- November 2013 Hootmail
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FIRST THINGS FIRST...

As you know, the insurance coverage provided through the New York City Central Insurance Program (CIP) will no longer be available beginning December 1, 2013. Contractors must obtain their own Commercial General Liability, Workers’ Compensation and Disability, and Fidelity Bond (crime) coverage – sites cannot provide services to children without this insurance coverage.

If you have not yet sent your insurance documentation to be reviewed, you must do so immediately. Providers are required to submit a proof of insurance to the attention of Jean Sheil at jean.sheil@acs.nyc.gov.

For more detailed instructions and information please see the Hootmail attachments.

IMPORTANT DATES

12/01 Deadline to purchase insurance (liability, etc)
12/06 RISE Presentation
12/07 Parents to the Rescue Meeting
12/09 Submission deadline for Head Start National Research Conference
12/10 First Custodial Training
12/13 Parent Election Documents due
12/19 New York City Head Start Parent Appreciation Celebration
WHAT TO EXPECT WHEN YOU’RE EXPECTING...
A MONITORING VISIT

Can you believe how quickly this year has gone by? It’s already November, with the new year just around the corner. By now, many programs have been visited by our staff (yes, we have heard your feedback about the all-consuming full day visits!). Visits are an important part of how we keep in touch with our programs, so we wouldn’t want to change that. But, we CAN give you an idea of some of the items that you can expect so that you can prepare yourself and your staff.

CHILD ASSESSMENT DATA checkpoint #1 is past! We can’t wait to compile all of the data and report back to you what we have found. We will ask you about this process and for evidence that you entered child assessment data.

45 DAY SCREENINGS have been completed for the vast majority of our children in the city (with the only exception being the children who have JUST arrived in your programs). Staff will request tracking tools which include: date of entry into program, date of birth, hearing, vision, social emotional and developmental screenings for all children enrolled on or after July 1, 2013.

SCHOOL READINESS PLANS have been collected and submitted citywide as of October 31. We are aggregating data and will have the results soon. Our staff may ask you to show them your evidence of school readiness planning and teamwork in your program.

JOINT DOE/ACS VISITS are happening. Don’t be surprised if you see an Instructional Coordinator AND an ACS Specialist sitting together in your meeting room in the near future.

FAMILY CHILD CARE PROGRAMS are an important part of many of our programs. If you have an FCC component, our monitoring staff will look for evidence that your agency is monitoring and supporting your FCC practice.

FAMILY PARTNERSHIP AGREEMENTS should be underway with the ASSESSMENT portion completed.

COMMUNITY PARTNERSHIP PROJECT MEETINGS have occurred and our staff will be looking for evidence that you have attended (schedule attached).

NYC RESPONSIBLE FATHERHOOD COALITION MEETINGS are happening around the city. EarlyLearn staff will look for evidence that you have attended (schedule attached).
INFO FOR THE ENTIRE EARLYLEARN COMMUNITY

SHOW YOUR PRIDE!
We hope that you are enjoying and using your EarlyLearn NYC marketing materials to recruit families. Send us pictures of how they’re being displayed in your centers.

Marketing materials are available to all EarlyLearn sites. If your site has not received your marketing materials, we ask that you please check with your central office or contact Tovah Gottesman at Tovah.Gottesman@dfa.state.ny.us

CUSTODIAL TRAINING
Do you need some help in maintaining your program environment? The custodial training is designed to help the participants improve their skills and abilities in the areas of:

- time and task management,
- floor care
- restroom maintenance
- pest management
- potentially hazardous pathogens
- understanding their role and responsibility in maintaining safe environments.

Dates are: December 10, 11, 12, 13, 16 (the 16th is in Spanish)
Sign up here: http://acsececustodialtraining.eventbrite.com

FACILITIES CORNER!
By now, you’ve come to expect fun, handy dandy guides from our Facilities unit, and we didn’t want to disappoint you so close to the end of the year. So, we have another useful tip this month called “Logs and Locks”. Curious? Take a look at the attached resource to read recommendations around program safety. Enjoy!

BUDGET QUESTIONS?? ASK YOUR EARLYLEARN BUDGET ANALYST
Ever have a budget question and find yourself wondering who to call? Wonder no longer. Attached, please find the FY14 Financial Services Budget Analyst listing. This information is effective as of November 2013 and is listed alphabetically.

GOT PICTURES??
Have you taken part in events in your community that support the work we do in EarlyLearn NYC? Send some pics in!
Let the whole city enjoy what you are doing!
Send them directly to john.borrero@acs.nyc.gov for entry into the next Hootmail.
TEACH TOLERANCE

Have you ever visited the Teaching Tolerance website? Teaching Tolerance is a project of the Southern Poverty Law Center. Here, you will find great tips on classroom practice, current events and issues around equity in education. Get yourself on their e-mail list for regular updates. To get started, click here.

EDUCATION & DISABILITIES NEWS

SCREENING AND ASSESSMENT

Screening? Assessment? Confused? The EarlyLearn NYC Education department has put together a one page guide on conducting screenings and understanding the process of assessment for EarlyLearn NYC. We hope this helps to clear things up a bit. This guide is attached.

TEACH TOLERANCE

Have you ever visited the Teaching Tolerance website? Teaching Tolerance is a project of the Southern Poverty Law Center. Here, you will find great tips on classroom practice, current events and issues around equity in education. Get yourself on their e-mail list for regular updates. To get started, click here.

OOPS!!

In earlier publications, we listed the second Child Outcomes Checkpoint as April 04, 2014. That’s a mistake. THE SECOND CHILD ASSESSMENT CHECKPOINT DATE IS MARCH 07, 2014. All future forms will reflect that, and our Child Assessment vendors have been notified, so that this date will be reflected also in your systems. Please mark your calendars with the new date!

"Teaching Tolerance?"

SOCIAL COMPETENCE IN INFANTS AND TODDLERS (AND OUR FCC COMMUNITY)

Promoting social competence is a big push for EarlyLearn NYC programs. And this endeavor is not just for preschoolers. There is a wealth of materials available for providers and families who have infants and toddlers. You may be familiar with the CSEFEL (Center on the Social and Emotional Foundations for Early Learning) materials. But, did you know that there were materials specific for infants and toddlers? Check them out here.

RESOURCE FOR FAMILY CHILD CARE PROVIDERS

The ACS/CUNY Informal Family Child Care Project (IFCC) is here to help you succeed! IFCC provides information and resources to informal (license-exempt) child care providers throughout the five boroughs of New York City. As part of the NY Early Childhood Professional Development Institute (PDI), IFCC helps child care providers develop the skills and tools to directly impact excellence for children. Their current flyer is attached.

DISABILITIES NEWSLETTER

The November 2013 Head Start Disabilities Services Newsletter is out! It is produced monthly by the National Center on Quality Teaching and Learning. The resource is dedicated to staff and families of young children with disabilities. Great stuff! The current issue is attached. Past issues are archived here.

SELF-ASSESSMENT 2014

The Self-Assessment process for EarlyLearnNYC programs will begin on February 1 and end on April 30, 2014.

This year, we want to let programs know that your CLASS (center-based) and ERS (ECERS-R, ITERS-R, FCCERS-R) results will be incorporated in your VENDEX Score calculation.

Please use this time to make sure that 25% (or a minimum of 2 classrooms, whichever is higher) of all classrooms and homes are assessed this year in time for the Self-Assessment period. CLASS assessments must be done by an evaluator that has been certified reliable by Teachstone.

Similarly with ECERS, ITERS, and FCCERS, scores must be obtained by a licensed ECERS assessor. If you have been given a CLASS score by DOE this year, this score can be used for your Self-Assessment.
HEAD START NEWS

REGION II HEAD START CONFERENCE
The 10th Annual Region II Head Start Association Professional Development Conference will be from January 7th-10th, 2014, at the Borgata Hotel in Atlantic City, New Jersey. The theme this year is “Parents, Family, Community: Excellence For Our Children.” See the THREE attached flyers for more info!

HEAD START RESEARCH CONFERENCE
The Head Start National Research Conference is back this year! In its 12th year, the theme is Collaboration and Coordination: Understanding Systems Supporting Young Children and Their Families. It will be from July 7-9, 2014, at the Grand Hyatt Hotel in Washington, D.C. Think you might want to present? Click here for the link to the open call for presentations. Deadline for proposals is December 09, 2013.

PARENT APPRECIATION
“You have been selected as The Parent Volunteer of the Year!” Do you know someone who deserves to hear that this year? Then, now is your time to nominate them! The New York City-Wide Head Start Policy Council is holding its 11th Annual “New York City Head Start Parent Appreciation Celebration,” on Thursday, December 19, 2013. Nominations are due in December, so don’t delay! Nomination forms are attached.

A FOND FAREWELL
By now, Head Start programs will have probably heard of the resignation of Yvette Sanchez-Fuentes, Director of the Office of Head Start since 2009. Please join us at ACS in thanking her for her contributions to our community and wishing her success on her future path. Read her reflections on her tenure here.

“Child welfare systems are beginning to recognize and treat parents’ trauma”

FAMILY & COMMUNITY ENGAGEMENT

TAKE TIME TO BE A DAD...
Fatherhood programs typically offer a combination of one-to-one interaction and case management with workshops and peer group discussions. Both approaches present challenges and opportunities for helping dads get and stay on track. Learn more techniques and tips for working with dads one-to-one, including information on case management, and working with groups at http://www.fatherhood.gov/

RISE Learning Event
Child welfare systems are beginning to recognize and treat parents’ trauma. RISE has partnered with Children’s Services ECPP to present a unique learning event which will address the impact of trauma on the parents of our youngest children. See attached flyer for more detail.

PARENT ELECTIONS
A reminder from our Parent Governance Unit...
Head Start Parent Election documents are due by December 13th, 2013.

(Child Care program election documents are overdue. Please send those in today)

If you need assistance please contact
Eleanor.Quallo@acs.nyc.gov or
Miranda.harrison@dfa.state.ny.us
HEALTH & WELLNESS

HEALTH AND WELLNESS: AFFORDABLE HEALTH CARE IS HERE!!
The NYS Health Benefit Exchange is a marketplace where New Yorkers, both individuals and small businesses, can shop for, compare and enroll in new, affordable health insurance options. Exchanges are being administered in every state as part of President Obama’s federal health care reform law. Over 1 million New Yorkers are estimated to receive coverage through the Exchange. There is a lot of information available. Enrollment began on October 1, 2013. Please pass this information on to your staff and families. Visit http://www.healthbenefitexchange.ny.gov/ today!

MENTAL HEALTH/ SOCIAL EMOTIONAL SUPPORT,  Social-Emotional Interactions at School
Efforts to promote positive mental health and well-being in children, staff and caregivers should be given equal weight with efforts to reduce problem behaviors and/or social-emotional distress. One approach is to promote these interactions in the classroom by embedding social-emotional interactions in teaching practices throughout the day. (See Attachment) More handouts and resources available by the Center for Early Childhood Mental Health Consultation are here.

HEALTH AND WELLNESS SEMINAR
This training on December 19th will focus on
* Infectious Disease Control and Reporting Updates, including the latest information on CDC Infectious Diseases control and the NYC DOHMH requirements pertaining to management and reporting of Communicable Diseases in the early child care setting. In addition, there will be a focus on the prevention and management of the influenza(flu) virus.
* Health Insurance Updates on the Affordable Care Act, New York State Health Insurance Exchange and information on the systems and resources available for families and staff in need to obtain health insurance.
Register here: https://www.eventbrite.com/acseehwseminardecember

FIRST AID KIT CONTENT
Ouch! When something happens, it may be already too late to figure out whether or not your First Aid Kits are appropriately stocked. Now would be a better time. Attached to this Hootmail, you will find a resource on properly maintaining your First Aid Kits.

CACFP TRAININGS
The schedule for 2014 CACFP Trainings in New York City is out. Please see the attachment entitled “CACFP Metro Area Training Schedule 2014”. We encourage you to take advantage of these training opportunities. To register, please contact CACFP: Anna Myers at 1-800-942-3858. Have your CACFP agreement # ready when calling to register for a training.

ACHOOO!! IT’S THE FLU!
Oh no! We are in flu season!!!! Don’t worry. Our Health department has put together a resource entitled “Flu: What You Need to Know” for helpful and important information on flu prevention. It is attached.

SUMMARY OF WELL CHILD VISITS
Fresh off the Press!! Please see the attached document DOHMH BDC Summary of well child visits. Please distribute this guide to parents and staff so that they may ensure that children are receiving, as part of their age appropriate physical exams, the appropriate tests, screenings, referrals, immunizations, etc. As new families enroll at your center, include this tool as part of their orientation.
PROFESSIONAL DEVELOPMENT

ALL ABOUT ASPIRE

Are you looking for high quality professional development opportunities for your teachers? Well, you’re in luck! Yay. ASPIRE is partnering with EarlyLearn NYC to help us grow our body of classroom knowledge across the city. We heard a wonderful presentation from ASPIRE at the recent Citywide Director’s Meetings and we are attaching the PowerPoint for those people who were not able to make the meeting, or who just want to make sure that they have the most complete info. Enjoy!

RESEARCH

UNDERSTANDING OUR POPULATION

There is some really interesting research being done in the field, and from time to time we would like to share some with our EarlyLearn NYC community. In this month’s highlighted article, the researchers speak to how childhood poverty has been linked to the acquisition of self-regulatory skills, which is further associated with negative physical and psychological health in adulthood. The study provides evidence of the connection between childhood poverty and adult neural activity. See the attached article for the full story!

HUMOR

KID PRESIDENT’S PEP TALK

We all work hard, and a little humor is important from time to time to embed laughter into our practice. We wanted to shared a video that we hope will bring a smile to your faces. Take a moment and enjoy Kid President’s message for teachers. Click here to view the message on YouTube. ENJOY!

NOSOTROS

RESOURCES IN SPANISH/ Noticias en español para la comunidad de EarlyLearn.

Conoces los servicios del Centro Nacional de Información para la Paternidad Responsable, por el Departamento de Salud y Servicios Humanos de Estados Unidos? Este programa presenta información sobre prioridades, estrategias, tendencias, investigaciones, prácticas prometedoras y lecciones emergentes relacionadas con la paternidad y ayuda a audiencias claves a convertir la información en políticas y acciones que hagan la diferencia para los padres, los niños, las familias y las comunidades.
Para mayor información dirígete a http://www.fatherhood.gov/espanol
A FEW NEW FOLKS

Seasons change. Agencies change. People change. Sometimes all at once!

We’ve had a few changes here at ACS to the staff working with Hootmail, and we thought this might be a good time to offer up some quality introductions.

First of all, let’s introduce Barbara Alicea, the new Community Relations Coordinator. This is the person who oversees production of Hootmail, E-Bulletins, and Provider Bulletins. You will also see her working on the EarlyLearn NYC marketing campaign among other projects at EarlyLearn. She will wear many hats in her work at ACS.

WELCOME Barbara!

Tovah Gottesman is Chief of Staff. In this role, she works closely on the development and implementation of many key initiatives, including Hootmail! She is the liaison between ACS and many internal and external partners and stakeholders, and she troubleshoots on a wide range of operational and programmatic issues and concerns. Tovah previously served as Special Assistant to the Deputy Commissioner.

Okolo Jacobs is a new college aide intern here at Early Care and Education. She is currently a junior at CUNY Kingsborough and will be transferring to Brooklyn College in January 2014. She has been interning at ACS for 2 years—previously under Finance, Child Care and Head Start, and Family Support Services. We are very happy to have Okolo working with us behind the scenes to help make the Hootmail a quality product.

And then there is John Borrero, Director of Education and Disabilities. John is also relatively new to the agency (although not as new as Barbara), but excited to have a hand in the specific information that makes its way out to programs. John comes to ACS from working in Head Start and is excited for this opportunity to serve NYC.

There are other people who also contribute to this publication.

For every headline, there is a person here at ACS who wrote an article or researched materials because they care about children and they want to make sure that EarlyLearn programs have good, current information to inform their practice.

In future issues, we will highlight some of those people too!
ONE MORE THING...

We are STILL listening for your feedback ...

Keep talking to us and let us know what you would like to see in Hootmail. Give us feedback on how Hootmail is working for you and ways in which we can help it to work better.

We want Hootmail to be a useful resource to our EarlyLearn community and we welcome your ideas on how to make that happen.

