To: Distribution  
FROM: Stewart D. O'Brien  
DATE: March 4, 1991  
SUBJECT: Operations PPN # 4/87

Effective Date: Immediate  
Purpose: To revoke Operations PPN #4/87  
Specifics:

Operations PPN #4/87 is revoked. The procedures detailed in Operations PPN #4/87 have been superseded by those outlined in the Department's rule and regulation relating to "Additional Procedures With Respect to Designation of Applications and Plans Submitted By Registered Architects and Licensed Professional Engineers for Limited Supervisory Check", as amended September 13, 1989.

A copy of the rules and regulations is attached.

Attachment
Il. Definitions. - A building (a) inhabited by one family, (b) a multiple dwelling, or (c) a limited supervisory check building shall be limited supervisory check building as defined in this section. A building which contains one or more units of housing for which there is a single owner or a single lessee, or a building which contains one or more units of housing for which there is a single owner or a single lessee, shall be limited supervisory check building. A building which contains one or more units of housing for which there is a single owner or a single lessee, or a building which contains one or more units of housing for which there is a single owner or a single lessee, shall be limited supervisory check building. A building which contains one or more units of housing for which there is a single owner or a single lessee, or a building which contains one or more units of housing for which there is a single owner or a single lessee, shall be limited supervisory check building. A building which contains one or more units of housing for which there is a single owner or a single lessee, or a building which contains one or more units of housing for which there is a single owner or a single lessee, shall be limited supervisory check building.

2.0 PURPOSE. - The Commissioner hereby authorizes the Department's Investigatory Division, after consultation with appropriate Departmental Technical Staff, to provide administrative charges against any registered architect or licensed professional engineer where investigation reveals violation of any of the following:

2-1.1. Applications, plans, certifications or reports submitted by the architect or engineer demonstrating such a lack of knowledge of the Building Code, Multiple Dwelling Law, Zoning Resolution and/or Labor Law, that in the interest of public safety and welfare the applications and plans submitted by such architect or engineer should be subject to full review by the Department; or

2-1.2. The architect or engineer shall have submitted, plans, certifications or reports to the Department which were required to be prepared by him or her under his or her supervision but which were not prepared by him or her under his or her supervision, or the architect or engineer engages in any conduct evidencing a delegation of professional responsibilities to a person where the architect or engineer knows or has reason to know that such person is not qualified, by training, experience or by license, to perform such.
### DEPARTMENT OF BUILDINGS REGULATIONS RELATIVE TO ADEQUATE POLICE PREVAILING NEW YORK NEW YORK

**Section 1:** Jurisdiction

1. The provisions of this Act shall apply to all buildings within the City of New York, or any part thereof, and to all buildings located within the City of New York, as defined in the Administrative Code.

2. The provisions of this Act shall not apply to any building located outside the City of New York.

3. The provisions of this Act shall not apply to any building located within the City of New York, except for the buildings located within the City of New York, as defined in the Administrative Code.

4. The provisions of this Act shall apply to all buildings located within the City of New York, as defined in the Administrative Code.

5. The provisions of this Act shall not apply to any building located outside the City of New York.

### Section 2: Definitions

1. "Building" means any structure for human occupancy, natural or artificial, including the foundations, walls, beams, columns, roofs, and other parts thereof.

2. "Building Code" means the building code adopted and in force in the City of New York, as amended from time to time.

### Section 3: Regulations

1. The Administrator shall have the power to prescribe regulations for the proper construction, maintenance, and operation of buildings within the City of New York, as defined in the Administrative Code.

2. The regulations prescribed by the Administrator shall be consistent with the requirements of the Administrative Code and the building code.

### Section 4: Enforcement

1. The Administrator shall enforce the provisions of this Act by the issuance of orders, summonses, and notices.

2. The Administrator may require the submission of plans and specifications for all buildings within the City of New York, as defined in the Administrative Code.

