

DOB NOW: Build

Determinations and Appeals
Fall 2023 Release

Updated October 2023



AGENDA



- Overview of Determinations and Appeals
- Pre-Determination
- Second Review of Objection
- Objection Level Determination
- Job Filing Level Determination
- Appeal of Determination/Pre-Determination
- Document Requests for Board of Standards and Appeals
- External Agency Request



BEST PRACTICES FOR THE VIRTUAL CLASSROOM





Chat Feature



Mute Microphones



Ask Questions



Parking Lot

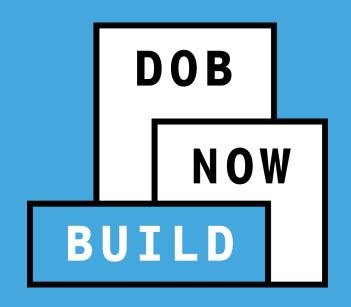


Feedback



Participate





DOB NOW: Build – DETERMINATIONS & APPEALS

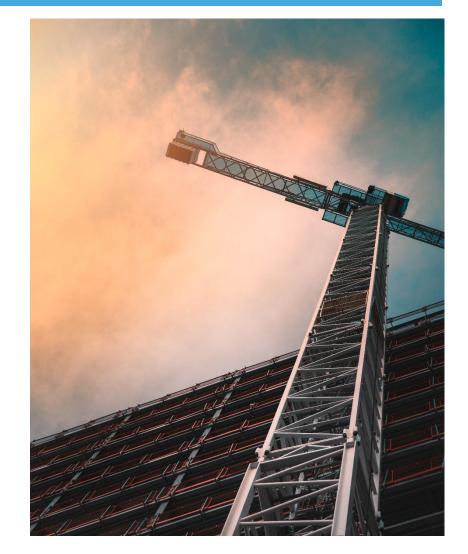
Overview

DOB NOW: Build - DETERMINATIONS & APPEALS



REVIEW LEVELS:

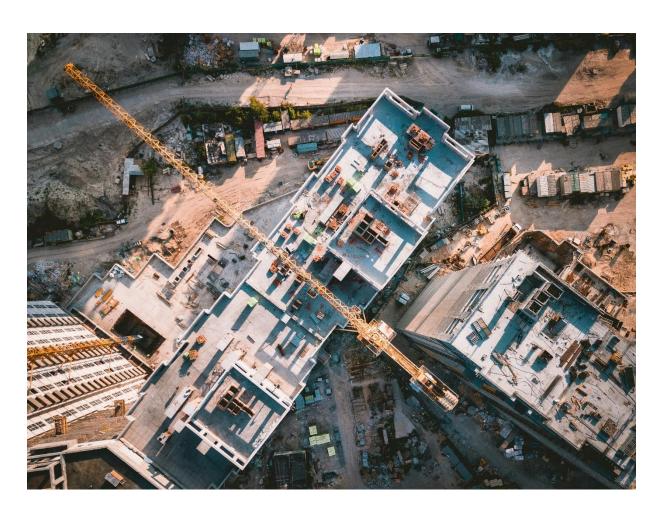
- FIRST PLAN EXAMINATION REVIEW ("LEVEL 0"): Objection(s) issued during the plan review process.
- SECOND REVIEW OF OBJECTION ("LEVEL 1"): Request for review of objection(s) by the Assistant Chief Plan Examiner (ACPE) or the unit supervisor (for elevators). There is no fee for this review.





DOB NOW: *Build* – **DETERMINATIONS & APPEALS**





REVIEW LEVELS (continued):

- BOROUGH COMMISSIONER REVIEW

 ("LEVEL 2A") FOR DETERMINATION OF

 AN OBJECTION: Appeal to the Borough

 Commissioner (BC) after objection has been affirmed during second plan examination review.
- The Deputy Borough Commissioner (DBC), Code and Zoning Specialist (CZS) and/or unit specific subject matter experts (SMEs) will assist the BC during this review.
- The fee for this review is \$1,000.

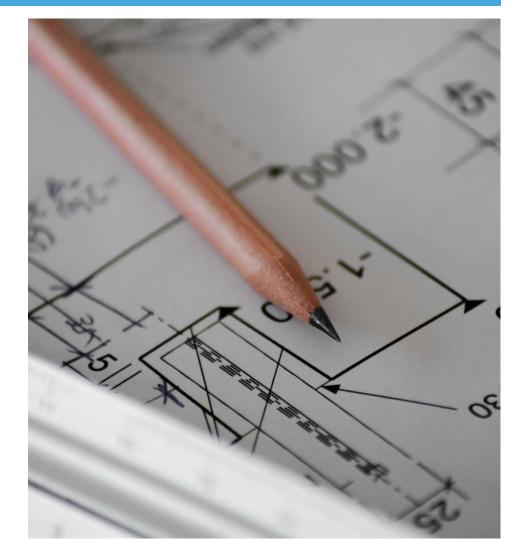


DOB NOW: Build - DETERMINATIONS & APPEALS



REVIEW LEVELS (continued):

- BOROUGH COMMISSIONER REVIEW ("LEVEL 2A") FOR DETERMINATION RELATED TO A JOB FILING OR PREDETERMINATION: Request for an interpretation of the Construction Codes, Zoning Resolution, or 1968 or prior Building Code, or a variation of the Construction Codes, the 1968 or prior Building Code, or § 277.16 of Multiple Dwelling Law for Article 7B buildings.
- The BC will review the request with assistance from the DBC,
 CZS and/or unit specific SMEs.
- The fee for this review is \$1,000. Fee does not apply for nonprofit organizations, government owners, HPD Affordable Housing, or for 1, 2, or 3 family homes.





DOB NOW: Build - DETERMINATIONS & APPEALS: INTRODUCTION



OTHER REVIEW LEVELS:

- OBJECTION SHEET FOR BOARD OF STANDARDS AND APPEALS (BSA) ("LEVEL 2B"): Request for BC to stamp objection(s) for submission to BSA for BSA review of the objection(s). This request can be submitted any time after objection is issued (Level 0). There is no fee for this request.
- TECHNICAL AFFAIRS APPEAL ("LEVEL 3"): Appeal from the denial of the Level 2A request. DOB's Technical Affairs Bureau (TA) will review this appeal. The fee for this appeal is \$2,500. Fee does not apply for nonprofit organizations, government owners, HPD Affordable Housing, or for 1, 2, or 3 family homes.
- FIRST DEPUTY COMMISSIONER (FDC) APPEAL ("LEVEL 4A"): Appeal from the Technical Affairs Appeal. New arguments or additional information must be presented to support this appeal. The FDC and TA will review this appeal. The fee for this appeal is \$2,500.
 Fee does not apply for nonprofit organizations, government owners, HPD Affordable Housing, or for 1, 2, or 3 family homes.
- FINAL DEPARTMENT DETERMINATION ("LEVEL 4B"): Request for final determination or appeal for submission to BSA. There is no fee for this request.
- LEVEL 5: BSA REVIEW: This review is outside of DOB NOW.



DOB NOW: *Build –* **DETERMINATIONS & APPEALS: INTRODUCTION**



- Objection Level Determination Request for an escalation after two reviews of an objection issued during plan examination, including an objection issued during review of a crane, elevator, energy, or site safety filing. Objection Level Determination can be submitted only after a second review of the objection has been completed and the objection has been upheld.
- Job Filing Level Determination Request related to a job filing for a variation of the Construction Codes, the 1968 or prior Building Code, or Section 277.16 of the New York State Multiple Dwelling Law (MDL) for Article 7B buildings
- Pre-Determination Request related to a specific property with respect to the Construction Codes, 1968 or prior Building Code, or the Zoning Resolution. It is submitted before a job filing has been submitted.

An Appeal is a request for review of any of these above types of Determinations that may be submitted if the Determination result is <u>Denied</u> or <u>Approved with Conditions</u>.



DOB NOW: Build - DETERMINATIONS & APPEALS: OLD VS. NEW PROCESS

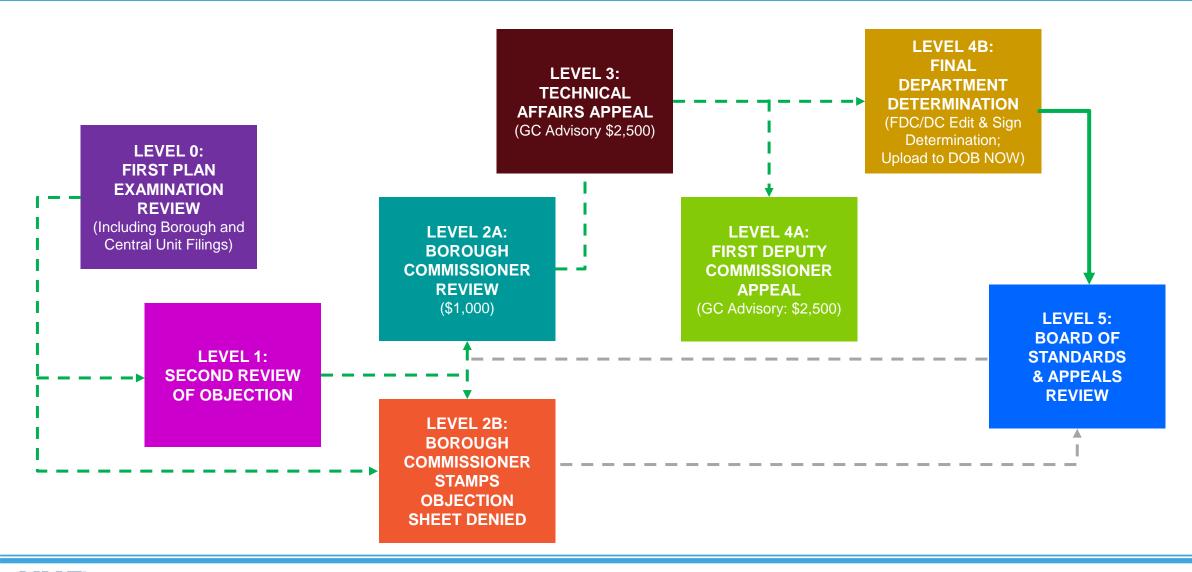


- Previously, Determination or Appeal requests were submitted via email using either:
 - CCD1 Construction Code Determination Form
 - ZRD1 Zoning Resolution Determination Form
- Starting October 30, 2023, new Determination or Appeal requests will be submitted through DOB NOW:
 Build for both BIS and DOB NOW jobs.
- The new Determinations & Appeals process in DOB NOW: Build will be a one-stop shop for submitting and receiving answers to Determination or Appeal Requests.
- Any CCD1s or ZRD1s that have <u>already been filed and are currently under review</u> will remain in the existing email/paper process.
- Any Appeals that are currently under review will remain in the existing process.