Send comments, suggestions, pictures, and questions to John.borrero@acs.nyc.gov

Bundle up and enjoy family time!
MEMORANDUM

DATE: October 8, 2013

TO: EarlyLearn NYC Providers

FROM: Jose Mercado

SUBJECT: FAILURE TO OBTAIN INSURANCE BY NOVEMBER 1, 2013 WILL RESULT IN SITE CLOSINGS

EL Memorandum Number #9

The Administration for Children’s Services (ACS) is concerned that you have not yet submitted proof of insurance coverage beginning November 1, 2013. As you know, effective November 1, 2013 you are responsible for obtaining insurance policies that will no longer be provided by the New York Central Insurance Program (CIP). Due to the serious nature of this issue, we urge you to address this issue immediately and to include your board members in this conversation. Your site(s) will not be able to remain open to serve children without the appropriate insurance coverage.

For your convenience, an information session is being scheduled to provide details concerning insurance coverage:

TIME AND DATE: 10AM-Noon Tuesday, October 15, 2013
LOCATION: Essensa, 555 West 57th Street, 12th Floor NY, NY 10019

If you have not yet requested your loss run information from ACS, which is the first step in the process for you to obtain insurance coverage, you must do so immediately. Please email ACS and request your loss run information. Send your request to: EL.INSURANCE@acs.nyc.gov.

If you have already received your loss run information and you are seeking a broker, or you are in the process of determining your insurance coverage, it is important that you act promptly since it will take time to generate the required proof of insurance and for ACS to validate these documents.

Attached please find the September 27, 2013 letter from ACS Finance and Early Care & Education Divisions which provided detailed information concerning the insurance deadlines, requirements and vendor information. A copy of your contract language covering insurance requirements is also attached.

We are also attaching a listing of specific, detailed information which are applicable to the various insurance certificates needed to operate. Please follow all guidelines to ensure that your certificates are approved and your site can remain in service.

Copy:
Deputy Mayor Linda Gibbs
ACS Commissioner Ronald E. Richter
Required Insurance Documents
Applicable to Insurance Certificates

Listed below are the required insurance documents that should be submitted to New York City Administration for Children’s Services (ACS). These insurance documents must have an effective date of November 1, 2013 and should be sent to Jean Shell at jean.shell@acs.nyc.gov by November 8, 2013.

1. Certification By Broker
   a. Must be notarized.
   b. Must include the name of the broker and their contact information

2. Your general liability, worker’s compensation and fidelity carrier(s) can all be listed on the same “Certificate of Liability Insurance” document, provided that you are using the same broker. Each type of insurance should have its own policy number and the limits outlined. All certificates should be dated. Listed below are the other items that must be included on the Certificate of Liability Insurance:
   a. Contact name and phone number for the sponsor agency (i.e. the ACS contractor).
   b. Insured site name and address.
   c. If insuring more than one site and using one document instead of multiple Certificate of Liability Insurance documents, each additional site name and full address must be added to the: “Description of Operations/ Locations/Vehicles” box.
   d. Policy number must be provided; the words “on binder” are not acceptable
   e. All policies should be for a minimum of a calendar year.
   f. NAIC # should be listed next to insurers affording coverage on all documents.
   g. Broker contact information (full name, address and phone number)
   h. Certificate of Holder section should read as follows:
      City of New York
      C/O The Administration for Children’s Services
      150 William Street, 9th floor
      New York, NY 10038

3. Disability coverage must be on its own document called “Certificate of Insurance Coverage under the NYS Disability Benefits Law”. For multi-site contractors, you must have one certificate for each site. Listed below are some of the items that must be included in this document:
   a. Sponsor agency (i.e. the ACS contractor)
   b. Site name and address
   c. Business phone number.
   d. Insurance Carrier’s name, contact person and phone number.
   e. Policy number must be provided; the words “on binder” are not acceptable
   f. All policies should have a start and end date that equals a year.
   g. Broker contact information (name and phone number)
   h. Name and Address of the Entity Requesting Proof of Coverage should read as follows:
      City of New York
      C/O The Administration for Children’s Services
      150 William Street, 9th floor
      New York, NY 10038

4. Organizations that are taking buses on trips must obtain a “Certificate of Liability Insurance” from the chartered bus company (includes yellow school bus) which shows that ACS and the name of the site(s) taking the trip, has been added to the “Certificate Holder” section of the document two weeks prior to the date of the trip. The coverage should have a total of $5 million dollars in coverage (can be a combination of auto and excess liability). The Certificate Holder section should read as follows:
   City of New York
   C/O The Administration for Children’s Services
   150 William Street, 9th floor
   New York, NY 10038
To: EarlyLearn NYC & Discretionary-funded child care programs
From: ACS Finance and Early Care & Education Divisions
Date: Friday, September 27, 2013
RE: Central Insurance Program – There are 35 calendar days until November 1!

THERE ARE 35 CALENDAR DAYS (25 BUSINESS DAYS) UNTIL NOVEMBER 1!

Dear EarlyLearn and City Council discretionary funded programs –

As you know, beginning November 1, 2013, the New York City Central Insurance Program (CIP) will no longer cover Commercial General Liability, Workers Compensation and Disability, and Fidelity Bond (crime). Each ACS contractor MUST obtain these policies by November 1, 2013 – sites cannot provide services to children without this insurance coverage.

If you do not have these policies in place by November 1, you will be in breach of your contract with ACS. In addition, you should be aware of the following consequences:

- Without general liability coverage, DOHMH will revoke your permit;
- Without workers’ compensation and disability, you will be fined daily by the NYS Department of Labor.

In short, your center(s) will have to be closed.

We are writing today with a checklist of actions necessary for your organization to acquire insurance by November 1. If you have not yet requested loss runs for your organization, PLEASE DO SO IMMEDIATELY. Please note that depending on your organization’s history, it can take some time to receive your requested documentation. TIME IS OF THE ESSENCE.

Checklist and Action Steps:

- Request and receive loss runs:
  - Your Executive Director, a member of the Senior Staff or Board of Directors must request loss runs in writing via email to Sunday Bafunso at sunday.bafunso@dfa.state.ny.us. Loss run requests cannot be made by an insurance broker;
  - If you are a multi-site provider, the loss run request must be made on the organization level – in other words, each individual site/program need not make a separate loss run request. As part of your request, please include your name and title, the name of the organization, and the addresses – not site names – of all sites/programs under your organization that have an EarlyLearn and/or City Council funded discretionary contract.

- Identify an insurance broker/firm – see attached list for your convenience, if you have not yet identified a broker/firm.
- Find insurances carriers, for the four types of insurance listed above: general liability, worker’s compensation, disability, and fidelity bond coverage
- Receive quotes
- Select insurance carrier(s)
- Carrier Informs the NYS Insurance Fund of coverage
- Provide ACS with proof of coverage for all four types of required insurance listed above as soon as possible, but no later than November 8, via jean.shell@acs.nyc.gov:

If you have already acquired insurance coverage, we ask that you send your documentation to Jean Shell as soon as possible so we are aware that you are covered and in full compliance with your contract terms.
A reminder of the types of insurance required, and requirements for each:

For Workers’ Compensation, Disability Benefits, and Employer’s Liability coverage the submitted proof of insurance must comply with Section 7.08(A) of Appendix A of your EarlyLearn or discretionaty contract.

For all other required insurance coverage, you are required to submit proof of insurance in accordance with Section 7.08(B) of Appendix A of your EarlyLearn or discretionaty contract which includes a copy of the Certificate of Insurance, the additional insured endorsement, and a duly executed Certification by Broker (page 5 in the attached "Insurance Requirements" document).

For the Commercial General Liability insurance (which may include additional, supplementary or alternate, umbrella, abuse and molestation and/or corporal punishment coverage), The City of New York, together with its officials and employees, must be named as an Additional Insured with coverage at least as broad as the most recently issued ISO Form CG 20 10. Please note: do not name the Administration for Children’s Services as the Additional Insured as this is not acceptable. The City’s address to include on the “Certificate Holder” box of the Certificate of Insurance is as follows:

   The City of New York  
   c/o The Administration for Children’s Services  
   150 William Street, 9th Floor  
   New York, New York 10038

Please note each site address must be listed in full on the ACORD Certificate of Insurance as it is specified in your contract with ACS. It is not acceptable to refer to the sites by their center names.
Contact Information for Insurance Brokers & Providers

New York State Insurance Fund - offers Worker’s Compensation & Disability
Customer Service Center: 888.875.5790
http://www3.nysif.com/
multiple business offices in NYC:

Essensa (NYC’s non-profit group purchasing organization)
Kenneth O’Reggio
555 West 57th Street, 12th Floor
New York, NY 10019
koreggio@innovatix.com or koreggio@essensa.org
212.901.1210

Representatives from Essensa’s insurance vendor portfolio:
The Omni Agency, Inc.
Trey Wilder
1430 Broadway, 13th Floor
New York, NY 10018
Office: 718.831.7888 ext. 2604
Cell: 347.676.8606
twildered@theomniagency.com
www.omniessensa.com

Q6 Advisors, Inc.
Alix A. Beien-Alme
60 East 42nd Street, Suite 864
New York, NY 10165
Office: 516.394.2523
Cell: 516.850.1847
abien Alme@Q6Advisors.com
www.q6advisors.com

Additional vendors:

Arthur J. Gallagher Risk Management Services
Jack McArdle
2 Gannett Drive
White Plains, NY 10604-3404
Office: 914.697.6031
Cell: 914.584.2239
Fax: 914.323.4531
jack_mcardle@aig.com
www.aigrms.com

Borg and Borg Insurance
Sean Bickoff
148 E. Main Street
Huntington, NY 11743
Phone: 631.673.7600
Fax: 631.351.1700
seanb@borgborg.com
http://www.borgborg.com/

Brown and Brown Metro Inc.
Matthew Struck
30A Vreeland Road
Florham Park, NJ 07932
Office: 973.549.1893
Cell: 973.303.8967
Fax: 973.549.1000
mstruck@bbmetro.com
http://www.bbmetro.com/

Carriage Trade Insurance Agency, Inc.
Michael R. Jakob
One Holland Avenue, Suite 105
Floral Park, NY 11001
Office: 516.358.5600
Fax: 516.358.5656
mjakob@carriage tradeinsurance.com
www.carriage tradeinsurance.com
Five Star Coverage Corp
Donald Smith
75B Montauk Hwy
Blue Point, NY 11715
Office: 631.567.1111
Cell: 516.317.8910
Fax: 631.218.3411
Donald@5starcoverage.com

HUB International Northeast Limited
Scott Konrad
1065 Avenue of the Americas
New York, NY 10018
Office: 212.338.2295
Cell: 347.491.9671
Fax: 917.934.9546
scott.konrad@hubinternational.com
http://www.hubinternational.com/

Lamb Financial Group
Liam Kemmerley
145 W. 45th Street, 6th Floor
New York, NY 10036
Office: 212.375.3000 x 105
Cell: 267.275.6196
Fax: 718.389.4300
l kemmerley@lambsfinancialgroup.com
http://lambsfinancialgroup.com/

Lee Insurance
Stephen Boon Jr.
31 Pell Street
New York, NY 10013
Office: 212.962.2232
Toll Free: 800.352.1707
Fax: 212.233.1421
sboon@leeins.com
http://www.leeins.com/

Loyola Group, Inc.
Edward R. Quinn III
119 North Park Avenue, 4th Floor
Rockville Centre, NY 11570
Office: 516.960.0701
Fax: 516.536.2234
equinn@loyolains.com

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Alan Labadorf
595 Stewart Avenue
Garden City, NY 11530
Phone: 516.745.1111
Fax: 516.745.5733
alabadorf@sobelins.com
http://www.sobelins.com/

The Signature Group, LLC
Peter S. Schapero
950 Franklin Avenue
Garden City, NY 11530
Phone: 516.764.1100
Fax: 516.764.1019
pschapero@tsgins.com
http://www.signatureinsurance.com/

USI Insurance Services LLC
David Carpentier
261 Madison Avenue, 5th Floor
New York, NY 10016
Office: 212.842.3430
Cell: 401.480.5906
Fax: 610.537.1920
david.carpentier@usi.biz
http://ww2.usi.biz/

Please note —
ACS does not endorse the services of any particular broker. Contractors are NOT limited to obtaining insurance coverage from one of the vendors on this sheet.
APPENDIX A

GENERAL PROVISIONS GOVERNING CONTRACTS FOR CONSULTANTS, PROFESSIONAL, TECHNICAL, HUMAN AND CLIENT SERVICES

ARTICLE 7. INSURANCE

Section 7.01 Agreement to Insure

The Contractor shall not commence performing services under this Agreement unless and until all insurance required by this Article is in effect, and shall ensure continuous insurance coverage in the manner, form, and limits required by this Article throughout the term of the Agreement.

Section 7.02 Commercial General Liability Insurance

A. The Contractor shall maintain Commercial General Liability Insurance covering the Contractor as Named Insured and the City as an Additional Insured in the amount of at least One Million Dollars ($1,000,000) per occurrence. Such insurance shall protect the City and the Contractor from claims for property damage and/or bodily injury, including death that may arise from any of the operations under this Agreement. Coverage under this insurance shall be at least as broad as that provided by the most recently issued Insurance Services Office ("ISO") Form CG 0001, and shall be "occurrence" based rather than "claims-made."

B. Such Commercial General Liability Insurance shall name the City, together with its officials and employees, as an Additional Insured with coverage at least as broad as the most recently issued ISO Form CG 20 10.

Section 7.03 Professional Liability Insurance

A. At the Department's direction, if professional services are provided pursuant to this Agreement, the Contractor shall maintain and submit evidence of Professional Liability Insurance appropriate to the type(s) of such services to be provided under this Agreement in the amount of at least One Million Dollars ($1,000,000) per claim. The policy or policies shall include an endorsement to cover the liability assumed by the Contractor under this Agreement arising out of the negligent performance of professional services or caused by an error, omission or negligent act of the Contractor or anyone employed by the Contractor.

B. All subcontractors of the Contractor providing professional services under this Agreement for which Professional Liability Insurance is reasonably commercially available shall also maintain such insurance in the amount of at least One Million Dollars ($1,000,000) per claim, and the Contractor shall provide to the Department, at the time of the request for subcontractor approval, evidence of such Professional Liability Insurance on forms acceptable to the Department.

C. Claims-made policies will be accepted for Professional Liability Insurance. All such policies shall have an extended reporting period option or automatic coverage of not less
than two (2) years. If available as an option, the Contractor shall purchase extended reporting period coverage effective on cancellation or termination of such insurance unless a new policy is secured with a retroactive date, including at least the last policy year.

Section 7.04 Workers' Compensation, Disability Benefits, and Employer's Liability Insurance

The Contractor shall maintain, and ensure that each subcontractor maintains, Workers' Compensation Insurance, Disability Benefits Insurance, and Employer's Liability Insurance in accordance with the Laws of the State on behalf of, or with regard to, all employees providing services under this Agreement.

Section 7.05 Unemployment Insurance

To the extent required by Law, the Contractor shall provide Unemployment Insurance for its employees.

Section 7.06 Business Automobile Liability Insurance

A. If vehicles are used in the provision of services under this Agreement, then the Contractor shall maintain Business Automobile Liability insurance in the amount of at least One Million Dollars ($1,000,000) each accident combined single limit for liability arising out of ownership, maintenance or use of any owned, non-owned, or hired vehicles to be used in connection with this Agreement. Coverage shall be at least as broad as the most recently issued ISO Form CA0001.

B. If vehicles are used for transporting hazardous materials, the Business Automobile Liability Insurance shall be endorsed to provide pollution liability broadened coverage for covered vehicles (endorsement CA 99 48) as well as proof of MCS-90.

Section 7.07 General Requirements for Insurance Coverage and Policies

A. All required insurance policies shall be maintained with companies that may lawfully issue the required policy and have an A.M. Best rating of at least A- / "VII" or a Standard and Poor's rating of at least A, unless prior written approval is obtained from the City Law Department.

B. All insurance policies shall be primary (and non-contributing) to any insurance or self-insurance maintained by the City.

C. The Contractor shall be solely responsible for the payment of all premiums for all required insurance policies and all deductibles or self-insured retentions to which such policies are subject, whether or not the City is an insured under the policy.

