

**NYC Administration for Children’s Services**  
**NYC Department of Correction**  
**Horizon Juvenile Center Law Library Services Audit Report — July 2019**

Pursuant to the six (6) month limited variance renewal first granted by the NYC Board of Correction on July 10, 2018 related to the provisions of the NYC Board of Correction Minimum Standard §1-08(f) Access to Courts and Legal Services, including Law Library, the NYC Administration for Children’s Services (“ACS”), in conjunction with the NYC Department of Correction (“Department”), is permitted to allow for residents at Horizon Juvenile Center (“Horizon”) to receive Law library services through an alternate method. On July 8, 2019, the NYC Board of Correction renewed the Department’s six (6) month variance from Minimum Standard §1-08(f) for Horizon<sup>1</sup>. The related variance conditions require a monthly audit on compliance with the following variance conditions:

1. Horizon residents will be provided access to Lexis/Nexis research capacity via tablets, upon their request.
2. Horizon residents will have access to tablets for at least two (2) hours per day, seven (7) days a week, during daytime hours that do not conflict with schooling, programming, counseling, therapy sessions, and other required daily activities.
3. Horizon will have a skilled legal coordinator whose duties will include supporting Horizon residents in meeting their requests for assistance in performing legal research.
4. The legal coordinator will be onsite at Horizon at least five (5) days per week for a total of twenty (20) hours per week.

ACS performed an audit on the provision of the alternate Law Library services during the month of July 2019 for residents at Horizon, in compliance with the variance conditions.

**Audit Parameters**

The audit is conducted through a manual review of the information documented in the Touchpoint Data Tracking (“TDT”) system, Horizon’s Non-DOC Staff logbook, completed Resident Law Library Request Forms (“Request Forms”), and an on-site inspection of legal research tablets and two (2) Lexis access points on four (4) random dates each month.

This audit report covers the following four random (4) dates in the month of July 2019:

- **July 3<sup>rd</sup>**
- **July 11<sup>th</sup>**
- **July 18<sup>th</sup>**
- **July 26<sup>th</sup>**

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<sup>1</sup> This variance was first renewed by the NYC Board of Correction on January 8, 2019.

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The Legal Coordinator utilizes a TDT system<sup>2</sup> to document the date, time, duration, and a brief summary of all duties performed, including each interaction with a resident, information discussed during individualized sessions, verbal requests for individualized sessions to access tablets and perform legal research with the Legal Coordinator’s assistance, Law Library program hours, and whether residents accessed tablets or were afforded assistance in performing legal research from the Legal Coordinator during individualized sessions<sup>3</sup>. Request Forms are utilized to document individualized information for each youth request for an individualized session to access tablets with Lexis/Nexis research capacity or to receive assistance from the Legal Coordinator in performing legal research, including the date the request for an individualized session was made, the resulting date the individualized session was scheduled for, and the date the individualized session was fulfilled. The Non-DOC Staff<sup>4</sup> Logbook, located at the entrance to the facility, is utilized as needed to verify the date and duration of time that the Legal Coordinator records they were on-site at Horizon in the TDT system.

Audits related to the Legal Coordinator are performed via:

- A manual review of the Request Forms to determine whether the Legal Coordinator was performing duties, which include assisting Horizon residents in performing legal research and accessing tablets with Lexis/Nexis research capacity upon request.
- A manual review of the information documented in the TDT system to determine whether the Legal Coordinator performed duties, which include assisting Horizon residents in performing legal research and accessing tablets with Lexis/Nexis research capacity upon request.
- A manual review of Horizons’ Non-DOC Staff logbook, as needed, to cross-reference information provided in the TDT system and determine whether the Legal Coordinator was on-site to assist youth in performing legal research.

Audits related to access to tablets with Lexis/Nexis research capacity are performed via:

- A manual review of the Request Forms to determine whether individualized sessions to access tablets to perform legal research were scheduled upon request, and the date on which individualized sessions were scheduled, and the date on which individualized sessions were fulfilled.

