

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

August Monthly Report (Covering the period of July 1 – July 31, 2020)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

ESH

Entry Date	Placement Criteria	Incident Date(S) And Type(S)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement In Secure, ESH, TRU, SCH
07/13/2020	Assault on Inmate	07/02/2020- Assault on Inmate	Level 1	GP	Level 1	TRU, Secure

Secure

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
07/13/2020	Assault on Inmate, slashing, assault on Staff, history of persistent violence	07/09/2020- Assault with a weapon, slashing 06/24/2020- Assault on Staff	Phase 1	TRU, Secure	Phase 1	TRU, Secure
07/22/2020	Assault on Staff, assault on inmate, possession of a dangerous article, history of persistent violence,	07/21/2020- Assault on Staff 07/18/2020- Assault on Inmate 06/19/2020- Possession of a dangerous article 06/17/2020- Assault on Inmate 06/15/2020- Assault on Inmate	Phase 1	TRU	Phase 1	TRU, SCH, ESH
07/13/2020	Assault on Inmate, slashing,	07/09/2020- Assault on	Phase 1	TRU	Phase 1	TRU, ESH, SCH

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 August Monthly Report (Covering the period of July 1 – July 31, 2020)

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
	history of persistent violence	Inmate, slashing 03/06/2020- Assault on Inmate				
07/06/2020	Assault on Staff, possession of a dangerous article, history of persistent violence	06/26/2020- Rioting 05/15/2020- Possession of a dangerous article 01/17/2020- Assault on Staff	Phase 1	TRU	Phase 1	TRU

b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release

ESH

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
07/02/2020	Satisfied the Requirements of ESH	59	Level 3	GP
07/28/2020	Moved for security/health reasons	83	Level 2	GP

Secure

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
07/13/2020	Completed All 3 Phases	68	Phase 3	GP
07/07/2020	Transferred to another unit for health/security reasons	55	Phase 2	GP
07/06/2020	Transferred to another unit for health/security reasons	34	Phase 2	GP

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

August Monthly Report (Covering the period of July 1 – July 31, 2020)

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
07/13/2020	Completed program, step down from ESH	24	Phase 3	GP
07/13/2020	Transferred to another unit for health/security reasons	15	Phase 1	GP

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.

ESH

Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
7/16/2020	Level 2	Level 3	Review Recommendation
07/29/2020	Level 1	Level 2	Review Recommendation
07/21/2020	Level 2	Level 3	Review Recommendation
07/21/2020	Level 1	Level 2	Review Recommendation

SECURE

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
07/15/2020	Phase 1	Phase 2	Review recommendation
07/29/2020	Phase 2	Phase 3	Review recommendation
07/29/2020	Phase 1	Phase 2	Review recommendation
07/01/2020	Phase 1	Phase 2	Review recommendation
07/22/2020	Phase 1	Phase 2	Review recommendation

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 August Monthly Report (Covering the period of July 1 – July 31, 2020)

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
07/01/2020	Phase 1	Phase 2	Review recommendation
07/08/2020	Phase 1	Phase 2	Review recommendation
07/29/2020	Phase 2	Phase 3	Review recommendation
07/01/2020	Phase 1	Phase 2	Review recommendation
07/15/2020	Phase 2	Phase 3	Review recommendation
07/08/2020	Phase 1	Phase 2	Review recommendation
07/12/2020	Phase 1	Phase 2	Review recommendation
07/29/2020	Phase 2	Phase 3	Review recommendation
07/15/2020	Phase 2	Phase 3	Review recommendation
07/01/2020	Phase 3	Phase 2	Review recommendation
07/08/2020	Phase 2	Phase 3	Review recommendation
07/29/2020	Phase 1	Phase 2	Review recommendation
07/08/2020	Phase 2	Phase 3	Review recommendation
07/05/2020	Phase 1	Phase 2	Review recommendation
07/22/2020	Phase 2	Phase 3	Review recommendation
07/29/2020	Phase 1	Phase 2	Review recommendation
07/17/2020	Phase 1	Phase 2	Review recommendation

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 August Monthly Report (Covering the period of July 1 – July 31, 2020)

d. Average Daily Population in each phase/level and overall.

ESH

Level 1	Level 2	Level 3	Overall
2.3	6.9	1.0	10.2

SECURE

Phase 1	Phase 2	Phase 3	Overall
8.42	5.87	4.10	18.39

e. The number of young adults in each phase/level as of the 1st day of the month following the reporting period.