### Section 5: Violations

1. Any violation of the provisions of this Act shall be subject to a penalty of not less than $500.

2. The Administrator may require the submission of plans and specifications for all buildings within the City of New York, as defined in the Administrative Code.

### Section 6: Appeals

1. Any party aggrieved by an order or decision of the Administrator may file an appeal with the Commissioner within 30 days of the issuance thereof.

2. The Commissioner shall hold a hearing on the appeal and issue a decision within 30 days of the filing thereof.

### Section 7: Penalties

1. The provisions of this Act shall be enforced by the Commissioner of Buildings, and the provisions of the Administrative Code relating to violations of the provisions of this Act shall be enforced by the Commissioner of Buildings.

2. The Commissioner of Buildings shall have the power to assess penalties for violations of the provisions of this Act.

3. The Commissioner of Buildings may require the submission of plans and specifications for all buildings within the City of New York, as defined in the Administrative Code.

### Section 8: Hearing

1. Any party aggrieved by an order or decision of the Commissioner of Buildings may file an appeal with the Commissioner of Buildings within 30 days of the issuance thereof.

2. The Commissioner of Buildings shall hold a hearing on the appeal and issue a decision within 30 days of the filing thereof.

3. The Commissioner of Buildings shall have the power to assess penalties for violations of the provisions of this Act.

### Section 9: Penalty Schedule

<table>
<thead>
<tr>
<th>Violation</th>
<th>Penalty</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) No licensed engineer</td>
<td>$500</td>
</tr>
<tr>
<td>(b) Building Code violation</td>
<td>$1,000</td>
</tr>
<tr>
<td>(c) Building Code violation</td>
<td>$2,000</td>
</tr>
<tr>
<td>(d) Building Code violation</td>
<td>$5,000</td>
</tr>
<tr>
<td>(e) Building Code violation</td>
<td>$10,000</td>
</tr>
</tbody>
</table>

### Section 10: Enforcement

1. The provisions of this Act shall be enforced by the Commissioner of Buildings, and the provisions of the Administrative Code relating to violations of the provisions of this Act shall be enforced by the Commissioner of Buildings.

2. The Commissioner of Buildings shall have the power to assess penalties for violations of the provisions of this Act.

3. The Commissioner of Buildings may require the submission of plans and specifications for all buildings within the City of New York, as defined in the Administrative Code.

### Section 11: Appeals

1. Any party aggrieved by an order or decision of the Commissioner of Buildings may file an appeal with the Commissioner of Buildings within 30 days of the issuance thereof.

2. The Commissioner of Buildings shall hold a hearing on the appeal and issue a decision within 30 days of the filing thereof.

3. The Commissioner of Buildings shall have the power to assess penalties for violations of the provisions of this Act.

### Section 12: Penalties

1. The provisions of this Act shall be enforced by the Commissioner of Buildings, and the provisions of the Administrative Code relating to violations of the provisions of this Act shall be enforced by the Commissioner of Buildings.

2. The Commissioner of Buildings shall have the power to assess penalties for violations of the provisions of this Act.

3. The Commissioner of Buildings may require the submission of plans and specifications for all buildings within the City of New York, as defined in the Administrative Code.

### Section 13: Enforcement

1. The provisions of this Act shall be enforced by the Commissioner of Buildings, and the provisions of the Administrative Code relating to violations of the provisions of this Act shall be enforced by the Commissioner of Buildings.

2. The Commissioner of Buildings shall have the power to assess penalties for violations of the provisions of this Act.

3. The Commissioner of Buildings may require the submission of plans and specifications for all buildings within the City of New York, as defined in the Administrative Code.

### Section 14: Penalties

1. The provisions of this Act shall be enforced by the Commissioner of Buildings, and the provisions of the Administrative Code relating to violations of the provisions of this Act shall be enforced by the Commissioner of Buildings.

2. The Commissioner of Buildings shall have the power to assess penalties for violations of the provisions of this Act.

3. The Commissioner of Buildings may require the submission of plans and specifications for all buildings within the City of New York, as defined in the Administrative Code.