

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of November 1 – November 30, 2018)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

ESH

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
11/15/2018	Serious or persistent violence, slashing, assault on Inmate	10/15/2018- Assault on Inmate, slashing	1	GP	Level 1	N/A
11/8/2018	Serious or persistent violence, slashing, assault on Inmate, assault on Staff	10/06/2018- Assault on Inmate, slashing 08/29/2018- Assault on Staff	1	GP	Level 1	TRU

SECURE¹

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
11/01/2018	Slashing, history of persistent violence	10/08/2018- Assault on Inmate 10/11/2018- Assault on Staff	1	ESH 2	Transferred ² prior to adjudication	TRU, SCH, ESH

¹ ESH level 3 does not exist for 18 year olds and, due to S.R.G. conflicts in commingled ESH level 3, Secure has historically been utilized as a stepdown alternative. It has been determined that Secure Phase 3 is a more fitting stepdown from ESH than Secure Phase 1. Therefore, when appropriate, Young Adults will phase down from ESH level 2 to Secure Phase 3. Such was the case for all Young Adults whose Adjudication Result was Secure Phase 3 in this report.

² This Young Adult was transferred prior to adjudication due to an assault on Staff.

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11/08/2018	Serious or persistent violence, assault on Inmate, assault on Staff, possession of a dangerous article	09/25/2018 Assault on Staff 07/28/2018 Assault on Staff 07/11/2018 Possession of a dangerous article 02/22/2018- Assault on Inmate 01/13/2018- Assault on Inmate	1	ESH 2	Continued Placement	SCH, TRU, Secure, ESH
11/08/2018	Serious or persistent violence, assault on Staff	10/27/2018- Assault on Staff 10/27/2018- Assault on Staff 10/14/2018- Disregarded rules and regulations 10/09/2018- Disregarded rules and regulations	1	TRU	Continued Placement	TRU, SCH, Secure
11/15/2018	Serious or persistent violence, assault on Inmate	04/05/2018- Disregarded rules and regulations 02/24/2018- Assault on Inmate 02/10/2018- Disregarded rules and regulations	1	ESH 2	3	SCH, TRU, ESH

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11/15/2018	Serious or persistent violence, assault on Inmate, assault on Staff	10/27/2018- Assault on Staff 10/16/2018- Assault on Staff 09/01/2018- Disregarded rules and regulations 7/22/18- Assault on Staff 12/12/17- Assault on Inmate	1	GP	Continued Placement	TRU, ESH
11/19/2018	Serious or persistent violence, assault on Staff	11/18/2018- Assault on Staff 11/14/2018- Disregarded rules and regulations	1	GP	Continued Placement	SCH, TRU, Secure
11/21/2018	Serious or persistent violence, assault on Inmate, assault on Staff	10/28/2018- Assault on Staff 10/11/2018- Assault on Inmate	1	GP	Continued Placement	TRU, SCH, Secure
11/21/2018	Serious or persistent violence, slashing, assault on Inmate, assault on Staff	10/12/2018- Disregarded rules and regulations 10/10/2018- Disregarded rules and regulations 10/09/2018- Assault on Staff 10/04/2018- Slashing	3	ESH 2	3	TRU, ESH

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11/26/2018	Serious or persistent violence, assault on Inmate, Assault on Staff	10/15/2018- Assault on Staff 10/13/2018- Destroyed DOC property 09/26/2018- Assault on Inmate 09/21/2018- Assault on Inmate	3	ESH 2	3	ESH
11/28/2018	Serious or persistent violence, assault on Inmate, possession of a dangerous article	11/27/2018- Possession of a dangerous article 11/05/2018- Assault on Inmate 09/03/2018- Assault on Inmate 07/26/2018- Possession of a dangerous article	1	GP	1	N/A
11/29/2018	Serious or persistent violence, assault on Inmate, possession of a dangerous article	07/26/2018- Possession of a dangerous article 05/21/2018- Assault on Inmate 02/25/2018- Assault on Inmate	3	ESH 2	3	TRU, SCH