ESH

Level 1	Level 2	Level 3
0	8	2

SECURE

Phase 1	Phase 2	Phase 3
4	6	8

f. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date.

	Total People Entered To-Date	Total Entries To-Date
ESH	333	391
Secure	188	262

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 August Monthly Report (Covering the period of July 1 – July 31, 2020)

- g. The minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason, and the time in-program minimum, maximum median, and average on the last day of each month overall and by phase/ level; phase/ level;**

Length of Stay in ESH ¹ (days; N=377)						
	ESH Entry	Level 1	Level 2	Level 3	Non-Level ESH ²	Overall
Average	33	54	56	25	36	84
Median	31	29	39	22	32	58
Minimum	2	1	0	0	1	1
Maximum	135	326	408	111	105	472

Overall Length of Stay in ESH by Release Reason ³ (days; N=99)						
	Not recommended by adjudication	Discharge or transferred from DOC custody	Moved for security/health reasons	Satisfied the requirements of ESH	Regressed to Phase 1, Re-adjudicated	Moved to Less Restrictive Unit
Average	-	62.55	35.33	73.93	60.00	34.71
Median	-	26.00	22.00	63.00	60.00	27.00
Minimum	-	3.00	6.00	15.00	60.00	3.00
Maximum	-	472.00	78.00	268.00	60.00	131.00

ESH Time in Program ⁴ (days) (N=10)				
	Level 1	Level 2	Level 3	Overall
Average	36.83	48.83	15.00	88.17
Median	39.50	59.00	15.00	94.50
Minimum	14.00	5.00	15.00	42.00
Maximum	57.00	85.00	15.00	127.00

¹ Lengths of stay are calculated by averaging individual Young Adults' accumulated time in each level, regardless of the chronological progression of the Young Adult through various levels. Records are only included for Young Adults who have been released from ESH or Secure.

² This category refers to ESH before the level system was implemented.

³ Length of stay in ESH by release reason is calculated for all those discharged on or after 10/01/2019. Those included in the calculation are individuals who were age 18-21 upon entry into ESH.

⁴ Time in Program is calculated for all individuals who were 18-21 at the time of their placement in ESH and who were still in ESH on 08/01/2020.

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 August Monthly Report (Covering the period of July 1 – July 31, 2020)

Length of Stay in Secure (days; N=234) ⁵				
	Phase 1	Phase 2	Phase 3	Overall
Average	35.84	22.46	29.55	65.25
Median	28.00	14.00	23.00	58.00
Minimum	1.00	1.00	2.00	1.00
Maximum	229.00	112.00	134.00	301.00

Overall Length of Stay in Secure by Release Reason ⁶ (days; N=234)						
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Completed Program –Step down from ESH	Other ⁷	Adjudication Determination
Average	86.87	35.96	55.94	31.00	50.50	22.67
Median	77.00	22.00	42.00	28.00	36.00	9.00
Minimum	19.00	1.00	2.00	9.00	2.00	6.00
Maximum	234.00	183.00	301.00	75.00	139.00	94.00

Secure Time in Program ⁸ (days) (N=18)				
	Phase 1	Phase 2	Phase 3	Overall
Average	30.56	16.00	15.25	49.78
Median	29.00	14.50	13.50	44.50
Minimum	10.00	3.00	3.00	10.00
Maximum	54.00	49.00	31.00	115.00

⁵ The N value represents all Secure Unit young adult records that were used in any of the LOS calculations.

⁶ Length of stay by release reason for Secure is calculated for all those released from Secure since its opening. The entry dates of the first individuals included was 06/30/2016.

⁷ This column represents all those who were released from Secure who do not fall into the other categories (i.e. temporarily transferred from DOC facility, aging out, inmate was sentenced and sent to another program within another facility).

⁸ Time in Program is calculated for all individuals who were still in Secure on 08/01/2020.

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

August Monthly Report (Covering the period of July 1 – July 31, 2020)

h. The number of Young Adults who met the criteria for a 28-day review (Secure) and 7-day (ESH) and 21-day review (ESH).

28-day Review (Secure)	7-day Review (ESH)	21-day Review (ESH)
19	3	10

i. The number of young adults who received such a review.