D. There shall be no self-insurance program with regard to any insurance required under this Article unless approved in writing by the Commissioner. Any such self-insurance program shall provide the City with all rights that would be provided by traditional insurance required under this Article, including but not limited to the defense obligations that insurers are required to undertake in liability policies.
E. The City’s limits of coverage for all types of insurance required under this Article shall be the greater of (i) the minimum limits set forth in this Article or (ii) the limits provided to the Contractor as Named Insured under all primary, excess, and umbrella policies of that type of coverage.

**Section 7.08 Proof of Insurance**

A. For Workers’ Compensation Insurance, Disability Benefits Insurance, and Employer’s Liability Insurance, the Contractor shall file one of the following within ten (10) Days of award of this Agreement. ACORD forms are not acceptable proof of workers’ compensation coverage.

1. C-105.2 Certificate of Workers’ Compensation Insurance;
2. U-26.3 -- State Insurance Fund Certificate of Workers’ Compensation Insurance;
3. Request for WC/DB Exemption (Form CE-200);
4. Equivalent or successor forms used by the New York State Workers’ Compensation Board; or
5. Other proof of insurance in a form acceptable to the City.

B. For each policy required under this Agreement, except for Workers’ Compensation Insurance, Disability Benefits Insurance, Employer’s Liability Insurance, and Unemployment Insurance, the Contractor shall file a Certificate of Insurance with the Department within ten (10) Days of award of this Agreement. All Certificates of Insurance shall be (a) in a form acceptable to the City and certify the issuance and effectiveness of such policies of insurance, each with the specified minimum limits; and (b) accompanied by the endorsement in the Contractor’s general liability policy by which the City has been made an additional insured pursuant to Section 7.02(B). All Certificate(s) of Insurance shall be accompanied by either a duly executed “Certification by Broker” in the form attached to this Appendix A or copies of all policies referenced in the Certificate of Insurance. If complete policies have not yet been issued, binders are acceptable, until such time as the complete policies have been issued, at which time such policies shall be submitted.

C. Certificates of Insurance confirming renewals of insurance shall be submitted to the Commissioner prior to the expiration date of coverage of policies required under this Article. Such Certificates of Insurance shall comply with the requirements of Section 7.08 (A) and Section 7.08(B), as applicable.

D. The Contractor shall provide the City with a copy of any policy required under this Article upon the demand for such policy by the Commissioner or the New York City Law Department.

E. Acceptance by the Commissioner of a certificate or a policy does not excuse the Contractor from maintaining policies consistent with all provisions of this Article (and ensuring that subcontractors maintain such policies) or from any liability arising from its failure to do so.

F. In the event the Contractor receives notice, from an insurance company or other person, that any insurance policy required under this Article shall expire or be cancelled or
terminated for any reason, the Contractor shall immediately forward a copy of such notice to both the Commissioner City of New York Administration for Children’s Services, 150 William Street, New York, New York 10038, and the New York City Comptroller, Attn: Office of Contract Administration, Municipal Building, One Centre Street, Room 1005, New York, New York 10007.

Section 7.09 Miscellaneous

A. Whenever notice of loss, damage, occurrence, accident, claim or suit is required under a general liability policy maintained in accordance with this Article, the Contractor shall provide the insurer with timely notice thereof on behalf of the City. Such notice shall be given even where the Contractor may not have coverage under such policy (for example, where one of Contractor’s employees was injured). Such notice shall expressly specify that “this notice is being given on behalf of the City of New York as Additional Insured” and contain the following information: the number of the insurance policy; the name of the named insured; the date and location of the damage, occurrence, or accident; the identity of the persons or things injured, damaged, or lost; and the title of the claim or suit, if applicable. The Contractor shall simultaneously send a copy of such notice to the City of New York c/o Insurance Claims Specialist, Affirmative Litigation Division, New York City Law Department, 100 Church Street, New York, New York 10007. If the Contractor fails to comply with the requirements of this paragraph, the Contractor shall indemnify the City for all losses, judgments, settlements and expenses, including reasonable attorneys’ fees, arising from an insurer’s disclaimer of coverage citing late notice by or on behalf of the City.

B. The Contractor’s failure to maintain any of the insurance required by this Article shall constitute a material breach of this Agreement. Such breach shall not be waived or otherwise excused by any action or inaction by the City at any time.

C. Insurance coverage in the minimum amounts required in this Article shall not relieve the Contractor or its subcontractors of any liability under this Agreement, nor shall it preclude the City from exercising any rights or taking such other actions as are available to it under any other provisions of this Agreement or Law.

D. The Contractor waives all rights against the City, including its officials and employees for any damages or losses that are covered under any insurance required under this Article (whether or not such insurance is actually procured or claims are paid thereunder) or any other insurance applicable to the operations of the Contractor and/or its subcontractors in the performance of this Agreement.

E. In the event the Contractor requires any subcontractor to procure insurance with regard to any operations under this Agreement and requires such subcontractor to name the Contractor as an additional insured under such insurance, the Contractor shall ensure that such entity also name the City, including its officials and employees, as an additional insured with coverage at least as broad as the most recently issued ISO form CG 20 26.
CERTIFICATION BY BROKER

[Pursuant to Article Seven of Appendix A, every Certificate of Insurance must be accompanied by either the following certification by the broker setting forth the following text and required information and signatures or complete copies of all policies referenced in the Certificate of Insurance. In the absence of completed policies, binders are acceptable.]

CERTIFICATION BY BROKER

The undersigned insurance broker represents to the City of New York that the attached Certificate of Insurance is accurate in all material respects, and that the described insurance is effective as of the date of this Certification.

__________________________________________________________
[Name of broker (typewritten)]

__________________________________________________________
[Address of broker (typewritten)]

__________________________________________________________
[Signature of authorized officer of broker]

__________________________________________________________
[Name of authorized officer (typewritten)]

__________________________________________________________
[Title of authorized officer (typewritten)]

__________________________________________________________
[Contact Phone Number for Broker (typewritten)]

__________________________________________________________
[Email Address of Broker (typewritten)]

Sworn to before me this

_ day of ___________, 201_

__________________________________________________________
NOTARY PUBLIC
APPENDIX B

EARLY LEARN NYC SCOPE OF WORK

Section 4.07. Insurance.

C. Fidelity Bond. Notwithstanding anything to the contrary provided in this Agreement and unless explicitly waived in Section 2.05 of this Appendix B, the Contractor shall deliver to ACS a Fidelity Bond, with a limit of liability equal to twenty five percent (25%) of the Contractor’s annual budget in connection with this Agreement or as otherwise determined by ACS, bonding every person authorized by the Contractor to receive, handle, or disburse monies received under this Agreement. The bond shall be issued by an insurer duly licensed by the Superintendent of Insurance of the State of New York and shall provide that any payment made thereunder for any loss sustained either by the Contractor or the City or both of them through any fraudulent or dishonest act by one or more of the bonded persons shall be payable to the City. In the event ACS has waived the fidelity bond requirement contained in this Section, ACS may in its sole discretion, reinstate the fidelity bond requirement at any time upon written notice to the Contractor.

Fidelity Bond Provision for EarlyLearn Modified Contracts and Discretionary Contracts:

Fidelity Bond. The Contractor shall deliver to ACS a Fidelity Bond, with a limit of liability equal to twenty five percent (25%) of the Contractor’s annual budget in connection with this Agreement or as otherwise determined by ACS, bonding every person authorized by the Contractor to receive, handle, or disburse monies received under this Agreement. The bond shall be issued by an insurer duly licensed by the Superintendent of Insurance of the State of New York and shall provide that any payment made thereunder for any loss sustained either by the Contractor or the City or both of them through any fraudulent or dishonest act by one or more of the bonded persons shall be payable to the City.
<table>
<thead>
<tr>
<th>CPP</th>
<th>DATE &amp; TIME</th>
<th>CPP COORDINATOR</th>
<th>PHONE</th>
<th>ACS Community Program Associate</th>
<th>PHONE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bedford Stuyvesant</td>
<td>2nd Tues. MONTHLY 2:30PM - 4:30PM</td>
<td>Monica A. Webb <a href="mailto:rwebb@bpnetwork.org">rwebb@bpnetwork.org</a></td>
<td>718/293-4352</td>
<td>Loretta Williams <a href="mailto:loretta.williams3@dfa.state.ny.us">loretta.williams3@dfa.state.ny.us</a></td>
<td>718/218-6359 347/622-0336</td>
</tr>
<tr>
<td>Bushwick</td>
<td>3rd Tues. MONTHLY 10:30AM - 12:30PM</td>
<td>Raul Rubio <a href="mailto:bushwickcpi@gmail.com">bushwickcpi@gmail.com</a></td>
<td>917/250-9126</td>
<td>Loretta Williams <a href="mailto:loretta.williams3@dfa.state.ny.us">loretta.williams3@dfa.state.ny.us</a></td>
<td>718/218-6359 347/622-0336</td>
</tr>
<tr>
<td>East New York</td>
<td>1st Tues. MONTHLY 10:00AM - 12:00PM</td>
<td>Eva Gordon <a href="mailto:gordon@lffchild.org">gordon@lffchild.org</a></td>
<td>718/345-6300</td>
<td>Osman Boakye <a href="mailto:osman.boakye@dfa.state.ny.us">osman.boakye@dfa.state.ny.us</a></td>
<td>718/345-6300 347/533-3678</td>
</tr>
<tr>
<td>Mott Haven</td>
<td>2nd Thurs. MONTHLY 10:00AM - 12:00PM</td>
<td>Sweeney Anderson <a href="mailto:andersonsw@lccany.org">andersonsw@lccany.org</a></td>
<td>718/742-8521</td>
<td>Darrell Williams <a href="mailto:darrell.williams3@dfa.state.ny.us">darrell.williams3@dfa.state.ny.us</a></td>
<td>212/341-3170 347/598-1601</td>
</tr>
<tr>
<td>Highbridge</td>
<td>1st Wed. MONTHLY 10:00AM - 11:30AM</td>
<td>Lisa Gill <a href="mailto:lgill@childrensvillage.org">lgill@childrensvillage.org</a></td>
<td>718/293-4352</td>
<td>Osman Boakye <a href="mailto:osman.boakye@dfa.state.ny.us">osman.boakye@dfa.state.ny.us</a></td>
<td>718/345-6300 347/533-3678</td>
</tr>
<tr>
<td>Soundview</td>
<td>3rd Tues. MONTHLY 10:30AM - 12:30PM</td>
<td>Dishon Ahing <a href="mailto:DAhing@LeakeAndWatts.org">DAhing@LeakeAndWatts.org</a></td>
<td>718/794-8492</td>
<td>Darrell Williams <a href="mailto:darrell.williams3@dfa.state.ny.us">darrell.williams3@dfa.state.ny.us</a></td>
<td>212/341-3170 347/598-1601</td>
</tr>
<tr>
<td>Jamaica</td>
<td>3rd Wed. MONTHLY 9:30AM - 12:00PM</td>
<td>Craig Collins <a href="mailto:ccollins@mediatenc.org">ccollins@mediatenc.org</a></td>
<td>718/523-6868 Ext. 267</td>
<td>Deidra Sutton <a href="mailto:deidra.sutton@dfa.state.ny.us">deidra.sutton@dfa.state.ny.us</a></td>
<td>212/676-7695 347/831-4023</td>
</tr>
<tr>
<td>Elmhurst</td>
<td>4th Thurs. MONTHLY 10:00AM - 12:00PM</td>
<td>Shannon Mancuso <a href="mailto:smancuso@soc.org">smancuso@soc.org</a></td>
<td>718/507-0700 Ext. 22</td>
<td>Deidra Sutton <a href="mailto:deidra.sutton@dfa.state.ny.us">deidra.sutton@dfa.state.ny.us</a></td>
<td>212/676-7695 347/831-4023</td>
</tr>
<tr>
<td>East Harlem</td>
<td>4th Thurs. MONTHLY 9:30AM - 11:30AM</td>
<td>Erika Figueroa <a href="mailto:Efigueroa@isasfts.org">Efigueroa@isasfts.org</a></td>
<td>212/348-8284</td>
<td>Linda Polk <a href="mailto:linda.polk@dfa.state.ny.us">linda.polk@dfa.state.ny.us</a></td>
<td>212/341-3140 646/265-9848</td>
</tr>
<tr>
<td>Lower East Side</td>
<td>3rd Wed. MONTHLY 3:00PM - 5:00PM</td>
<td>Floria Huang <a href="mailto:lespci@gmail.com">lespci@gmail.com</a></td>
<td>212/387-0557</td>
<td>Ebenezer Ademuwagun <a href="mailto:ebenezer.ademuwagun@dfa.state.ny.us">ebenezer.ademuwagun@dfa.state.ny.us</a></td>
<td>212/442-0099</td>
</tr>
<tr>
<td>Staten Island Stapleton</td>
<td>2nd Thur. MONTHLY 10:00AM - 12:00PM</td>
<td>Adinah Ben-Yahuda <a href="mailto:Adinah20@aol.com">Adinah20@aol.com</a></td>
<td>718/551-0373</td>
<td>Peter Mokwuah <a href="mailto:peter.mokwuah@dfa.state.ny.us">peter.mokwuah@dfa.state.ny.us</a></td>
<td>212/341-3159 347/831-4041</td>
</tr>
</tbody>
</table>

10/02/13
NYC Responsible Fatherhood Coalition
ACS Borough Coordinators and Borough Co-Chairs

- **ACS Borough Coordinators**
  - Bronx- Clyde Wilkinson  Clyde.Wilkinson@dfa.state.ny.us
  - Brooklyn- Ronald Bridges  Ronald.Bridges@dfa.state.ny.us
  - Manhattan- Tanya Hatcher  Tanya.Hatcher@dfa.state.ny.us
  - Queens- Robyn Thompson  Robyn.Thompson@dfa.state.ny.us
  - Staten Island- Sandra Graydon-Long  Sandra.Graydon-Long@dfa.state.ny.us

- **Borough Coalition Co-Chairs**
  - Bronx- 1200 Waters Place
    - Kathy Blount  Kathy.Blount@dfa.state.ny.us
    - 2501 Grand Concourse
    - Kimkecha Farrior  Kimkecha.Farrior@dfa.state.ny.us
    - (Next Coalition Meeting, Friday, 9/13, Bronx Borough President's Office, 851 Grand Concourse, 9th fl., Rm 915, 10-12)
  - Brooklyn- 1274 Bedford Avenue
    - Ronald Bridges  Ronald.Bridges@dfa.state.ny.us
    - 185 Marcy Avenue
    - Loretta Williams  Loretta.Williams@dfa.state.ny.us
  - Queens- SUNY QEOC
    - 158-29 Archer Avenue
    - David Williams  dawilliams@fortunesociety.org
    - Rolando Bini Rolando@parentsinaction.net
  - Manhattan- 55 West 125 Street-
    - Tanya Hatcher  Tanya.Hatcher@dfa.state.ny.us
    - Hassan Daniel  hdaniel@hcz.org
NYC Responsible Fatherhood Coalition
ACS Borough Coordinators and Borough Co-Chairs

-Leena Green
lgreen3@health.nyc.gov

- Staten Island - 350 St. Marks Place
  - Sandra Graydon-Long
    Sandra.Graydon-Long@dfa.state.ny.us

  - Elizabeth Lamadieu
    Elizabeth.Lamadieu@dfa.state.ny.us

  - Carol Grant
    Carol.Grant@dfa.state.ny.us
Facility Tip of the Month
November 2013 - Logs & Locks

As 200 sites already know, ACS Project Managers have been doing Health & Safety Inspections for the last several months. Our purpose is to 1) assist you in fixing any facility issue that could be a Department of Health or Fire Department violation; 2) to ensure that your site is safe; 3) to help you learn to monitor your facility on your own; and 4) to comply with Federal regulations. If you are one of the 150 sites that have not had a Health and Safety Inspection yet, please do not feel neglected, we are on our way (in the next several months).

So far we have identified several problems that are easily fixed, so you can take care of it before DOHMH, FDNY, or ACS arrive:

LOGS
While everyone has a monthly Fire Drill log, there are a number of others that you need both for compliance and so you can monitor yourselves for safety:

Visitor Logs—Every one should sign in and provide ID unless you already know them. Too often we go into centers and get pretty far before being stopped. Make security a primary concern.

Emergency Light and Exit Sign Log— the custodian should do a daily visual check of exit lights but emergency lights should be tested a minimum of once a month.

