New York Bankers Association, Inc.

Compensation Paid or Owed During the Calendar Year	\$67,719
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### Client Expenses Reimbursed to New York Bankers Association, Inc.

Total of Aggregate Expenses \$75.00 or less	\$136.14
Are there expenses greater than \$75.00?	No

### Lobbying Activities

#### Activity # 1

Subject Category	Local Law
Subject Details	Upper West Side Zoning Issue

## 2012 Client Annual Report for New York Bankers Association, Inc.

Target	Office/Department	Contact Name
Borough President - Manhattan		Cook, Brian Silver, Jessica Lindsay, Erika Zeng, Lin Godlewicz, Rebecca
City Planning, Department of (DCP)		Burden, Amanda Dinnerstein, Barry Grossman, Carolyn Smith, Laura Wobel, Barak
NYC Council Members	Garodnick, Daniel - District No. 4 Ignizio, Vincent - District No. 51 Lappin, Jessica - District No. 5 Koslowitz, Karen - District No. 29 Brewer, Gale - District No. 6 Vacca, James - District No. 13 Seabrook, Larry - District No. 12	
Attaches of the Council		Benjamin, Gail Lee, Richard Davis, Derrick Ulrich, Julie Tranato, Joe Bender, Andrea Miraglia, Robert Hylton, Christian Borelli, Joseph Boemio, Teresa
City Legislative Affairs, Office of		Wehle, Patrick
Mayor, Office of the (OTM)	Counselor to the Mayor	Greenbaum, Johanna

### Activity # 2

**Subject Category** Local Law

**Subject Details** Small Business Initiatives

Target	Office/Department	Contact Name
Attaches of the Council		Codey, Katie
Business Services, Department of Small (DBS)		Galvin, Colleen

### Activity # 3

**Subject Category** Introduction

**Subject Details** Intro. 485 (Rate the Banks bill)

## 2012 Client Annual Report for New York Bankers Association, Inc.

Target	Office/Department	Contact Name
Attaches of the Council		Niblack, Preston Meara, Charles Axelrod, Lauren Edwards, Tanisha Martinez, Ramon Newman, Rob Caras, Jim Majewski, Ray
Mayor, Office of the (OTM)	Counselor to the Mayor	Sullivan, Tim Wehle, Patrick Keberle, Erica Rubin, Josh Gantz, Toni
City Legislative Affairs, Office of		Wehle, Patrick Keberle, Erica
Finance, Department of (DOF)		Feinberg, Debra
Law Department, Office of the Corporation Counsel		Hochberg, Evan Gantz, Toni

### Activity # 4

#### Subject Category

Introduction

#### Subject Details

Intro. 501 (Foreclosure Registration bill)

Target	Office/Department	Contact Name
Attaches of the Council		Newman, Rob Rogers, Laura
Housing Preservation & Development, Department of (HPD)		Gonzalez, Chris Madden, Peter
City Legislative Affairs, Office of		Keberle, Erica
Mayor, Office of the (OTM)	Senior Advisor	Keberle, Erica

### Activity # 5

#### Subject Category

Local Law

#### Subject Details

Land Use - Upper West Side Zoning Proposal

Target	Office/Department	Contact Name
City Planning, Department of (DCP)		Burden, Amanda Dinnerstein, Barry Grossman, Carolyn Smith, Laura Wobel, Barak

### Activity # 6

## 2012 Client Annual Report for New York Bankers Association, Inc.

**Subject Category** Local Law

**Subject Details** Small Business Initiatives

Target	Office/Department	Contact Name
Attaches of the Council		Codey, Katie
Business Services, Department of Small (DBS)		Galvin, Colleen

### Activity # 7

**Subject Category** Local Law

**Subject Details** Bank Security/Bank Robbery Prevention - Banker meeting with NY Police Department representative regarding bank robbery prevention.

Target	Office/Department	Contact Name
Police Department (NYPD)		Burke, Brian

## CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	KOTKIN, ROBERTA
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	01/07/2013 11:15
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## ELECTRONIC SIGNATURE

ROBERTA KOTKIN was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."