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<sup>2</sup> The Legal Coordinator enters data into the TDT system by using an offsite computer, which can only be accessed by the agency that employs the Legal Coordinator. Information from the TDT system is shared with ACS at the end of the reporting period by request for the purposes of the audit.

<sup>3</sup> The TDT system is used to document compliance with the variance condition that the Legal Coordinator performs duties that include supporting residents in meeting their requests for assistance in performing legal research.

<sup>4</sup> The Non-DOC Staff Logbook should have one corresponding entry for each instance that the Legal Coordinator was on-site, indicating the time that the Legal Coordinator entered and exited the facility.

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- A manual review of the information documented in the TDT system to determine whether access to tablets with Lexis/Nexis research capacity was afforded to youth for at least two (2) hours per day, seven (7) days a week, during daytime hours that do not conflict with schooling, programming, counseling, therapy sessions, and other required daily activities.
- An on-site inspection of available legal research tablets and the two (2) secure access points<sup>5</sup> to determine whether the equipment was operational.

**Audit Findings**

*Request Forms*

Residents may request an individualized session to discuss a legal topic or to access a tablet with Lexis/Nexis research capacity, or both by using the Request Form. To request an individualized session, they may complete a Request Form, which is available in each hall seven (7) days per week from 3:00 pm to 9:00 pm. Residents are able to submit Request Forms to the Legal Coordinator Mailbox, which is located as a permanent fixture in a common area outside of the Dining Hall. This mailbox is available to all residents as they are escorted to the medical area or the Dining Hall on a daily basis, three (3) times per day when they are provided meals.

The Legal Coordinator collects the requests daily each morning that they are on-site. On the weekends, Program Counselors collect Request Forms daily and respond to verbal requests from residents for access to tablets with Lexis/Nexis research capacity. Requests received by the Legal Coordinator Monday through Friday, and resulting individualized sessions, are recorded both on the Request Form and in the TDT system to document that the Legal Coordinator provided access to tablets with Lexis/Nexis research capacity upon request, and that the Legal Coordinator assisted residents in performing legal research.

*Access to tablets with Lexis/Nexis research capacity*

Residents conduct legal research through Lexis/Nexis using one (1) of ten (10) ACS tablets or fifty (50) available American Prison Data Systems (“APDS”) tablets with Lexis/Nexis access. Each tablet made available for legal research on a given day is inspected by the Legal Coordinator to determine if the tablet is operational and has Lexis/Nexis research capacity. If a tablet is determined to be inoperable or unable to access Lexis/Nexis, it is replaced for that session by a different tablet that has been inspected and determined to be operational. Two (2) specially installed access points provide secure remote access. All residents at Horizon may request access to Lexis/Nexis for legal research purposes for two (2) hours a day, seven (7) days a week by means of appointment for an individualized session. Requests may be made verbally by speaking directly

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<sup>5</sup> The Legal Coordinator only affirmatively documents when secure access points are non-functional.

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to the Legal Coordinator or Program staff<sup>6</sup>, or by submitting a Request Form. Individualized sessions to access tablets with Lexis/Nexis research capacity with the assistance of the Legal Coordinator are afforded during Law Library program hours, which occur Monday through Friday.

*On-site inspections*

Through the conduction of this audit, it was determined that daily on-site inspections of tablets were performed to assess tablet functionality and access to Lexis/Nexis research capacity and/or access points with secure remote access. In order to determine the functionality of secure remote access points, the Legal Coordinator attempts to activate the tablets by logging on. If tablets indicate an “error” message, the Legal Coordinator seeks ACS IT Support.

*Weekday Law Library hours*

On weekdays, the Legal Coordinator provides four (4) hours of continuous Law Library services. Services are typically offered in the Dining Hall, where the Legal Coordinator is able to have direct contact with a majority of residents, in resident halls, in order to provide services to residents unable to obtain services while in the Dining Hall, and in classrooms after school hours. Tablets with Lexis/Nexis research capacity are provided for at least two (2) out of the four (4) hours, during a time that does not conflict with the residents’ required daily activities.

