

## 2013 P5 Client Periodic Report

Lobbyist Name: Siebert Brandford Shank & Co., L.L.C.

Client Name: Siebert Brandford Shank & Co., L.L.C.

### Lobbyist Information

Principal Officer Name	Lober, Gary
Principal Officer Title	SVP/Chief Compliance Officer
Principal Officer Email	BLOCKED_EMAIL
Principal Officer Phone	(646) 775-4841
Lobbyist Business Name	Siebert Brandford Shank & Co., L.L.C.
Lobbyist Business Address	100 Wall Street, 18th Floor, New York, NY 10005 United States of America
Lobbyist Business Phone	(646) 775-4850

### Client Information

Client Name	Siebert Brandford Shank & Co., L.L.C.
Business Address	100 Wall Street, 18th Floor, New York, NY 10005 United States of America
Client P.O. Name	Lober, Gary
Business Phone	(646) 775-4850
Business Email	BLOCKED_EMAIL

### Employee(s) Lobbying for Client

#### Employee # 1

Employee's Name	Carter, John
Employee's Work Address	100 Wall Street, 18th Floor, New York, NY 10005 United States of America
Employee's Work Phone	(646) 775-4850
Employee's Salary	\$0.00

#### Employee # 2

## 2013 P5 Client Periodic Report

Lobbyist Name: Siebert Brandford Shank & Co., L.L.C.

Client Name: Siebert Brandford Shank & Co., L.L.C.

Employee's Name Shank, Suzanne

Employee's Work Address 100 Wall Street, 18th Floor, New York, NY 10005 United States of America

Employee's Work Phone (646) 775-4850

Employee's Salary \$0.00

### Employee # 3

Employee's Name White, Jonathan

Employee's Work Address 100 Wall Street, 18th Floor, New York, NY 10005 United States of America

Employee's Work Phone (646) 775-4850

Employee's Salary \$0.00

## Lobbying Activities

### Activity # 1

Subject Category Procurement

Subject Details Financial Services (Bond Underwriting)

Target	Office/Department	Contact Name
Management & Budget, Office of (OMB)		Alders, Alan

## Summary of Compensation from Client

Total Compensation Paid or Owed for Current Period \$0.00

## Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$0.00

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

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Itemized Expense Total	\$0.00
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Total Expenses for Current Period	\$0.00
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### Reimbursed Expenses

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Total Reimbursed Expenses for Current Period	\$0.00
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Lobbyist Name: Siebert Brandford Shank & Co., L.L.C.

Client Name: Siebert Brandford Shank & Co., L.L.C.

### CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Lober, Gary
Principal Officer Email	BLOCKED_EMAIL
Certification Date	11/12/2013 14:44

### ELECTRONIC SIGNATURE

Gary Lober was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."