OBJECTION LEVEL DETERMINATION

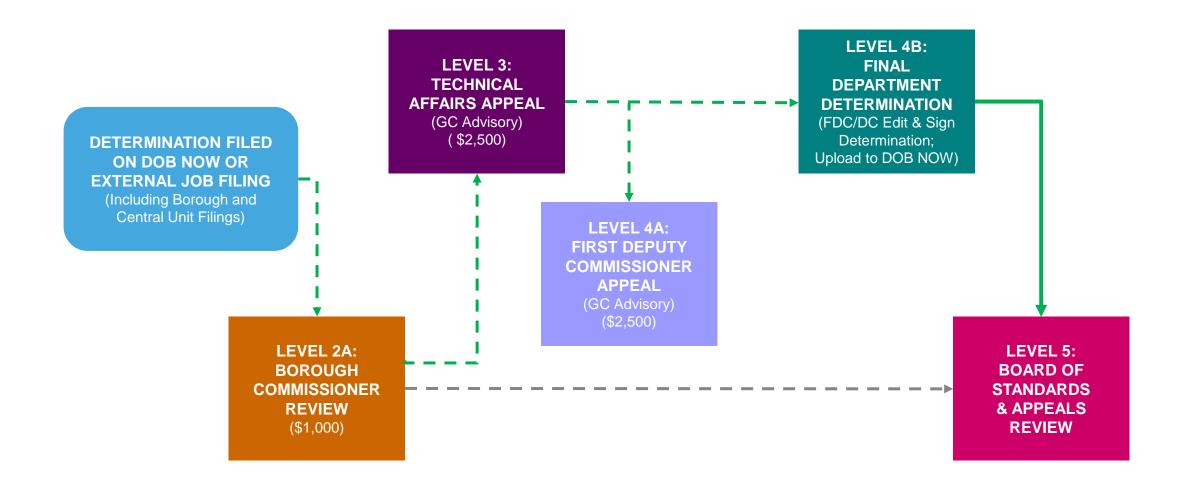






JOB FILING LEVEL DETERMINATION







DOB NOW: Build - DETERMINATIONS & APPEALS: WHO CAN SUBMIT?



TYPE OF REQUEST/DETERMINATION/APPEAL	WHO CAN SUBMIT
Objection Level	 Job Filing Applicant of Record (Professional Engineer or Registered Architect) or Delegated Associate (Class 2 Filing Representative)
Job Filing Level	 Job Filing Applicant of Record (Professional Engineer or Registered Architect) or Delegated Associate (Class 2 Filing Representative/Licensee)
Pre-Determination	Any Licensee or an Attorney
External Agency Determination	 Anyone with an e-filing account



DOB NOW: *Build* – DETERMINATIONS & APPEALS: WHEN CAN THE REQUEST BE SUBMITTED



TYPE OF REQUEST/ DETERMINATION/ APPEAL	JOB STATUS
Second Review of Objection / Objection Level	 Job Filing must be in Objections status for DOB NOW Jobs. BIS jobs must be in H (Plan Exam in Process), J (Plan Exam Disapproved), or K (Plan Exam Partial Approval) status.
Job Filing Level	 DOB NOW and BIS Job Filings cannot be LOC Issued, CO Issued or On Hold.
Pre- Determination	 Job Filing can be created and saved (Pre-Filing) but not submitted to DOB.



DOB NOW: Build - DETERMINATIONS & APPEALS: WHICH JOBS QUALIFY?



Scope: PW1	Determination Type			Request Type	
	Objection Level Determination	Job Filing Level Determination	Pre- Determination	2 nd Review of Objection	BSA
Boilers	Yes	Yes	No	Yes	Yes
Elevators	Yes	Yes	No	Yes	Yes
Energy	Yes	No	No	Yes	Yes
Site Safety	Yes	No	No	Yes	Yes
Other PW1	Yes	Yes	Yes	Yes	Yes



DOB NOW: Build - DETERMINATIONS & APPEALS: WHICH JOBS QUALIFY?



Scope	Determination Type			Request Type		
	Objection Level	Job Filing Level	Pre- Determination	2 nd Review of Objection	BSA	
Full Demolition	Yes	Yes	Yes	Yes	Yes	
Crane Device (CD)	No	No	No	Yes	Yes	
Crane Notification (CN)	Yes	Yes	Yes	Yes	Yes	
Crane Prototype (CP)	No	No	No	Yes	Yes	



DOB NOW: Build - DETERMINATIONS & APPEALS: FEES



ACTION	FEE
 Plan Exam Review 	 Job Filing Fee
 Second Review of Objection 	 No Additional Fee
Pre-Determination or Determination	\$1,000
 Appeal of Determination 	\$2,500
 Second Appeal of Determination 	\$2,500
Board of Standards and Appeals	No Fee

Note: Fees do not apply to nonprofit organizations, government owners, HPD Affordable Housing, or for 1, 2, or 3 family houses.



DOB NOW: *Build –* **DETERMINATIONS & APPEALS**



- Only one Determination or Appeal request me be filed at a time.
- All Determination / Appeal requests may go through different levels of review.
- Users will have to identify which review type they wish to submit. Some levels require previous review types. For example, Appeal of (Pre-)Determination can only be submitted after a Determination or Pre-Determination request has been submitted and review is complete with a Denied or Approved with Conditions decision.
- Once a decision has been made on a request, the results will be visible on the Determinations & Appeals
 dashboard and in the request.
- Associated users will also receive an email with the results of the request.
- The decision document can be printed from within the Determination or Appeal request.
- Three outcomes are possible: Approved, Approved with Conditions, or Denied.



DOB NOW: Build - DETERMINATIONS & APPEALS - DATA ENTRY TIPS



- General Information/Location Information will be system populated based on the BIN number entered or the Borough, Block, Lot entered in the beginning screens.
- In Stakeholders section, Alternate Contact Information was referred to as "Attendee Information" section on the CCD1 and ZRD1 forms.
- DOB NOW introduces the concept of <u>Determination Class</u> where users can select Construction Codes
 Determination or Zoning Determination.
- On a Construction Code Determination, the Determination Subclass section in DOB NOW corresponds to the "Description of Request" section on the CCD1 form.
- In DOB NOW, the Request Details section corresponds to the "Description of Request" section on the CCD1 and ZRD1 forms.

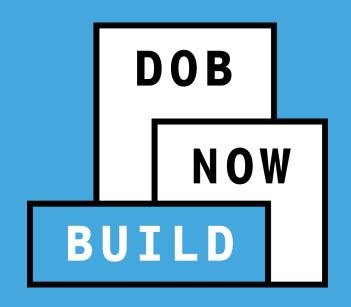


DOB NOW: Build - DETERMINATIONS & APPEALS NUMBERING



	OBJECTION LEVEL REQUESTS	JOB LEVEL DETERMINATION	PRE- DETERMINATION	EXTERNAL AGENCY DETERMINATION	BSA REVIEW ONLY
SECOND REVIEW OF OBJECTION (SECOND PLAN REVIEW)	SECPE00000001	-			
BSA: Objection Sheet or BSA: PRE- DETERMINATION	SECPE00000001-BSA	DA0000001-BSA			BSA0000001
DETERMINATION	SECPE00000001-D1	DA0000001	PDA0000001	EX0000001	
APPEAL OF DETERMINATION	SECPE00000001-A1	DA0000001-A1	PDA0000001-A1	EX0000001-A1	
SECOND APPEAL OF DETERMINATION	SECPE0000001-A2	DA0000001-A2	PDA0000001-A2	EX0000001-A2	
BSA: DETERMINATION	SECPE00000001-BSA	DA0000001-BSA	PDA0000001-BSA	EX0000001-BSA	





DOB NOW: Build – DETERMINATIONS & APPEALS

Pre-Determination/Determination

DOB NOW: Build - PRE-DETERMINATION



 A Pre-Determination is a request related to a specific property with respect to Construction Codes, Zoning Resolution, or 1968 or prior Building Code before a Job is filed or while a Job is in Pre-Filing.



DOB NOW: Build - OBJECTION LEVEL DETERMINATION



- For Objection Level Determination, the Applicant seeks a review by the Borough Commissioner/Deputy Borough Commissioner of an Objection issued by a plan examiner.
- This level cannot be initiated <u>until the Second Review of Objection is complete and the Objection has been</u> upheld.
- No action can be taken on the Job Filing until the Objection Level Determination review is complete.
- Determination requests which are Denied or Approved with Conditions may be Appealed
- If the Determination (or Appeal) overturns the Objection, the Applicant must link the Determination Request with the Objection before they can mark the Objection resolved and resubmit the Job Filing.



DOB NOW: Build – JOB LEVEL DETERMINATION



- A Job Level Determination is a request related to a Job Filing for a variation of the Construction Codes, 1968 or prior Building Code, or section 277.16 of the New York State Multiple Dwelling Law (MDL) for Article 7B buildings.
- These Job Level Determination requests may be filed after a job has been created but before the
 Certificate of Occupancy or Letter of Completion has been issued.

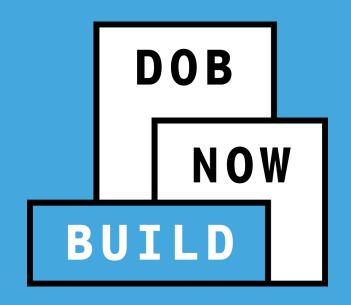


DOB NOW: *Build* – **EXTERNAL AGENCY DETERMINATION**



- External Agency Determination is a determination request related to a job that was filed with FDNY, NYC
 Small Business Services, or the School Construction Authority.
- Since no DOB job is filed, the review process is identical to the Pre-Determination process.





DOB NOW: Build – DETERMINATIONS & APPEALS

Pre-Determination Requests



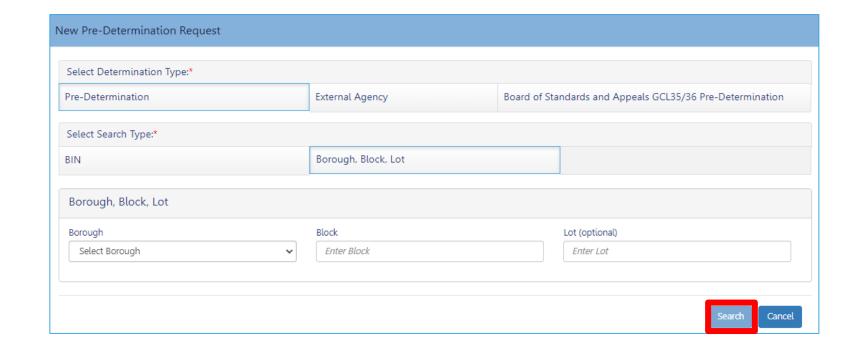
To file a Pre-Determination, click the +Determinations button and then click on Pre-Determination.







- Select Pre-Determination.
- Search for the location by either the Borough, Block, Lot or by the BIN.
- If the Borough, Block, and Lot are entered, you will also need to select the BIN.
- Fill in the required information and click
 Search.







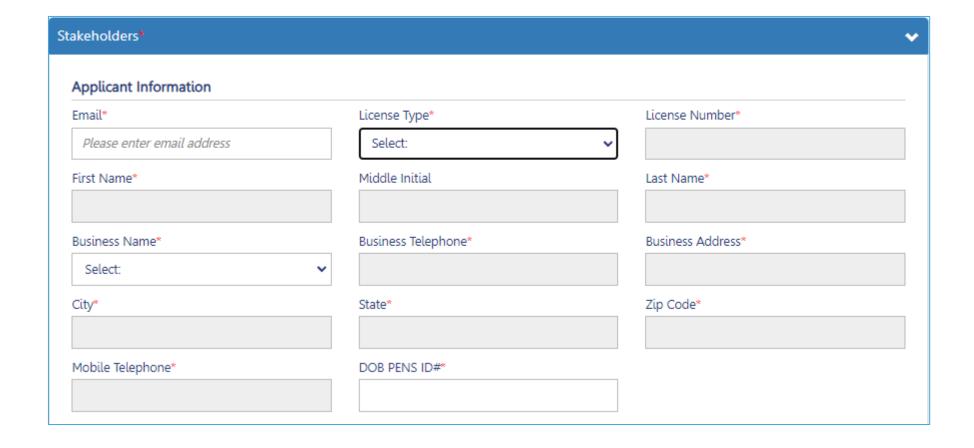
In the General Information tab, the Request Information and Location Information will be pre-filled.