*Weekend Law Library hours*

Posters have been placed in each Hall to inform residents of various means of accessing tablets with Lexis/Nexis research capacity, upon request, for two (2) hours per day, on weekend days, or any other day that Law Library staff is not present.

**July 3<sup>rd</sup>**

*Legal Coordinator on-site recordings*

Based on a review of the information documented in the TDT system, the Legal Coordinator was on-site at Horizon from 11:27 am to 3:27 pm to for the provision of Law Library services<sup>7</sup>. The Legal Coordinator was on-site for a total of four (4) hours.

*Provision of Law Library Services*

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<sup>6</sup> The Legal Coordinator is not on-site on weekend days, and as a result, Program staff provide access to tablets with Lexis/Nexis research capacity from 9am to 3pm, upon residents’ request.

<sup>7</sup>On this date, Friends of Island, the contract agency, closed its offices early and the facility residents did not have a school session, therefore law library services did not interfere with required daily activities despite being provided outside of the normally scheduled time.

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Based on a review of information documented in the TDT system, on this audit date, the Legal Coordinator provided Law Library program services from 11:27 am to 3:27 pm, during which residents were able to verbally request consultation and/or an appointment for an individual session or access to a tablet with Lexis/Nexis capacity. In the TDT system, the Legal Coordinator recorded that during this time period, the residents were afforded access to tablets with Lexis/Nexis research capacity and assistance from the Legal Coordinator in performing legal research.

*Fulfillment of Requests for Appointments*

Upon review of the information documented in the TDT system for this audit date, three (3) residents requested assistance with general legal topics and one (1) requested information regarding the court process. Of the four (4) requests for appointments submitted on this audit date, four (4) were fulfilled on this date. All four (4) requests were submitted verbally by four (4) separate residents, directly to the Legal Coordinator. The individualized sessions that occurred during the Law Library program hours were between ten (10) and fifteen (15) minutes in duration.

*Request Forms*

On this audit date, no Request Forms had been submitted by residents. As a result, an audit based on a review of the Request Forms to determine whether residents were provided with access to tablets with Lexis/Nexis research capacity upon written request could not be conducted.

*Tablet Functionality and Secure Remote Access*

Based on a review of the information documented in the TDT system on this audit date, it was determined through a manual inspection that Tablets #5 and #6, which were made available for Law Library services on this date, were functional.

**July 11<sup>th</sup>**

*Legal Coordinator on-site recordings*

Based on a review of the information documented in the TDT system, the Legal Coordinator was on-site at Horizon from 11:36 am to 1:10 pm and from 3:12 pm to 5:47 pm for the provision of Law Library services. The Legal Coordinator was on-site for a total of four (4) hours and nine (9) minutes<sup>8</sup>.

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<sup>8</sup> On this audit date, the Legal Coordinator provided law library services in two (2) separate intervals.

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Based on a review of the information documented in the TDT system, on this audit date the Legal Coordinator provided Law Library services from 11:36 am to 1:10 pm in the Dining Hall, during which residents were able to verbally request consultation and/or an appointment for an individual session or access to a tablet with Lexis/Nexis capacity. In addition, the Legal Coordinator recorded in the TDT system that Law Library services, during which residents were afforded access to tablets with Lexis/Nexis research capacity and assistance from the Legal Coordinator in performing legal research, occurred from 3:12 pm to 5:47 pm.

*Fulfillment of Requests for Appointments*

Upon review of the information documented in the TDT system for this audit date, one (1) resident requested a printout of information from Web Crims, one (1) requested general information on sentencing, one (1) requested general case information, and one (1) requested information on probation/parole. Of the four (4) requests for appointments submitted on this audit date, three (3) were fulfilled on this date as the Legal Coordinator was not able to print the requested information from Web Crims until the following day, July 12<sup>th</sup>. All four (4) requests were submitted verbally by four (4) separate residents, directly to the Legal Coordinator. All four (4) of the residents' requests for appointments submitted on this audit date were fulfilled during Law Library Hours. All four (4) requests were submitted verbally by four (4) separate residents, directly to the Legal Coordinator. Each individualized session occurred during Law Library program hours and was between five (5) and thirty-five (35) minutes in duration.

