

2013 P5 Client Periodic Report

Lobbyist Name: Barclays Capital, Inc.

Client Name: Barclays Capital, Inc.

Lobbyist Information

Principal Officer Name Arnone, Kym

Principal Officer Title Managing Director

Principal Officer Email BLOCKED_EMAIL

Principal Officer Phone (212) 526-6332

Lobbyist Business Name Barclays Capital, Inc.

Lobbyist Business Address 745 Seventh Avenue, 4th Floor, New York, NY 10019
United States of America

Lobbyist Business Phone (212) 526-6332

Client Information

Client Name Barclays Capital, Inc.

Business Address 745 Seventh Avenue, 4th Floor, New York, NY 10019
United States of America

Client P.O. Name Arnone, Kym

Business Phone (212) 526-6332

Business Email BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name Arnone, Kym

Employee's Work Address 745 Seventh Avenue, New York, NY 10019 United
States of America

Employee's Work Phone (212) 526-6332

Employee's Salary \$3,606.77

Employee # 2

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Lobbyist Name: Barclays Capital, Inc.

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Employee's Name Bloom, William

Employee's Work Address 745 Seventh Avenue, New York, NY 10019 United States of America

Employee's Work Phone (212) 526-1372

Employee's Salary \$411.00

Employee # 3

Employee's Name Monitto, Joseph

Employee's Work Address 745 Seventh Avenue, New York, NY 10019 United States of America

Employee's Work Phone (212) 526-3297

Employee's Salary \$2,329.00

Lobbying Activities

Activity # 1

Subject Category Procurement

Subject Details Bond refunding ideas and alternative variable rate bond executions.

Target	Office/Department	Contact Name
Management & Budget, Office of (OMB)		Anders, Alan Tarbox, Laura Ulrey, Scott Wasserman, Phil Olsen, Jay
Comptroller, Office of		Kostik, Carol Stern, Michael Pigler, Carmen Martin, Tim
Water Finance Authority, NYC Municipal		Paolicelli, Tom

Summary of Compensation from Client

Total Compensation Paid or Owed for Current Period \$6,346.77

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Lobbyist Name: Barclays Capital, Inc.

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Lobbying Expenses

Aggregate of all expenses for salaries of support staff	\$0.00
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Total of Aggregate Expenses \$75.00 or less	\$0.00
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Are there expenses greater than \$75.00?	No
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Itemized Expense Total	\$0.00
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Total Expenses for Current Period	\$0.00
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Reimbursed Expenses

Total Reimbursed Expenses for Current Period	\$0.00
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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Arnone, Kym
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	11/15/2013 10:16
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ELECTRONIC SIGNATURE

Kym Arnone was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."