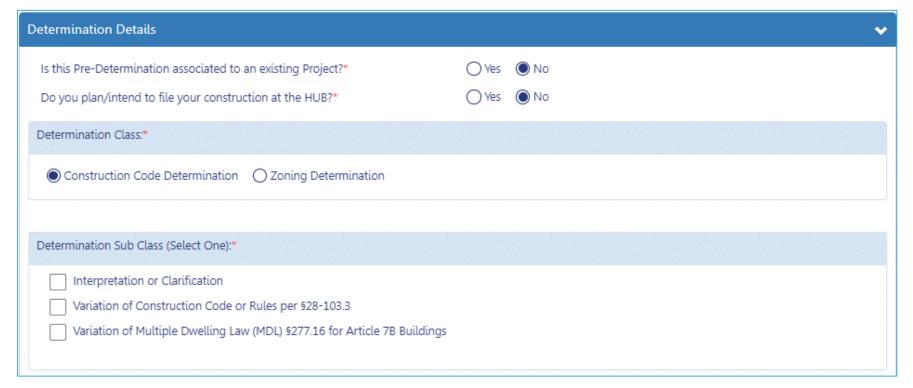


- Enter Applicant Information.
- If desired, an
 Additional Contact
 may be entered.







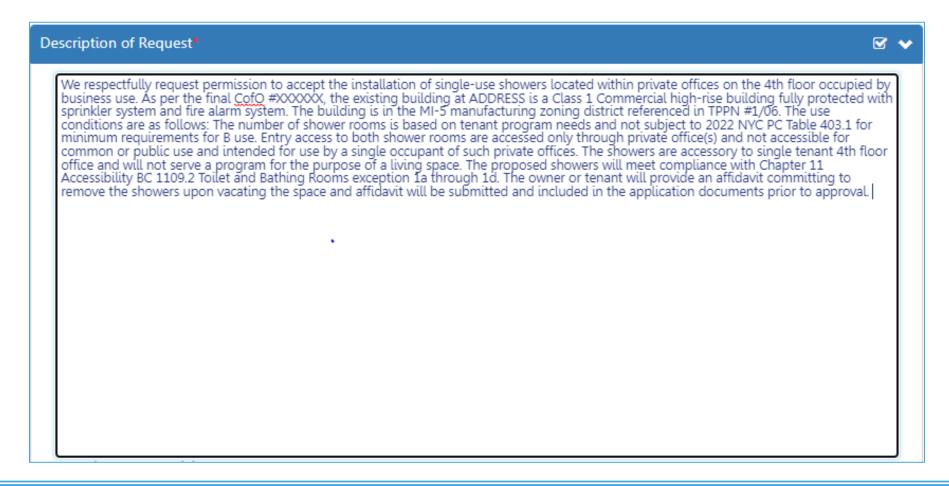


- Answer all questions asked.
- Identify theDetermination Class.
- Choose the appropriateDetermination SubClass





Enter the Description of Request into the text box.





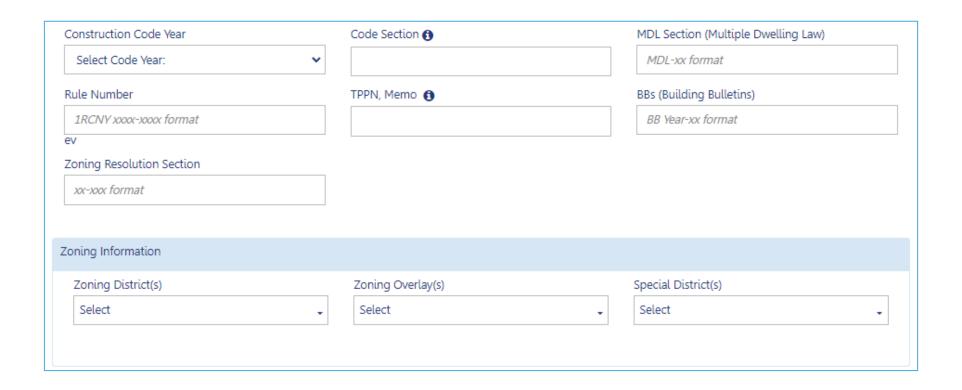


- Answer the questions in the Request Details tab.
- If the answer to the "Is this Pre-Determination associated with any previously filed job?" is Yes, then the Job Number must be entered.
- The Determination
 Description corresponds to
 "Enter short description of technical topic" on the
 CCD1/ZRD1.







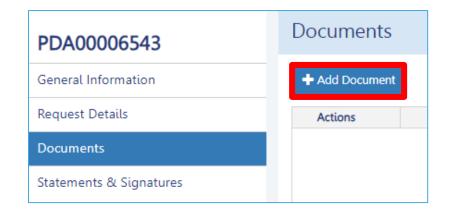


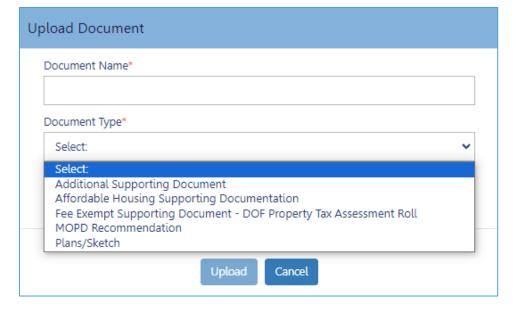
Enter details for relevant Construction Code and/or Zoning Information.





- On the Documents tab, click +Add Document to open the Upload Document window.
- Enter a Document Name and select a Document Type.
 Navigate to the document on your computer and open.
 Then click Upload to add the document to your request.
- If the building is HPD Affordable Housing or Fee Exempt,
 Applicants must provide supporting documentation.

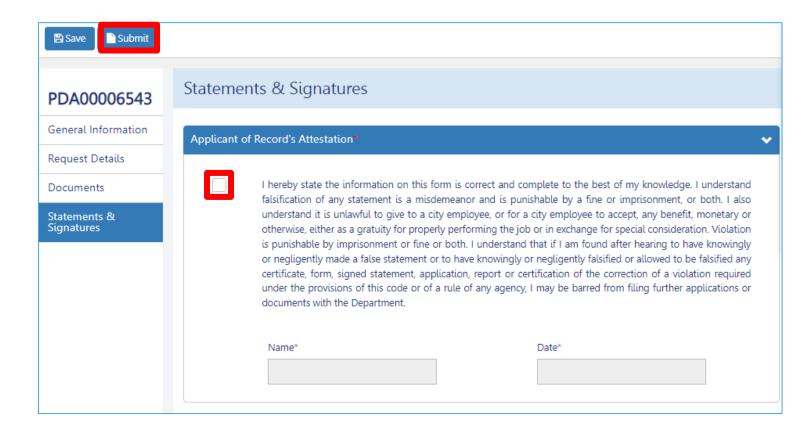








- On the Statements and Signatures tab the Applicant of Record will be required to click the checkbox to attest.
- Pay any required fee.
- Click the Submit button.

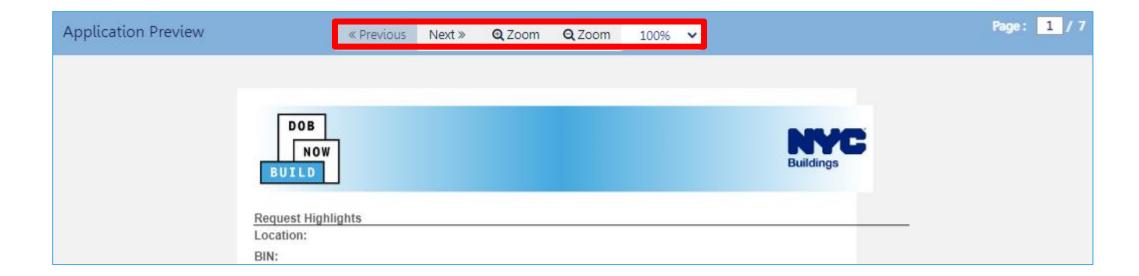




DOB NOW: *Build* – DETERMINATIONS & APPEALS: HOW TO FILE A PREDETERMINATION



Using the toolbar at the top of the page, navigate to the last page.

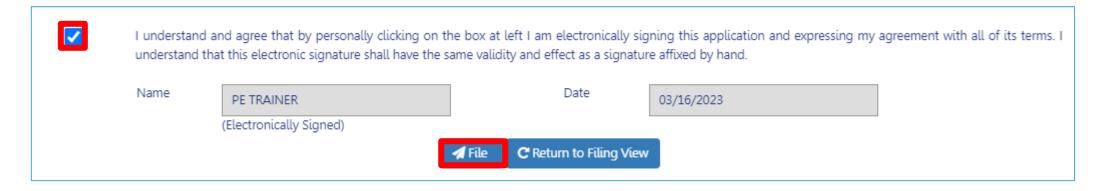




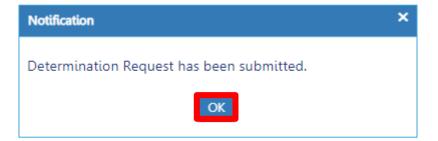
DOB NOW: *Build* – DETERMINATIONS & APPEALS: HOW TO FILE A PREDETERMINATION



Click the check-box to attest and then click the File button to complete the application.



Click **OK** to the Notification window.

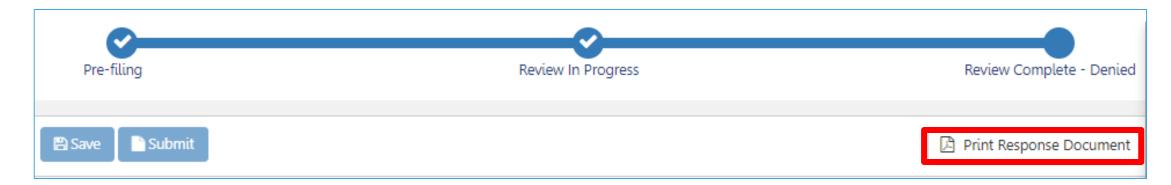




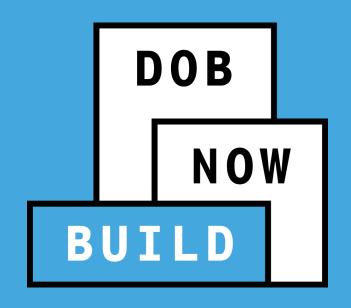
DOB NOW: *Build* – PRE-DETERMINATION RESULTS



- For Pre-Determination, the results of the Determination or Appeal request can be viewed on the Determinations & Appeals dashboard.
- The Determination Document can be printed or downloaded from within the Pre-Determination Request.
- Response options:
 - Approved
 - Approved with Conditions
 - Denied







DOB NOW: Build – SECOND REVIEW OF OBJECTION

DOB NOW: Build - SECOND REVIEW OF OBJECTION

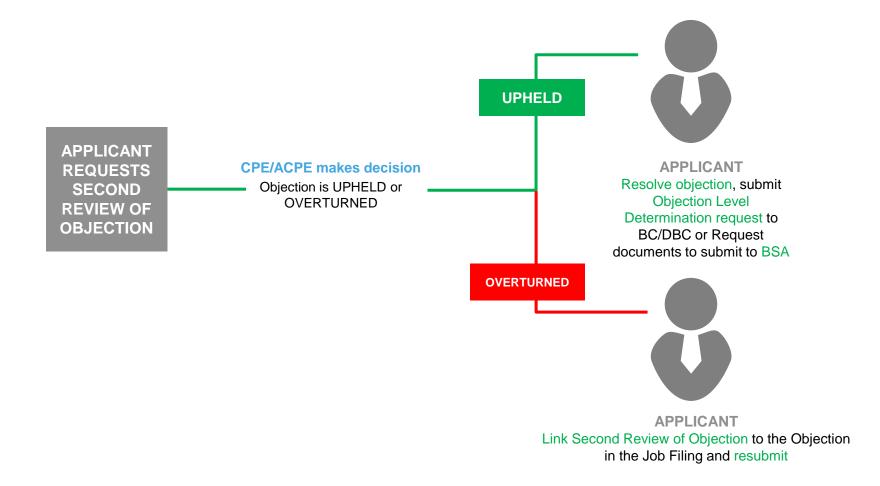


- Second Review of Objection
 - If the Applicant disagrees with an Objection issued during the Plan Review process, they may seek a second review of the objection by the Assistant Chief Plan Examiner (ACPE)/Chief Plan Examiner (CPE) or the unit supervisor (for elevators).
 - For objections issued in DOB NOW or in BIS, Applicants select Request Second Plan Review.

