

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

ESH

Entry Date	Placement Criteria	Incident Date(s) And Type(s)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
10/01/2021	Assault on inmate, slashing	09/29/2021 – Assault on inmate, slashing	Level 2	TRU	Not recommended	TRU
10/01/2021	Assault on inmate, assault on staff, slashing	09/09/2021 – Assault on inmate, slashing; 09/22/2021 – Assault on staff	Level 2	SU	Level 2	SU, TRU
10/27/2021	Assault on inmate, slashing	10/26/2021 – Assault on inmate, slashing	Level 2	GP	Level 2	SU, TRU
10/25/2021	Assault on inmate, slashing	09/21/2021 – Assault on inmate, slashing; 09/29/2021 – Assault on inmate, slashing	Level 2	GP	Level 2	ESH, SU, TRU
10/08/2021	Assault on inmate, slashing	09/29/2021 – Assault on inmate, slashing	Level 2	GP	Not recommended	ESH, TRU
10/22/2021	Assault on inmate, slashing	06/24/2021 – Assault on inmate, slashing; 08/12/2021 – Assault on inmate; 09/19/2021 – Assault on inmate, slashing	Level 2	GP	Level 2	SU, TRU

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

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10/29/2021	Assault on inmate, slashing	10/02/2021 – Assault on inmate, slashing	Level 2	GP	Level 2	SCHU, TRU

SECURE

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
10/01/2021	Assault on inmate, slashing	09/29/2021 – Assault on inmate, slashing	Phase 1	TRU	Continued placement	TRU
10/01/2021	Assault on inmate, slashing	09/29/2021 – Assault on inmate, slashing	Phase 1	TRU	Continued placement	N/A
10/01/2021	Assault on inmate, slashing	10/01/2021 – Assault on inmate, slashing	Phase 1	ESH	Continued placement	SU, TRU, ESH
10/19/2021	Assault on inmate, slashing	10/18/2021 – Assault on inmate, slashing	Phase 1	GP	Continued placement	TRU
10/25/2021	Assault on inmate, slashing	10/24/2021- Assault on inmate, slashing	Phase 1	GP	Continued placement	N/A
10/30/2021	Assault on inmate, slashing	09/15/2021 – Assault on inmate, slashing	Phase 1	ESH	Continued placement	TRU, ESH
10/27/2021	Assault on inmate, slashing	10/26/2021 – Assault on inmate, slashing	Phase 1	GP	Continued placement	TRU
10/19/2021	Assault on inmate, slashing	10/18/2021 – Assault on	Phase 1	GP	Continued placement	ESH, SU, TRU

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

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		inmate, slashing				
10/29/2021	Assault on inmate, slashing	10/28/2021 – Assault on inmate, slashing	Phase 1	GP	Continued placement	TRU
10/12/2021	Assault on inmate, slashing	10/11/2021 – Assault on inmate, slashing	Phase 1	ESH	Continued placement	ESH, SU
10/30/2021	Assault on inmate, slashing	10/28/2021 – Assault on inmate, slashing	Phase 1	ESH	Transferred prior to adjudication	ESH
10/27/2021	Assault on inmate, slashing	10/26/2021 – Assault on inmate, slashing	Phase 1	GP	Continued placement	ESH, TRU

b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release

ESH

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
10/01/2021	Moved for security reasons	80	Level 2	SU
10/12/2021	Not recommended by adjudication	11	Level 2	GP
10/29/2021	Moved for security reasons	39	Level 2	SU
10/29/2021	Moved for security reasons	28	Level 2	WF

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
10/22/2021	Not recommended by adjudication	14	Level 2	GP
10/12/2021	Moved for security reasons	308	Level 2	SU
10/29/2021	Moved for security reasons	37	Level 2	SU
10/01/2021	Moved for security reasons	9	Level 2	GP

Secure

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
10/19/2021	Discharged from DOC custody	19	Phase 1	N/A
10/27/2021	Discharged from DOC custody	27	Phase 1	N/A
10/21/2021	Transferred to another unit for security/health reasons	32	Phase 1	GP
10/01/2021	Not recommended for Secure	5	Phase 1	ESH
10/13/2021	Discharged from DOC custody	40	Phase 1	N/A
10/03/2021	Transferred to another unit for security/health reasons	60	Phase 1	GP

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.