*Request Forms*

On this audit date, no Request Forms had been submitted by residents. As a result, an audit based on a review of the Request Forms to determine whether residents were provided with access to tablets with Lexis/Nexis research capacity upon written request could not be conducted.

*Tablet Functionality and Secure Remote Access*

Based on a review of the information documented in the TDT system on this audit date, it was determined through a manual inspection that Tablets #3 and #4, which were made available for Law Library services on this date, were functional.

**July 18<sup>th</sup>**

*Legal Coordinator on-site recordings*

Based on a review of the information documented in the TDT system, the Legal Coordinator was on-site at Horizon from 3:30 pm to 7:30 pm for the provision of Law Library services. The Legal Coordinator was on-site for a total of four (4) hours.

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Based on a review of information documented in the TDT system, on this audit date, the Legal Coordinator provided Law Library program services from 3:30 pm to 7:30 pm, during which residents were able to verbally request consultation and/or an appointment for an individual session or access to a tablet with Lexis/Nexis capacity. In the TDT system, the Legal Coordinator recorded that during this time period, the residents were afforded access to tablets with Lexis/Nexis research capacity and assistance from the Legal Coordinator in performing legal research.

*Fulfillment of Requests for Appointments*

Upon review of the information documented in the TDT system for this audit date, two (2) residents requested general information regarding the court process, and one (1) resident requested general information regarding the court process and bail information. Of the three (3) requests for appointments submitted on this audit date, three (3) were fulfilled on this date. Three (3) total requests were submitted verbally by three (3) separate residents, directly to the Legal Coordinator. Individualized sessions occurred during the Law Library program hours lasted between twenty-five (25) and thirty-five (35) minutes in duration.

*Request Forms*

On this audit date, no Request Forms were submitted by residents. As a result, an audit based on a review of the Request Forms to determine whether residents were provided with access to tablets with Lexis/Nexis research capacity upon written request could not be conducted.

*Tablet Functionality and Secure Remote Access*

Based on a review of the information documented in the TDT system on this audit date, it was determined through a manual inspection that 2 (two) tablets were made available for Law Library services on this date and were found to be functional<sup>9</sup>.

**July 26<sup>th</sup>**

*Legal Coordinator on-site recordings*

Based on a review of the information documented in the TDT system, the Legal Coordinator was on-site at Horizon from 3:30 pm to 7:30 pm for the provision of Law Library services. The Legal Coordinator was on-site for a total of four (4) hours.

*Provision of Law Library Services*

Based on a review of information documented in the TDT system, on this audit date, the Legal Coordinator provided Law Library program services from 3:30 pm to 7:30 pm, during which residents were able to verbally request consultation and/or an appointment for an individual

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<sup>9</sup> Tablet numbers were not recorded.

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session or access to a tablet with Lexis/Nexis capacity. In the TDT system, the Legal Coordinator recorded that during this time period, the residents were afforded access to tablets with Lexis/Nexis research capacity and assistance from the Legal Coordinator in performing legal research.

*Fulfillment of Requests for Appointments*

Upon review of the information documented in the TDT system for this audit date, three (3) residents requested assistance with general legal topics. Of the three (3) requests for appointments submitted on this audit date, all three (3) were fulfilled on this date. All three (3) requests were submitted verbally by three (3) separate residents, directly to the Legal Coordinator. Each individualized session that occurred during the Law Library program hours lasted between twenty-five (25) and thirty-five (35) minutes in duration.

*Request Forms*

On this audit date, no Request Forms had been submitted by residents. As a result, an audit based on a review of the Request Forms to determine whether residents were provided with access to tablets with Lexis/Nexis research capacity upon written request could not be conducted.

*Tablet Functionality and Secure Remote Access*

Based on a review of the information documented in the TDT system on this audit date, it was determined through a manual inspection that 2 (two) tablets were made available for Law Library services on this date and were found to be functional<sup>9</sup>.