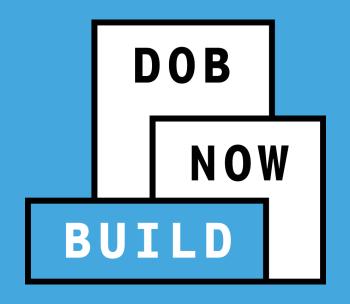


DOB NOW: Build - SECOND REVIEW OF OBJECTION FOR DOB NOW JOBS







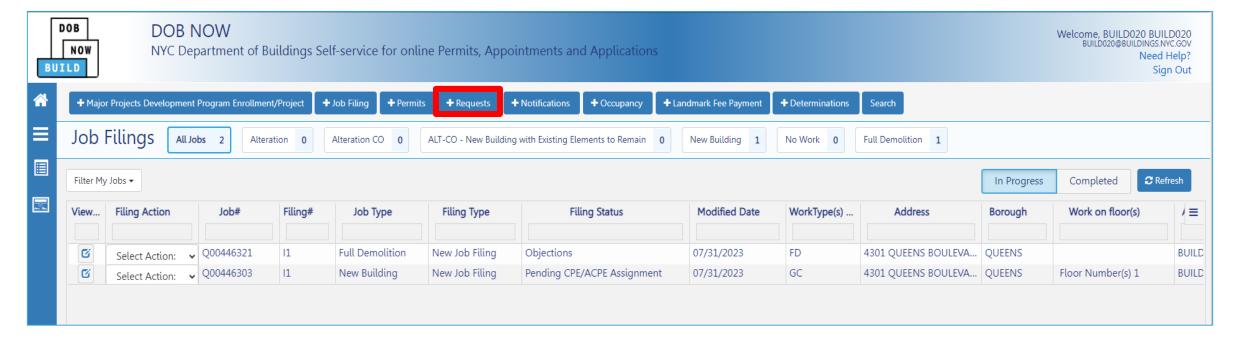


DOB NOW: Build – DETERMINATIONS & APPEALS

Second Review of Objection Requests for DOB NOW jobs



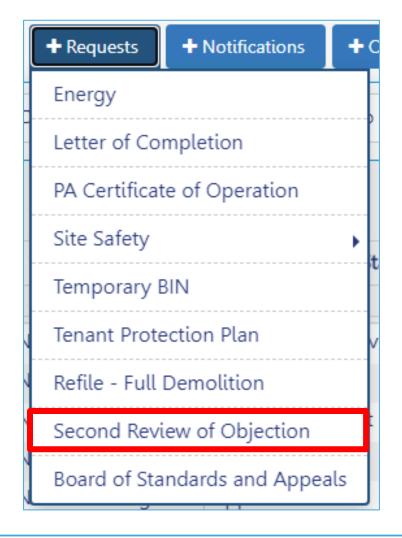
- If an Applicant disagrees with an Objection that has been issued against their Job Filing, they may request
 a Second Review of Objection from the Chief Plan Examiner.
- From any Dashboard page click the +Requests button to begin.







Select Second Review of Objection.





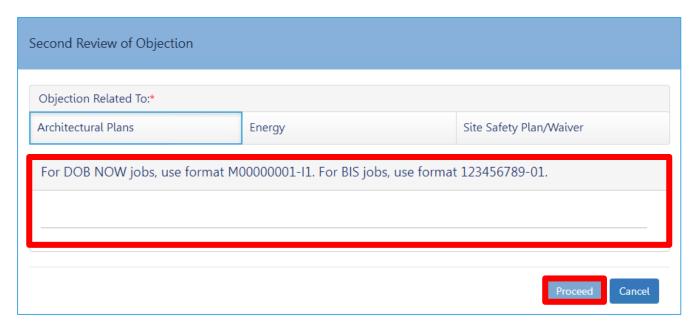




- In the pop-up, choose appropriate choice for Objection Related To:
 - Architectural Plans
 - Energy
 - Site Safety Plan/Waiver





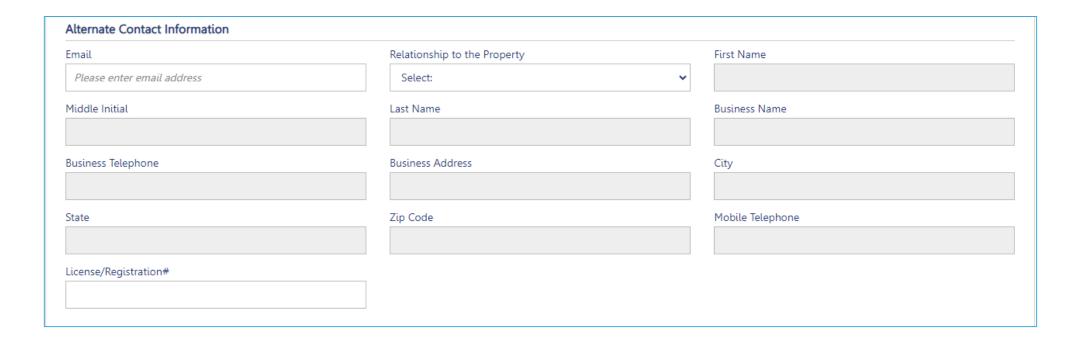


- Enter DOB NOW or BIS Job Number.
- Click Proceed.





- On the General Information tab, the Request Information, Location Information, and Applicant sections will be auto filled from the Job Filing.
- If desired, enter information for the Alternate Contact if not auto filled.





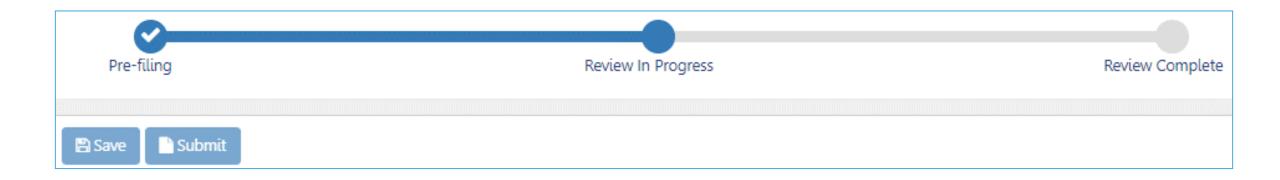




- Also enter a text
 Description of Request.
- Click Save.





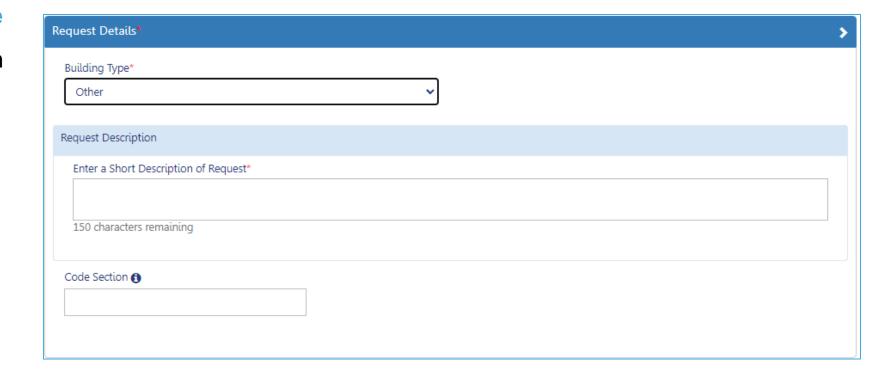


Upon clicking Save, the status bar will appear.





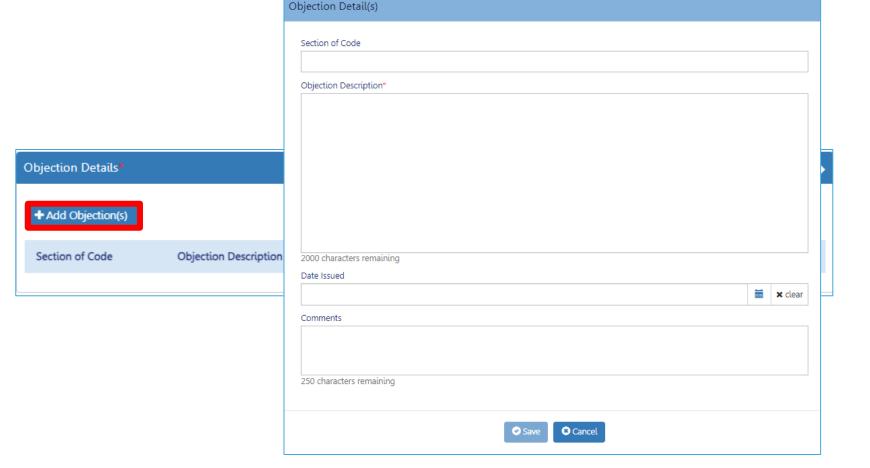
- In the Request Details section, the Building Type will be auto populated from the Job Filing and cannot be changed.
- Enter:
 - A ShortDescription ofRequest
 - Code Section







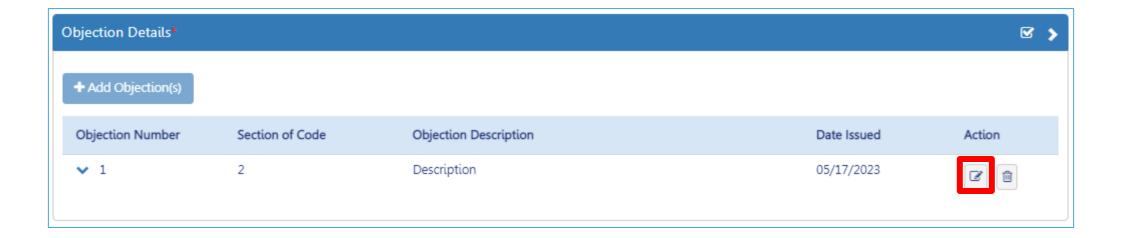
- In the Objection Details section, click +Add
 Objection(s).
- In the Objection Detail(s) pop-up, enter:
 - Section of Code
 - ObjectionDescription
 - Date Issued
 - Comments







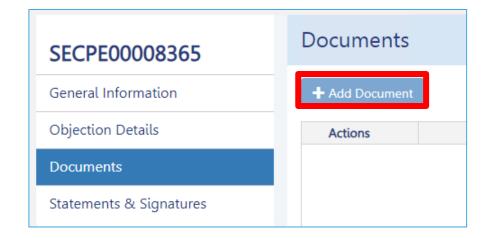
- Upon clicking Save, the Objection Details entered will appear below.
- Multiple Objections may be added for BSA Review: Objection Sheet, but only one Objection may be added for Determinations and Appeals.
- Objection Details can be changed by clicking the Edit button.

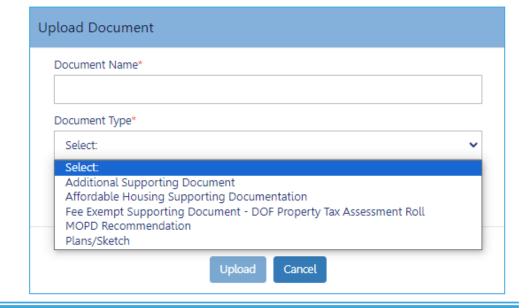






- On the Documents tab, click +Add Document to open the Upload Document window.
- Enter a Document Name and select a Document Type.
 Navigate to the document on your computer and open.
 Then click Upload to add the document to your request.
- If the building is HPD Affordable Housing or Fee Exempt,
 Applicants must provide supporting documentation.