28-day Review (Secure)	7-day Review (ESH)	21-day Review (ESH)
18	2	9

28-Day Reviews: One (1) young adult was transferred out of the unit prior to their scheduled 28-day review.

7-Day Reviews: One (1) young adult temporarily transferred out of the unit prior to their scheduled 7-day.

21-Day Reviews: One (1) young adults temporarily transferred out of the unit prior to their scheduled 21-day.

j. For each review, the individual’s, review date, level at the time of review, the level or housing type recommended, the resulting placement, resulting placement date, and days from review recommendation to resulting placement. If the Young Adult did not progress or regress in their placement, which exception was used to justify? If the Young Adult was not recommended to progress or regress in their placement, or if they were not moved following their recommendation reason for lack of movement.

28-DAY REVIEW RECOMMENDATIONS

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
07/15/2020	Phase 1	Phase 2	Phase 2	07/15/2020	0	N/A
07/29/2020	Phase 2	Phase 3	Phase 3	07/29/2020	0	N/A
07/13/2020	Phase 3	GP	GP	07/13/2020	0	N/A
07/29/2020	Phase 1	Phase 2	Phase 2	07/29/2020	0	N/A
07/01/2020	Phase 1	Phase 2	Phase 2	07/01/2020	0	N/A
07/22/2020	Phase 1	Phase 2	Phase 2	07/22/2020	0	N/A

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 August Monthly Report (Covering the period of July 1 – July 31, 2020)

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
07/01/2020	Phase 1	Phase 2	Phase 2	07/01/2020	0	N/A
07/08/2020	Phase 1	Phase 2	Phase 2	07/08/2020	0	N/A
07/29/2020	Phase 2	Phase 3	Phase 3	07/29/2020	0	N/A
07/01/2020	Phase 1	Phase 2	Phase 2	07/01/2020	0	N/A
07/15/2020	Phase 2	Phase 3	Phase 3	07/15/2020	0	N/A
07/13/2020	Phase 3	GP	GP	07/13/2020	0	N/A
07/01/2020	Phase 1	Phase 1	Phase 1	07/01/2020	0	Violent aggressive behavior
07/08/2020	Phase 1	Phase 2	Phase 2	07/08/2020	0	N/A
07/08/2020	Phase 1	Phase 2	Phase 2	07/12/2020	4 ⁹	N/A
07/29/2020	Phase 2	Phase 3	Phase 3	07/29/2020	0	N/A
07/01/2020	Phase 2	Phase 2	Phase 2	07/01/2020	0	Disregarding rules and regulations
07/15/2020	Phase 2	Phase 3	Phase 3	07/15/2020	0	N/A
07/01/2020	Phase 3	Phase 2	Phase 2	07/01/2020	0	Violent, aggressive behavior
07/08/2020	Phase 2	Phase 3	Phase 3	07/08/2020	0	N/A

⁹ This young adult was reviewed four (4) days prior to his scheduled 28-day review, then moved on the 28th day.

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

August Monthly Report (Covering the period of July 1 – July 31, 2020)

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
07/29/2020	Phase 1	Phase 2	Phase 2	07/29/2020	0	N/A
07/08/2020	Phase 2	Phase 3	Phase 3	07/08/2020	0	N/A
07/05/2020	Phase 1	Phase 2	Phase 2	07/05/2020	0	N/A
07/22/2020	Phase 2	Phase 3	Phase 3	07/22/2020	0	N/A
07/29/2020	Phase 1	Phase 2	Phase 2	07/29/2020	0	N/A
07/15/2020	Phase 1	Phase 2	Phase 2	07/17/2020	2	N/A

7-DAY REVIEW RECOMMENDATIONS

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level progression, Why?
07/18/2020	Level 1	Level 1	Level 1	07/18/2020	0	Violent aggressive behavior, willfully disregarded rules and regulations
07/22/2020	Level 1	Level 1	Level 1	07/22/2020	0	Violent aggressive behavior, willfully disregarded rules and regulations
07/29/2020	Level 1	Level 2	Level 2	07/29/2020	0	N/A
07/20/2020	Level 1	Level 2	Level 2	07/21/2020	1	N/A

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 August Monthly Report (Covering the period of July 1 – July 31, 2020)

