

| FORMS               | Required for   | Sections in the Form to be Filled in relation to ECC compliance  | Notes/ Reference Code Sections  |
|---------------------|--|--|---|
| <a href="#">PW1</a> | <u>ALL</u> job applications submitted to DOB                 | <ul style="list-style-type: none"> <li><a href="#">Section 7</a> : to indicate whether Energy Analysis is included in the plans</li> <li><a href="#">Section 9G</a> : to indicate whether Lighting is included in the work scope</li> <li><a href="#">Section 10</a> : to state Compliance, and accordingly, to indicate Code Compliance Path and Energy Analysis option, <u>or</u> to claim Exemption, and accordingly, to indicate the reason for exemption</li> </ul> | Submit Appendix forms as applicable: PW1A, PW1B, and PW1C.  |
| <a href="#">TR1</a> | <u>ALL</u> job applications submitted to DOB                 | <ul style="list-style-type: none"> <li><a href="#">Section 4 - Progress Inspection Categories</a> : to indicate whether Energy Code Progress Inspections (TR8) are required</li> </ul>   | If indicated Yes on 'Energy Code Progress Inspections', submit TR8.   |
| <a href="#">TR8</a> | 'Energy Code Progress Inspections'-required job applications | <ul style="list-style-type: none"> <li><a href="#">All Sections</a></li> </ul>   | 1 RCNY §5000-01(h)  |
| <a href="#">PC1</a> | Professionally-Certified job applications                    | <ul style="list-style-type: none"> <li><a href="#">Section - Items Req. Prior To C/O or Signoff</a> to indicate whether Preliminary Commissioning Report Certification is required</li> </ul>  | 1 RCNY §5000-01(g)/ C408/ 6.7.2.3<br>- If Commissioning is required, submit TR8.  |
| <a href="#">EN1</a> | <u>Energy-modeled</u> Commercial building job applications   | <ul style="list-style-type: none"> <li><a href="#">All Sections</a> as applicable per the proposed scope of work</li> </ul>  | 1 RCNY §5000-01(f)<br>- Print the entire EN1 excel workbook to PDF, paste all PDF pages on EN- labeled sheets, and submit the sheets along with the workbook. |

| DRAWINGS  | Required for   | Data Location (within Drawing Set)  | Requirements/ Applicability   | Reference Code Sections   |   |                    |
|---|--|---|---|---|---|--------------------|
| <a href="#">Professional Statement</a>  | <u>ALL</u> job applications with the proposed Work Types and work scope subject to ECC | On EN- labeled sheet  | <ul style="list-style-type: none"> <li>Must specify the Version of Code the job is complying with (e.g. '2020 NYCECC')</li> </ul>   | 1 RCNY §5000-01(e)  |   |                    |
| <a href="#">Energy Analysis</a>   |  | On EN- labeled sheet  | <table border="0"> <tr> <td> <ul style="list-style-type: none"> <li><i>Residential</i> Building - Energy Analysis options               <ul style="list-style-type: none"> <li>- Tabular Analysis</li> <li>- REScheck *</li> <li>- Energy Rating Index *</li> </ul> </li> <li>*must be supplemented with Supporting Documentation Index</li> </ul> </td> <td> <ul style="list-style-type: none"> <li><i>Commercial</i> Building - Energy Analysis options               <ul style="list-style-type: none"> <li>- Tabular Analysis</li> <li>- COMcheck *</li> <li>- Energy Modeling (plus, COMcheck-Envelope Backstop) *</li> </ul> </li> <li>*must be supplemented with Supporting Documentation Index</li> </ul> </td> </tr> </table>  | <ul style="list-style-type: none"> <li><i>Residential</i> Building - Energy Analysis options               <ul style="list-style-type: none"> <li>- Tabular Analysis</li> <li>- REScheck *</li> <li>- Energy Rating Index *</li> </ul> </li> <li>*must be supplemented with Supporting Documentation Index</li> </ul> | <ul style="list-style-type: none"> <li><i>Commercial</i> Building - Energy Analysis options               <ul style="list-style-type: none"> <li>- Tabular Analysis</li> <li>- COMcheck *</li> <li>- Energy Modeling (plus, COMcheck-Envelope Backstop) *</li> </ul> </li> <li>*must be supplemented with Supporting Documentation Index</li> </ul> | 1 RCNY §5000-01(f) |
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| <a href="#">List of Progress Inspections</a>  | On EN- labeled sheet   | <ul style="list-style-type: none"> <li>Must list all required items in a tabular format as found in TABLE I for Residential buildings, and TABLE II for Commercial buildings in 1 RCNY §5000-01</li> <li>Must match the Required Progress Inspections indicated on TR8</li> </ul>   | 1 RCNY §5000-01(h)  |   |   |                    |
| <a href="#">Supporting Documentation</a>  | <u>ALL</u> job applications with the proposed Work Types and work scope subject to ECC | On EN- labeled sheet  | <p><b>Commissioning Professional Statement</b> identifying whether System Commissioning is <i>Required or Not</i></p> <ul style="list-style-type: none"> <li>Along with the Statement, specify the Total Installed or Altered-Space-Serving Capacity of Heating, Cooling and Renewable energy systems as applicable.</li> <li>Commissioning is Required for Buildings of:               <ul style="list-style-type: none"> <li>Total Installed, or Altered-Space-Serving Heating capacity ≥ 600 kBtu/h</li> <li>Total Installed, or Altered-Space-Serving Cooling capacity ≥ 480 kBtu/h</li> <li>Total Installed Site-Generated Renewable Energy ≥ 25 kW</li> </ul> </li> </ul>   | 1 RCNY §5000-01(g)(5)(iii)  |   |                    |
|   |  | On <u>Non-EN-</u> labeled sheet (e.g., A- , M- , and P- labeled sheets)   | <p><b>Air Leakage and Air Barrier Testing Statement</b> identifying:</p> <ul style="list-style-type: none"> <li>Residential: For New buildings, Additions and Certain Alterations – Visual inspection of Air Barrier, plus Whole Building Air Leakage Testing, or Air Leakage Testing of ALL 'Testing Units', or Air Leakage Testing of SAMLE 'Testing Units' are required.</li> <li>Commercial: For New buildings, Additions and Certain Alterations – Visual inspection of Air Barrier, plus Whole Building Air Leakage Testing, or Air Leakage Testing of SAMPLE 'Testing Units', or Air Barrier Continuity Plan Testing are required.</li> </ul> <p><b>Construction Drawings</b> submitted through DOB BIS and/or DOB NOW, covering all Work Types subject to ECC</p> <ul style="list-style-type: none"> <li>Must include construction data for the below, as corresponding to the proposed scope of work               <ol style="list-style-type: none"> <li>Building Envelope</li> <li>Building Mechanical Systems (HVAC)</li> <li>Service Water Heating</li> <li>Electrical Power and Lighting Systems</li> <li>Additional Efficiency Package option</li> <li>Works on Existing Buildings</li> </ol> </li> <li>Data on Construction Drawings must match or exceed the values and attributes reported on Energy Analysis.</li> </ul> | 1 RCNY §5000-01(g)<br><br>a. R402/ C402/ ASHRAE Section 5<br>b. R403/ C403/ ASHRAE Section 6<br>c. R403/ C404/ ASHRAE Section 7<br>d. R404/ C405/ ASHRAE Section 8, 9, and 10<br>e. C406/ ASHRAE Appendix I<br>f. R5/ C5/ ASHRAE Section 4 through Section 10   |   |                    |