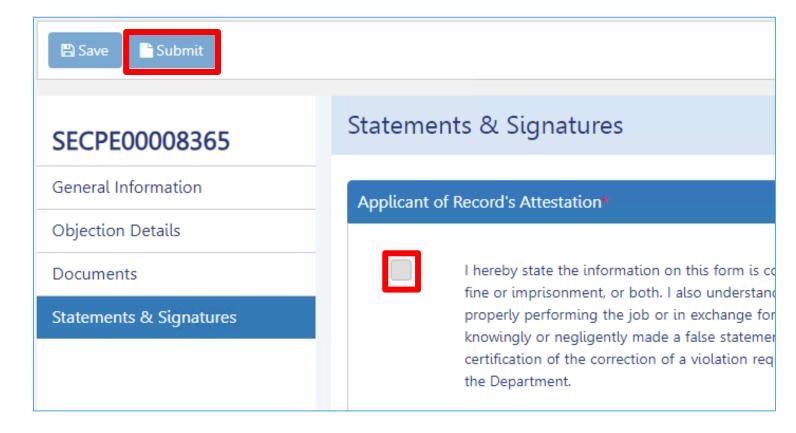








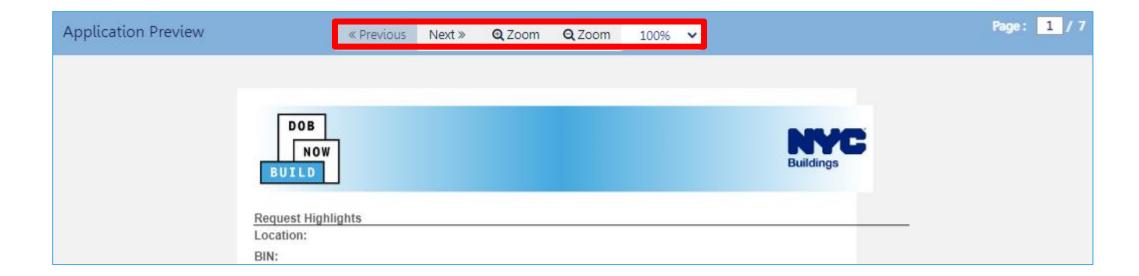
- On the Statements and
 Signatures tab the Requestor will
 be required to click the checkbox to
 attest.
- Click the Submit button.







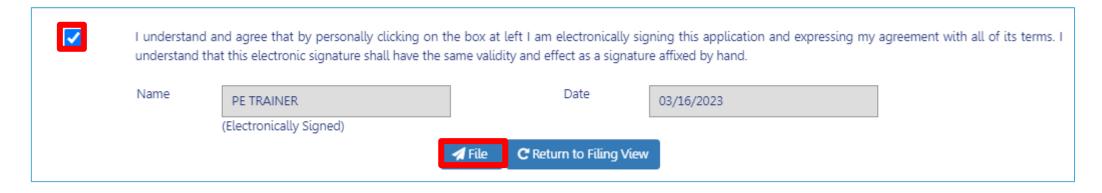
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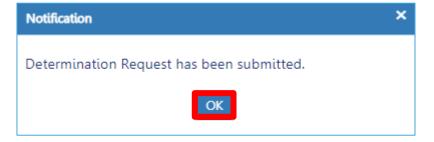




Click the check-box to attest and then click the File button to complete the application.



Click OK to the Notification window.



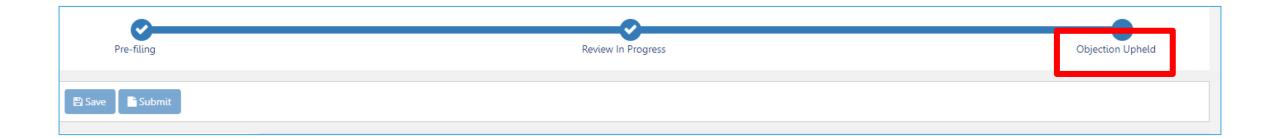


DOB NOW: *Build* – SECOND REVIEW OF OBJECTION RESULTS FOR DOB NOW JOBS



Second Review of Objection Result options:

- Objection Upheld
- Objection Overturned





DOB NOW: Build – SECOND REVIEW OF OBJECTION RESULTS FOR DOB NOW



- The results of the decision may affect the content of the Objection, or even remove the Objection entirely.
 Applicants must respond appropriately to any remaining Objections.
- The Applicant may need to update the Job Filing to incorporate the response to the Second Plan Review.
- If the result is **Objection Overturned**, for DOB NOW jobs ONLY, the Applicant must link the Second Plan Review request to the Objection in the job filing, as described in the following slides.



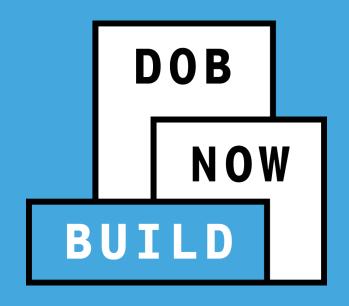
JOBS

DOB NOW: *Build* – SECOND REVIEW OF OBJECTION RESULTS FOR DOB NOW JOBS



- If the result is Objection Upheld, the Applicant may choose to file an Objection Level Determination or Request documents for Board of Standards and Appeals
- If a Second Review of Objection is escalated to the BSA, no further escalations can be made in DOB
 NOW.
- After the request for Board of Standards and Appeals Objection Sheet has been completed, the applicant must Print Response Document and then contact BSA directly for instructions to escalate the objection to BSA.





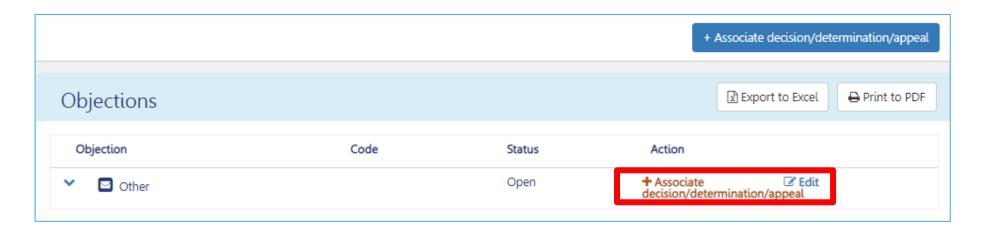
DOB NOW: Build – DETERMINATIONS & APPEALS

Linking Second Review of Objection Results with the Overturned Objection for DOB NOW Jobs

DOB NOW: Build - SECOND REVIEW OF OBJECTION RESULTS



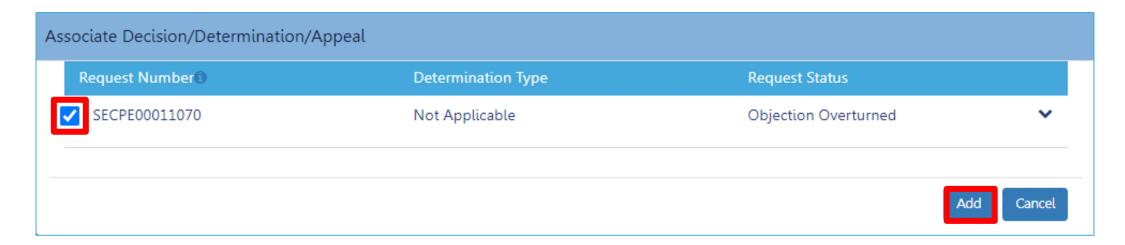
- After receiving a decision of Objection Overturned on a Second Review of Objection, Applicants must associate the Request with the relevant Objection in the Job Filing. This will create a link from the Objection to the Request.
- The Job Filing may not be resubmitted until this link is created.
- On the Incomplete/Objections/Appointments tab, click +Associate decision/determination/appeal.





DOB NOW: Build - SECOND REVIEW OF OBJECTION RESULTS





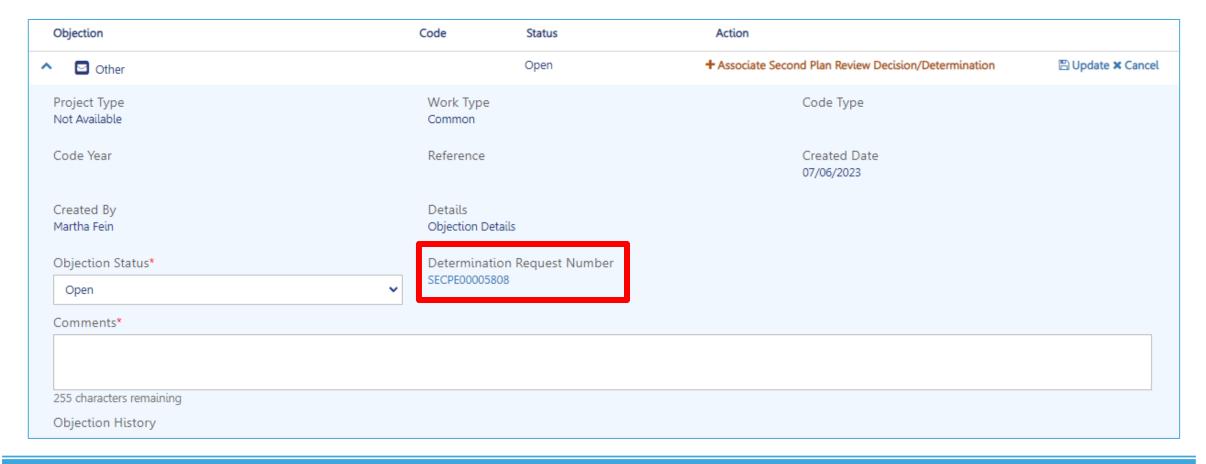
Click the checkbox to select the relevant Second Review of Objection request number and click Add.



DOB NOW: Build - SECOND REVIEW OF OBJECTION RESULTS



A link to the Second Review of Objection will be available in the Objection.

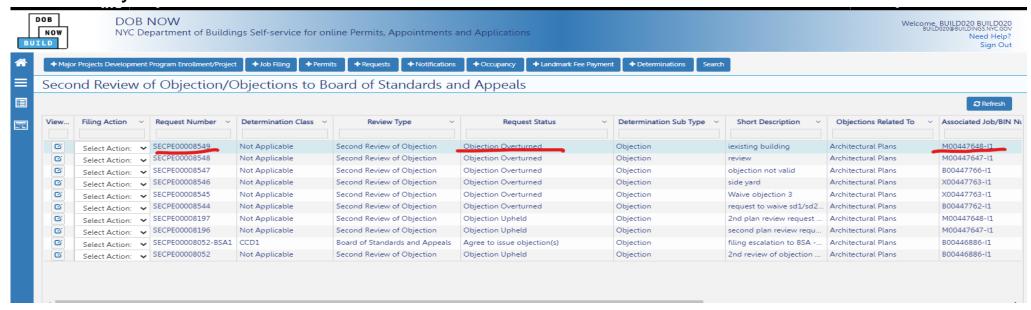




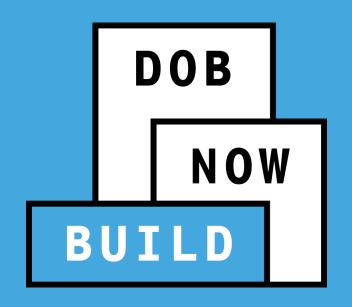
DOB NOW: Build - ASSOCIATING SECOND REVIEW OF OBJECTION RESULTS FOR BIS JOBS



- For BIS jobs, on the Second Review of Objection dashboard, capture a screenshot of the Overturned second objection result showing and highlighting the request number and the associated job number.
 Save the screenshot into a document.
- Upload this document to the BIS job via the E-filling portal using the Electronically Submit Document functionality.







