

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

March Monthly Report (covering the period February 1 – February 28, 2018)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

ESH

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
2/1/18	Stabbing; assaults on inmates; gang activity; serious or persistent violence	1/29/18 – Stabbing	1	Second Chance	1	TRU, SCH
2/1/18	Slashing; assaults on inmates; gang activity; serious or persistent violence	1/29/18 – Slashing	1	Second Chance	N/A	TRU, Secure
2/1/18	Stabbing; assaults on inmates; gang activity; serious or persistent violence	1/29/18 – Stabbing	1	General Population	1	No
2/1/18	Slashing; assaults on inmates; gang activity; serious or persistent violence	1/29/18- Stabbing	1	General Population	N/A	TRU
2/5/18	Serious injury to staff; slashing; assaults on inmates; gang activity; serious or persistent violence	1/30/18 – Serious injury to staff	1	General Population	1	No
2/6/18	Slashing; assaults on inmates; gang activity; serious or persistent violence	12/19/17 – Slashing	1	General Population	1	TRU

NYC Department of Correction

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ESH (Continued)

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
2/11/18	Causing serious injury to staff; possession of a weapon; assaults on staff and inmates; serious or persistent violence	2/10/18 – Serious injury to staff	1	TRU	YA transferred prior to adjudication hearing	SCH, TRU
2/11/18	Causing serious injury to staff; assaults on staff; serious or persistent violence	2/10/18 – Serious injury to staff 1/18/18 – Assault on staff	1	TRU	YA transferred prior to adjudication hearing	TRU
2/14/18	Causing serious injury to staff; assaults on inmates and staff; serious or persistent violence	2/13/18 – Serious injury to staff	1	General Population	1	No
2/14/18	Slashing; assaults on inmates; possession of a weapon; serious or persistent violence	2/14/18 – Slashing	1	Intake	1	SCH, TRU
2/14/18	Possession of a weapon; assaults on staff; gang activity; serious or persistent violence	2/9/18 – Possession of weapon	2	APU	2	No
2/16/18	Possession of a weapon; assaults on staff; serious or persistent violence	6/26/17 – Possession of weapon	2	Second Chance	2	SCH, TRU
2/16/18	Stabbing; assaults on staff and inmates; gang activity; serious or persistent violence	7/16/17 – Assault on staff; 7/13/17 – Stabbing	2	APU	2	SCH, TRU

NYC Department of Correction

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SECURE

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
2/22/18	Assault on staff, assault on inmate, history of persistent violence	8/20/17 – Assault on inmate 12/26/17 – Assault on staff 2/17/18 – Assault on staff 2/18/18 – Assault on staff	1	TRU	YA transferred before hearing	SCH, TRU
2/27/18	Assault on staff, assault on inmate, possession of weapon	9/30/17 – Assault on inmate 12/11/17 – Possession of weapon 2/17/18 – Assault on staff	1	GP	Continued Placement	TRU

- b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release.**

ESH

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
2/2/18	Discharged from DOC Custody	241	1	N/A
2/2/18	Discharged from DOC Custody	43	2	N/A
2/6/18	Not Recommended	5	1	Second Chance
2/6/18	Not Recommended	5	1	APU
2/7/18	Security Reasons	223	1	General Population
2/13/18	Transferred	3	1	N/A
2/13/18	Transferred	3	1	N/A
2/23/18	Discharged from DOC Custody	451	2	N/A
2/26/18	Discharged from DOC Custody	62	1	N/A

NYC Department of Correction

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SECURE

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
2/7/18 ¹	Completed all 3 phases	148	3	General Population Max ²
2/22/18	Security Reasons	Less than 1	1	TRU

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.

ESH

Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
2/8/18	3	2	Committed an act of violence toward staff
2/10/18	2	3	Review Recommendation
2/17/18	1	2	Review Recommendation
2/18/18	2	1	Security Reasons
2/26/18	2	1	Committed an act of violence

SECURE

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
2/6/18	1	2	Review Recommendation
2/8/18	1	2	Review Recommendation
2/13/18	2	3	Review Recommendation
2/6/18	2	3	Review Recommendation
2/20/18	2	3	Review Recommendation

- d. Average Daily Population in each phase/level and overall.

ESH

Entry Unit	Level 1	Level 2	Level 3	Overall
6.85	9.6	12.14	4.06	32.6

¹ Review was conducted in late January and corresponding review data was included in the February report. As such, this young adult's review data is not included in section f below.

² General Population Max units house individuals with high classification levels. These units receive all mandated services and minimum requirements of General Population units.

SECURE

Phase 1	Phase 2	Phase 3	Overall
.53	2.88	3.85	7.26

- e. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date. Minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason³, and the time in-program minimum, maximum, median, and average on the last day of each month overall and by phase/level⁴.

	Total People Entered To-Date	Total Entries To-Date
ESH	133	145
Secure	51	58

Length of Stay in ESH ⁵ (days; N= 113)						
	Entry Unit	Level 1	Level 2	Level 3	Non-Level ESH ⁶	Overall
Average	33	69	68	24	36	102
Median	27	37	53	20	31	63
Minimum	3	1	1	4	1	2
Maximum	212	312	339	55	106	460

Length of Stay in Secure ⁵ (days; N= 50) ⁷				
	Phase 1	Phase 2	Phase 3	Overall
Average	44.98	33.37	39.05	83.73
Median	31.50	28.50	30.00	75.00
Minimum	1.00	7.00	5.00	1.00
Maximum	228.00	81.00	133.00	300.00

³ For ESH, Overall Length of Stay by release reason is not available for this reporting period because the historical data needed for this data point is still being collected.