Smoke Detector and Carbon Monoxide Detector Log—only if you have battery operated equipment.

Extermination Log—every time the exterminator comes.

Grease Trap Maintenance Logs—grease traps must be cleaned regularly, usually by an outside vendor unless you have a skilled custodian who can do it.

Range Hood Cleaning Log—a sticker should be put on the side of the range hood but logging it is a good practice too.

Refrigerator/Freezer Log—At least once a day, if not twice, the temperatures should be recorded to ensure they are operating within the acceptable range. (continues)
HVAC Cleaning Logs—Though this is not a requirement and not part of the inspection, it’s a good idea to make a log for regular cleaning of filters. The equipment, split units, Ptacs, and window ACs will work better and last longer.

BATHROOMS IN HALLWAYS
While most sites have their children’s bathrooms in the classroom area, some do not. In 2005 Head Start issued a memorandum to all agencies saying that if children’s bathrooms were in the hallway they had to remain locked from the outside (and not able to lock on the inside). This came as a result of an incident with a stranger hiding in the bathroom and Head Start has enforced that ever since. It is also required that there be a written procedure on the use of children’s bathrooms in hallways. Extrapolating from that, adult bathrooms could also be a place where strangers could hide or children could run and lock themselves in. Since that time Facilities has strongly recommended that all adult bathrooms be locked from the outside, requiring a key or numbered key pad.

OTHER DOORS THAT MUST REMAIN LOCKED
A good practice as you walk through your site is to turn the handle of the custodian’s room, boiler room, electric closet, etc. every time you pass. You may be surprised at how frequently you will find these doors unlocked. There is so much danger awaiting a wandering child! And if you think it couldn’t happen, you should know that wandering children have gotten to the subway, much less an open room. Remind your custodian that even if she or he are just down the hall for a few minutes, it must be locked. Accidents happen in just a few minutes.

Logs and Locks can keep your children safe!
## Administration for Children's Services

### Division of Financial Services

#### EarlyLearn Portfolio Matrix [As of November 14, 2013]

<table>
<thead>
<tr>
<th>EL Fiscal ID</th>
<th>Contractor's Name</th>
<th>Analyst</th>
<th>Telephone</th>
<th>Email</th>
<th>TEAM</th>
<th>T - Email</th>
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</thead>
<tbody>
<tr>
<td>00383</td>
<td>12th Street Preschool, Inc.</td>
<td>Natalia Yakovleva</td>
<td>(212) 676-9125</td>
<td><a href="mailto:Natalia.Yakovleva@dfa.state.ny.us">Natalia.Yakovleva@dfa.state.ny.us</a></td>
<td>Tiffany Chelley-Mobley</td>
<td><a href="mailto:Tiffany.Chelley-Mobley@dfa.state.ny.us">Tiffany.Chelley-Mobley@dfa.state.ny.us</a></td>
</tr>
<tr>
<td>00259</td>
<td>1332 Fulton Avenue Day Care Center, Inc.</td>
<td>Natalia Yakovleva</td>
<td>(212) 676-9125</td>
<td><a href="mailto:Natalia.Yakovleva@dfa.state.ny.us">Natalia.Yakovleva@dfa.state.ny.us</a></td>
<td>Tiffany Chelley-Mobley</td>
<td><a href="mailto:Tiffany.Chelley-Mobley@dfa.state.ny.us">Tiffany.Chelley-Mobley@dfa.state.ny.us</a></td>
</tr>
<tr>
<td>00287</td>
<td>196 Albany Avenue Day Care Center, Inc.</td>
<td>Fern Glover</td>
<td>(212) 513-0202</td>
<td><a href="mailto:Fern.Glover@dfa.state.ny.us">Fern.Glover@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
</tr>
<tr>
<td>00801</td>
<td>Abyssinian Development Corporation</td>
<td>Nat Walters</td>
<td>(212) 676-9188</td>
<td><a href="mailto:Nathaniel.Walters@dfa.state.ny.us">Nathaniel.Walters@dfa.state.ny.us</a></td>
<td>Geraldine Gibson-Ross</td>
<td><a href="mailto:Geraldine.Gibson-Ross@dfa.state.ny.us">Geraldine.Gibson-Ross@dfa.state.ny.us</a></td>
</tr>
<tr>
<td>00171</td>
<td>Action Nursery</td>
<td>Joseph Gabriel</td>
<td>(212) 676-9195</td>
<td><a href="mailto:Joseph.Gabriel@dfa.state.ny.us">Joseph.Gabriel@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
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<tr>
<td>00802</td>
<td>Addie Mae Collins Community Service, Inc.</td>
<td>Ronald Schmidt</td>
<td>(212) 676-8843</td>
<td><a href="mailto:Ronald.Schmidt@dfa.state.ny.us">Ronald.Schmidt@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
</tr>
<tr>
<td>00870</td>
<td>All My Children Daycare and Nursery School</td>
<td>Lorraine Iachetta</td>
<td>(212) 442-6246</td>
<td><a href="mailto:Lorraine.Iachetta@dfa.state.ny.us">Lorraine.Iachetta@dfa.state.ny.us</a></td>
<td>Tiffany Chelley-Mobley</td>
<td><a href="mailto:Tiffany.Chelley-Mobley@dfa.state.ny.us">Tiffany.Chelley-Mobley@dfa.state.ny.us</a></td>
</tr>
<tr>
<td>00278</td>
<td>Alpha Kappa Alpha Sorority Epsilon Pi Omega Chpt DCC, Inc.</td>
<td>Fern Glover</td>
<td>(212) 513-0202</td>
<td><a href="mailto:Fern.Glover@dfa.state.ny.us">Fern.Glover@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
</tr>
<tr>
<td>00803</td>
<td>Above Worldwide Institute, Inc.</td>
<td>Fern Glover</td>
<td>(212) 513-0202</td>
<td><a href="mailto:Fern.Glover@dfa.state.ny.us">Fern.Glover@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
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<td>00196</td>
<td>Bellevue Day Care Center, Inc.</td>
<td>Jean Lapierre</td>
<td>(212) 676-9126</td>
<td><a href="mailto:Jean.Lapierre@dfa.state.ny.us">Jean.Lapierre@dfa.state.ny.us</a></td>
<td>Geraldine Gibson-Ross</td>
<td><a href="mailto:Geraldine.Gibson-Ross@dfa.state.ny.us">Geraldine.Gibson-Ross@dfa.state.ny.us</a></td>
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<td>00221</td>
<td>Belmont Community Day Care Center, Inc.</td>
<td>Fern Glover</td>
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<td><a href="mailto:Fern.Glover@dfa.state.ny.us">Fern.Glover@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
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<tr>
<td>00407</td>
<td>Beth Jacob Day Care Center, Inc.</td>
<td>Joseph Gabriel</td>
<td>(212) 676-9195</td>
<td><a href="mailto:Joseph.Gabriel@dfa.state.ny.us">Joseph.Gabriel@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
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<tr>
<td>00190</td>
<td>Birch Family Services, Inc.</td>
<td>Ronald Schmidt</td>
<td>(212) 676-8843</td>
<td><a href="mailto:Ronald.Schmidt@dfa.state.ny.us">Ronald.Schmidt@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
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<tr>
<td>00460</td>
<td>Blanche Community Progress Day Care Center, Inc.</td>
<td>Lorraine Iachetta</td>
<td>(212) 442-6246</td>
<td><a href="mailto:Lorraine.Iachetta@dfa.state.ny.us">Lorraine.Iachetta@dfa.state.ny.us</a></td>
<td>Tiffany Chelley-Mobley</td>
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<td>00806</td>
<td>Bloomingdale Family Program, Inc.</td>
<td>Natalia Yakovleva</td>
<td>(212) 676-9125</td>
<td><a href="mailto:Natalia.Yakovleva@dfa.state.ny.us">Natalia.Yakovleva@dfa.state.ny.us</a></td>
<td>Tiffany Chelley-Mobley</td>
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<td>00065</td>
<td>Boys &amp; Girls Harbor, Inc.</td>
<td>Ronald Schmidt</td>
<td>(212) 676-8843</td>
<td><a href="mailto:Ronald.Schmidt@dfa.state.ny.us">Ronald.Schmidt@dfa.state.ny.us</a></td>
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<td>00871</td>
<td>Brightside Academy, Inc.</td>
<td>Parvinder Singh</td>
<td>(212) 676-9160</td>
<td><a href="mailto:Parvinder.Singh@dfa.state.ny.us">Parvinder.Singh@dfa.state.ny.us</a></td>
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<td>00872</td>
<td>Bronx Community College Early Childhood Center</td>
<td>Kenneth Knibbs</td>
<td>(212) 676-8803</td>
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<td>00612</td>
<td>Bronx Works</td>
<td>Khalil Otoba</td>
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<td><a href="mailto:Khalil.Otoba@dfa.state.ny.us">Khalil.Otoba@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
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<td>00219</td>
<td>Bronxdale Tenants League Day Care Center, Inc.</td>
<td>Ronald Schmidt</td>
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<td>00109</td>
<td>Brooklyn Bureau of Community Service</td>
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<td>00605</td>
<td>Brooklyn Chinese American Association</td>
<td>Khalil Otoba</td>
<td>(212) 676-9075</td>
<td><a href="mailto:Khalil.Otoba@dfa.state.ny.us">Khalil.Otoba@dfa.state.ny.us</a></td>
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<td>00436</td>
<td>Brooklyn Development Center Early Childhood Services, Inc.</td>
<td>Joseph Gabriel</td>
<td>(212) 676-9195</td>
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<td>00003</td>
<td>Brooklyn Kindergarten Society, Inc.</td>
<td>Jean Lapierre</td>
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<td>00873</td>
<td>BumbleBees R Us, Inc.</td>
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<td>00251</td>
<td>Bushwick Improvement Society, Inc.</td>
<td>Kenneth Knibbs</td>
<td>(212) 676-8803</td>
<td><a href="mailto:Kenneth.Knibbs@dfa.state.ny.us">Kenneth.Knibbs@dfa.state.ny.us</a></td>
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<td>00708</td>
<td>Bushwick United Housing Development Fund Corp.</td>
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<td>00920</td>
<td>Cardinal McCloskey School and Home for Children</td>
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<td>Tiffany Chelley-Mobley</td>
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<td>00004</td>
<td>Catholic Charities Neighborhood Services, Inc.</td>
<td>Benjamin Zeng</td>
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<td><a href="mailto:Benjamin.Zeng@dfa.state.ny.us">Benjamin.Zeng@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
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<td>00874</td>
<td>Child Prodigy Learning Center, Inc.</td>
<td>Irina Matsugina</td>
<td>(212) 676-9074</td>
<td><a href="mailto:Irina.Matsugina@dfa.state.ny.us">Irina.Matsugina@dfa.state.ny.us</a></td>
<td>Geraldine Gibson-Ross</td>
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<td>00594</td>
<td>Children's Aid Society, Inc.</td>
<td>Irina Matsugina</td>
<td>(212) 676-9074</td>
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<td>00875</td>
<td>Christina Day Care</td>
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<td>(212) 676-9160</td>
<td><a href="mailto:Parvinder.Singh@dfa.state.ny.us">Parvinder.Singh@dfa.state.ny.us</a></td>
<td>Geraldine Gibson-Ross</td>
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<td>00023</td>
<td>Citizens Care Day Care Center, Inc</td>
<td>Natalia Yakovleva</td>
<td>(212) 676-9125</td>
<td><a href="mailto:Natalia.Yakovleva@dfa.state.ny.us">Natalia.Yakovleva@dfa.state.ny.us</a></td>
<td>Tiffany Chelley-Mobley</td>
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<td>00024</td>
<td>Claremont Neighborhood Centers, Inc.</td>
<td>Benjamin Zeng</td>
<td>(212) 676-9185</td>
<td><a href="mailto:Benjamin.Zeng@dfa.state.ny.us">Benjamin.Zeng@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
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<td>00437</td>
<td>Clifford Glover Day Care Center, Inc</td>
<td>Benjamin Zeng</td>
<td>(212) 676-9185</td>
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<td>Sharon Francis</td>
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<td>00808</td>
<td>Committee for Early Childhood Development DCC Inc</td>
<td>Kenneth Knibbs</td>
<td>(212) 676-8803</td>
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<td>Geraldine Gibson-Ross</td>
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<td>00029</td>
<td>Community Life Center, Inc. Head Start</td>
<td>Natalia Yakovleva</td>
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<td>Tiffany Chelley-Mobley</td>
<td><a href="mailto:Tiffany.Chelley-Mobley@dfa.state.ny.us">Tiffany.Chelley-Mobley@dfa.state.ny.us</a></td>
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<td>Contractor's Name</td>
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<td>00809</td>
<td>Community Parents, Inc.</td>
<td>Benjamin Zeng</td>
<td>(212) 676-9185</td>
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<td>00031</td>
<td>Cornerstone Day Care Center, Inc.</td>
<td>Khalil Otoba</td>
<td>(212) 676-9075</td>
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<td>Sharon Francis</td>
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<td>00231</td>
<td>Cypress Hills Child Care Corporation</td>
<td>Natalia Yakovleva</td>
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<td>00810</td>
<td>Dewitt Reformed Church Head Start</td>
<td>Ronald Schmidt</td>
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<td>00033</td>
<td>East Calvary Day Care, Inc.</td>
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<td>00034</td>
<td>East Harlem Block Nursery, Inc.</td>
<td>Benjamin Zeng</td>
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<td>East Harlem Council for Human Services, Inc.</td>
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<td>00036</td>
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<td>00005</td>
<td>East Tremont Child Care and Development Center, Inc.</td>
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<td>00811</td>
<td>East Tremont Head Start Alumni DCC, Inc.</td>
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<td>00812</td>
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<td>00041</td>
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<td>00457</td>
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<td>00814</td>
<td>Ft. George Community Enrichment Center, Inc.</td>
<td>Irina Matsugina</td>
<td>(212) 676-9074</td>
<td><a href="mailto:Irina.Matsugina@dfa.state.ny.us">Irina.Matsugina@dfa.state.ny.us</a></td>
<td>Geraldine Gibson-Ross</td>
<td><a href="mailto:Geraldine.Gibson-Ross@dfa.state.ny.us">Geraldine.Gibson-Ross@dfa.state.ny.us</a></td>
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<td>00045</td>
<td>Goddard Riverside Community Center, Inc.</td>
<td>Irina Matsugina</td>
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<td>00046</td>
<td>Grand Street Settlement, Inc.</td>
<td>Joseph Gabriel</td>
<td>(212) 676-9195</td>
<td><a href="mailto:Joseph.Gabriel@dfa.state.ny.us">Joseph.Gabriel@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
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<td>00166</td>
<td>Greater Flushing Community Council, Inc.</td>
<td>Joseph Gabriel</td>
<td>(212) 676-9195</td>
<td><a href="mailto:Joseph.Gabriel@dfa.state.ny.us">Joseph.Gabriel@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
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<td>00049</td>
<td>Hamilton-Madison House, Inc.</td>
<td>Jean Lapierre</td>
<td>(212) 676-9126</td>
<td><a href="mailto:Jean.Lapierre@dfa.state.ny.us">Jean.Lapierre@dfa.state.ny.us</a></td>
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<tr>
<td>00815</td>
<td>Harlem Children’s Zone</td>
<td>Fern Glover</td>
<td>(212) 513-0202</td>
<td><a href="mailto:Fern.Glover@dfa.state.ny.us">Fern.Glover@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
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<td>00341</td>
<td>Hawthorne Corners Day Care Center, Inc.</td>
<td>Jean Lapierre</td>
<td>(212) 676-9126</td>
<td><a href="mailto:Jean.Lapierre@dfa.state.ny.us">Jean.Lapierre@dfa.state.ny.us</a></td>
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<td>00879</td>
<td>Hebrew Educational Society</td>
<td>Benjamin Zeng</td>
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<td>00592</td>
<td>Hebrew Kindergarten &amp; Infants Home, Inc.</td>
<td>Ronald Schmidt</td>
<td>(212) 676-8843</td>
<td><a href="mailto:Ronald.Schmidt@dfa.state.ny.us">Ronald.Schmidt@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
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<td>00313</td>
<td>HELP Day Care Corporation</td>
<td>Khalil Otoba</td>
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<td><a href="mailto:Khalil.Otoba@dfa.state.ny.us">Khalil.Otoba@dfa.state.ny.us</a></td>
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<td>00050</td>
<td>Henry Street Settlement, Inc.</td>
<td>Joseph Gabriel</td>
<td>(212) 676-9195</td>
<td><a href="mailto:Joseph.Gabriel@dfa.state.ny.us">Joseph.Gabriel@dfa.state.ny.us</a></td>
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<td>00217</td>
<td>Highbridge Advisory Council Family Services, Inc.</td>
<td>Nat Walters</td>
<td>(212) 676-9188</td>
<td><a href="mailto:Nathaniel.Walters@dfa.state.ny.us">Nathaniel.Walters@dfa.state.ny.us</a></td>
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<td>00589</td>
<td>Homes for the Homeless</td>
<td>Lorraine Iachetta</td>
<td>(212) 442-6246</td>
<td><a href="mailto:Lorraine.Iachetta@dfa.state.ny.us">Lorraine.Iachetta@dfa.state.ny.us</a></td>
<td>Tiffany Chelley-Mobley</td>
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<td>Hospital Clinic Home Center Instructional Corp.</td>
<td>Fern Glover</td>
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<td>00052</td>
<td>Hudson guild</td>
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<td>00880</td>
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<td>00614</td>
<td>Kingsbridge Heights Community Center</td>
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<td>00817</td>
<td>La Peninsula Community Organization, Inc.</td>
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<td>00918</td>
<td>Labor and Industry for Education, Inc.</td>
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<td>Labor Bathgate Community Child Care Board</td>
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### Administration for Children's Services