DOB NOW: Build – DETERMINATIONS & APPEALS

Objection Level Determination Requests

DOB NOW: Build – DETERMINATIONS & APPEALS: HOW TO FILE AN OBJECTION LEVEL DETERMINATION



- Applicants may file an Objection Level Determination to request an escalation review of the Objection by DOB. This can only be filed after a Second Review of Objection has been completed and the Objection was upheld.
- On the main Dashboard page click the +Determinations button and choose Determination to file a
 Determination Request.

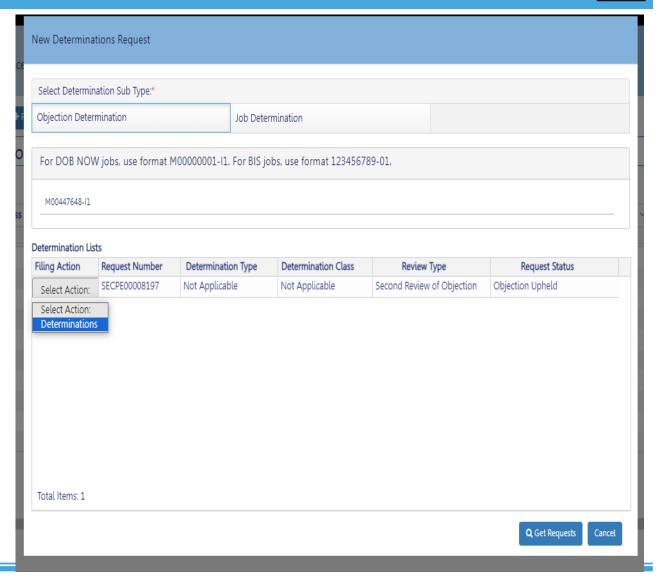




DOB NOW: Build – DETERMINATIONS & APPEALS: HOW TO FILE AN OBJECTION LEVEL DETERMINATION



- Select Objection Determination.
- Enter the Job Number.
- The system will determine whether an Objection Level determination is allowed on the job filing based upon whether a Second Review of Objection request has been filed and an Upheld decision has been made.
- System will present a list of second review of objection requests that have been Upheld.
- From the list of requests, select the one desired to file a determination.
- On the Filing Action select Determinations to proceed.





DOB NOW: Build – DETERMINATIONS & APPEALS: HOW TO FILE AN OBJECTION LEVEL REQUEST



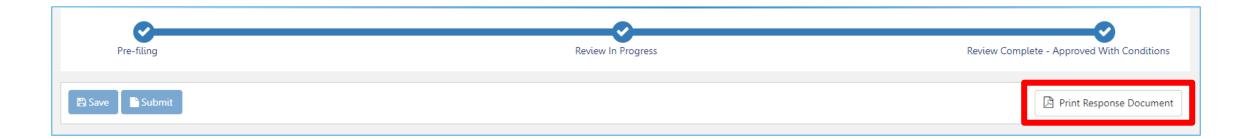
- In the General Information tab, enter the stakeholder information, determination description of request.
- In the Request Details tab, enter more pertinent information such as construction code year, code section.
- In the Documents tab, users may add additional supporting documents.
- Payment and Attestation are the last steps before submittal.



DOB NOW: Build - DETERMINATION RESULTS



- Once a decision has been made on a Determination request, the Response Document will be accessible from the Determination or Appeal Request.
- Response options:
 - Approved
 - Approved with Conditions
 - Denied





DOB NOW: *Build* – **DETERMINATION RESULTS**



- The results of the decision may affect the content of the Objection, or even remove the Objection entirely. Applicants must respond appropriately to any remaining Objections.
- The Applicant may need to update the Job Filing to incorporate the response to the Determination/Appeal. They will also be required to link the Determination or Appeal to the Job Filing by using the
- If the Determination Request is Denied or Approved with Conditions, Applicants may choose to escalate to an Appeal of Determination or to the BSA.
- If a Determination or Appeal is escalated to the BSA, no further escalations on that Determination or Appeal may be made in DOB NOW.

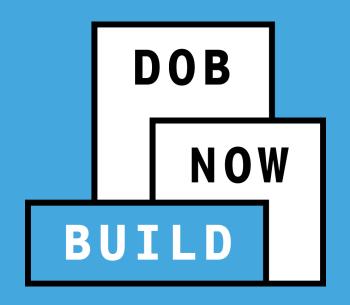


DOB NOW: Build – DETERMINATION RESULTS



- After receiving a decision of Approved or Approved with Conditions on an Objection Level Determination (or Appeal) request, applicants must associate the Objection Level Determination (or Appeal) Request with the relevant Objection in the Job Filing for DOB NOW jobs. This will create a link from the Objection to the Objection Level Determination (or Appeal) Request.
- The DOB NOW Job Filing may not be resubmitted until this link is created.
- The process for linking the Objection Level Determination (or Appeal) Request to the Objection is the same as the process for linking a Second Review of Objection request. See that section for details.
- For BIS jobs, the Applicant must Print Response Document in DOB NOW, save it, then upload it to the BIS job via the E-filing portal using the Electronically Submit Document functionality.



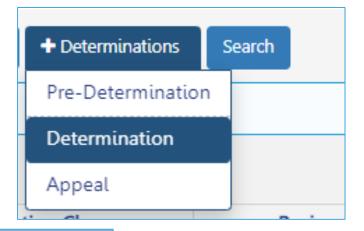


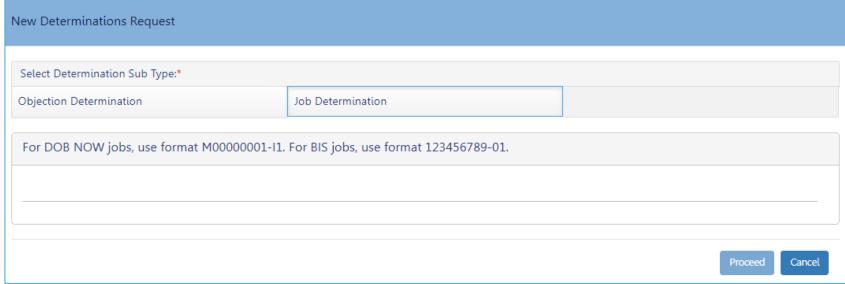
DOB NOW: Build – DETERMINATIONS & APPEALS

Job Filing Level Determination Requests



- Begin by clicking the +Determinations button and selecting
 Determination from the drop-down menu.
- Select Job Determination
- Enter the Job Number. Click Proceed.









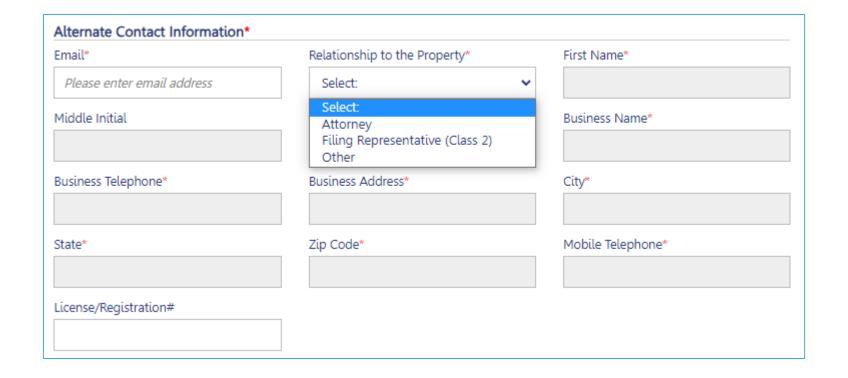


The Location Information in the General Information tab will be auto filled from the related Job Filing.





- The Applicant Information will also be pulled from the Job Filing.
- If desired, enter details for the Alternate Contact.







 Select the Determination Class and the appropriate Determination Sub Class.

Determination Details
Determination Class:*
Construction Code Determination
Determination Sub Class (Select One):*



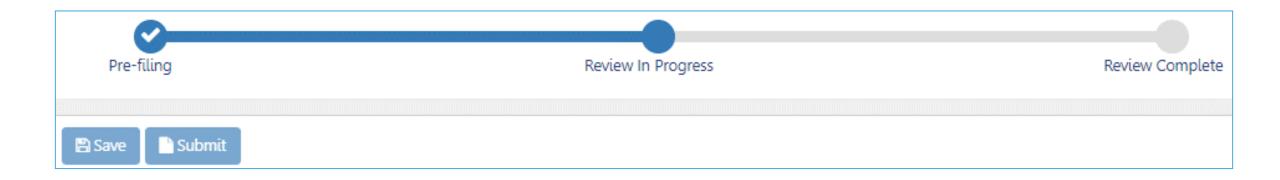




- Also enter a textDescription of Request.
- Click Save.





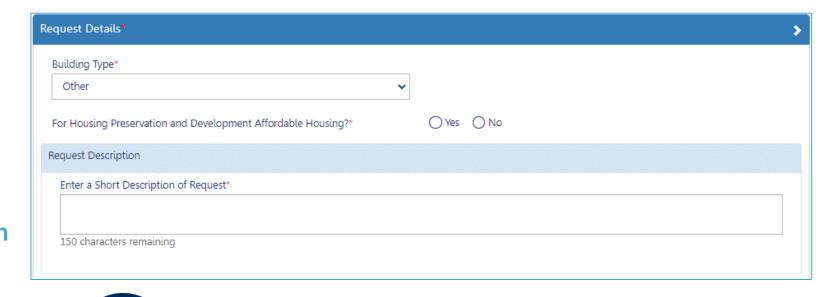


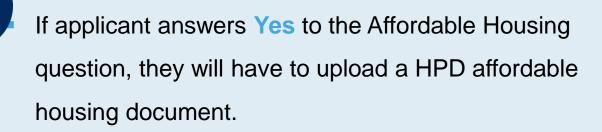
Upon clicking Save, the status bar will appear.





- In the Request Details tab the Building Type will be auto-filled from the Job Filing and cannot be edited.
- Answer:
 - For Housing Preservation and Development Affordable Housing? (Yes/No)
 - A Short Description of Request

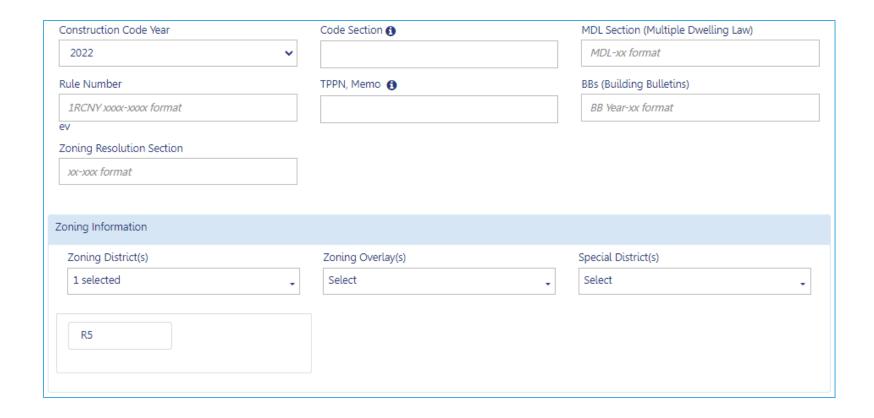








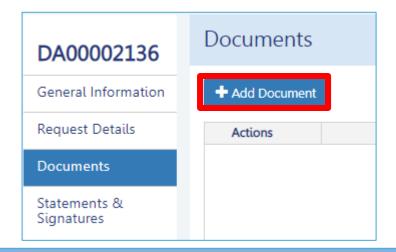
- Also enter details for:
 - Construction Code
 - Zoning Information

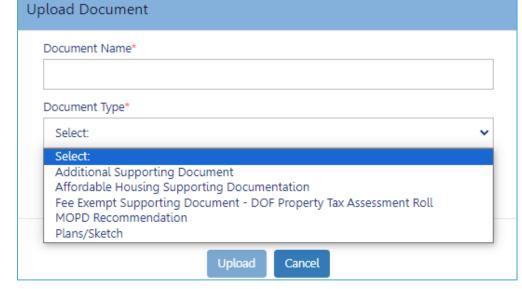






- On the Documents tab, click +Add Document to open the Upload Document window.
- Enter a Document Name and select a Document Type.
 Navigate to the document on your computer and open.
 Then click Upload to add the document to your request.
- If the building is HPD Affordable Housing or Fee Exempt, applicants must provide supporting documentation.