⁴ Time in program min, max, median, and average by phase/level is unable to be produced at this time. The Department will need approximately three months before it can begin to provide this data.

⁵ Lengths of stay are calculated by averaging individual Young Adults' accumulated time in each level, regardless of the chronological progression of the Young Adult through various levels. Records are only included for Young Adults who have been released from ESH or Secure.

⁶ This category refers to ESH before the level system was implemented.

⁷ The N value represents all Secure Unit young adult records that were used in any of the LOS calculations. The Department will attempt to break out N's for levels in subsequent reports.

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Overall Length of Stay in Secure by Release Reason (days, N=50)				
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Adjudication Determination
Average	117.07	52.56	69.38	8
Median	106	31.5	71	8
Minimum	43	0	1	8
Maximum	225	180	300	8

Time in Program ⁸ (days)				
Housing Area	Average	Median	Minimum	Maximum
ESH (N=32)	133.94	89.5	7	377
Secure (N=8)	85.63	96	1	126

f. The number of young adults who met the criteria for an initial 28-day review (Secure), 30-day review (ESH) and 45-day review (ESH).

28-day Review (Secure)	45-day Review ⁹ (ESH)
7	13

i. The number of young adults who received such a review.

28-day Review (Secure)	45-day Review (ESH)
7	13 ¹⁰

⁸ Time in Program is calculated only for inmates who were still in the unit on 2/1/18.

⁹ During this reporting period, the Department transitioned from a 45-day review structure to 30-day reviews. Moving forward, this report will contain 30-day reviews replacing the previously conducted 45-day reviews.

¹⁰ One young adult was discharged from DOC custody prior to having his review; one young adult met the criteria for a review during the end of the previous reporting period, but received his review at the beginning of the current reporting period.

- ii. For each review, the individual’s level at the time of review, the level or housing type recommended, and the resulting placement. If the young adult did not advance to a less restrictive level, which exception was used to justify?

28-Day Reviews Recommending Advancement, Regression, or Release			
Secure Phase at Time of Review	New Secure Phase or Housing Type Recommended	Resulting Placement	If no advancement, why?
1	2	2	N/A
2	3	3	N/A
1	2	2	N/A
2	3	3	N/A
2	3	3	N/A
3	3	3	Pending Security Review
3	3	3	Violent Behavior
2	2	2	Violent Behavior

45-Day Reviews Recommending Advancement, Regression, or Release			
ESH Level at Time of Review	New ESH Level or Housing Type Recommended	Resulting Placement	If no advancement, why?
1	2	N/A ¹¹	N/A
1	2	Pending housing determination ¹²	N/A
3	Discharge to General Population	3 ¹³	N/A
2	3	3	N/A
1	2	Pending housing determination ¹²	N/A
2	2	2	Disruptive behavior
2	2	2	Disruptive and violent behavior
2	2	2	Disruptive behavior
2	2	2	Disruptive behavior
1	1	1	Disruptive and violent behavior
1	1	1	Disruptive and violent behavior
3	Discharge to General Population	Discharge to General Population ¹⁴	N/A
2	2	2	Disruptive Behavior

¹¹ Young adult was transferred out of ESH prior to moving to the recommended ESH level.

¹² These young adults could not be moved to Level 2 for security reasons and remained in Level 1 until alternate housing was identified.

¹³ Young adult remained in Level 3 until his discharge from DOC custody.

¹⁴ Young adult was discharged to General Population during subsequent reporting period.

- g. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.¹⁵**

ESH Young Adult Programs	# of Sessions Offered	Average # of Participants per Session
Anger Management	6	3.8
Creative Arts Expression	1	5.0
DBT (Dialectical Behavioral Therapy)	4	5.0
Education Tablets	51	3.0
Individual One-on-One Sessions	23	3.5
Interactive Journaling	2	3.5
Leadership Development	7	5.0
Youth Communication	4	5.0
ICAN (Level 2)	15	0.9
ICAN (Level 3)	12	0.3
Counseling & Social Services (Level 1)	4	6.3
Counseling & Social Services (Level 2)	18	1.1
Counseling & Social Services (Level 3)	10	0.7

Secure Programs	# of Sessions Offered	Average # of Participants per Session
Anger Management	38	1.7
Community Meeting	14	1.6
Creative Arts Expression	32	1.6
DBT (Dialectical Behavioral Therapy)	68	1.7
Individual Counseling Sessions	28	1.6
Interactive Journaling	12	1.8
Interpersonal Skills	38	1.6
Leadership Development	23	1.7
Structured Recreation	54	1.7
Youth Communication	42	1.8

¹⁵ It is estimated that individual-level programming data will be included beginning with the July 2018 report.

- h. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.**

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

- i. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).**

Inmate-on-Inmate Fights	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	1	2	65
Monthly Rate	.03	.28	.13
Cumulative Count	57	11	1593
Cumulative Rate	2.02	1.36	2.80

- j. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).**

Slashing/Stabbings	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	0	0	1
Monthly Rate	0	0	0
Cumulative Count	12	0	57
Cumulative Rate	.38	0	.10

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- k. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.

Assaults on Staff	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	3	0	4
Monthly Rate	.10	0	.01
Cumulative Count	39	14	110
Cumulative Rate	1.23	1.73	.19

- l. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population.

Uses of Force	ESH Young Adults	Secure Young Adults	General Population Young Adults
Cumulative Count	149	74	928
Cumulative Rate	5.28	9.12	1.63