**Division of Financial Services**

**EarlyLearn Portfolio Matrix [As of November 14, 2013]**

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<th>EL Fiscal ID</th>
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<td>00247</td>
<td>League For Better Community Life, Inc.</td>
<td>Jean LaPierre</td>
<td>(212) 676-9126</td>
<td><a href="mailto:Jean.Lapierre@dfa.state.ny.us">Jean.Lapierre@dfa.state.ny.us</a></td>
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<td>00069</td>
<td>Lexington Children Center, Inc.</td>
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<td>00119</td>
<td>Lincoln Square Neighborhood Center, Inc.</td>
<td>Lorraine Iachetta</td>
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<td>00819</td>
<td>Little Angels Head Start</td>
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<td>00082</td>
<td>Lutheran Social Services of NY</td>
<td>Aron Kadin</td>
<td>(212) 676-9179</td>
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<td>00603</td>
<td>MARC Academy and Family Center, Inc.</td>
<td>Aron Kadin</td>
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<td><a href="mailto:Aron.Kadin@dfa.state.ny.us">Aron.Kadin@dfa.state.ny.us</a></td>
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<td>00604</td>
<td>Mid Bronx CPRP Early Childhood Center, Inc.</td>
<td>Irina Matsugina</td>
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<td>00820</td>
<td>National Association of Family Development Center, Inc.</td>
<td>Benjamin Zeng</td>
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<td><a href="mailto:Benjamin.Zeng@dfa.state.ny.us">Benjamin.Zeng@dfa.state.ny.us</a></td>
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<td><a href="mailto:Sharon.Francis@dfa.state.ny.us">Sharon.Francis@dfa.state.ny.us</a></td>
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<td>00431</td>
<td>New Life Child Development Center, Inc.</td>
<td>Kenneth Knibbs</td>
<td>(212) 676-8803</td>
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<td>Geraldine Gibson-Ross</td>
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<td>Nichols Cardell Day Care Center, Inc.</td>
<td>Joseph Gabriel</td>
<td>(212) 676-9195</td>
<td><a href="mailto:Joseph.Gabriel@dfa.state.ny.us">Joseph.Gabriel@dfa.state.ny.us</a></td>
<td>Sharon Francis</td>
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<td>00225</td>
<td>North Bronx NCNW Child Development Center, Inc.</td>
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<td>00075</td>
<td>Northeast Bronx Day Care Centers, Inc.</td>
<td>Parvinder Singh</td>
<td>(212) 676-9160</td>
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<td>00821</td>
<td>Northern Manhattan Perinatal Partnership</td>
<td>Aron Kadin</td>
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<td>00617</td>
<td>Northside Center for Child Development, Inc.</td>
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<td>00492</td>
<td>Nuestros Ninos Day Care Center, Inc.</td>
<td>Ellen Kollore</td>
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<td>00234</td>
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<td>00883</td>
<td>Our Children the Leaders of Tomorrow, Inc.</td>
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<td>00484</td>
<td>Park Slope North Child Development Center, Inc.</td>
<td>Lorraine Iachetta</td>
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<td>00443</td>
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<td>00163</td>
<td>Pleasant Avenue Day Care Center, Inc.</td>
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<td>00329</td>
<td>Police Athletic League, Inc.</td>
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<td>00226</td>
<td>Queens County Educators For Tomorrow</td>
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<td>Rena Day Care Center, Inc.</td>
<td>Parvinder Singh</td>
<td>(212) 676-9160</td>
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<td>Geraldine Gibson-Ross</td>
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## Administration for Children's Services

**Division of Financial Services**

**EarlyLearn Portfolio Matrix [As of November 14, 2013]**

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<td>(212) 676-9075</td>
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<td>00501</td>
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HOW ARE NYC CHILDREN DOING?

We begin to answer this question by engaging in the screening and assessment of all children enrolled in EarlyLearn NYC programs across the city.

Screening and Assessment within EarlyLearn NYC

Initial screening of children is carried out to identify evidence of developmental, sensory, or social-emotional disabilities. Program staff engage in this process to identify strengths, learning needs, and support that a child requires. In contrast, ongoing assessment data is used over the course of the year to help inform classroom practice as well as to contextualize community, program and family engagement.

Initial Screening

Initial screening of children is carried out within 45 days of a child’s entry into a program, to identify evidence of developmental, sensory, or behavioral concerns and to determine if children should receive a more formal evaluation to identify disabilities. Teachers, mental health professionals or community partners often administer screenings as a first step in determining whether sensory, social-emotional and developmental skills are progressing as expected, or if there is cause for concern or a need for further evaluation.

The screening itself does not determine a diagnosis or need for early intervention. However, it may suggest the need for an in-depth evaluation, which can then assist in those determinations. Screenings are not necessarily one-time events, though; if a child is suspected of having a developmental delay later in the program year, a referral should be made for a formal evaluation at that time.

Ongoing Assessment

The process of ongoing assessment helps programs to identify strengths and needs of their children, through data that is collected through Online Assessment Systems over the course of the year. Use of this data can help programs to plan and tailor appropriate learning experiences and services, and to communicate developmental information to parents and families. Over the course of the school year, teachers enter scores and anecdotes in response to a series of research-based questions that are outlined in each assessment tool. Program staff analyze individual, classroom and program trends in order to properly engage in informed and intentional practice that responds to the range of developmental needs within each program.

Vendors

EarlyLearn NYC purchases the licenses for Online Child Assessment Tools for the programs within its system. The three tools offered to EarlyLearn programs are: Teaching Strategies GOLD, HighScope Online COR and Pearson’s Work Sampling System.

Checkpoints

EarlyLearn NYC sets three dates over the course of the academic year, each approximately 14 weeks apart, when child assessment data is monitored and evaluated. Those “checkpoints” for the 2013/2014 year are 11/15/13, 03/07/14 and 06/13/14.
Read About It

Lions, and Tigers, and Transitions! Oh My!

Ms. Sandler looked up at the clock with a shiver of anxiety. It was time for her 14 energetic preschoolers to transition from centers to large group, and she did not look forward to the chaos and unruliness that would come after ringing the bell. This was typically the most difficult part of her day.

Have you ever felt like Ms. Sandler? Many of us have! But smooth transitions do not happen through good intentions alone. Teachers often overlook the planning or lack the tools to make effective classroom transitions possible. This article in Young Exceptional Children can help. With the "Transition Review Worksheet," you can plan for transitions, clarify roles and responsibilities, and identify areas of concern. Using the "Transition Implementation Worksheet" will put your plans into action. The article also illustrates how Ms. Sandler used other modifications, including environmental supports, for children who need extra help during these challenging times of the day.

How did Ms. Sandler make her classroom transitions smoother and less chaotic? The Division for Early Childhood (DEC) and Sage Publications have enabled free access to "Supporting Classroom Transitions Between Daily Routines: Strategies and Tips" through Tuesday, Dec. 31, 2013.

Take a Look

Learn by Viewing: The SpecialQuest Video Library

Have you checked out the SpecialQuest video library? If you're new to SpecialQuest (or if it's been a while), take a moment to see what's available through the Early Childhood Learning and Knowledge Center (ECLKC). The library is organized into four areas: Infant and Toddler Inclusion; Building Relationships with Families; Collaboration; and Preschool Inclusion, which may be of particular interest to Head Start teachers.

Try it Out!

Three Great Ideas

We asked Dee Shilling, a professor of physical therapy education, for her three favorite tips to increase engagement in children with autism spectrum disorder (ASD) in Head Start classrooms. This is what she said:

1. **Modify seating**—Replace some classroom chairs with therapy balls. Balls provide dynamic sitting and have been shown to help with attention to tasks for some children with ASD. To prevent the balls from rolling around when not in use, provide therapy balls that have feet or place them inside a hula-hoop type ring.
2. **Calm down the visual environment**—Some children with ASD have difficulty distinguishing between important and unimportant visual stimuli. To reduce visual clutter in the classroom, minimize wall hangings and art, reduce the use of bright colors or patterns (including teachers' clothing), and cover up toys that are not in use. To direct children's attention, add holiday lights near a learning activity. Or dim the lights and point a flashlight beam on the items or people you want children to look at.

3. **Decrease classroom noise**—For some children with ASD who are over-responsive to sound (they cover their ears, hum, or prefer quiet areas), you may be able to change the noise level in the classroom and increase their engagement. Ideas like placing cut-out tennis balls on the bottom of chair legs, allowing a child to use noise-cancelling ear phones, or providing a quiet spot in the classroom with a white-noise machine or fan may make it easier for a child to attend to learning activities.

With any of these practices, it's best to try the simplest modification first before making bigger changes.

### Improve Your Practice

**Check out a 15-minute In-service Suite from NCQTL: Teacher-to-Teacher Talk**

"Teacher-to-teacher talk" refers to how the adults in a classroom interact and communicate with each other. Effective teacher talk is purposeful and helps create a sense of teamwork. It can be a way to positively and productively share information and create consistency around classroom rules and routines—for children and teachers. You can find the National Center on Quality Teaching and Learning's (NCQTL) Teacher-to-Teacher Talk in-service suite (including a training PowerPoint and Tips for Teachers) on the ECLKC. This suite may be used in conjunction with another 15-minute in-service suite, **Zoning: Staffing to Maximize Learning**.

### Families Too!

**Parents Helping Parents—Planning Ahead for an IEP Meeting**

Parents who have been part of the Individualized Education Program (IEP) process are in a unique position to help other parents in similar circumstances. The Pacer Center’s resources are rooted in the parents-helping-parents philosophy. This website includes more than 100 handouts related to special education written by parents, for parents. "Plan Ahead for Academic Success Using the IEP Meeting Checklist" is especially useful to families going through the IEP process for the first time. The categories "Before the Meeting" and "At the Meeting" in this handout will help you know what to ask about, and a list of frequently used IEP terms and definitions will help you to decipher the new vocabulary. Families can find more information on the All About the IEP page located on the National Dissemination Center for Children with Disabilities (NICHCY) website.

### Special Events

The 11th Annual National Training Institute (NTI) on Effective Practices will take place in St. Petersburg, FL at the Vinoy Renaissance Resort & Golf Club, April 23–25, 2014.

### We Want to Hear from You!

The Head Start Disabilities Services Newsletter is produced monthly by NCQTL. Email Kristin Ainslie at ncqtl@uw.edu to submit questions or suggestions for future Head Start Disabilities Services Newsletter topics.

Select this link to view previous Head Start Disabilities Services Newsletters on the ECLKC.
Who We Are

The ACS/CUNY Informal Family Child Care Project (IFCC) is here to help you succeed! IFCC provides information and resources to informal (license-exempt) child care providers throughout the five boroughs of New York City. As part of the NY Early Childhood Professional Development Institute (PDI), IFCC helps child care providers develop the skills and tools to directly impact excellence for children.

How We Can Help You

IFCC offers a variety of programs and services to support your work with children, including:

• Monthly professional development training
• Health & Safety/15 Hour training
• Child and Adult Care Food Program Training (CACFP)
• Technical assistance
• Special events and opportunities

UPCOMING EVENTS

Thank you for taking responsibility to care for and educate young children in New York City.

For more information, please call 718-254-7354
Quienes Somos

¡Estamos aquí para ayudarle a ser exitoso! El Proyecto de Cuidado Infantil Familiar e Informal (IFCC) ofrece información y recursos a proveedores de cuidado infantil sin licencia. Como parte del Instituto de Desarrollo Profesional en Edad Temprana de Nueva York (PDI) el IFCC ayuda a los proveedores a desarrollar las habilidades y herramientas que impactan directamente en la excelencia para los niños.

Cómo Podemos Ayudarle

IFCC ofrece una variedad de programas y servicios para apoyar su trabajo con los niños, entre ellas:

- Entrenamientos mensuales para su desarrollo profesional
- Entrenamiento en Salud y Seguridad/15 horas
- Entrenamiento en el Programa de Cuidado y Alimentos Para Niños y Adultos (CACFP)
- Asistencia Técnica
- Eventos y Oportunidades Especiales

EVENTOS PROXIMOS

**Noviembre**

Desarrollo Profesional Central
Joseph S. Murphy Institute for Worker Education
El Desarrollo Social y Emocional
Sábado, noviembre 16, 2013, 8:30 a.m.-12:00 p.m.
25 W. 43rd Street, Piso 18
New York, NY 10036

Queens
El Desarrollo Social y Emocional
Miercoles, noviembre 13 y 20, 6:30– 8:30 p.m.
35-58 94 Street
Jackson Heights, NY 11372

Brooklyn
Proyecto de Cuidado Infantil Familiar Informal (IFCC)
Entendiendo el Temperamento
Miercoles, noviembre 13 y 20, 6:30 – 8:30 p.m.
16 Court Street 10th Floor - Room 1003
Brooklyn, NY 11241

Bronx
Librería Central del Bronx
Entendiendo el Temperamento
Miercoles, noviembre 13 y 20, 6:30-8:30 p.m.
310 East Kingsbridge Road (Briggs Avenue)
Bronx, NY 10458

**Diciembre**

Desarrollo Profesional Central
Joseph S. Murphy Institute for Worker Education
Entendiendo el Temperamento
Sábado, diciembre 14, 2013, 8:30 a.m.- 12:00pm
25 W. 43rd Street , Piso 18
New York, NY 10036

Queens
Cómo Hablarle a los Niños
Miercoles, diciembre 4 y 18, 6:30– 8:30 p.m.
35-58 94 Street
Jackson Heights, NY 11372

Brooklyn
Proyecto de Cuidado Infantil Familiar Informal (IFCC)
Cómo Hablarle a los Niños
Miercoles, diciembre 4 y 18, 6:30– 8:30 p.m.
16 Court Street Piso 10 - Salón 1003
Brooklyn, NY 11241

Bronx
Librería Central del Bronx
Cómo Hablarle a los Niños
Miercoles, diciembre 4 y 18, 6:30-8:30 p.m.
310 East Kingsbridge Road (Briggs Avenue)
Bronx, NY 10458

Gracias por tomar la responsabilidad de cuidar y educar a los niños pequeños de la Ciudad de Nueva York.

Para más información, llame 718-254-7354
October 24, 2013

Dear Colleague:

During the recent government shut down the Region II Head Start Association, had to make a decision. Our choices were, have a November conference with very few trainers, cancel the conference and lose $25,000.00 or reschedule the conference for January, 2014. Not knowing what the government was going to do, we waited until the very last minute and decided to reschedule for January. While everyone is aware that there could be another government shutdown in January, the new conference dates are prior to that possibility.

The new dates for the conference are January 7, 8, 9, 10, 2014. Attached please find updated conference information. Early Bird registration while be in effect until December 14th. The cutoff date for hotel room rates will be December 10th. Please note, that if you have made hotel reservations the hotel will be contacting you to roll your dates forward. A revised Conference at a Glance will be forwarded.

The Association wishes to thank you for your support as we navigate unchartered waters with the rest of the country.

