

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

April Monthly Report (Covering the period of March 1 – March 31, 2020)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

ESH

Entry Date	Placement Criteria	Incident Date(S) And Type(S)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement In Secure, ESH, TRU, SCH
03/25/2020	SRG activity, serious or persistent violence, slashing, possession of a dangerous article, assault on Inmate	03/23/2020- Assault on Inmate, slashing 03/10/2020- Possession of a dangerous article 02/10/2020- Assault on Inmate 12/17/2019- Assault on Inmate	Level 1	TRU	Level 1	N/A
03/27/2020	SRG activity, serious or persistent violence, slashing, assault on Inmate, assault on Staff	03/23/2020- Assault on Inmate, slashing	Level 1	GP	Level 1	Secure
03/27/2020	Serious or persistent violence, slashing, assault on Inmate	03/28/2020- Assault on Inmate, slashing	Level 1	GP	Level 1	N/A
03/25/2020	Assault on Staff, serious or persistent violence	03/23/2020- Assault on Staff	Level 1	TRU	Discharged prior to adjudication	N/A

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03/25/2020	SRG activity, serious or persistent violence, slashing, assault on Inmate, assault on Staff	03/23/2020- Assault on Inmate, slashing 03/23/2020- Assault on Staff	Level 1	TRU	Level 1	Secure
03/24/2020	SRG activity, serious or persistent violence, slashing, assault on Inmate, assault on Staff	03/23/2020- Assault on Staff 02/15/2020- Assault on Inmate 02/13/2020- Assault on Inmate, fight 02/13/2020- Assault on Inmate	Level 1	Secure	Level 1	N/A
03/23/2020	SRG activity, serious or persistent violence, slashing	03/23/2020- Assault on Inmate, slashing	Level 1	TRU	Level 1	N/A
03/23/2020	Serious or persistent violence, assault on Inmate, assault on Staff	03/23/2020- Assault on Staff 09/12/2019- Assault on Inmate 08/09/2019- Assault on Inmate	Level 1	TRU	Level 1	TRU, Secure
03/27/2020	Serious or persistent violence, slashing, assault on Inmate	03/28/2020- Slashing, assault on Inmate	Level 1	TRU	Level 1	TRU, SCH, Secure, ESH
03/25/2020	SRG activity, serious or persistent violence, slashing, assault on Inmate,	03/23/2020- Assault on Staff, assault on Inmate, slashing 03/23/2020-	Level 1	TRU	Level 1	TRU, Secure

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	assault on Staff	Possession of a dangerous article				
03/26/2020	Serious or persistent violence, slashing	03/23/2020- Assault on Inmate, slashing	Level 1	TRU	Level 1	SCH, TRU, Secure

SECURE

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
03/13/2020	Possession of a dangerous article	03/12/2020- Possession of a dangerous article	Phase 1	GP	Phase 1	N/A
03/10/2020	Assault on Staff	03/06/2020- Assault on Staff 02/11/2020- Assault on Staff 01/20/2020- Assault on Staff	Phase 1	GP	Phase 1	SCH, TRU, Secure
03/17/2020	Assault on Inmate, slashing	03/16/2020 Assault on Inmate	Phase 1	GP	Phase 1	TRU
03/03/2020	Possession of a dangerous article	01/29/2020- Possession of a dangerous article	Phase 1	GP	Phase 1	TRU
03/12/2020	Assault on Staff	02/13/2020- Assault on Staff 01/24/2020- Assault on Staff	Phase 2	ESH 2	Phase 2	TRU, ESH, SCH

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03/04/2020	Assault on Inmate	02/18/2020- Fighting 01/05/2020- Assault on Inmate	Phase 1	TRU	Phase 1	TRU
03/04/2020	Assault on Inmate	01/27/2020- Assault on Inmate 01/05/2020- Fighting	Phase 1	TRU	Phase 1	TRU
03/12/2020	Possession of a dangerous article	02/10/2020- Possession of a dangerous article	Phase 1	GP	Phase 1	TRU
03/24/2020	Assault on Staff	03/23/2020- Assault on Staff	Phase 1	TRU	Not recommended for placement	N/A
03/10/2020	Possession of a dangerous article, assault on Staff, assault on Inmate	03/09/2020- Possession of a dangerous article 02/27/2020- Assault on Staff 01/23/2020- Assault on Inmate	Phase 1	TRU	Phase 1	TRU, SCH
02/19/2020 ¹	Assault on Staff	02/07/2020- Assault with a weapon 11/16/2019- Assault on Staff	Phase 1	GP	Phase 1	TRU
03/06/2020	Assault on inmate, slashing	03/04/2020 Assault on inmate, slashing	Phase 1	GP	Discharged prior to adjudication	TRU, ESH, Secure

¹ Due to a tracking error this Young Adult was left off last month's report. The error has been reviewed and rectified.