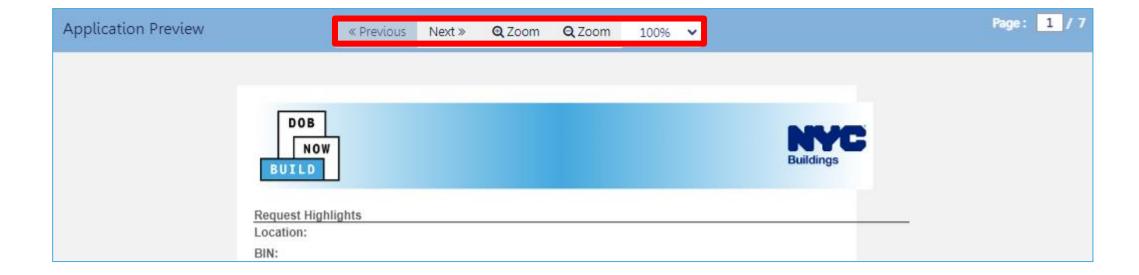
- On the Statements and Signatures tab the Requestor will be required to click the checkbox to attest.
- Pay any required fee.
- Click the Submit button.







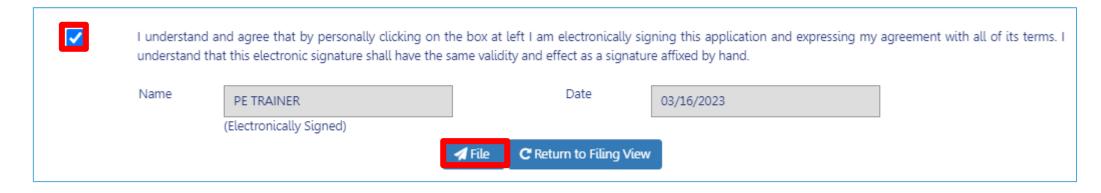
Using the toolbar at the top of the page, navigate to the last page.



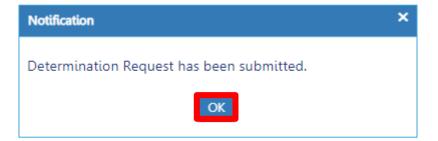




Click the check-box to attest and then click the File button to complete the application.



Click OK to the Notification window.

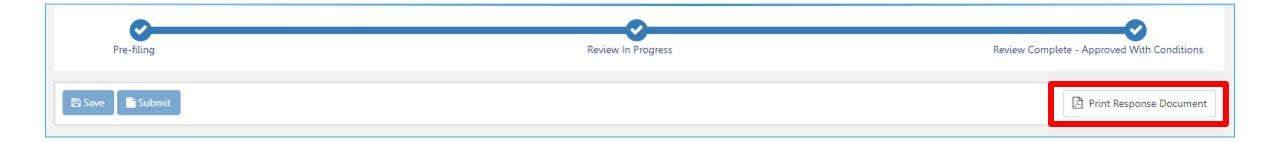




DOB NOW: Build - DETERMINATION RESULTS



- Once a decision has been made on a Determination request, the Response Document will be accessible from the Determination or Appeal Request.
- Response options:
 - Approved
 - Approved with Conditions
 - Denied





DOB NOW: *Build* – **DETERMINATION RESULTS**



- Once a decision is issued for a Determination or Appeal request, a link to that request will be available in the Job Filing for DOB NOW jobs.
- The link will be in a new **Determinations & Appeals** tab.
- Press the View button to see the Request.



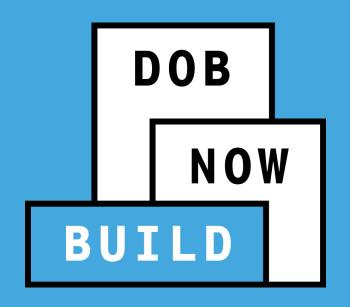


DOB NOW: Build – DETERMINATION RESULTS



- After the DOB decision is made, the Applicant may need to update the Job Filing or file a Post
 Approval Amendment (PAA) to update an approved Job Filing. Make sure the changes align with the decision details.
- For BIS jobs, the Applicant must **Print the Response Document** for the Determination in DOB NOW, save it, then upload it to the BIS job via the **E-filing portal** using the **Electronically Submit Document** functionality.
- If a Determination or Appeal is escalated to the Board of Standards and Appeals, no further escalations on that Determination or Appeal may be made in DOB NOW.





DOB NOW: Build – DETERMINATIONS & APPEALS

Appeal of Determination/Pre-Determination

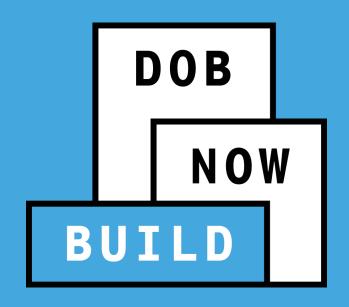
DOB NOW: Build - APPEAL OF DETERMINATION



Appeal of Determination/Pre-Determination

- If the Determination request is Denied or Approved with Conditions, the Applicant may Appeal the decision.
- This Appeal will be reviewed by the Technical Affairs team.
- If the Applicant is not satisfied with the result of the Appeal of Determination, they may request a Second Appeal of Determination to be reviewed by the FDC/DC.



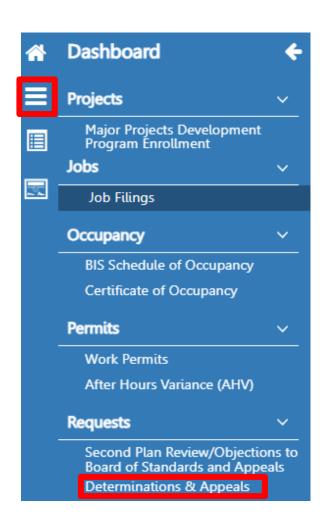


DOB NOW: Build – DETERMINATIONS & APPEALS

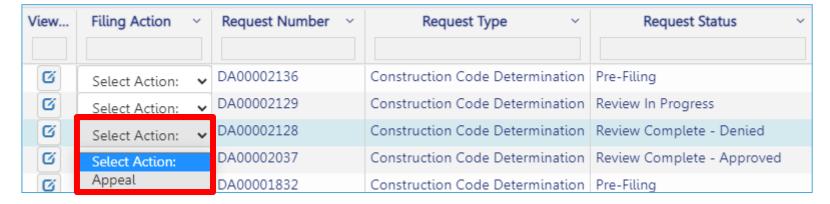
How to File an Appeal

DOB NOW: Build - DETERMINATIONS & APPEALS: HOW TO FILE AN APPEAL





- Applicants may file an Appeal by navigating to the Determinations & Appeals Dashboard. Click the burger icon at left to open the list of dashboards.
- On the dashboard, Applicants may use the Filing Action drop-down to select Appeal on a Determination request with status Review Complete – Denied or Review Complete – Approved with Conditions.

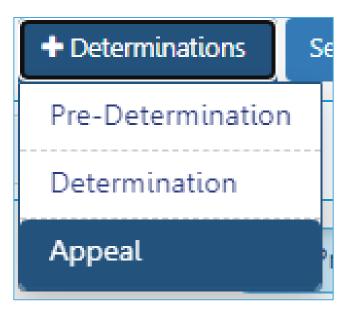




DOB NOW: Build - DETERMINATIONS & APPEALS: HOW TO FILE AN APPEAL



- Applicants may also initiate an appeal by clicking the +Determinations button and selecting Appeal.
- The Appeal process in DOB NOW: Build is identical to the Determination process.

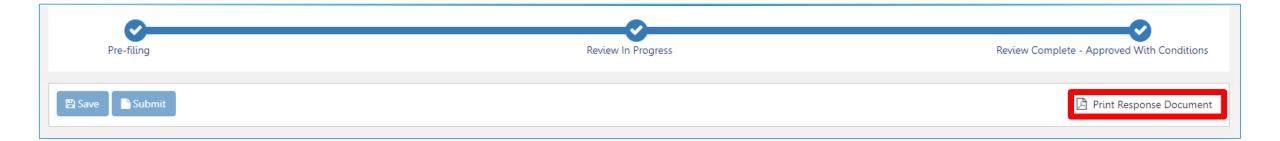




DOB NOW: Build – APPEAL RESULTS



- Once a decision has been made on an Appeal request, the Response Document will be accessible from the Determination or Appeal Request.
- Response options:
 - Approved
 - Approved with Conditions
 - Denied



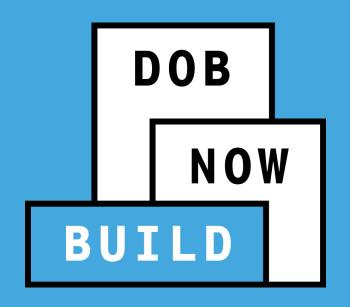


DOB NOW: Build – APPEAL RESULTS



- The Applicant may need to update the Job Filing to incorporate the response to the Determination/Appeal.
- If a Determination or Appeal is escalated to the Board of Standards and Appeals, no further escalations on that Determination or Appeal may be made in DOB NOW.
- For BIS jobs, the Applicant must Print Response Document in DOB NOW, save it, then upload it to the BIS job via the E-filing portal using the Electronically Submit Document functionality.





DOB NOW: Build – DETERMINATIONS & APPEALS

Board of Standards and Appeals

DOB NOW: Build – BSA REVIEW



- There are three types of BSA Review.
 - If the Applicant wishes to contact the BSA for a Pre-Determination about a building or property
 under General City Law §35 or §36, they may request that the Borough Commissioner review and
 sign a completed Al1 form for submission to the BSA.
 - If the Applicant wants to contest an Objection issued or to request an outside review of a Second Review of Objection or Objection Level Determination, they may request that the Borough Commissioner review the Objection Sheet and sign it before the Applicant takes the document to the Board of Standards and Appeals.
 - Similarly, if the Applicant is unsatisfied with their response from the Appeal or Second Appeal of Pre-Determination/Determination, they may request that the Deputy Commissioner or First Deputy Commissioner review and sign the Decision Document for submission to the Board of Standards and Appeals.



DOB NOW: Build – BSA REVIEW



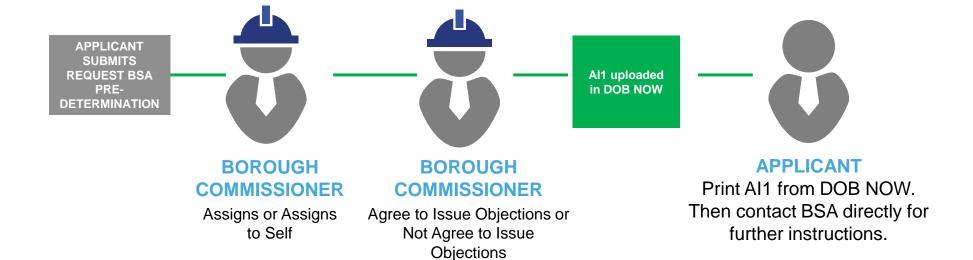
BSA Review

- As part of these levels, the Applicant can choose to present new arguments or additional information to be reviewed by the BC or FDC.
- The Applicant may request that DOB stamp the Al1, Objection Sheet, or Decision Document "Denied" for purposes of requesting a zoning variance from the Board of Standards and Appeals (BSA) or a special permit from BSA or the City Planning Commission, or BSA approval per NYS General City Law §35 or §36.