Yours in partnership,

Veronica E. Ray, President
# PARENTS - FAMILY - COMMUNITY EXCELLENCE for OUR CHILDREN

## REGION II HEAD START ASSOCIATION

### ANNUAL PROFESSIONAL DEVELOPMENT CONFERENCE

**ASOCIACIÓN DE HEAD START – REGION II CONFERENCIA ANNUAL DE DESARRALLO PROFIENAL**

Incorporated 2003

**Borgata Hotel**

Atlantic City, New Jersey

January 7th-10th, 2014

---

## REGISTRATION FORM – REGISTRO FROMULARIO

<table>
<thead>
<tr>
<th>Name/Nombre</th>
<th>Title/Título</th>
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<tbody>
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<thead>
<tr>
<th>Agency/Agencia</th>
<th>Telephone #/Teléfono</th>
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<tr>
<th>Address/Ciudad</th>
<th>Fax #/Referencia de fax</th>
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<tr>
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<td></td>
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</tbody>
</table>

### REGION II MEMBERSHIPS ARE INCLUDED IN THE CONFERENCE FEE

Please check affiliation/Por favor marque afiliación:
- Director [ ]
- Staff/Personal [ ]
- Parent/Padre [ ]
- Friend/Amigo [ ]

---

### EARLY BIRD FULL CONFERENCE REGISTRATION (Payment received by December 14, 2013)

- $325.00 [ ]

### REGULAR FULL CONFERENCE REGISTRATION (Must be received by December 31, 2013)

- $375.00 [ ]

### ONE-DAY CONFERENCE REGISTRATION – Indicate which day:

- **WED [ ]**
- **THU [ ]**

- **$200.00 [ ]**

### ON-SITE REGISTRATION – FULL CONFERENCE

- $425.00

### ONE-DAY CONFERENCE

- $275.00

---

**INSTRUCTIONS:**

1. Please return 2 copies with check.  
   Por favor devuelva todas las 2 copias con el pago.
2. Registration Forms must be accompanied by full payment.  
   Formulario de registro debe ser acompañado con el pago total.
   Cheque pagadero a: Asociación de Head Start Region II.
4. No refunds/No reemborsos.
5. Receipts provided at the conference.  
   Recibos se proveerán en la conferencia.

---

**Mail all forms to/Dirija los formularios a**

Region II Head Start Association  
405-425 University  
Newark, New Jersey, 07102  
Attn: Janet Ramos  

Phone: 973-643-0300  
Fax: 973-624-1265
REGION II HEAD START ASSOCIATION
10th Anniversary
ANNUAL PROFESSIONAL DEVELOPMENT CONFERENCE
Borgata Hotel
Atlantic City, New Jersey
January 7th-10th, 2014
"PARENTS - FAMILY - COMMUNITY"
EXCELLENCE for OUR CHILDREN

Hotel Reservations: 609-317-1000
Room Rate Single $109.00 – Double add $20.00
Rate Guaranteed Until December 10, 2013
NYC Administration for Children’s Services
Division of Family Support Services
Office of Early Childhood and Preventive Partnerships (ECPP)

Is pleased to invite you to
The December 2013 Specialized Learning Community Seminar:

Getting to the Heart of the Problem:
Recognizing and Treating the Impact of Trauma on Parenting

Child welfare systems are beginning to recognize and treat parents’ trauma. *Rise Magazine* has partnered with ECPP to present a unique learning event which will address the impact of trauma on the parents of our youngest children (0-5 Years old).

After the morning presentations, we will gather into three Learning Circles. These Circles will provide us with an opportunity to reflect, share our thoughts, questions, concerns and ideas with each other. We will also have the opportunity to take a look at the emotional impact trauma has on frontline staff and educators. This discussion will be guided by a team of experts, with extensive experience in this area.

Our featured presenters will be
Roni Avinadav, Clinical Director
ACS-NYU Children’s Trauma Institute at NYU’s Langone Medical Center
Safe Mothers, Safe Children Program

Parent Writers and Director Nora McCarthy
RISE MAGAZINE

When: Friday, December 6, 2013
Time: 10 AM until 2 PM
Where: NYC Children’s Services Training Center
150 William Street, 13th floor
NY, NY 10038

Agencies are encouraged to register Program Directors, Parent Advocates, Education and Family Support Directors and Supervisors, Community and Project Coordinators, Case Planners, and Mental Health Consultants.

Registration is required: mbartlett@acs.nyc.gov; or call 212-341-9023. Sign-In will begin at 9 AM.
“New York City EarlyLearn/Head Start Parent Appreciation Celebration.”

You have been selected as
The Parent Volunteer of the Year
By your
EarlyLearn/Head Start Delegate Agency

You and a guest
are invited to attend
The 11th Annual Parent Appreciation Celebration & Dinner

Sponsored by
The City-Wide Head Start Policy Council

Date: Thursday, December 19, 2013
Time: 6:00 pm – 10:30 pm
Place: Jing Fong Restaurant
20 Elizabeth Street
Chinatown, New York City

Please join the New York City-Wide Head Start Policy Council Members and Alternates as we acknowledge and honor our parents who have excelled at volunteerism in their EarlyLearn/Head Start programs.

We look forward to having you celebrate with us!

Please RSVP by Thursday, December 12, 2013 and
If you need additional information please call
Eleanor Quello at 212 393-5129
For directions to the restaurant please call 212 964-5256.
11th ANNUAL
PARENT APPRECIATION CELEBRATION & DINNER
THURSDAY, DECEMBER 19, 2013

PARENT VOLUNTEER NOMINATION FORM

PROGRAM NAME: ___________________________________________________________

PROGRAM ADDRESS: _______________________________________________________

CONTACT PERSON ___________________ TELEPHONE # _________________________

NOMINEE’S NAME _________________________________________________________

NOMINEE’S ADDRESS _____________________________________________________

NOMINEE’S TELEPHONE # ___________________ EMAIL _________________________

NOMINEE’S GUEST NAME __________________________________________________

Please fill out this form by Thursday, December 12, 2013 and Fax to –Eleanor Quallo – ACS/Head Start Fax# 212 393- 5377
Tel#: 212 393-5129
November 12, 2013

Dear EarlyLearn/Head Start Director:

The New York City-Wide Head Start Policy Council is holding its 11th Annual "New York City Head Start Parent Appreciation Celebration," on Thursday, December 19, 2013. The purpose of this event is twofold; To honor those parents of children in EarlyLearn Head Start programs who have excelled in volunteerism; and to give a few minutes to those honorees present who would like to share their personal EarlyLearn Head Start success story.

We would like you and your DAPC to nominate a parent from your agency who has demonstrated a commitment to the Agency that is noteworthy.

New York City Head Start Parent Appreciation Celebration will include the following activities:

- Recognition ceremony honoring nominees;
- Dinner & dancing at;

  Jing Fong Restaurant
  20 Elizabeth Street
  Chinatown, New York City
  6:00 pm - 10:30 pm
  (For direction to Jing Fong Restaurant please call 212 964-5256)

Enclosed you will find a flyer for the event and a nomination form. Please fill out the form and fax to the attention of Lafleur Cumberbatch or Eleanor Quallo at 212 393-5377 no later than Thursday, December 12, 2013.

We look forward to seeing your Parent Nominee and their guest on Thursday, December 19, 2013. If you have any questions or concerns, please feel free to call Miranda Harrison at 212 393-5157 or Eleanor Quallo at 212 393-5129.

Sincerely,
Lafleur Cumberbatch
Chairperson

Cc: M. Benejan
A. Guzman
First Aid Kit recommendations
Adapted from the American Academy of Pediatrics

Each first aid kit in the classroom to include the following:

<table>
<thead>
<tr>
<th>ITEMS</th>
<th>Recommended amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Disposable nonporous, latex-free or non-powdered latex gloves (latex-free recommended)</td>
<td>4 pairs</td>
</tr>
<tr>
<td>Scissors</td>
<td>1 small</td>
</tr>
<tr>
<td>Tweezers</td>
<td>1</td>
</tr>
<tr>
<td>Non glass, non-mercury thermometer for child’s temp</td>
<td>1</td>
</tr>
<tr>
<td>Adhesive strip bandages (assorted sizes)</td>
<td>20</td>
</tr>
<tr>
<td>1” bandage tape</td>
<td>1 roll</td>
</tr>
<tr>
<td>Gauze pads, 4” x 4” sterile</td>
<td>4</td>
</tr>
<tr>
<td>Roller gauze, 1”</td>
<td>1 roll</td>
</tr>
<tr>
<td>Individually wrapped sanitary pads (to contain bleeding or injuries)</td>
<td>1</td>
</tr>
<tr>
<td>Tissues</td>
<td>1 small pack</td>
</tr>
<tr>
<td>Wipes</td>
<td>4</td>
</tr>
<tr>
<td>Hand sanitizer (at least 60% alcohol content)</td>
<td>1 small bottle</td>
</tr>
<tr>
<td>Cold pack</td>
<td>1</td>
</tr>
<tr>
<td>Plastic bags</td>
<td>2</td>
</tr>
<tr>
<td>Small flashlight and extra batteries</td>
<td>1</td>
</tr>
<tr>
<td>Pen/pencil and note pad</td>
<td>1</td>
</tr>
<tr>
<td>Current American Academy of Pediatrics (AAP) standard first aid chart*</td>
<td>1</td>
</tr>
</tbody>
</table>

When children walk or are transported to another location, the transportable first aid kit should include **ALL** items listed above **AND** the following emergency information/items:

- ★ List of children in attendance and their emergency contact information.
- ★ Water, if in an area where clean water will not be available.
- ★ Cell phone or coins for pay phone

*The AAP first aid chart can be downloaded from [http://www.walpolepediatrics.com/links/FirstAid.pdf](http://www.walpolepediatrics.com/links/FirstAid.pdf)
# CACFP Metro Area Training Schedule

**2014**

**90 Church Street**

**Manhattan**

**9:00AM-2:00PM**

To register for these trainings contact CACFP - Anna Myers at 1-800-942-3858

## Food Production Record Training

<table>
<thead>
<tr>
<th>Food Production Training</th>
<th>Wednesday</th>
<th>January 15, 2014</th>
<th>Simona Ionescu&lt;br&gt;Cynthia Cummings-Lewis</th>
<th>90 Church Street&lt;br&gt;4-CR-C</th>
</tr>
</thead>
<tbody>
<tr>
<td>Food Production Training</td>
<td>Wednesday</td>
<td>February 19, 2014</td>
<td>Simona Ionescu&lt;br&gt;Cynthia Cummings-Lewis</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
<tr>
<td>Food Production Training</td>
<td>Wednesday</td>
<td>March 19, 2014</td>
<td>Simona Ionescu&lt;br&gt;Cynthia Cummings-Lewis</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
<tr>
<td>Food Production Training</td>
<td>Wednesday</td>
<td>April 16, 2014</td>
<td>Simona Ionescu&lt;br&gt;Cynthia Cummings-Lewis</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
<tr>
<td>Food Production Training</td>
<td>Wednesday</td>
<td>May 21, 2014</td>
<td>Simona Ionescu&lt;br&gt;Cynthia Cummings-Lewis</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
<tr>
<td>Food Production Training</td>
<td>Wednesday</td>
<td>June 11, 2014</td>
<td>Simona Ionescu&lt;br&gt;Cynthia Cummings-Lewis</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
<tr>
<td>Food Production Training</td>
<td>Wednesday</td>
<td>September 17, 2014</td>
<td>Simona Ionescu&lt;br&gt;Cynthia Cummings-Lewis</td>
<td>90 Church Street&lt;br&gt;4-CR-D</td>
</tr>
<tr>
<td>Food Production Training</td>
<td>Wednesday</td>
<td>October 22, 2014</td>
<td>Simona Ionescu&lt;br&gt;Cynthia Cummings-Lewis</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
<tr>
<td>Food Production Training</td>
<td>Wednesday</td>
<td>November 19, 2014</td>
<td>Simona Ionescu&lt;br&gt;Cynthia Cummings-Lewis</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
</tbody>
</table>

## New Sponsor/Director Training

<table>
<thead>
<tr>
<th>New Sponsor / Director</th>
<th>Thursday</th>
<th>January 9, 2014</th>
<th>Rhonda Mona&lt;br&gt;Vanessa Sparks</th>
<th>90 Church Street&lt;br&gt;4-CR-C</th>
</tr>
</thead>
<tbody>
<tr>
<td>New Sponsor / Director</td>
<td>Thursday</td>
<td>March 13, 2014</td>
<td>Rhonda Mona&lt;br&gt;Vanessa Sparks</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
<tr>
<td>New Sponsor / Director</td>
<td>Thursday</td>
<td>May 8, 2014</td>
<td>Rhonda Mona&lt;br&gt;Vanessa Sparks</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
<tr>
<td>New Sponsor / Director</td>
<td>Thursday</td>
<td>September 18, 2014</td>
<td>Rhonda Mona&lt;br&gt;Vanessa Sparks</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
<tr>
<td>New Sponsor / Director</td>
<td>Thursday</td>
<td>November 20, 2014</td>
<td>Rhonda Mona&lt;br&gt;Vanessa Sparks</td>
<td>90 Church Street&lt;br&gt;4-CR-C</td>
</tr>
</tbody>
</table>
# CACFP Metro Area Training Schedule 2014

**90 Church Street**  
**Manhattan**  
**9:00AM-2:00PM**

To register for these trainings contact CACFP - Anna Myers at 1-800-942-3858

## Steps to Excellence Training

<table>
<thead>
<tr>
<th>Steps to Excellence Training</th>
<th>Thursday</th>
<th>February 20, 2014</th>
<th>Rhonda Mona Cynthia Cummings-Lewis</th>
<th>90 Church Street 4-CR-C</th>
</tr>
</thead>
<tbody>
<tr>
<td>Steps to Excellence Training</td>
<td>Thursday</td>
<td>July 17, 2014</td>
<td>Rhonda Mona Cynthia Cummings-Lewis</td>
<td>90 Church Street 4-CR-C</td>
</tr>
</tbody>
</table>

## Vendor Contract Training (For Catered Programs)

<table>
<thead>
<tr>
<th>Vendor Contract Training</th>
<th>Thursday</th>
<th>April 17, 2014</th>
<th>Benita Simmons Vanessa Sparks</th>
<th>90 Church Street 4-CR-C</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vendor Contract Training</td>
<td>Thursday</td>
<td>June 19, 2014</td>
<td>Benita Simmons Vanessa Sparks</td>
<td>90 Church Street 4-CR-C</td>
</tr>
<tr>
<td>Vendor Contract Training</td>
<td>Thursday</td>
<td>October 9, 2014</td>
<td>Benita Simmons Vanessa Sparks</td>
<td>90 Church Street 4-CR-C</td>
</tr>
</tbody>
</table>
NEW YORK CITY DEPARTMENT OF HEALTH AND MENTAL HYGIENE
BUREAU OF CHILD CARE
125 WORTH ST., 9TH FL., ROOM 908, BOX 68, NEW YORK, N.Y. 10013

CHILDREN IN EARLY CHILDHOOD PROGRAMS

INFORMATION FOR PARENTS

Full and recent information about your child's health is needed in order to provide a well-rounded and safe program. A complete medical examination is required by the New York City Health Code for admission and continued attendance in a child care program in New York City.

The American Academy of Pediatrics recommends that children be examined according to the schedule on the reverse side.

INFORMATION FOR PHYSICIANS

The New York City Health Code requires basic information about your patient's health in order for him/her to participate in a child care program and to assist the child care staff in understanding and meeting the child's needs. The recommended schedule for screening tests and immunization is on the reverse side.

Your patient cannot attend the child care program unless health records are complete and up-to-date.

1. Health history including pertinent family, pregnancy and birth history.
3. Complete physical examination.
4. Screening tests and results.
5. Immunizations.
6. Recommendations regarding necessary medical treatment, dental care, diet, restrictions or referrals.
7. Health provider’s name (printed and signed), office address, telephone number, and date of examination.

SPECIFIC REQUIREMENTS:

LEAD TESTING
A direct blood lead test for all 1 and 2 year olds.
A direct blood lead at entry to Day Care if you are unable to provide evidence of prior test (from 1 year to under 6 years).
An annual risk assessment for all children from 6 months to under 6 years.

TUBERCULOSIS SCREENING (not required for entry)
Medical Provider discusses risk factors with parent/guardian.