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- b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release**

ESH

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
03/12/2020	ESH stepdown ²	113	Level 2	Secure
03/26/2020	Discharged from DOC custody	1	Level 1	N/A
03/11/2020	Discharged from DOC custody	73	Level 3	N/A
03/05/2020	Discharged from DOC custody	67	Level 2	N/A
03/12/2020	Satisfied the requirements of ESH	173	Level 3	GP
03/02/2020	Satisfied the requirements of ESH	64	Level 3	GP

SECURE

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
03/11/2020	Transferred to another unit for security/health reasons	77	Phase 1	GP
03/11/2020	Completed All Three Phases	50	Phase 3	GP
03/24/2020	Transferred to another unit for security/health reasons	1	Phase 1	ESH 1
03/05/2020	Completed All Three Phases	77	Phase 3	GP

² This Young Adult was transferred to Secure as there was no suitable ESH housing option due to his age.

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Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
03/12/2020	Completed All Three Phases	71	Phase 3	GP
03/02/2020	Completed All Three Phases	60	Phase 3	GP
03/09/2020	Discharged from DOC Custody	4	Phase 1	N/A
03/27/2020	Completed All Three Phases	52	Phase 3	GP

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.

ESH

Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
03/26/2020	Level 2	Level 3	Review Recommendation

SECURE

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
03/07/2020	Phase 1	Phase 2	Review Recommendation
03/24/2020	Phase 2	Phase 1	Assault on Staff
03/11/2020	Phase 1	Phase 2	Review Recommendation
03/18/2020	Phase 2	Phase 3	Review Recommendation

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Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
03/25/2020	Phase 3	Phase 1	Slashing
03/18/2020	Phase 2	Phase 3	Review Recommendation
03/11/2020	Phase 1	Phase 3	Review Recommendation
03/04/2020	Phase 2	Phase 3	Review Recommendation
03/11/2020	Phase 1	Phase 2	Review Recommendation
03/04/2020	Phase 1	Phase 2	Review Recommendation

d. Average Daily Population in each phase/level and overall.

ESH

Level 1	Level 2	Level 3	Overall
4.0	5.2	1.3	10.6

SECURE

Phase 1	Phase 2	Phase 3	Overall
10.90	2.32	4.52	17.74

e. The number of young adults in each phase/level as of the 1st day of the month following the reporting period.

ESH

Level 1	Level 2	Level 3
0	3	9

SECURE

Phase 1	Phase 2	Phase 3
13	1	3

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- f. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date.

	Total People Entered To-Date	Total Entries To-Date
ESH	326	376
Secure	168	216

- g. The minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason, and the time in-program minimum, maximum median, and average on the last day of each month overall and by phase/ level;

Length of Stay in ESH ³ (days; N=354)						
	ESH Entry	Level 1	Level 2	Level 3	Non-Level ESH ⁴	Overall
Average	33	57	56	25	35	86
Median	30	29	40	22	32	58
Minimum	2	0	0	0	1	1
Maximum	135	326	408	111	105	472

Length of Stay in Secure (days; N=195) ⁵				
	Phase 1	Phase 2	Phase 3	Overall
Average	34.13	23.45	31.29	63.84
Median	28.00	16.50	24.00	57.00
Minimum	1.00	1.00	0.00	1.00
Maximum	229.00	112.00	134.00	301.00

³ Lengths of stay are calculated by averaging individual Young Adults' accumulated time in each level, regardless of the chronological progression of the Young Adult through various levels. Records are only included for Young Adults who have been released from ESH or Secure.

⁴ This category refers to ESH before the level system was implemented.

⁵ The N value represents all Secure Unit young adult records that were used in any of the LOS calculations.

