

2013 P3 Client Periodic Report

Lobbyist Name: Carlson Capital Management, L.P.

Client Name: Carlson Capital Management, L.P.

Lobbyist Information

Principal Officer Name	Ali, Shahla
Principal Officer Title	Chief Compliance Officer
Principal Officer Email	BLOCKED_EMAIL
Principal Officer Phone	(214) 932-9651
Lobbyist Business Name	Carlson Capital Management, L.P.
Lobbyist Business Address	2100 McKinney Avenue Suite 1800, Dallas, TX 75201 United States of America
Lobbyist Business Phone	(214) 932-9600

Client Information

Client Name	Carlson Capital Management, L.P.
Business Address	2100 McKinney Avenue Suite 1800, Dallas, TX 75201 United States of America
Client P.O. Name	Ali, Shahla
Business Phone	(214) 932-9600
Business Email	BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name	Hoinacki, Dan
Employee's Work Address	712 Fifth Avenue, 25th Floor, New York, NY 10019 United States of America
Employee's Work Phone	(214) 994-8331
Employee's Salary	\$525.00

Employee # 2

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Lobbyist Name: Carlson Capital Management, L.P.

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Employee's Name	Viana, Lori
Employee's Work Address	712 Fifth 25th Floor, New York, NY 10019 United States of America
Employee's Work Phone	(214) 562-6242
Employee's Salary	\$102.15

Lobbying Activities

Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details Board Presentation for potential investment into Carlson Capital LP advised funds.

Target	Office/Department	Contact Name
Police Pension Fund		Schloss, Larry Hingorani, Seema Messing, Neil Dunklin, Leon Schofield, Katerina Board of Trustees, (NYC Police Pension Fund)

Activity # 2

Subject Category Pension Funds and Retirement Systems

Subject Details Board Presentation for potential investment in Carlson Capital LP advised funds.

Target	Office/Department	Contact Name
Fire Department Pension Fund		Schloss, Larry Hingorani, Seema Messing, Neil Dunklin, Leon Schofield, Katerina Board of Trustees, (NYC Fire Dept Pension Fund)

Activity # 3

Subject Category Pension Funds and Retirement Systems

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Subject Details

Board presentation regarding a potential investment in Carlson Capital LP advised funds

Target	Office/Department	Contact Name
Employees' Retirement System (NYCERS)		Schloss, Larry Hingorani, Seema Messing, Neil Dunklin, Leon Schofield, Katerina Board of Trustees, (NYCERS Pension Fund)

Summary of Compensation from Client

Total Compensation Paid or Owed for Current Period \$627.15

Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$0.00

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

Itemized Expense Total \$0.00

Total Expenses for Current Period \$0.00

Reimbursed Expenses

Total Reimbursed Expenses for Current Period \$0.00

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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Ali, Shahla
Principal Officer Email	BLOCKED_EMAIL
Certification Date	07/11/2013 15:09

ELECTRONIC SIGNATURE

Shahla Ali was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."