21-DAY REVIEW RECOMMENDATIONS

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level progression, Why?
07/03/2020	Level 3	GP	GP	07/02/2020	0	N/A
07/14/2020	Level 2	Level 3	Level 3	07/16/2020	2	N/A
07/20/2020	Level 2	Level 3	Level 2	07/20/2020	0	Credible Intelligence
07/19/2020	Level 2	Level 2	Level 2	07/19/2020	0	Violent aggressive behavior, willfully disregarded rules and regulations
07/19/2020	Level 2	Level 2	Level 2	07/19/2020	0	Willfully disregarded rules and regulations
07/21/2020	Level 2	Level 3	Level 3	07/21/2020	0	N/A
07/19/2020	Level 2	Level 2	Level 2	07/19/2020	0	Violent, aggressive behavior, willfully disregarded rules and regulations
07/04/2020	Level 2	Level 2	Level 2	07/04/2020	0	Violent, aggressive behavior, willfully disregarded rules and regulations

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 August Monthly Report (Covering the period of July 1 – July 31, 2020)

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level progression, Why?
07/06/2020	Level 2	Level 2	Level 2	07/06/2020	0	Aggressive behavior, willfully disregarded rules and regulations
07/27/2020	Level 2	Level 2	Level 2	07/27/2020	0	Violent, aggressive behavior, willfully disregarded rules and regulations

k. The total number of recommended progressions, the total number of actual progressions, and the average and median days from review recommendation to actual progression.

Progressions				
Housing Area	Total recommended progressions	Total actual progressions	Average days from review rec. to progress.	Median days from review rec. to progress.
ESH (7 day reviews)	2	2	.5	.5
ESH (21 day reviews)	4	3	.3	0
Secure (28 day reviews)	23	23	.3	0

l. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.

Secure – Self- Guided Components	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
Anger Management	8	21	3	18
Coping Skills/Adversity	4	6	2	6
Dialectical Behavioral Therapy	8	33	4	21
Elite Learners	11	48	4	20
Interactive Journaling	12	44	4	21
Interpersonal Skill Development	4	15	4	15
The Kite	11	48	4	20

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 August Monthly Report (Covering the period of July 1 – July 31, 2020)

Secure – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Community Meeting	5	6	1	6
Follow-up on Self-Guided Materials	11	34	3	21
Individual Counseling	40	92	2	26

ESH – Self- Guided Components ¹⁰	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
Distribution of Self-Guided Materials	20	85	4.3	21
ESH – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Individual Counseling	29	83	2.9	24

m. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

n. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).

Inmate-on-Inmate Fights		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	2	3	62
	Monthly Rate	.20	.15	.43
	Cumulative Count	134	65	3852
	Cumulative Rate	6.16	6.43	9.73

¹⁰ During the month of July, self-guided packets distributed to young adults in ESH consisted of a variety of materials on a given week. Materials distributed throughout the month included activity packets such as coloring pages and brainteasers, a CBT-based journaling series, and other evidence-based worksheets on a variety of topics including, but not limited to, relapse prevention, anger management, and young adult specific curricula.

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

August Monthly Report (Covering the period of July 1 – July 31, 2020)

- o. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).**

Slashing/Stabbings		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	1	0	4
	Monthly Rate	.1	.00	.03
	Cumulative Count	35	8	127
	Cumulative Rate	1.69	.79	.32

- p. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.**

Assaults on Staff		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	1	1	6
	Monthly Rate	.1	.05	.04
	Cumulative Count	83	46	356
	Cumulative Rate	4	4.55	.9

- q. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population**

Uses of Force		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Cumulative Count	300	182	2595
	Cumulative Rate	13.79	17.99	6.55

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
Variance Conditions (1-05(b) and 1-08(f)) – Secure

August Monthly Report (Covering the period of July 1 – July 31, 2020)

r. The implementation of the Department’s plan to increase young adults’ participation in recreation, including the number, rate, and time of young adults’ recreation participation.

Implementation Update: The Department took steps to implement its YA ESH Recreation Plan in July. Young adults who opted not to participate in recreation were consulted with and the general consensus was that they did not want to go outside due to the heat. In order to continue to follow social distancing guidelines programming staff were unable to facilitate structured activities. As an alternative, young adults were provided authorized books of their choice as well as cognitive skills therapeutic packets. As discussed with Board staff, given current data collection limitations the Department is unable to report on time of recreation participation at present.

	Total Count	Average Participation Per Day
ESH1	8	2.3
ESH2	115	6.9
ESH3	11	1.0
Total	134	10.2