

## 2013 P5 Client Periodic Report

Lobbyist Name: ZAIS Group, LLC

Client Name: ZAIS Group, LLC

### Lobbyist Information

Principal Officer Name	Shapiro, Karen
Principal Officer Title	Chief Compliance Officer
Principal Officer Email	BLOCKED_EMAIL
Principal Officer Phone	(732) 978-7551
Lobbyist Business Name	ZAIS Group, LLC
Lobbyist Business Address	2 Bridge Avenue, Red Bank, NJ 07701 United States of America
Lobbyist Business Phone	(732) 978-7551

### Client Information

Client Name	ZAIS Group, LLC
Business Address	2 Bridge Avenue, Red Bank, NJ 07701 United States of America
Client P.O. Name	Shapiro, Karen
Business Phone	(732) 978-7551
Business Email	BLOCKED_EMAIL

### Employee(s) Lobbying for Client

#### Employee # 1

Employee's Name	Boyd, Charles Glenn
Employee's Work Address	2 Bridge Ave, Red Bank, NJ 07701 United States of America
Employee's Work Phone	(732) 978-9689
Employee's Salary	\$0.00

#### Employee # 2

## 2013 P5 Client Periodic Report

Lobbyist Name: ZAIS Group, LLC

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Employee's Name Crowley, Denise

Employee's Work Address 2 Bridge Avenue, Red Bank, NJ 07701 United States of America

Employee's Work Phone (732) 450-7431

Employee's Salary \$0.00

### Employee # 3

Employee's Name McGrady, Kyle

Employee's Work Address 2 Bridge Avenue, Red Bank, NJ 07701 United States of America

Employee's Work Phone (732) 212-2385

Employee's Salary \$0.00

### Employee # 4

Employee's Name Stehnacs, David

Employee's Work Address 2 Bridge Ave, Red Bank, NJ 07701 United States of America

Employee's Work Phone (732) 450-7439

Employee's Salary \$0.00

## Lobbying Activities

### Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details Seeking mandate to provide investment advisory services

Target	Office/Department	Contact Name
Employees' Retirement System (NYCERS)		Divgi, Adi

## Summary of Compensation from Client

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Total Compensation Paid or Owed for Current Period	\$0.00
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### Lobbying Expenses

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Aggregate of all expenses for salaries of support staff	\$0.00
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Total of Aggregate Expenses \$75.00 or less	\$0.00
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Are there expenses greater than \$75.00?	No
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Itemized Expense Total	\$0.00
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Total Expenses for Current Period	\$0.00
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### Reimbursed Expenses

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Total Reimbursed Expenses for Current Period	\$0.00
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### CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Shapiro, Karen
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	11/06/2013 10:09
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### ELECTRONIC SIGNATURE

Karen Shapiro was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."