ESH: There are no YA ESH movements to report for the month of October.

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

Secure:

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
10/28/2021	Phase 1	Phase 2	Review recommendation
10/21/2021	Phase 1	Phase 2	Review recommendation
10/28/2021	Phase 2	Phase 3	Review recommendation
10/28/2021	Phase 1	Phase 2	Review recommendation
10/21/2021	Phase 1	Phase 2	Review recommendation
10/28/2021	Phase 2	Phase 3	Review recommendation
10/22/2021	Phase 1	Phase 2	Review recommendation

d. Average Daily Population in each phase/level and overall.

ESH

Level 2	Level 3	Overall
13.2	0	13.2

SECURE

Phase 1	Phase 2	Phase 3	Overall
11.68	1.03	0.26	12.97

e. The number of young adults in each phase/level as of the 1st day of the month following the reporting period.

ESH

Level 2	Level 3
13	0

SECURE

Phase 1	Phase 2	Phase 3
12	3	2

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

- f. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date.

	Total People Entered To-Date	Total Entries To-Date
ESH	411	490
Secure	271	353

- g. The minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason, and the time in-program minimum, maximum median, and average on the last day of each month overall and by phase/ level;

Length of Stay in ESH ¹ (days; N=457)						
	ESH Entry	Level 1	Level 2	Level 3	Non-Level ESH ²	Overall
Average	31	45	60	26	35	86
Median	30	28	42	22	32	60
Minimum	2	0	0	0	3	2
Maximum	135	276	408	111	104	472

Overall Length of Stay in ESH by Release Reason ³ (days; N=204)						
	Not recommended by adjudication	Discharged or transferred from DOC custody	Moved for security/health reasons	Satisfied the requirements of ESH	Regressed to Phase 1, Re-adjudicated	Moved to Less Restrictive Unit
Average	17.20	66.23	87.58	79.19	34.67	45.57
Median	12.00	40.50	78.00	63.00	27.00	32.00
Minimum	9.00	3.00	6.00	22.00	16.00	16.00
Maximum	42.00	472.00	305.00	247.00	61.00	161.00

¹ Lengths of stay are calculated by averaging individual Young Adults' accumulated time in each level, regardless of the chronological progression of the Young Adult through various levels. Records are only included for Young Adults who have been released from ESH or Secure.

² This category refers to ESH before the level system was implemented.

³ Length of stay in ESH by release reason is calculated for all those discharged on or after 10/01/2019. Those included in the calculation are individuals who were age 18-21 upon entry into ESH.

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 November Monthly Report (Covering the period of October 1 – October 31, 2021)

ESH Time in Program ⁴ (days) (N=13)				
	Level 1	Level 2	Level 3	Overall
Average	20.50	65.92	24.50	72.85
Median	20.50	39.00	24.50	49.00
Minimum	17.00	2.00	22.00	2.00
Maximum	24.00	205.00	27.00	222.00

Length of Stay in Secure (days; N=329) ⁵				
	Phase 1	Phase 2	Phase 3	Overall
Average	37.00	20.37	28.67	66.04
Median	28.00	14.00	23.00	59.00
Minimum	2.00	1.00	0.00	2.00
Maximum	229.00	112.00	144.00	301.00

Overall Length of Stay in Secure by Release Reason ⁶ (days; N=329)						
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Completed Program – Step down from ESH	Other ⁷	Adjudication Determination
Average	88.71	44.45	52.80	30.00	52.75	19.85
Median	76.50	25.50	41.00	26.00	29.00	9.00
Minimum	19.00	2.00	2.00	9.00	2.00	5.00
Maximum	234.00	186.00	301.00	75.00	170.00	94.00

Secure Time in Program ⁸ (days) (N=17)				
	Phase 1	Phase 2	Phase 3	Overall
Average	24.94	6.40	4.00	27.29
Median	20.00	7.00	4.00	20.00
Minimum	2.00	4.00	4.00	2.00
Maximum	66.00	10.00	4.00	69.00

⁴ Time in Program is calculated for all individuals who were 18-21 at the time of their placement in ESH and who were still in ESH on 11/01/2021.

