

DOC PREA REPORTING STATUS FOR 2017 & 2018
UPDATED 09/11/2018

5-04 Supervision and Monitoring	2017				2018			
	Status	Due	Received	Comments	Status	Due	Received	Comments
(d) The Department shall provide a written report to the Board of the progress toward developing and implementing facility staffing plans by July 15, 2017.	Received	7/15/2017	10/16/2017					
(c) The Department shall provide the Board with each facility's staffing plan by Feb 1, 2018.	--	--	--	Reporting period begins 02/01/2018.	Received	2/1/2018	3/27/2018	BOC reviewed and identified gaps that will be discussed with DOC.
(g) The Department shall institute a one-year pilot program to install video surveillance cameras in Department vehicles used to transport inmates by July 31, 2017. They will provide a written report evaluating the results by Sept 1, 2018.	--	--	--	Reporting period begins 09/01/2018.	Missing	9/1/2018		DOC will request a variance at the 09/14/2018 meeting.
5-12 Employee Training	2017				2018			
Status	Due	Received	Comments	Status	Due	Received	Comments	
(g) The Department shall report in writing and on a <u>quarterly</u> basis, the number of their employees who have been trained <u>during that quarter</u> .								The Board has been receiving quarterly reports as required as of 05/01/2018.
--- Q1 Jan-March	Missing	5/1/2017		Received	5/1/2018	5/2/2018	1,007 DOC staff trained.	
--- Q2 April - June	Received	7/1/2017	8/14/2017	Received	8/1/2018	8/1/2018	872 DOC staff trained.	
--- Q3 July - Sept	Received	10/1/2017	9/7/2018	Upcoming	11/1/2018	--		
--- Q4 Oct - Dec	Received	1/1/2018	9/7/2018	Upcoming	2/1/2019	--		
(i) The Department shall provide on an <u>annual</u> basis the training schedules, training curriculum and credentials of the trainers for employees trained in the <u>previous year</u> .								Training materials were received for the 2016 year.
--- Training schedule	Received	3/1/2017	4/3/2017	Received	3/1/2018	4/3/2017		
--- Training curriculum	Received	3/1/2017	4/3/2017	Received	3/1/2018	9/7/2018		
--- Trainer credentials	Received	3/1/2017	4/3/2017	Received	3/1/2018	9/7/2018		
								The Board was notified by DOC that training curriculum and trainer credentials have remained the same since 2016.
5-13 Volunteer and Contractor Training	2017				2018			
Status	Due	Received	Comments	Status	Due	Received	Comments	
(f) The Department shall provide on an <u>annual</u> basis the training schedules, training curriculum and credentials of the trainers for volunteers and contractors trained in the <u>previous year</u> .								DOC reported that no trainings were conducted for volunteers in 2016. Contractors were trained together with staff.
--- Training schedule	Received	3/1/2017	4/3/2017	Received	3/1/2018	9/7/2018		
--- Training curriculum	Received	3/1/2017	4/3/2017	Received	3/1/2018	3/5/2018		
--- Training credentials	Received	3/1/2017	4/3/2017	Received	3/1/2018	9/7/2018		
								DOC reported that in 2017, one 2hr volunteer training was conducted by PCMs. DOC also reported that contractors are trained together with DOC staff and that training curriculum and trainer credentials have remained the same since 2016.
5-14 Inmate Education	2017				2018			
Status	Due	Received	Comments	Status	Due	Received	Comments	
(g) The Department shall provide on an <u>annual basis</u> the inmate education schedules, education curriculum and the credentials of the persons providing such education to inmates for the <u>preceding year</u> .								Reporting period begins 03/01/2018.
--- Education schedules	--	--	--	Missing	3/1/2018			
--- Education curriculum	--	--	--	Missing	3/1/2018			
--- Education provider credentials	--	--	--	Missing	3/1/2018			
								The Board has received only an inmate video orientation script.
5-15 Specialized Training: Investigations	2017				2018			
Status	Due	Received	Comments	Status	Due	Received	Comments	
(e) The Department shall provide on an <u>annual basis</u> the training schedules, training curriculum and credentials of the trainers of Investigators for the previous year.								No training materials received for previous year (2016).
--- Training schedule	Missing	3/1/2017		Missing	3/1/2018			
--- Training curriculum	Missing	3/1/2017		Missing	3/1/2018			
--- Training credentials	Missing	3/1/2017		Missing	3/1/2018			
								No training materials received for previous year (2017).
5-18 Use of Screening Information	2017				2018			
Status	Due	Received	Comments	Status	Due	Received	Comments	
(h) The Department shall provide in writing, placement of <u>each</u> transgender or intersex inmate, all information considered in making the determination and the reasons for the housing determination. The Department shall provide such information within two (2) days following the end of each <u>two-week period</u> thereafter.								Following the Board's resolution issued in Oct. 2018, DOC implemented an electronic screening tool that enabled more accurate tracking of screening information. The Board began receiving biweekly reports as of 11/01/2017.
--- Jan-March	Missing	1/5/2017		Received	Biweekly	Biweekly		
--- April - June	Missing	Biweekly		Received	Biweekly	Biweekly		
--- July - Sept	Missing	Biweekly		Receiving	Biweekly	--		
--- Oct - Dec	Received	Biweekly	11/1/2017	Upcoming	Biweekly	--		
								The Board has identified gaps in the data it is receiving.