DOB NOW: Build - REQUEST BSA: PRE-DETERMINATION







DOB NOW: Build - REQUEST BSA: OBJECTION SHEET

Assigns or Assigns

to Self





Agree to Issue Objections or

Not Agree to Issue

Objections



from DOB NOW. Then

contact BSA directly for

further instructions.

DOB NOW: Build - REQUEST BSA: DETERMINATION









Assigns or Assigns to Self



DEPUTY COMMISSIONER

Marks as Review Complete & Communicates with Applicant, if applicable

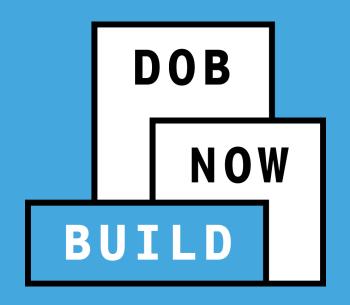




APPLICANT

Print Response Document from DOB NOW. Then contact BSA directly for further instructions.





DOB NOW: Build – DETERMINATIONS & APPEALS

Document Requests for Board of Standards and Appeals



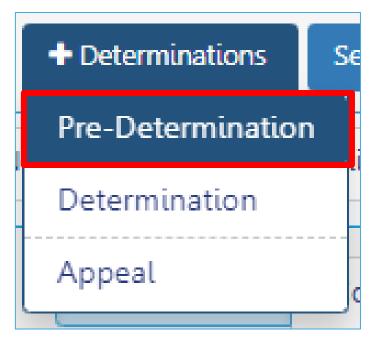
- There are three types of Request for escalation to the Board of Standards and Appeals:
 - Pre-Determination is filed before any Job is filed in DOB NOW
 - Submission of Objection is filed after a Second Review of Objection or an Objection Level
 Determination
 - Determination or Appeal is filed after any type of Appeal



DOB NOW: *Build* – BOARD OF STANDARDS AND APPEALS: PRE-DETERMINATION



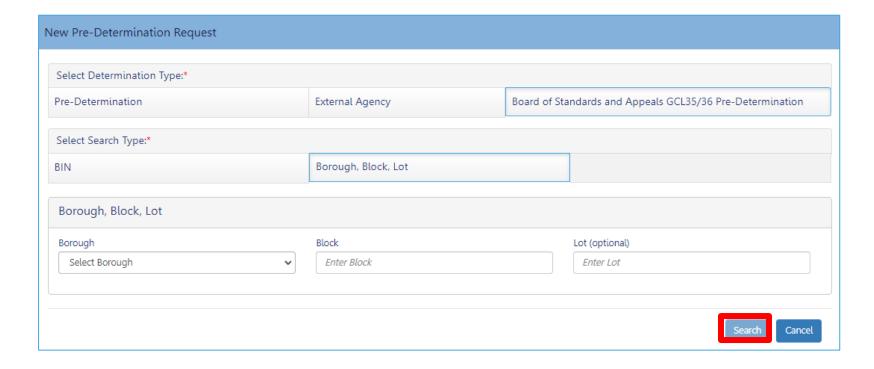
- Click the +Determinations button.
- Select Pre-Determination.





DOB NOW: *Build* – BOARD OF STANDARDS AND APPEALS: PRE-DETERMINATION





- On the pop-up, select Board of Standards and Appeals GCL35/36 Pre-Determination.
- Enter either the BIN or the Borough, Block, Lot.
- Enter the details of the Borough, Block and Lot if needed.
- Click Search.



DOB NOW: *Build* – BOARD OF STANDARDS AND APPEALS: PRE-DETERMINATION

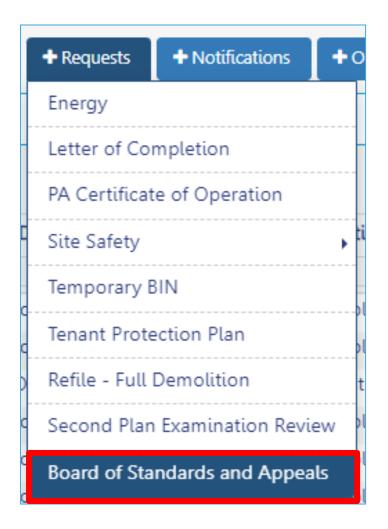


- The form to complete to submit the Pre-Determination BSA request is identical to the form for Pre-Determinations.
- The Applicant must upload a completed Al1 Form.
- DOB will review the request and the Al1 Form will be signed by the Borough Commissioner for the Applicant to submit to the Board of Standards and Appeals.
- The Applicant Prints Response Document and then contacts BSA directly. Nothing is sent to BSA through DOB NOW.





- Click the +Requests button.
- Select Board of Standards and Appeals.









- If the Applicant selects Objection

 Sheet as the Determination

 Request Level, they will need to

 answer:
 - Objection Related To

 (Architectural Plans,
 Energy, or Site Safety

 Plan/Waiver)
 - Job Number
- Click Proceed.





- The form to complete to submit the Objection Sheet BSA request is identical to the form for Determinations or Appeals.
- DOB will review the request and the Objection Sheet will be signed and stamped by the Borough
 Commissioner for the Applicant to submit to the Board of Standards and Appeals.
- The Applicant Prints Response Document and then contacts BSA directly. Nothing is sent to BSA through DOB NOW.



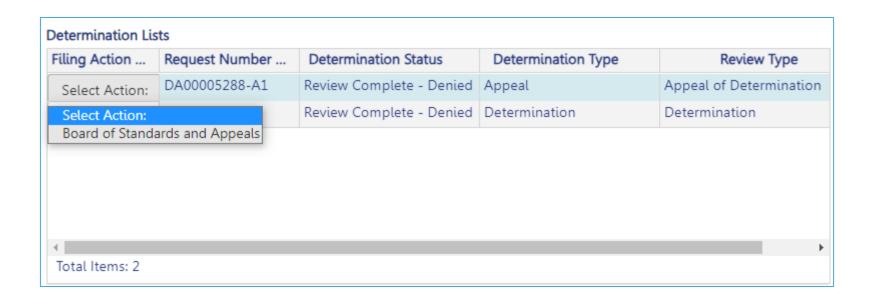


- If the Applicant selects Determination or Appeal as the Request Level, they will only need to enter the Job Number.
- Click Get Requests.









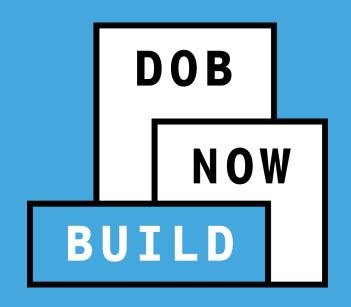
- The system will display a list of all related Determinations and Appeals.
- In the Filing Action drop-down for the most recent Appeal, select Board of Standards and Appeals.





- The form to complete to submit the Determination or Appeal BSA request is also identical to the form for Determinations or Appeals.
- DOB will review the request and the Final Determination will be signed and stamped by the Deputy
 Commissioner/First Deputy Commissioner for submission to the Board of Standards and Appeals.
- The Applicant Prints Response Document and then contacts BSA directly. Nothing is sent to BSA through DOB NOW.





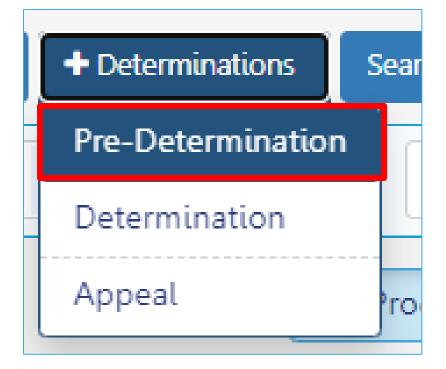
DOB NOW: Build – DETERMINATIONS & APPEALS

External Agency Requests

DOB NOW: Build – DETERMINATIONS & APPEALS: HOW TO FILE AN EXTERNAL AGENCY REQUEST



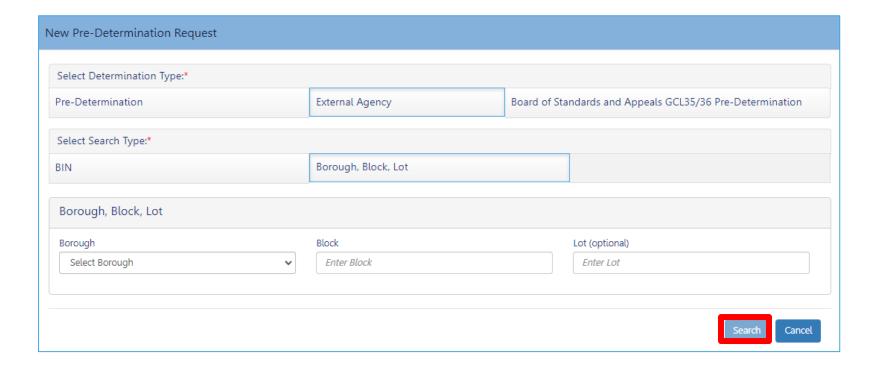
- Click the +Determinations button to begin.
- Select Pre-Determination.





DOB NOW: Build – DETERMINATIONS & APPEALS: HOW TO FILE AN EXTERNAL AGENCY REQUEST



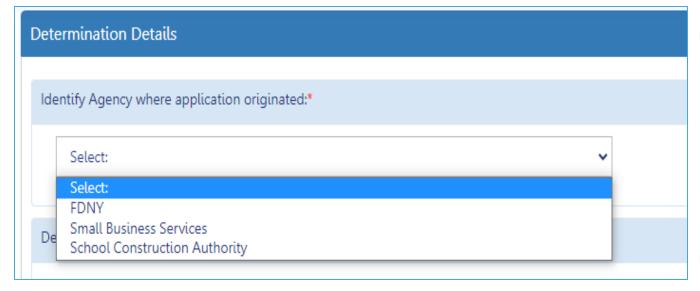


- On the pop-up, select
 External Agency.
- Enter either the BIN or the Borough, Block, Lot.
- Enter the details of the Borough, Block and Lot if needed.
- Click Search.



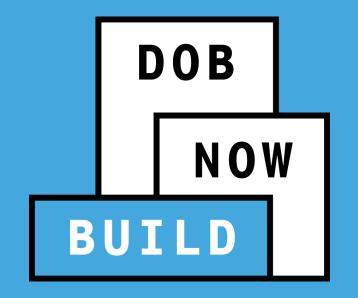
DOB NOW: Build – DETERMINATIONS & APPEALS: HOW TO FILE AN EXTERNAL AGENCY REQUEST





- Use the drop-down menu in the Determination Details section to identify the Agency where the application originated (FDNY, Small Business Services, or School Construction Authority).
- This is the only place where the External Agency Determination request differs from the rest of the form seen in other types of requests shown in this presentation.

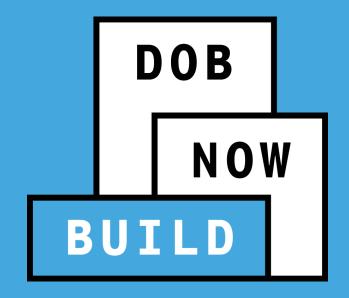




THANK YOU!

NO PAPER. NO LINES.





QUESTIONS?

NO PAPER. NO LINES.