

2009 P1 Client Periodic Report

Lobbyist Name: KRAMER LEVIN NAFTALIS & FRANKEL LLP

Client Name: Cape Advisors, Inc.

Lobbyist Information

Principal Officer Name TARNOFF, GARY

Principal Officer Title PARTNER

Principal Officer Email BLOCKED_EMAIL

Principal Officer Phone (212) 715-7833

Lobbyist Business Name KRAMER LEVIN NAFTALIS & FRANKEL LLP

Lobbyist Business Address 1177 AVENUE OF THE AMERICAS, NEW YORK, NY 10036

Lobbyist Business Phone (212) 715-9100

Client Information

Client Name Cape Advisors, Inc.

Business Address 483 Broadway, Fifth Floor, New York, NY 10013

Client P.O. Name Wood, Craig

Business Phone (212) 343-1700

Business Email BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name KRAMER, ROBIN

Employee's Work Address 1177 AVENUE OF THE AMERICAS, NEW YORK, NY 10036

Employee's Work Phone (212) 715-9100

Employee # 2

Employee's Name TAUBMAN, ADAM

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Lobbyist Name: KRAMER LEVIN NAFTALIS & FRANKEL LLP

Client Name: Cape Advisors, Inc.

Employee's Work Address 1177 AVE. OF THE AMERICAS, NEW YORK, NY 10036

Employee's Work Phone (212) 715-9100

Lobbying Activities

Activity # 1

Subject Category LAND USE/ZONING

Subject Details SPECIAL PERMIT TO ALLOW CERTAIN RETAIL USES ON THE GROUND FLOOR OF A BUILDING AT 150 LAFAYETTE STREET, MANHATTAN

Is the Lobbying Target an Entity or a Person? entity

Lobbying Target's Name NYC PLANNING COMMISSION

Summary of Compensation

Total Compensation Paid or Owed for Current Period \$5,826.52

Year to Date Total Compensation Paid or Owed \$5,826.52

Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$250.62

Total of Aggregate Expenses \$75.00 or less \$101.02

Are there expenses greater than \$75.00? YES

Itemized Expenses

Itemized Expense # 1

Paid to ROBIN KRAMER

Purpose SALARY PAID TO ROBIN KRAMER FOR LOBBYING WORK

Amount \$1,129.66

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Itemized Expense # 2

| | |
|----------------|--------------|
| Paid to | ADAM TAUBMAN |
|----------------|--------------|

| | |
|----------------|---|
| Purpose | SALARY PAID TO ADAM TAUBMAN FOR LOBBYING WORK |
|----------------|---|

| | |
|---------------|----------|
| Amount | \$385.36 |
|---------------|----------|

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|-------------------------------|------------|
| Itemized Expense Total | \$1,515.02 |
|-------------------------------|------------|

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|--|------------|
| Total Expenses for Current Period | \$1,866.66 |
|--|------------|

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|---------------------------------------|------------|
| Year to Date Total of Expenses | \$1,866.66 |
|---------------------------------------|------------|

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Client Name: Cape Advisors, Inc.

CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

| | |
|------------------------|---------------|
| Principal Officer Name | TARNOFF, GARY |
|------------------------|---------------|

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|-------------------------|---------------|
| Principal Officer Email | BLOCKED_EMAIL |
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|--------------------|------------------|
| Certification Date | 03/24/2009 16:27 |
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ELECTRONIC SIGNATURE

GARY TARNOFF was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."