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5-19 Protective Custody	2017				2018			
	Status	Due	Received	Comments	Status	Due	Received	Comments
(h) The Department shall provide on a <u>quarterly</u> basis to the Board the number of inmates at a high risk of sexual victimization placed in involuntary PC within 30 days of the end of <u>that quarter</u> . As per Standard 5-19 (g) the report should detail the basis for placement, the reason no alternative means was arranged, and the number of such inmates who remained for more than 30 days.				Reporting period begins 11/01/2017				
--- Q1 Jan-March	--	--	--		Received	5/1/2018	4/30/2018	0 placements.
--- Q2 April - June	--	--	--		Received	7/31/2018	7/30/2018	1 placement due to media coverage.
--- Q3 July - Sept	Received	11/1/2017	11/9/2017	1 placement (48 hrs) due to court order	Upcoming	10/31/2018	--	
--- Q4 Oct - Dec	Received	2/1/2018	2/2/2018	0 placements	Upcoming	1/31/2019	--	
5-29 Post-Allegation Protective Custody	2017				2018			
The Department shall provide on a <u>quarterly</u> basis the number of inmates who allegedly suffered sexual abuse and were placed in involuntary PC within 30 days of the end of <u>that quarter</u> .				Reporting period begins 11/1/2017. No reports received.				The Board received no reports for 2018.
--- Q1 Jan-March					Missing	5/1/2018		
--- Q2 April - June					Missing	7/31/2018		
--- Q3 July - Sept	Missing	11/1/2017			Missing	10/31/2018		
--- Q4 Oct - Dec	Missing	1/31/2018			Missing	1/31/2019		
5-30 Criminal/Administrative Investigations	2017				2018			
(r) At the conclusion of an investigation, the Department shall prepare a closing memorandum summarizing the findings. <u>Within five (5) business days</u> the Department shall provide a copy of it to the Board.				The Board received a batch of 64 closing memo files on 9/11/2017.				The Board received a batch of 52 closing memo files on 4/24/2018 and started receiving them on an ongoing basis in June 2018. To date the Board received a total of 309 closing memo files in 2018.
--- Jan-March	Missing	Ongoing			Missing	Ongoing		
--- April - June	Missing	Ongoing			Received	Ongoing		
--- July - Sept	Missing	Ongoing			Received	Ongoing		
--- Oct - Dec	Missing	Ongoing			Received	Ongoing		
5-39 Sexual Abuse Incident Reviews	2017				2018			
(f) The Department shall provide the Board with all sexual abuse incident review reports on a <u>quarterly</u> basis.								SAIRs for nearly all closed substantiated and unsubstantiated investigations have not been received by the Board.
--- Q1 Jan-March	Received	5/1/2017	4/3/2017	The Board received 1 SAIR for a review dated 2/1/2017 regarding an investigation that was closed in 2016 (date of allegation not specified).	Received	5/1/2018	4/19/2018	The Board received 3 SAIRs for reviews occurring on 1/11/18, 1/17/18 and 03/06/18 for allegations reported in 2014, 2016, and 2016, respectively.
--- Q2 April - June	Received	7/31/2017	7/14/2017	The Board received 1 SAIR for a review dated 6/13/2017 regarding an allegation made in 2015.	Missing	7/31/2018		
--- Q3 July - Sept	Missing	10/31/2017			Upcoming	10/31/2018		
--- Q4 Oct - Dec	Missing	1/31/2018			Upcoming	1/31/2019		
5-40 Data Collection and Review	2017				2018			
(a) The Department shall provide a standardized instrument for review at least 30 days before first providing incident data.								
--- Standardized instrument	Received	7/2/2017	7/14/2017		--	--	--	
(f) The Department shall provide incident level data <u>semiannually</u> , within 45 days of the end of <u>each six-month</u> period thereafter. This data should cover the <u>previous 6 months</u> .				The Board received a pdf file on 09/11/2017 and an Excel file on 1/30/2018 with Jan - Jun 2017 data. The Board has identified significant gaps in the data reported.				The Board received data for the Jan - Jun 2018 reporting period on 9/7/2018 and will conduct a thorough review to assist DOC in improving data collection and reporting. The Board received Jan-Dec 2017 data on 2/7/18 and identified significant gaps in the data reported.
--- Jan - June	Received	8/1/2017	1/30/2018		Received	8/14/2018	9/7/2018	
--- July - Dec	--	--	--		Received	2/14/2018	2/7/2018	
(k) The Department shall provide a <u>semiannual</u> assessment report within 45 days of the end of <u>each six-month</u> period thereafter.								The first report received 3/15/2018 compared two full years of data (Jan 2016 - Dec 2017) instead of 6 months as required.
--- Jan - June	Missing	8/1/2017			Received	8/14/2018	8/14/2018	The second report covered Jan - June 2018 and compared trends with the prior 6 month period, as required.
--- July - Dec	--	--	--		Received	2/14/2018	3/15/2018	

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5-41 Audits	2017	2018
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<p>The Department shall provide a copy of all audit documents within two (2) business days after its submission to the auditors.</p>	<p>The Moss Group conducted a mock audit in 2015 before the standards went into effect. The Board received the audit findings on 04/01/2016.</p>	<p>The Moss Group is scheduled to conduct a mock audit in RMSC in Oct. 2018.</p>
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5-42 Variances	2017	2018
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<p>The Department may apply for a variance from a specific subdivision or section of these Chapter 5 rules in accordance with the procedures and criteria set forth in § 1-15 of Chapter 1 of these Minimum Standards.</p>	<p>On Oct 10th 2017, the Board issued a resolution re: violation of Minimum Standards 5-17 and 5-18. A DOC corrective action plan was required and submitted on Oct 24, 2017 and electronic screening was implemented department wide by Feb 1, 2018.</p>	<p>On Sept 14th, the Board will vote on a variance request pertaining to 5-04(g).</p>
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