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Overall Length of Stay in ESH by Release Reason ⁶ (days; N=85)									
	Not recommended by adjudication	Discharge or transfer from DOC custody	Moved for security reasons	Satisfied the requirements of ESH	Step down from ESH 2 to Secure	Released upon review	Aged out of ESH Young Adults	Regressed to Phase 1, Re-adjudicated	Transferred to Alternative Programming Unit
Average	-	60.05	-	85.60	41.43	70.74	-	61.00	3.00
Median	-	26.00	-	78.00	23.00	59.00	-	61.00	3.00
Minimum	-	3.00	-	51.00	22.00	15.00	-	61.00	3.00
Maximum	-	472.00	-	144.00	131.00	268.00	-	61.00	3.00

Overall Length of Stay in Secure by Release Reason ⁷ (days; N=195)						
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Completed Program –Step down from ESH	Other ⁸	Adjudication Determination
Average	91.55	43.60	49.67	33.08	39.43	12.50
Median	80.00	24.00	38.00	32.00	30.00	9.00
Minimum	19.00	1.00	2.00	9.00	2.00	6.00
Maximum	234.00	183.00	301.00	75.00	86.00	33.00

ESH Time in Program ⁹ (days) (N=12)				
	Level 1	Level 2	Level 3	Overall
Average	12.00	62.75	6.90	29.67
Median	16.00	59.50	7.00	7.50
Minimum	2.00	31.00	2.00	2.00
Maximum	18.00	101.00	15.00	116.00

⁶ Length of stay in ESH by release reason is calculated for all those discharged on or after 10/01/2019. Those included in the calculation are individuals who were age 18-21 upon entry into ESH.

⁷ Length of stay by release reason for Secure is calculated for all those released from Secure since its opening. The entry dates of the first individuals included was 06/30/2016.

⁸ This column represents all those who were released from Secure who do not fall into the other categories (i.e. temporarily transferred from DOC facility, aging out, inmate was sentenced and sent to another program within another facility).

⁹ Time in Program is calculated for all individuals who were 18-21 at the time of their placement in ESH and who were still in ESH on 04/01/2020.

Secure Time in Program ¹⁰ (days) (N=17)				
	Phase 1	Phase 2	Phase 3	Overall
Average	34.06	13.57	21.50	42.71
Median	28.50	7.00	21.00	29.00
Minimum	15.00	5.00	7.00	15.00
Maximum	85.00	42.00	37.00	96.00

h. The number of Young Adults who met the criteria for a 28-day review (Secure) and 15-day (ESH) and 21-day review (ESH).

28-day Review (Secure)	15-day Review (ESH)	21-day Review (ESH)
15	0	9

i. The number of young adults who received such a review.

28-day Review (Secure)	15-day Review (ESH)	21-day Review (ESH)
14	0	9

28-Day Reviews: One Young Adult’s review was postponed by five days, due to a security issue and logistical challenges within the unit. The review was completed on 04/01 and will be included in the next report

15-Day Reviews: All Young Adults scheduled for a review received a review.

21-Day Reviews: All Young Adults scheduled for a review received a review.

j. For each review, the individual’s, review date, level at the time of review, the level or housing type recommended, the resulting placement, resulting placement date, and days from review recommendation to resulting placement. If the Young Adult did not progress or regress in their placement, which exception was used to justify? If the Young Adult was not recommended to progress or regress in their placement, or if they were not moved following their recommendation reason for lack of movement.

28-DAY REVIEW RECOMMENDATIONS

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
03/03/2020	Phase 1	Phase 1	Phase 1	03/03/2020	0	N/A
03/07/2020	Phase 1	Phase 2	Phase 2	03/07/2020	0	N/A

¹⁰ Time in Program is calculated for all individuals who were still in Secure on 04/01/2020.

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Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
03/11/2020	Phase 1	Phase 2	Phase 2	03/11/2020	0	N/A
03/18/2020	Phase 2	Phase 3	Phase 3	03/18/2020	0	N/A
03/25/2020	Phase 1	Phase 1	Phase 1	03/25/2020	0	Violent, aggressive behavior
03/18/2020	Phase 2	Phase 3	Phase 3	03/18/2020	0	N/A
03/11/2020	Phase 3	GP	GP	03/11/2020	0	N/A
03/05/2020	Phase 3	GP	GP	03/05/2020	0	N/A
03/11/2020	Phase 3	GP	GP	03/12/2020	1	N/A
03/11/2020	Phase 1	Phase 3	Phase 3	03/11/2020	0	N/A
03/04/2020	Phase 2	Phase 3	Phase 3	03/04/2020	0	N/A
03/31/2020	Phase 3	Phase 3	Phase 3	03/31/2020	0	Credible Intelligence
03/03/2020	Phase 1	Phase 1	Phase 1	03/03/2020	0	Disruptive behavior
03/11/2020	Phase 1	Phase 2	Phase 2	03/11/2020	0	N/A
03/02/2020	Phase 3	GP	GP	03/02/2020	0	N/A
03/17/2020	Phase 1	Phase 1	Phase 1	03/17/2020	0	Violent, aggressive behavior
03/04/2020	Phase 1	Phase 2	Phase 2	03/03/2020	0	N/A