IMMUNIZATIONS:

DIPHTHERIA, TETANUS, PERTUSSIS (DTP/DTaP) 4 doses
4th dose must be 6 months or more after 3rd dose.

POLIO (IPV) 3 doses

MEASLES, MUMPS, RUBELLA (MMR)
1 dose on or after 1st birthday (if given prior to 1st birthday must be revaccinated).

HAEMOPHILUS INFLUENZAE TYPE b (Hib) (up to 5 years) 3 doses required
3 doses (if younger than 15 months old) 4th dose at 15 months.
1 dose (at or after 15 months old).

HEPATITIS B (HBV) (for all children born on or after 1/1/95)
3 doses: at 2, 4, 6 months or birth, 2 months, 6 months. (6–18 months).

VARICELLA (Chicken Pox)
1 dose at 12 to 18 months (required for children born on or after 1/1/2000).

PNEUMOCOCCAL DISEASE (PCV, Prevnar)
4 doses (2 months, 4 months, 6 months and 15 months).

HEPATITIS A – Recommended
2 doses (12–23 months) 2nd dose at least 6 months after the 1st.

INFLUENZA – Yearly – Recommended
### SUMMARY SHEET FOR RECOMMENDED ROUTINE PROCEDURES AND VISIT SCHEDULES

#### HISTORY
- By 1 Month
- 2 Months
- 4 Months
- 6 Months
- 9 Months
- 12 Months
- 15 Months
- 18 Months
- 24 Months
- 3 Years
- 4 Years
- 5 Years
- 6 Years

<table>
<thead>
<tr>
<th>Procedure</th>
<th>1 Month</th>
<th>2 Months</th>
<th>4 Months</th>
<th>6 Months</th>
<th>9 Months</th>
<th>12 Months</th>
<th>15 Months</th>
<th>18 Months</th>
<th>24 Months</th>
<th>3 Years</th>
<th>4 Years</th>
<th>5 Years</th>
<th>6 Years</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hematocrit or Hemoglobin*</td>
<td>●</td>
<td></td>
<td></td>
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<tr>
<td>Hered./Metabolic Screening</td>
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<tr>
<td>Lead Risk Assessment**</td>
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<td>●</td>
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<tr>
<td>Lead Screening (venous)***</td>
<td>●</td>
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</tbody>
</table>

#### PROCEDURES:
- Hematocrit or Hemoglobin*
- Hered./Metabolic Screening
- Lead Risk Assessment**
- Lead Screening (venous)***
- Urinalysis
- Vision Screening
- Hearing Screening
- Oral Health Assessment****
- Tuberculosis Screening (PPD)*****

#### IMMUNIZATIONS

<table>
<thead>
<tr>
<th>Procedure</th>
<th>1 Month</th>
<th>2 Months</th>
<th>4 Months</th>
<th>6 Months</th>
<th>9 Months</th>
<th>12 Months</th>
<th>15 Months</th>
<th>18 Months</th>
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<td>Hep A (12-23 Months)</td>
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</table>

** = LEAD RISK ASSESSMENT QUESTIONS
*** = A DIRECT BLOOD LEAD TEST AT ENTRY TO DAY CARE IF YOU ARE NOT ABLE TO PROVIDE EVIDENCE OF PRIOR TEST (FROM 1 YEAR TO UNDER 6 YEARS)
**** = ALL CHILDREN TO HAVE A DENTAL REFERRAL NO LATER THAN 12 MONTHS OF AGE OR EARLIER IF NEEDED (i.e. first tooth eruption).
***** = AT 12 MONTHS OR AT ENTRY ALL CHILDREN ARE TO BE ASSESSED FOR TUBERCULOSIS RISK FACTORS: (Not a required test)

#### Does your child:
1. Live in or regularly visit a house with peeling or chipping paint built before 1960? This could include a day care center, preschool, the home of a baby sitter or a relative, etc.
2. Live in or regularly visit a house built before 1960 with recent, ongoing, or planned renovation or remodeling?
3. Have a brother or sister, housemate, or playmate being followed or treated for an elevated lead level (that is, a blood lead level of 10 mcg/dL or higher)?
4. Frequently come in contact with an adult whose job or hobby involves exposure to lead? Examples are construction, welding, pottery, or other trades practiced in your community.
5. Live near an active lead smelter, battery recycling plant, or other industry likely to release lead?
6. Live near a heavily travelled major highway, bridge or elevated train where soil and dust may be contaminated with lead?

** = LEAD RISK ASSESSMENT QUESTIONS

If the answer to any of the above questions is **YES**, then the child is considered to be at risk of high dose lead exposure and should be screened with a blood lead test.
Flu (Influenza): What you need to know

1) **The best method for preventing the Flu**: The Flu Vaccine
   - Encourage all families to vaccinate their children.
   - Everyone 6 months of age and older should get the flu vaccine. (It is not a required immunization, but is strongly recommended)
   - **All children with asthma should be vaccinated**. Children with asthma are at higher risk for dangerous flu complications.
   - Encourage all staff to get vaccinated, to protect the children in your program.

2) **The best method for preventing transmission of the Flu**: Handwashing
   - The flu can be transmitted before a child or adult has any symptoms.
   - The most important time for staff, children, and parents to wash their hands is before they enter the classroom.
   - All children and adults should cover their coughs and sneezes with their sleeves. Fabric kills the influenza virus. Keep hands away from mouth and nose as much as possible.
   - Alcohol-based hand sanitizer can be used if soap and water is not available. Children must be supervised when using hand sanitizer.

3) **Symptoms**:
   - The flu comes on suddenly. Most people with the flu have cold symptoms plus a high fever. Some people, especially children, may also have stomach problems and diarrhea.

4) **Staff and children who are sick with the flu must remain at home until they are symptom-free for 24 hours, and have not needed fever-control medicines**.
   - The length of time a child or adult is sick varies, but is usually around 5-7 days.

5) **New York State DOH regulations mandate that Flu information be prominently posted**
   Call 311 for handouts and posters or download them here:

   For more information on the Flu, including a flu vaccine finder, go to these sites:
   http://www.flu.gov/
   www.nyc.gov/flu
Partnering with PDI

Aspire, CPAC, CDA, and Partners in Early Learning

Louisa Higgins
Project Associate
CUNY/ PDI
The goal of our work:

To ensure that the workforce:

• Is united across sectors by common knowledge, competencies and standards

• Is committed to professional growth and career pathways

• Has access to high quality professional development opportunities
Aspire and EarlyLearn NYC

- Data collection system
- Growth of QUALITYstarsNY
- Program management
Aspire: The Workforce Registry

- Practitioner Registry
- Organization Accounts
- Statewide Training Calendar and Event Review
- Web based reporting tools
The Aspire Process: For Directors

- Create an organization account
- Complete your organization profile
- Create an individual profile for yourself
- Support all staff in creating individual profiles
- Verify staff employment
Account Set Up

Organization Profiles are for early childhood or school-age programs that directly serve children and families or for organizations that provide professional development. In order to create an Organization Profile, you must first set up an organization account. Please provide the following information to begin. Fields marked with * are required. Please use the contact us form if you have any questions or concerns.

Contact Information

Please provide contact information for the person who will manage this Aspire Organization Account.

First Name*: 

Last*: 

Email Address*: 

Phone: 

Are you an early childhood or school age program?

Please indicate if you are a program regulated by either the NYS Office of Children and Family Services or the NYC Department of Health and Mental Hygiene.

Yes - Provide one of the following:

OCFS Facility ID:

NYC Dept of Mental Health and Hygiene ID-Preschool:

NYC Dept of Mental Health and Hygiene ID-Infant:

NYSED Nursery School Cert. ID:
Account Set Up

In order to create a Professional Profile, you must first set up an Aspire account and then complete an online application. Please provide the following information to begin.

First Name*: 
Last Name*: 
Birth Date*: 
Last 5 Digits of SSN*: 

Continue  Cancel
The Aspire Process: Individual Professional Profiles

- Create account
- Complete online application
- Submit documentation
- Documentation is received, sorted, and reviewed
- Documentation is coded
- Information is data entered into the system
- Career level is calculated
- Certificate, professional development record, and career ladder level are issued and mailed to the participant
Partners in Early Learning: A Letter to Parents and Teachers
Please Reach Out!

- Aspire Hotline: (718) 254-7716
- info@nyworksforchildren.org

- Louisa B. Higgins
- Louisa.Higgins@mail.cuny.edu
Effects of childhood poverty and chronic stress on emotion regulatory brain function in adulthood

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†Department of Psychology, University of Denver, Denver, CO 80208; ‡Departments of Design and Environmental Analysis and Human Development, Bronfenbrenner Center for Translational Research, Cornell University, Ithaca, NY 14853; ‡Department of Psychiatry, University of Michigan, Ann Arbor, MI 48109; §Child Study Center, Yale University, New Haven, CT 06520-7900; ¶Department of Psychiatry, University of Illinois at Chicago, Chicago, IL 60608; and ∥Mental Health Service Line, Jesse Brown Veterans Affairs Medical Center, Chicago, IL 60612

Edited* by Bruce S. McEwen, The Rockefeller University, New York, NY, and approved August 21, 2013 (received for review May 2, 2013)

Childhood poverty has pervasive negative physical and psychological health sequelae in adulthood. Exposure to chronic stressors may be one underlying mechanism for childhood poverty–health relations by influencing emotion regulatory systems. Animal work and human cross-sectional studies both suggest that chronic stressor exposure is associated with amygdala and prefrontal cortex regions important for emotion regulation. In this longitudinal functional magnetic resonance imaging study of 49 participants, we examined associations between childhood poverty at age 9 and adult neural circuitry activation during emotion regulation at age 24. To test developmental timing, concurrent, adult income was included as a covariate. Adults with lower family income at age 9 exhibited reduced ventrolateral and dorsolateral prefrontal cortex activity and failure to suppress amygdala activation during effortful regulation of negative emotion at age 24. In contrast to childhood income, concurrent adult income was not associated with neural activity during emotion regulation. Furthermore, chronic stressor exposure across childhood (at age 9, 13, and 17) mediated the relations between family income at age 9 and ventrolateral and dorsolateral prefrontal cortex activity at age 24. The findings demonstrate the significance of childhood chronic stress exposures in predicting neural outcomes during emotion regulation in adults who grew up in poverty.

Significance

Childhood poverty has been linked to emotion dysregulation, which is further associated with negative physical and psychological health in adulthood. The current study provides evidence of prospective associations between childhood poverty and adult neural activity during effortful attempts to regulate negative emotion. Adults with lower family income at age 9 exhibited reduced ventrolateral and dorsolateral prefrontal cortex activity and failure to suppress amygdala activation at age 24. Chronic stressor exposure across childhood mediated the relations between family income at age 9 and prefrontal cortex activity. The concurrent adult income, on the other hand, was not associated with neural activity. The information on the developmental timing of poverty effects and neural mechanisms may inform early interventions aimed at reducing health disparities.
(36, 37) in adolescence when concurrent levels of poverty exposure were controlled. In these studies, poverty exposure at age 9 was concurrently and prospectively associated with chronic stress exposure at age 9, 13, and 17 (37–39), and elevated chronic stress, in turn, mediated the association between childhood poverty and later outcomes. Furthermore, animal studies and recent human brain imaging studies demonstrate that repeated exposure to chronic stress impacts amygdala and PFC development, potentially leading to impaired emotion regulation (40–43).

Therefore, in this longitudinal study, we investigated whether childhood family income was associated prospectively with adult neural activity in the amygdala and PFC during emotion regulation. We also examined a stress pathway linking childhood poverty and the subsequent neural functions for emotion regulation. The current study used family income assessed at age 9 as a direct measure of childhood poverty exposure. To investigate the developmental timing of poverty and neural functioning, we examined the link between childhood poverty and adult neural functioning after controlling for adult income levels. We used a well-established emotion regulation functional magnetic resonance imaging (fMRI) paradigm (18, 44), in which participants are instructed to experience the natural emotional state (Maintain) or to decrease the intensity of their negative affect by using cognitive reappraisal (Reappraisal) while viewing negative images. We hypothesized that, in the contrast of Reappraisal vs. Maintain conditions, low family income at age 9 would be associated with increased amygdala and decreased PFC activation. The amygdala and PFC activation may also be associated with self-reports of emotion regulation (Materials and Methods). Furthermore, we assessed chronic stress by averaging exposure to multiple physical (i.e., substandard housing, crowding, and noise) and social (i.e., family turmoil, violence, and child–family separation) risk factors across ages 9–17. We hypothesized that the influence of childhood income on amygdala and PFC activity would be mediated by chronic stress exposure throughout childhood.

Results

Descriptive and Behavioral Data. Ratings of negative affective state between two conditions, Reappraisal and Maintain, were significantly different, $r(48) = 4.13, P < 0.001$. The average ratings decreased from the Maintain (mean = 2.93 ± 0.81) to Reappraisal (mean = 2.48 ± 0.95). However, the success of regulating negative emotions (calculated by subtracting Reappraisal ratings from Maintain ratings) was not significantly associated with family income at age 9, chronic stress exposure across ages 9–17, or current adult income levels at age 24.

Family Income at Age 9 and Neural Emotion Regulation at Age 24. Reappraisal of emotion (compared with maintaining one’s emotional response) produced greater activation in bilateral inferior/middle/superior frontal gyrus, precentral gyrus, striatum, insula, parietal lobe, and temporal gyrus ($P < 0.05$, corrected for multiple comparisons; Materials and Methods). However, no amygdala activation was detected in the contrast of Reappraisal vs. Maintain using a region of interest (ROI) approach, although bilateral amygdala activation was detected on Reappraisal (vs. Baseline) and Maintain (vs. Baseline) conditions ($P < 0.05$, corrected).

Enhanced neural activation during Reappraisal was predicted by family income at age 9. In particular, in the contrast of the Reappraisal vs. Maintain, lower family income at age 9 predicted reduced activation in the left DLPFC (Fig. 1A), VLPFC/insula/temporopolar area (Fig. 1B) precentral gyrus, and inferior parietal lobe/superior temporal gyrus ($PS < 0.05$, corrected; Table 1; all analyses controlled for current income). No cluster was identified with a significant positive association with the current, adult income level.

Amygdala ROI analysis revealed that activation in the Reappraisal vs. Maintain conditions was negatively associated with childhood income in the left amygdala ($r(46) = 2.48, x, y, z = -30, -4, -22; 140 voxels$) ($P < 0.05$, uncorrected) (Fig. 1C), controlling for adult income level. Besides the amygdala activity from ROI analysis, no other cluster showed a negative association with family income at age 9 or current adult income level.

Furthermore, we explored functional connectivity between the left amygdala and VLPFC/DLPFC regions using the psychophysiological interaction (PPI) analysis at $P < 0.001$, uncorrected, cluster size > 10 voxels (SI Text). The analysis revealed that amygdala activity was positively coupled with the left VLPFC $[x, y, z = -58, 18, 8; 58$ voxels; $r(46) = 3.97$; Fig. S1] during Reappraisal among individuals with lower family income at age 9, whereas amygdala activity was negatively coupled with the left VLPFC during Reappraisal among individuals with higher family income at age 9 (Fig. S2). Family income at age 9 was not associated with the amygdala–DLPFC connectivity.

Finally, we calculated the correlation between regulation success scores and the amygdala, VLPFC, and DLPFC activity during Reappraisal. The correlation analysis revealed that Reappraisal success was positively correlated with both DLPFC $r(49) = 0.31, P < 0.05$ and VLPFC $r(49) = 0.27, P < 0.05$, but not with amygdala activity. Thus, DLPFC and VLPFC activity during Reappraisal was associated with greater success in downregulating negative emotions. However, the use of everyday reappraisal coping (Materials and Methods) was not associated with the neural activity.