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- b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release.**

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
11/30/2018	Review Recommendation ³	59	ESH 2	GP
11/29/2018	Stepdown from ESH 2 to Secure ⁴	31	ESH 2	SU
11/27/2018	Security Reasons	26	ESH 2	GP
11/26/2018	Review recommendation	88	ESH 2	GP
11/26/2018	Stepdown from ESH 2 to Secure	61	ESH 2	SU
11/23/2018	Review recommendation	67	ESH 3	GP
11/21/2018	Stepdown from ESH 2 to Secure	30	ESH 2	SU
11/14/2018	Stepdown from ESH 2 to Secure	36	ESH 2	SU
11/08/2018	Security reasons	101	ESH 2	GP
11/08/2018	Security reasons	100	ESH 2	SU
11/08/2018	Review recommendation	92	ESH 2	GP

³ In anticipation of his December review, which will be captured in next month's report, this Young Adult was moved to GP.

⁴ This release reason indicates that the Young Adult was recommended for ESH 3 but Secure Phase 3 was utilized instead as a stepdown.

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Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
11/02/2018	Security reasons ⁵	12	ESH 2	GP
11/02/2018	Security reasons	54	ESH 2	GP

SECURE

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
11/01/2018	Completed all three (3) phases	135	3	GP
11/09/2018	Completed all three (3) phases	66	3	TRU
11/02/2018	Discontinued placement, assault on staff ⁶	1	1	GP
11/23/2018	Completed all requirements of ESH / Secure	9	3	GP

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.

ESH

Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
11/26/18	2	3	Review recommendation
11/21/18	1	2	Review recommendation
11/08/18	2	1	Assault on Inmate, assault on Staff, possession of a dangerous article.

⁵This young adult was temporarily transferred from ESH pending adjudication of an underlying infraction resulting from an incident within ESH. Following the adjudication determination for the underlying infraction this young adult was transferred back into ESH.

⁶ This young adult entered SU on 11/01/2018; Committed assault on Staff 11/02/2018.

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Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
11/30/18	2	3	Review recommendation ⁷
11/30/18	1	2	Review recommendation
11/09/18	1	2	Review recommendation
11/20/18	2	3	Review recommendation
11/28/18	2	3	Review recommendation ⁸
11/08/18	2	3	Review recommendation
11/26/18	1	2	Review recommendation
11/08/18	2	3	Review recommendation
11/15/18	1	2	Review recommendation

SECURE

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
11/20/18	1	2	Review recommendation
11/21/18	1	3	Based on adjudication recommendation
11/22/18	1	2	Review recommendation
11/27/18	1	2	Review Recommendation
11/21/18	1	2	Review recommendation

⁷ In anticipation of his December review, which will be captured in next month's report, this Young Adult was moved to Level 3.

⁸ In anticipation of his December review, which will be captured in next month's report, this Young Adult was moved to Level 3.

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d. Average Daily Population in each phase/level and overall.

ESH

Level 1	Level 2	Level 3	Overall
12.4	11.9	2.7	27.0

SECURE

Phase 1	Phase 2	Phase 3	Overall
6.73	.43	1.0	8.17

e. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date.

	Total People Entered To-Date	Total Entries To-Date
ESH	202	216
Secure	77	88

f. The number of Young Adults who met the criteria for an initial 28-day review (Secure) and 15-day and 30-day review (ESH).

28-day Review (Secure)	15-day Review (ESH)	30-day Review (ESH)
4	8	27

g. The number of young adults who received such a review.

28-day Review (Secure)	15-day Review (ESH)	30-day Review (ESH)
4	8	22

28-Day Reviews: All Young Adults scheduled for a review received a review.

15-Day Reviews: All Young Adults scheduled for a review received a review.

30-Day Reviews: Four (4) Young Adults were released from ESH due to security reasons prior to their November 30-day review. One (1) Young Adult was leveled down to ESH 1 prior to his November 30-day review.

