

## 2013 P2 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

Client Name: TCG Securities, L.L.C.

### Lobbyist Information

Principal Officer Name	Harris, Monica
Principal Officer Title	Chief Compliance Officer
Principal Officer Email	BLOCKED_EMAIL
Principal Officer Phone	(202) 729-5579
Lobbyist Business Name	TCG Securities, L.L.C.
Lobbyist Business Address	1001 Pennsylvania Avenue, NW, Suite 220 South, Washington, DC 20004 United States of America
Lobbyist Business Phone	(202) 729-5626

### Client Information

Client Name	TCG Securities, L.L.C.
Business Address	1001 Pennsylvania Avenue, NW, Suite 220 South, Washington, DC 20004 United States of America
Client P.O. Name	Harris, Monica
Business Phone	(202) 729-5626
Business Email	BLOCKED_EMAIL

### Employee(s) Lobbying for Client

#### Employee # 1

Employee's Name	Brennan, Jessica Hoffman
Employee's Work Address	520 Madison Avenue, 41st Floor, New York, NY 10017 United States of America
Employee's Work Phone	(212) 813-4504
Employee's Salary	\$300.48

## 2013 P2 Client Periodic Report

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### Lobbying Activities

#### Activity # 1

**Subject Category** Pension Funds and Retirement Systems

**Subject Details** Discussion of Carlyle Cardinal Ireland Fund

Target	Office/Department	Contact Name
Comptroller, Office of		Miller, Barry

#### Activity # 2

**Subject Category** Pension Funds and Retirement Systems

**Subject Details** Discussion of Global Market Strategies offerings

Target	Office/Department	Contact Name
Employees' Retirement System (NYCERS)		Divgi, Aditya

#### Activity # 3

**Subject Category** Pension Funds and Retirement Systems

**Subject Details** General Update Call

Target	Office/Department	Contact Name
Comptroller, Office of		Miller, Barry

### Summary of Compensation from Client

**Total Compensation Paid or Owed for Current Period** \$300.48

### Lobbying Expenses

**Aggregate of all expenses for salaries of support staff** \$225.36

**Total of Aggregate Expenses \$75.00 or less** \$0.00

**Are there expenses greater than \$75.00?** No

**Itemized Expense Total** \$0.00

**Total Expenses for Current Period** \$225.36

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### Reimbursed Expenses

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Total Reimbursed Expenses for Current Period	\$0.00
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Lobbyist Name: TCG Securities, L.L.C.

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### CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Harris, Monica
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	05/06/2013 13:58
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### ELECTRONIC SIGNATURE

Monica Harris was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."