

2013 P3 Client Periodic Report

Lobbyist Name: James F. Capalino & Associates, Inc.

Client Name: Midtown Trackage Ventures LLC

Lobbyist Information

Principal Officer Name Capalino, James F.

Principal Officer Title President

Principal Officer Email BLOCKED_EMAIL

Principal Officer Phone (212) 616-5810

Lobbyist Business Name James F. Capalino & Associates, Inc.

Lobbyist Business Address 233 Broadway, Suite 850, New York, NY 10279 United States of America

Lobbyist Business Phone (212) 616-5810

Client Information

Client Name Midtown Trackage Ventures LLC

Business Address 551 Fifth Avenue, New York, NY 10176 United States of America

Client P.O. Name Penson, Andrew S.

Business Phone (212) 692-5400

Business Email BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name Capalino, James

Employee's Work Address 233 Broadway, Suite 850, New York, NY 10279 United States of America

Employee's Work Phone (212) 616-5811

Employee # 2

Employee's Name Terry, Travis

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Client Name: Midtown Trackage Ventures LLC

Employee's Work Address

233 Broadway, Suite 850, New York, NY 10279 United States of America

Employee's Work Phone

(212) 616-5826

Lobbying Activities

Activity # 1

Subject Category

Determination of Board or Commission

Subject Details

Air rights interests in East Midtown rezoning

Target	Office/Department	Contact Name
City Planning, Department of (DCP)		David, Karnovsky Edith, Hsu-Chen
Mayor, Office of the (OTM)	Senior Advisor	Jeff, Mandel Tim, Sullivan
NYC Council Members - Staff	Garodnick, Daniel - District No. 4	Ilona, Kramer
Community Boards	Manhattan Board No. 5	Wally, Rubin Lola, Finkelstein
	Manhattan Board No. 6	Raju, Mann
Borough President - Manhattan		Brian, Cook

Summary of Compensation from Client

Total Compensation Paid or Owed for Current Period \$20,000.00

Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$0.00

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

Itemized Expense Total \$0.00

Total Expenses for Current Period \$0.00

Reimbursed Expenses

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Total Reimbursed Expenses for Current Period	\$0.00
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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Capalino, James F.
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	07/12/2013 15:41
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ELECTRONIC SIGNATURE

James F. Capalino was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."