

2013 Amended P3 Client Periodic Report

Lobbyist Name: Ellington Management Group, L.L.C.

Client Name: Ellington Management Group, L.L.C.

Lobbyist Information

Principal Officer Name	Rice, David
Principal Officer Title	Chief Compliance Officer
Principal Officer Email	BLOCKED_EMAIL
Principal Officer Phone	(203) 698-1200
Lobbyist Business Name	Ellington Management Group, L.L.C.
Lobbyist Business Address	53 FOREST AVENUE, OLD GREENWICH, CT 06870 United States of America
Lobbyist Business Phone	(203) 698-1200

Client Information

Client Name	Ellington Management Group, L.L.C.
Business Address	53 FOREST AVENUE, OLD GREENWICH, CT 06870 United States of America
Client P.O. Name	Rice, David
Business Phone	(203) 698-1200
Business Email	BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name	Johnson, Richard
Employee's Work Address	53 Forest Avenue, OLD GREENWICH, CT 06870 United States of America
Employee's Work Phone	(203) 698-1200
Employee's Salary	\$2,493.00

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Lobbying Activities

Activity # 1

Subject Category Pension Funds and Retirement Systems

Subject Details Discussion of potential investment in private funds managed by Ellington Management Group, L.L.C.

Target	Office/Department	Contact Name
Employees' Retirement System (NYCERS)		Gantz, Martin

Summary of Compensation from Client

Total Compensation Paid or Owed for Current Period \$2,493.00

Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$0.00

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

Itemized Expense Total \$0.00

Total Expenses for Current Period \$0.00

Reimbursed Expenses

Total Reimbursed Expenses for Current Period \$0.00

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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Rice, David
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	11/15/2013 10:44
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ELECTRONIC SIGNATURE

David Rice was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."