

2009 P4 Client Periodic Report

Lobbyist Name: James F. Capalino & Associates, Inc.

Client Name: Romanoff Equities, Inc.

Lobbyist Information

Principal Officer Name Capalino, James F.

Principal Officer Title President

Principal Officer Email BLOCKED_EMAIL

Principal Officer Phone (212) 616-5810

Lobbyist Business Name James F. Capalino & Associates, Inc.

Lobbyist Business Address 233 Broadway, Suite 850, New York, NY 10279

Lobbyist Business Phone (212) 616-5810

Client Information

Client Name Romanoff Equities, Inc.

Business Address 7-11 South Broadway, Suite 218, White Plains, NY 10601

Client P.O. Name Romanoff, Michael

Business Phone (914) 428-4200

Business Email BLOCKED_EMAIL

Employee(s) Lobbying for Client

Employee # 1

Employee's Name Capalino, James

Employee's Work Address 233 Broadway, Suite 850, New York, NY 10279

Employee's Work Phone (212) 616-5811

Employee # 2

Employee's Name Fontas, George

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Lobbyist Name: James F. Capalino & Associates, Inc.

Client Name: Romanoff Equities, Inc.

Employee's Work Address	233 Broadway, Suite 850, New York, NY 10279
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Employee's Work Phone	(212) 616-5818
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Employee # 3

Employee's Name	Schafran, Brooke
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Employee's Work Address	233 Broadway, Suite 850, New York, NY 10279
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Employee's Work Phone	(212) 799-8237
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Employee # 4

Employee's Name	Terry, Travis
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Employee's Work Address	233 Broadway, Suite 850, New York, NY 10279
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Employee's Work Phone	(212) 616-5826
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Employee # 5

Employee's Name	Thompson, Mark
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Employee's Work Address	233 Broadway, Suite 850, New York, NY 10279
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Employee's Work Phone	(212) 616-5818
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Lobbying Activities

Activity # 1

Subject Category	Determination of Board or Commission
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Subject Details	Land use approval by NYC Board of Standards and Appeals and Certificate of Appropriateness by NYC Landmarks Preservation Commission for 437-51 West 13th St.
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Is the Lobbying Target an Entity or a Person?	entity
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Lobbying Target's Name	NYC Landmarks Preservation Commission _ Robert Tierney, Sarah Carroll
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Is the Lobbying Target an Entity or a Person? entity

Lobbying Target's Name Council Speaker Christine Quinn _ Kate Seely-Kirk

Is the Lobbying Target an Entity or a Person? entity

Lobbying Target's Name Manhattan Community Board 2 Brad Holyman, Jo Hamilton, Sean Sweeny

Is the Lobbying Target an Entity or a Person? entity

Lobbying Target's Name Office of the Deputy Mayor for Economic Development and Rebuilding - Jed Howbert

Is the Lobbying Target an Entity or a Person? entity

Lobbying Target's Name NYC Board of Standards and Appeals _ Jeff Mulligan, Meenakshi Srinivasan

Summary of Compensation

Total Compensation Paid or Owed for Current Period \$9,000.00

Year to Date Total Compensation Paid or Owed \$36,000.00

Lobbying Expenses

Aggregate of all expenses for salaries of support staff \$234.00

Total of Aggregate Expenses \$75.00 or less \$0.00

Are there expenses greater than \$75.00? No

Itemized Expense Total \$0.00

Total Expenses for Current Period \$234.00

Year to Date Total of Expenses \$958.31

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Client Name: Romanoff Equities, Inc.

CERTIFICATION

I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void.

Principal Officer Name	Capalino, James F.
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Principal Officer Email	BLOCKED_EMAIL
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Certification Date	09/15/2009 17:10
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ELECTRONIC SIGNATURE

James F. Capalino was required to indicate acceptance of the representations made in the following statement by entering his or her password used to access the E-Lobbyist, New York City Lobbyist Filing System and pressing the Submit button to affix his or her electronic signature and file the report with the Office of City Clerk - City of New York.

"I certify that all statements made on this report are true and correct to the best of my knowledge and belief, and I understand that the willful false statement of any material fact herein will subject me to the provisions of law relevant to the making of false instruments and will render such statement null and void."