

Client Information

Client Name	SIFMA Securities Industry and Financial Markets Association
Business Address	120 Broadway, 35th Floor, New York, NY 10271
Client P.O. Name	Gibson, Marin
Business Phone	(212) 313-1200
Business Email	BLOCKED_EMAIL

Lobbyist Entities

Lobbyist Entity # 1

Lobbyist Entity's Name	Pitta, Bishop, Del Giorno & Giblin LLC
Lobbyist Entity's Business Phone	(212) 652-3890
Lobbyist Entity's Business Address	499 Park Avenue, New York, NY 10022
Compensation Paid or Owed During the Calendar Year	\$30,000

Lobbying Activities

Activity # 1

Subject Category	Local Law
Subject Details	Local Law 34 of the Laws of 2007
Is the Lobbying Target an Entity or a Person?	entity
Lobbying Target's Name	Office of the Mayor -- Marla Simpson

Activity # 2

Subject Category	Local Law
Subject Details	Allocation of Broker Dealer Revenue or Single Source Revenue
Is the Lobbying Target an Entity or a Person?	entity

Lobbying Target's Name

NYC Mayor's Office -- Michelle Goldstein & Steve Williams

Lobbying Expenses

Aggregate of all expenses for salaries of support staff	\$0.00
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Total of Aggregate Expenses \$75.00 or less	\$0.00
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Are there expenses greater than \$75.00?	No
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Itemized Expenses

Itemized Expense Total	\$0.00
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Total Compensation Paid or Owed During the Calendar Year	\$30,000.00
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Total Expenses and Compensation for Year	\$30,000.00
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CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Gibson, Marin
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	02/04/2009 14:49
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ELECTRONIC SIGNATURE

Marin Gibson was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."