MINIMUM STANDARDS TO PREVENT SEXUAL ABUSE AND HARASSMENT

SEMI ANNUAL PUBLIC UPDATE
OCTOBER, 9 2018
I. PREA Reporting Dashboard

II. BOC Oversight and Next Areas of Focus

III. Audit of Closing Reports
<table>
<thead>
<tr>
<th>5-10 Evident Protocol and Forensic Medical Examinations</th>
<th>Status</th>
<th>Due</th>
<th>Received</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) The Department shall provide on an annual basis to the Board a written report identifying all inmates in protective custody during the previous year.</td>
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<td>(b) The Department shall report to the Board in writing and on a quarterly basis the number of inmates at a high risk of sexual victimization placed in involuntary PC within 30 days of the end of the previous quarter.</td>
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<td>(c) The Department shall report in writing and on a two-week period the number of inmates at a high risk of sexual victimization placed in involuntary PC within 30 days of the end of the previous two-week period.</td>
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<thead>
<tr>
<th>5-12 Employee Training</th>
<th>Status</th>
<th>Due</th>
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<th>Comments</th>
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</thead>
<tbody>
<tr>
<td>(a) The Department shall provide to the Board on an ongoing basis, employee training records for each employee who has been trained during that time period.</td>
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<td>(b) The Department shall provide to the Board on an annual basis the training schedules, training curriculum and credentials of the trainers for the previous year.</td>
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<tr>
<th>5-13 Volunteer and Contractor Training</th>
<th>Status</th>
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<tbody>
<tr>
<td>(a) The Department shall provide to the Board a written report detailing all training schedules, training curriculum and credentials for the previous 6 month period.</td>
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<thead>
<tr>
<th>5-16 Specialized Training: Medical and Mental Health Care</th>
<th>Status</th>
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BOARD RESOLUTIONS & DOC CORRECTIVE ACTION

- 5-17 Implementation & 5-18 Use of Screening
- 5-30 Investigations
- 5-39 SAIRS
NEXT AREAS OF FOCUS FOR BOC OVERSIGHT

- 512; 5-13; 5-14 Training
- 5-04 Supervision and Monitoring– Staffing Plans
- 5-40 DOC Public Self-Assessment and Data
Purpose: The Board sought to review the timeliness and quality of investigations into sexual abuse and sexual harassment in DOC facilities and compliance with 5-30 Standards on Investigations.

Impact: DOC has agreed to implement most recommendations.

Audit Sample: 42 closing memos that reflect the categories of allegations received by DOC.
Timely interviews were conducted with the majority 86% (n=36,) of alleged victims.

Only one (2%) of the 42 Closing Reports audited was completed within 90 days.
- Alleged perpetrators were interviewed in 55% (n=23) of the investigations audited.

- Witnesses were interviewed in 45% (n=19) of the investigations audited.
It was impossible to conclude whether interviews were conducted in private and confidential locations.
In almost all cases 93% (n=39), alleged victims were reported as being offered some post-incident services after DOC received their allegation.
In 41% of sexual abuse allegations (n=9), there was insufficient information recorded regarding the establishment of a crime scene.

Just over half of the investigations 55% (n=23) included a review of physical evidence.

In about a quarter of cases 26% (n=11), the investigations included a review of all available testimonial evidence.
Investigators generally failed to review prior allegations against the alleged perpetrator and did so in only 4 cases.

In half of the cases, the investigator adequately performed credibility assessments.
In half of the cases 50% (n=21), the investigator adequately described their reasoning of what is substantiated, meaning there was a specific explanation of what elements of the allegation were verified or disproved.
DETERMINATIONS

- One of the 42 investigations concluded that staff actions or failures contributed to the incident.

- 95% (n=41) of the 42 allegations were unsubstantiated or unfounded.

- Victims were informed of the investigation results in a quarter 26% (n=11) of cases audited.
AUDIT OF CLOSING MEMOS – RECOMMENDATIONS

DOC:
- Retrain Investigators & Supervisory Staff
- Revise and Improve Closing Report Format
- Audit Investigations to Identify and Address Reasons for Delays
- Ensure Interviews are Conducted in Private and Confidential Spaces
- Notify Alleged Victims of the Outcome of Investigations, Even if no Longer in DOC Custody.

BOC:
- Complete an Annual Audit of Closing Reports