⁵ The N value represents all Secure Unit young adult records that were used in any of the LOS calculations.

⁶ Length of stay by release reason for Secure is calculated for all those released from Secure since its opening. The entry dates of the first individuals included was 06/30/2016.

⁷ This column represents all those who were released from Secure who do not fall into the other categories (i.e. temporarily transferred from DOC facility, aging out, inmate was sentenced and sent to another program within another facility).

⁸ Time in Program is calculated for all individuals who were still in Secure on 11/01/2021.

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

h. The number of Young Adults who met the criteria for a 28-day review (Secure) and 21-day review (ESH).

28-day Review (Secure)	21-day Review (ESH)
13	15

i. The number of young adults who received such a review.

28-day Review (Secure)	21-day Review (ESH)
9	12

28-Day Reviews: One (1) young adult was transferred to another unit for security/health reasons prior to his 28-day review. One (1) young adult had his review date pushed back six days into November due to him committing a slashing on 10/04. Two (2) young adults were discharged from DOC custody prior to their scheduled 28-day review.

21-Day Reviews: Three (3) young adults were moved for security reasons prior to their 21-day review in October.

j. For each review, the individual’s, review date, level at the time of review, the level or housing type recommended, the resulting placement, resulting placement date, and days from review recommendation to resulting placement. If the Young Adult did not progress or regress in their placement, which exception was used to justify? If the Young Adult was not recommended to progress or regress in their placement, or if they were not moved following their recommendation reason for lack of movement.

21-DAY REVIEW RECOMMENDATIONS

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level Progression, Why?
10/14/2021	Level 2	Level 2	Level 2	10/14/2021	0	Violent, aggressive behavior
10/11/2021	Level 2	Level 3	Level 2	10/11/2021	0	Credible intelligence
10/19/2021	Level 2	Level 2	Level 2	10/19/2021	0	Violent, aggressive behavior
10/19/2021	Level 2	Level 2	Level 2	10/19/2021	0	Violent, aggressive behavior

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

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10/25/2021	Level 2	Level 2	Level 2	10/25/2021	0	Disruptive behavior
10/11/2021	Level 2	Level 3	Level 2	10/11/2021	0	Credible intelligence
10/22/2021	Level 2	Level 2	Level 2	10/22/2021	0	Violent, aggressive behavior; disruptive behavior
10/04/2021	Level 2	Level 3	Level 2	10/04/2021	0	Credible intelligence
10/25/2021	Level 2	Level 2	Level 2	10/25/2021	0	Violent, disruptive behavior
10/13/2021	Level 2	Level 3	Level 2	10/13/2021	0	Credible intelligence
10/19/2021	Level 2	Level 2	Level 2	10/19/2021	0	Violent, aggressive behavior; disruptive behavior
10/10/2021	Level 2	Level 3	Level 2	10/10/2021	0	Credible intelligence
10/06/2021	Level 2	Level 2	Level 2	10/06/2021	0	Violent, aggressive behavior; disruptive behavior
10/27/2021	Level 2	Level 2	Level 2	10/27/2021	0	Violent, disruptive behavior