Fig. 1. The upper panels are regions showing a significant association with family income-to-needs ratio at age 9. (A) Dorsolateral prefrontal cortex (PFC) ($x, y, z = -40, 12, 28; 343$ voxels; $P < 0.05$, corrected). (B) Ventrolateral PFC, insula, temporopolar area ($x, y, z = -46, 10, -8; 672$ voxels; $P < 0.05$, corrected). (C) Amygdala ($x, y, z = -30, -4, -22; 140$ voxels; $P < 0.05$, uncorrected). The lower panels depict partial regression plots describing the associations between family income-to-needs ratio at age 9 and parameter estimates of a region in the contrast of Reappraisal vs. Maintain, controlling for adult income level.
Table 1. Brain areas with the positive associations between family income-to-needs ratio at age 9 and neural activity in the Reappraise vs. Maintain contrast at age 24

<table>
<thead>
<tr>
<th>Area of activation</th>
<th>Brodmann area</th>
<th>Side</th>
<th># voxels</th>
<th>x</th>
<th>y</th>
<th>z</th>
<th>t(1, 46)</th>
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<tbody>
<tr>
<td>Dorsolateral PFC</td>
<td>9, 46</td>
<td>L</td>
<td>343</td>
<td>−40</td>
<td>12</td>
<td>28</td>
<td>3.99</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>−46</td>
<td>0</td>
<td>48</td>
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<td></td>
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<td></td>
<td></td>
<td>−42</td>
<td>2</td>
<td>38</td>
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<tr>
<td>Ventrolateral PFC, Insula, Temporal</td>
<td>47, 13, 38</td>
<td>L</td>
<td>672</td>
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<td>−8</td>
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<tr>
<td></td>
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<td>−28</td>
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<td>−48</td>
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<td>−2</td>
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<td>Precentral gyrus</td>
<td>6, 8</td>
<td>L,R</td>
<td>602</td>
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<td>68</td>
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<tr>
<td>gyrus inferior</td>
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<td></td>
<td>8</td>
<td>26</td>
<td>64</td>
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<tr>
<td>parietal gyrus</td>
<td>22, 40</td>
<td>L</td>
<td>293</td>
<td>−2</td>
<td>20</td>
<td>58</td>
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P < 0.05, corrected. L, left; MNI, Montreal Neurological Institute; PFC, prefrontal frontal cortex; R, right.

Childhood Chronic Stressor Exposure as a Mediator. We next tested whether exposure to chronic stressors during childhood (ages 9–17) mediated the relations between family income at age 9 and adult DLPFC and VLPFC activity during Reappraisal. Elevated childhood chronic stress exposure mediated the associations between family income at age 9 and increased adult left DLPFC activity during Reappraisal, controlling for concurrent, adult income (Fig. 2A). The addition of childhood chronic stress shrank the beta weight for childhood income 75%, which was no longer significant and suggested full mediation [indirect effect = 0.09, 95% confidence intervals (CIs) = 0.02–0.18]. Because the size of the suprathreshold cluster including the left VLPFC was large and contained other parietal and temporal regions, an ROI approach was used to separate the estimated activity of the left VLPFC from the estimated activity of other regions. We placed an 8 mm radius sphere at the left VLPFC peak (x, y, z = −50, 22, 6) from a meta-analysis of fMRI reappraisal studies (19). We found elevated chronic stress exposure across ages 9–17 mediated the relations between family income at age 9 and increased left VLPFC activity during Reappraisal, controlling for concurrent adult income (Fig. 2B). The addition of childhood chronic stress shrank the beta weight for childhood income 64%, which was no longer significant and suggested full mediation (indirect effect = 0.09, 95% CIs = 0.02–0.16). An analysis performed on amygdala activity did not find a mediation effect.

Discussion
We examined whether childhood poverty was prospectively linked to adult neural activity in the PFC and amygdala, regions centrally involved in emotional regulation. We found a significant relation between childhood income and neural functions. During emotion regulation with cognitive reappraisal, lower family income at age 9 was associated with reduced activity in the adult DLPFC and VLPFC but increased amygdala activity. In contrast to childhood income level, current income level as an adult was not linked to neural activity during emotion regulation. When the individual’s stress history was incorporated into our model, exposure to chronic stressors throughout childhood (i.e., ages 9–17) mediated the links between family income at age 9 and reduced adult DLPFC and VLPFC activity. Reduced PFC and increased amygdala activity among adults who grew up in poverty provides evidence for neural embedding of childhood poverty. Furthermore, the mediating role of chronic stressor exposure in childhood may help account for the link between childhood poverty and adult neural functions, which may contribute to physiological and psychological stress regulation difficulties.

We found that lower family income at age 9 was associated with reduced DLPFC and VLPFC activity in 24-y-olds during emotion regulation using cognitive reappraisal. Both DLPFC and VLPFC are involved in cognitive control and executive functioning and facilitate goal-directed behaviors (45). Furthermore, increased DLPFC and VLPFC activity was associated with greater success in down-regulating negative emotions, further supporting the role of these cortical regions in emotion regulation. In contrast to reduced DLPFC and VLPFC activity, family income at age 9 was associated with increased adult amygdala activity during emotion regulation. More specifically, the data in Fig. 1C suggest that in adults who had higher family income at age 9, the negative values of neural activity suggest less amygdala activity during Reappraisal relative to the Maintain condition. However, in those with lower family income at age 9, the positive values of neural activity suggest greater amygdala activity during Reappraisal than Maintain, indicating potential failure of amygdala regulation using Reappraisal. The functional connectivity findings further suggested altered relations between the amygdala and VLPFC activity in the context of childhood poverty exposure. A negative amygdala–VLPFC coupling among individuals with lower family income at age 9 suggests that higher childhood family income is associated with greater VLPFC activity to suppress amygdala activity during emotion regulation. On the other hand, a positive amygdala–VLPFC coupling during Reappraisal suggests that lower childhood income is associated with ineffective amygdala activity suppression of the VLPFC activity during emotion regulation. Such failure of amygdala regulation, in part by the dampened VLPFC and DLPFC activity, has been suggested as neural deficits in many psychiatric illnesses associated with childhood exposure to chronic stress.

With regard to the amygdala findings, we found no main effect of Reappraisal (vs. Maintain) on diminishing amygdala activity; therefore, the potential interpretation of the reappraisal-related
Exposure to cumulative risk including maternal depression immatures and rapidly develops (41). For instance, institutional chronic stress in early childhood produces lasting neurobiological function that is mediated by childhood chronic stressor exposure (42). We also tested the hypothesis that exposure to chronic stressors across ages 9–17 would help explain the relation between childhood poverty and reduced DLPFC and VLPFC activity during emotion regulation (58). Our findings may not be specific to early childhood poverty and rapid neurodevelopment, but they are consistent with other evidence showing that early exposure to chronic stress may produce lasting neurobiological changes in the amygdala and PFC when neural regions are immature and rapidly developing (41). For instance, institutionalization in infancy was associated with increased amygdala activity in response to negative expressions in children at age 10 (43). Exposure to cumulative risk including maternal depression and financial stress in infancy was also associated with decreased amygdala and PFC connectivity during rest in adolescent females (55). Therefore, it is critical that future research more directly investigates the developmental timing of poverty, chronic stressor exposure, and neural functioning at shorter time intervals across a wider range of maturation.

In addition to more in-depth assessments over time, the present results should be considered in light of several limitations. First, participants were Caucasian, had no psychiatric or neurological disease, and grew up in rural areas. Thus, our findings may not generalize to a more racially heterogeneous or urban population. Second, the VLPFC and DLPFC play an important role in cognitive control, including attention, executive function, and working memory. Therefore, reduced activity in this region may be related to difficulties in attention and memory processes, not specifically to emotional processing per se. Indeed, childhood SES has also been related to impaired cognitive functions such as lack of sustained attention and deficits in executive functioning (56, 57). Thus, it is important to further investigate common and unique patterns of neural activity during cognitive and emotional task demands and their associations with childhood SES. Third, we found that the associations among reduced negative affect, environmental, and neural factors were limited to DLPFC and VLPFC activity. Childhood income, chronic stress, and amygdala activity were not associated with regulation success based on subjective ratings. It may be possible that subjective ratings from the instructed emotion regulation were not sensitive to individual differences in childhood adversity and amygdala activity. Therefore, the interpretation of emotion dysregulation should be restricted to DLPFC and VLPFC activity. More studies using other methods such as self-report or observed measures of emotion regulation are necessary to confirm whether there are associations among childhood adversity, amygdala activity, and changes in negative affect. Fourth, the current study did not detect evidence that neural activity was associated with regulatory strategies based on subjective ratings. It is possible that subjective ratings of the instructed emotion regulation were not sensitive to individual differences in childhood adversity and amygdala activity. Therefore, the interpretation of emotion dysregulation should be restricted to DLPFC and VLPFC activity. More studies using other methods such as self-report or observed measures of emotion regulation are necessary to confirm whether there are associations among childhood adversity, amygdala activity, and changes in negative affect.
System, Head Start, and other antipoverty programs. One child per family participated, and low-income families were oversampled. The participants were followed up during wave 2 (mean age, 13.4 y) and wave 3 (mean age, 17.7 y). See Evans et al. (34, 35) for further details on subject recruitment and protocols.

Among individuals participating in these three waves of data collection, 54 participants were recruited for this study. This study was approved by the University of Michigan and Cornell University Institutional Review Boards, and all participants provided informed consent. All participants had no MRI contraindications (e.g., metallic/ferrous materials in their body), no prior or current treatment for any psychiatric disorder (clinician-conducted psychiatric evaluation based on the Structured Clinical Interview for Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (DSM-IV)), and no current neurological condition. Approximately half of the participants were from low-income backgrounds at age 9, and half were from families with incomes two to four times above the poverty line. Of 54 participants, 49 participants completed the Emotion Regulation Task (ERT) task, and had a full set of usable fMRI data. Two participants did not complete the task, one participant had a severe artifact in fMRI data, and two participants had excessive movement in fMRI data beyond our criteria (2 mm/22° in any direction). The average age was 23.61 (SD = 1.30, range, 20–27), and 55.1% (27 out of 49) were males. The average income-to-need ratio at age 9 was 1.8 (SD = 1.1) and at age 24 was 3.2 (SD = 3.0).

Procedure. In the longitudinal study, a pair of trained researchers visited children’s homes at each wave of data collection, independently interviewing the participant and his/her mother. Only one child per household was eligible for the study. Demographic information, measures of mental health, and chronic stress exposure were assessed during the home visits. In the fMRI study, participants visited the University of Michigan’s neuroimaging center, where trained researchers administered training and fMRI sessions.

Measures. Income-to-needs. The ratio of family income-to-needs was computed by dividing total family income by the poverty threshold at each wave of data collection. This ratio is an annually adjusted, per capita index of income that the US Census Bureau calculates using a standardized formula. The income-to-needs ratio at age 24 was calculated based on the participants’ own income. Chronic stress. Children’s exposure to chronic stress was assessed at wave 1 (ages 9 and 11) and wave 3 (ages 24). Chronic stressors included three psychosocial risk factors (child–family separation, violence, and family turmoil) and three physical risk factors (noise, crowding, and housing quality). Psychosocial risk factors were assessed by maternal reports at wave 1 and combined maternal and child reports at waves 2 and 3. Mothers completed the Life Events and Circumstances Checklist (59), with subscales on family separation, violence, and family turmoil. Mothers answered dichotomous items (yes/no) to indicate whether specific events or circumstances had happened to their child during the interval since the prior interview. Children also completed a life event scale based on a modified version of the Adolescent Perceived Events Scale (60), answering dichotomous items (yes/no) to specific events. An event was counted a single time if it was reported by the child, the mother, or both. As for physical risk factors, however, a child’s score was trained on Reappraisal and Chronic stress scores and combined three psychosocial risk factors (child–family separation, violence, and family turmoil). Noise was assessed by two, 2-h readings of average decibel levels (Leq) in the primary social space of the home (typically the living room). Crowding was defined as the ratio of occupants to number of rooms in the home. For each participant, each of the six risk factors were coded dichotomously—1 if scores were in the upper quartile based on the data distribution of the entire sample at each age point, and 0 otherwise. Chronic stressor exposure at each wave of data collection was calculated by summing the dichotomous scores of all risk factors (range, 0–6). Additive indices of cumulative stress exposure are robust and consistently predict physical and mental health outcomes better than indices of singular stressor exposure or alternative multiple stressor exposure metrics (62). Chronic stress exposure scores were then averaged across the three waves.

Even day reappraisal coping. The use of reappraisal strategies in responses to everyday stress was assessed by theCOPE Inventory (63). The measure has a 4-point scale ranging from “I don’t do this at all” to “I do this a lot.” The scale Positive Reinterpretation and Growth included four items (e.g., “I try to see it in a different light, to make it seem more positive”), and the summary score of the four items was included as an indicator of everyday use of reappraisal strategies.

fMRI paradigm. Neural activity of participants was recorded while they were engaged in the ERT (44, 56). During the Look condition of the ERT, participants were asked to simply look at pictures with emotionally neutral valence. During the Maintain task, participants were instructed to attend to and experience naturally (without trying to change or alter) the emotional state elicited by the pictures. During the Reappraisal task, participants were instructed to voluntarily decrease the intensity of their negative affect by using one of two strategies for each picture: (i) transforming the depicted scenario into less negative or positive terms (e.g., people crying outside the church are leaving a wedding and the tears are joyful) and (ii) rationalizing or objectifying the content of the pictures (e.g., an abused woman smoking a cigarette is an actress in a movie between scenes). During the practice session, participants were asked to go through the reappraisal process out loud. They were instructed in reevaluating the images if their strategies were judged as inappropriate by the experimenters. The fMRI sessions were conducted only after all participants demonstrated full understanding of the task. The fMRI task involved a block-related design in which participants viewed 20 s blocks of aversive or neutral pictures; each picture was presented for 5 s consecutively without an interstimulus interval. Before each block of pictures, the instruction to “look,” “maintain,” or “reappraise” appeared at the center of a black screen for a duration of 5 s. Immediately following each Look, Maintain, or Reappraisal block, a rating scale appeared on a screen for 5 s asking participants to rate the intensity of their negative affect on a 5-point scale (1, least negative; neutral; 5, extremely negative) via button response. The look, maintain, and reappraise blocks were interspersed with 20 s baseline blocks consisting of a fixation cross. During this period, participants were asked to stop maintaining or reappraising their emotional experience and simply look. The total task time was 10 min. fMRI data acquisition and preprocessing. Scanning took place in a 3.0 Tesla Philips magnet scanner in the fMRI laboratory at Veterans Affairs Ann Arbor using a standard eight-channel SENSE head coil. Functional data were acquired (300 T2*-weighted echo-planar-imaging (EPI) volumes; TR = 2,000 ms; TE = 30 ms; flip angle = 90; field of view = 220 mm; matrix size, 64 × 64; 42 axial slices; voxels = 3.44 × 3.44 × 2.80 mm). A high-resolution anatomical T1-weighted image with a 3D gradient-recalled acquisition also acquired. Functional imaging data were preprocessed and analyzed using Statistical Parametric Mapping 8 (Wellcome Trust Center for Neuroimaging, University College, London; www.fil.ion.ucl.ac.uk/spm). Five images at the beginning of each fMRI run were discarded. Slice timing correction was performed using a middle slice as a reference (slice 21), and then images within each run were realigned to the first scan of the first run to correct for residual ghosting. Reoriented functional images were spatially normalized to a functional template, resampled to 2 × 2 × 2 mm voxels, and then spatially smoothed using a Gaussian filter (full width at half maximum, 8 mm).

fMRI data analysis. At the individual subject level, response amplitudes were estimated for each condition using the general linear model. A high-pass filter of 0.0078 Hz was used. Conditions that were modeled included look, maintain, and reappraise blocks as well as instruction and rating periods. The current study was primarily interested in emotion regulation; thus, for individual subjects, we contrasted images of the blood-oxygen-level-dependent (BOLD) signal changes associated with the Reappraisal vs. Maintain contrast, which estimated the neural functions involved in explicit regulation of negative emotion. For the group-level analysis, contrast images for individual subjects were entered into a random-effects analysis. To identify regions that showed greater signal changes in the first image (before run-to-run realigned functional images were spatially normalized to a functional template, resampled to 2 × 2 × 2 mm voxels, and then spatially smoothed using a Gaussian filter (full width at half maximum, 8 mm).

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everyday reappraisal coping were correlated with amygdala and PFC activity. Second, in the PFC and amygdala, the indirect effect of chronic stress exposure was tested using 95% bias-corrected Cs with bootstraping procedures (10,000 bootstrap resamples) (67). The 95% bias-corrected Cs without the inclusion of 0 indicates a statistically significant indirect relationship at P = 0.05 (67).

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11. Evans GW, Chen E, Miller GE, Seeman TE (2012) The 95% bias-corrected CIs without the inclusion of everyday reappraisal coping were correlated with amygdala and PFC activity.