

2013 P5 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

Client Name: TCG Securities, L.L.C.

Lobbyist Information

Principal Officer Name Harris, Monica

Principal Officer Title Chief Compliance Officer

Principal Officer Email BLOCKED_EMAIL

Principal Officer Phone (202) 729-5579

Lobbyist Business Name TCG Securities, L.L.C.

Lobbyist Business Address 1001 Pennsylvania Avenue, NW, Suite 220 South,
Washington, DC 20004 United States of America

Lobbyist Business Phone (202) 729-5626

Client Information

Client Name TCG Securities, L.L.C.

Business Address 1001 Pennsylvania Avenue, NW, Suite 220 South,
Washington, DC 20004 United States of America

Client P.O. Name Harris, Monica

Business Phone (202) 729-5626

Business Email BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name Brennan, Jessica Hoffman

Employee's Work Address 520 Madison Avenue, 41st Floor, New York, NY 10017
United States of America

Employee's Work Phone (212) 813-4504

Employee's Salary \$61.27

Employee # 2

2013 P5 Client Periodic Report

Lobbyist Name: TCG Securities, L.L.C.

Client Name: TCG Securities, L.L.C.

Employee's Name Lippman, Christopher

Employee's Work Address 1001 Pennsylvania Avenue, NW, Suite 220 South,
Washington, DC 20004 United States of America

Employee's Work Phone (202) 729-5329

Employee's Salary \$122.55

Lobbying Activities

Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details Discussion of Global Market Strategies Opportunistic
Managed Account

Target	Office/Department	Contact Name
Employees' Retirement System (NYCERS)		Divgi, Aditya

Activity # 2

Subject Category Pension Funds and Retirement Systems

Subject Details Discussion of existing portfolio (CRP V and VI) and new
fund CRP VII

Target	Office/Department	Contact Name
Comptroller, Office of		Londono-Valle, Janet Nelson, Yvonne Eglow Sussman, Carol

Summary of Compensation from Client

Total Compensation Paid or Owed for Current Period \$183.82

Lobbying Expenses

Aggregate of all expenses for salaries of support
staff \$137.87

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

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Itemized Expense Total	\$0.00
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Total Expenses for Current Period	\$137.87
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Reimbursed Expenses

Total Reimbursed Expenses for Current Period	\$0.00
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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Harris, Monica
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	11/07/2013 12:34
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ELECTRONIC SIGNATURE

Monica Harris was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."