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Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
03/11/2020	Phase 2	Phase 3	Phase 3	03/11/2020	0	N/A

15-DAY REVIEW RECOMMENDATIONS

Review Date	ESH Level At Time Of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days From Review Rec. To Resulting Placement	If No Level progression, Why?
N/A	N/A	N/A	N/A	N/A	N/A	N/A

21-DAY REVIEW RECOMMENDATIONS

Review Date	ESH Level At Time Of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days From Review Rec. To Resulting Placement	If No Level progression, Why?
3/05/2020	Level 2	Level 3	Level 2	03/05/2020	0	Credible Intelligence
03/26/2020	Level 2	Level 3	Level 2 ¹¹	03/26/2020	0	Willfully disregarded rules and regulations
03/05/2020	Level 2	Level 2	Level 2	03/05/2020	0	Violent, aggressive behavior, willfully disregarded rules and regulations
03/02/2020	Level 3	Level 3	Level 3	03/02/2020	0	Violent, aggressive behavior
03/05/2020	Level 2	Level 2	Level 2	03/05/2020	0	Violent, aggressive behavior, willfully disregarding

¹¹ Due to credible intelligence, this Young Adult is completing Level 3 in a Level 2 housing unit and will be considered for GP upon his next review.

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						rules and regulations
03/26/2020	Level 2	Level 3	Level 3	03/26/2020	0	N/A
03/02/2020	Level 2	Level 2	Level 2	03/02/2020	0	Violent, aggressive behavior, willfully disregarding rules and regulations
03/04/2020	Level 2	Level 2	Level 2	03/04/2020	0	Violent, aggressive behavior, willfully disregarded rules and regulations
03/25/2020	Level 2	Level 2	Level 2	03/25/2020	0	Violent, aggressive behavior, willfully disregarding rules and regulations
03/12/2020	Level 3	GP	GP	03/13/2020	1	N/A
03/02/2020	Level 3	GP	GP	03/02/2020	0	N/A
03/20/2020	Level 2	Level 2	Level 2	03/20/2020	0	Violent, aggressive behavior, willfully disregarding rules and regulations

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- k. The total number of recommended progressions, the total number of actual progressions, and the average and median days from review recommendation to actual progression.**

Progressions				
Housing Area	Total recommended progressions	Total actual progressions	Average days from review rec. to progress.	Median days from review rec. to progress.
ESH (15 day reviews)	0	0	0	0
ESH (21 day reviews)	3	3	.33	0
Secure (28 day reviews)	13	13	.07	0

- l. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.**

ESH Programs	# of Sessions Offered	Average: # of Participants per Session	# of Unique Individual Participants
Anger Management	6	1.3	5
Community Meeting	4	1.5	5
Creative Arts	5	1.0	2
Dialectical Behavioral Therapy	5	1.2	4
FEDCAP	16	0.4	4
Healthy Relationships	4	1.3	4
Individual Counseling	30	0.9	9
Interactive Journaling	24	0.7	8
Interpersonal Skill Development	6	1.2	4
Leadership Development	9	1.3	3
Structured Recreation	2	1.0	1
Youth Communication	6	1.2	3

Secure Programs	# of Sessions Offered	Average: # of Participants per Session	# of Unique Individual Participants
Anger Management	8	2.6	13
Community Meeting	10	2.5	14
Creative Arts	5	2.4	12
Dialectical Behavioral Therapy	11	2.5	14
Empowerment Zone	4	4.0	12
Healthy Relationships	17	2.6	16
Individual Counseling	8	2.1	12

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Secure Programs	# of Sessions Offered	Average: # of Participants per Session	# of Unique Individual Participants
Interactive Journaling	14	2.4	16
Interpersonal Skill Development	12	2.8	13
Leadership Development	6	3.0	13
Structured Recreation	27	2.9	19
The Kite	8	2.4	14
Woosa Wellness	3	2.7	8
Youth Communication	10	3.0	15

m. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

n. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).

Inmate-on-Inmate Fights	ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	4	6
Monthly Rate	.38	.34	.43
Cumulative Count	122	52	3611
Cumulative Rate	5.42	5.46	8.63

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- o. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).**

Slashing/Stabbings		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	0	1	6
	Monthly Rate	.00	.06	.03
	Cumulative Count	30	7	115
	Cumulative Rate	1.39	.74	.27

- p. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.**

Assaults on Staff		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	0	3	6
	Monthly Rate	0	.17	.03
	Cumulative Count	77	37	327
	Cumulative Rate	3.56	3.89	.78

- q. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population**

Uses of Force		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Cumulative Count	280	160	2413
	Cumulative Rate	12.43	16.81	5.76