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- h. For each review, the individual’s level at the time of review, the level or housing type recommended, and the resulting placement. If the Young Adult did not advance to a less restrictive level, which exception was used to justify?**

28-Day Review Recommendations			
Secure Phase at Time of Review	Secure Phase or Housing Type Recommended	Resulting Placement	If no advancement, why?
1	2	2	N/A
1	2	2	N/A
1	2	2	N/A
1	2	2	N/A

15-Day Review Recommendations			
ESH Level at Time of Review	New ESH Level or Housing Type Recommended	Resulting Placement	If no advancement, why?
1	2	2	N/A
1	1	1	Violent, aggressive behavior
1	1	1	Violent, aggressive behavior
1	2	2	N/A
1	1	1	Disregarded rules and regulations.
1	2	2	N/A
1 ⁹	1	1	Violent, aggressive behavior, possession of a dangerous article.
1	2	2	N/A
1	2	2	N/A
1	2	1	Per Young Adult request to remain in Level 1
1	1	1	Credible intelligence

⁹ This Young Adult was moved from ESH2 to ESH1 nine (9) days prior to his review do to a stabbing incident.

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30-Day Review Recommendations			
ESH Level at Time of Review	New ESH Level or Housing Type Recommended	Resulting Placement	If no advancement, why?
2	2	2	Violent, aggressive behavior
2	3	SU	N/A
2	2	2	Violent, aggressive behavior
2	GP	GP	N/A
2	3	SU	N/A
2	3	3	N/A
3	GP	GP	N/A
2	3	SU	N/A
2	2	2	Violent, aggressive behavior
2	3	3	N/A
2	2	2	Disregarded rules and regulations
2	2	2	Violent, aggressive behavior
2	3	3	N/A
2	3	3	N/A
2	3	SU	N/A
2	GP	GP	N/A
3	2	2	Violent, aggressive behavior, disregarded rules and regulations
2	2	2	Violent, aggressive behavior
2	2	2	Violent, aggressive behavior
2	3	2	Pending housing availability
2	2	2	Violent, aggressive behavior
2	2	2	Violent, aggressive behavior

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- i. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.

ESH Programs	# of Sessions Offered	Average # of Participants per Session	# of Unique Individual Participants
FEDCAP	15	0.9	10
Anger Management	14	3.9	14
Community Meeting	7	3.9	14
DBT	13	2.7	13
Education Tablets	31	1.5	9
Interpersonal Skills	6	3.2	10
Leadership Development Skills	20	3.5	16
Youth Communication	17	3.6	15
Interactive Journaling	42	1.5	23
One on One Counseling	52	1.9	27

Secure Programs	# of Sessions Offered	Average # of Participants per Session	# of Unique Individual Participants
Anger Management	12	1.8	8
Community Meeting	19	1.8	12
Creative Arts	26	2.1	13
DBT	23	1.7	14
Educational Tablets	44	1.6	10
Interpersonal Skills	39	1.8	13
Leadership Development (Young Men's Work)	28	2.0	13
Youth Communication	36	2.0	13
Interactive Journaling	15	1.8	11
Structured Recreation	35	1.9	15
Individual One-on-One Sessions	36	1.7	15

- j. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

- k. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).**

Inmate-on-Inmate Fights		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	0	0	82
	Monthly Rate	0	0	.27
	Cumulative Count	62	12	2261
	Cumulative Rate	2.456	1.641	4.621

- l. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).**

Slashing/Stabbings		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	3	0	1
	Monthly Rate	.10	0	0
	Cumulative Count	18	0	72
	Cumulative Rate	0.717	0.000	0.147

- m. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.**

Assaults on Staff		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	1	2	6
	Monthly Rate	.03	.24	.02
	Cumulative Count	58	20	176
	Cumulative Rate	2.309	2.734	0.360

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n. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population.

Uses of Force		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Cumulative Count	210	87	1378
	Cumulative Rate	8.318	11.895	2.816