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

28-DAY REVIEW RECOMMENDATIONS

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase progression, why?
10/21/2021	Phase 1	Phase 1	Phase 1	10/21/2021	0	Violent, aggressive behavior
10/28/2021	Phase 1	Phase 2	Phase 2	10/28/2021	0	N/A
10/18/2021	Phase 1	Phase 1	Phase 1	10/18/2021	0	Disruptive Behavior
10/21/2021	Phase 1	Phase 2	Phase 2	10/21/2021	0	N/A
10/28/2021	Phase 2	Phase 3	Phase 3	10/28/2021	0	N/A
10/21/2021	Phase 1	Phase 1	Phase 1	10/21/2021	0	Violent, aggressive behavior
10/14/2021	Phase 1	Phase 1	Phase 1	10/14/2021	0	Disruptive behavior; violent, aggressive behavior
10/28/2021	Phase 1	Phase 2	Phase 2	10/28/2021	0	N/A
10/14/2021	Phase 1	Phase 1	Phase 1	10/14/2021	0	Violent, aggressive behavior
10/21/2021	Phase 1	Phase 2	Phase 2	10/21/2021	0	N/A
10/28/2021	Phase 2	Phase 3	Phase 3	10/28/2021	0	N/A
10/16/2021	Phase 1	Phase 1	Phase 1	10/16/2021	0	Disruptive behavior
10/22/2021	Phase 1	Phase 2	Phase 2	10/22/2021	0	N/A
10/02/2021	Phase 1	Phase 1	Phase 1	10/02/2021	0	Disruptive behavior

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

- k. The total number of recommended progressions, the total number of actual progressions, and the average and median days from review recommendation to actual progression.

Housing Area	Progressions			
	Total recommended progressions	Total actual progressions	Average days from review rec. to progress.	Median days from review rec. to progress.
ESH (21-day reviews)	5	0	N/A	N/A
Secure (28-day reviews)	7	7	0	0

- l. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.

ESH – Self- Guided Components ⁹	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
Distribution of Self-Guided Materials	6	33	5.5	16
ESH – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Individual Counseling	24	100	4.2	17

Secure – Self- Guided Components	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
ARA Community Partner	20	60	3	14
Etiquette Lesson Partner	20	60	3	14
Interactive Journaling	7	21	3	15
The Kite Community Partner	20	60	3	14
Secure – In-Person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Anger Management	12	27	2	11
Dialectical Behavioral Therapy	15	36	2	15
Goal Setting	7	14	2	7
Individual Counseling	13	15	1	8
Interactive Journaling	8	21	3	13

⁹ During the month of October, self-guided packets distributed to young adults in ESH consisted of a variety of materials on a given week. Materials distributed throughout the month included activity packets such as coloring pages and brainteasers, evidence-based worksheets on a variety of topics including, but not limited to, relapse prevention, anger management, and young adult specific curricula.

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

Secure – In-Person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Young Men's Work	12	32	3	12
Youth Communication	4	10	3	6

- m. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

- n. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).

Inmate-on-Inmate Fights		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	3	2	60
	Monthly Rate	0.23	0.15	0.32
	Cumulative Count	180	104	4848
	Cumulative Rate	8.89	9.20	13.91

- o. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).

Slashing/Stabbings		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	4	2	8
	Monthly Rate	0.30	0.15	0.04
	Cumulative Count	57	17	201
	Cumulative Rate	2.96	1.50	0.58

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2021)

- p. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.

Assaults on Staff		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	4	1	4
	Monthly Rate	0.30	0.08	0.02
	Cumulative Count	118	78	426
	Cumulative Rate	6.13	6.90	1.22

- q. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population

Uses of Force		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Cumulative Count	421	282	3318
	Cumulative Rate	20.79	24.95	9.52

- r. The implementation of the Department’s plan to increase young adults’ participation in recreation, including the number, rate, and time of young adults’ recreation participation.

Implementation Update: The Department has implemented the recreation plan as previously submitted to the Board. The facility continues to afford young adults the daily opportunity to engage in outdoor recreation, as well as additional supplementary activities; however, each individual may decline as they see fit. In order to continue to follow social distancing guidelines, programming staff were limited in their ability to facilitate regular structured activities in the month of October. The Department continues to provide books as well as cognitive skills therapeutic packets within the unit.

	Total Count	Average Participation Per Day	Average Duration ¹⁰	YA ESH Average Daily Population
ESH2	44	1.47	N/A	13.2
ESH3	0	0	N/A	0
Total	44	1.47	N/A	13.2

¹⁰ Given current data collection limitations the Department is unable to report on time of recreation participation at present.