

1 RCNY §102-01

CHAPTER 100

Subchapter B Enforcement

§102-01 Violation classification and certification of correction.

(a) Pursuant to section 28-204.1 of the Administrative Code, any person who shall violate or fail to comply with any provision or provisions of law enforced by the Department or with any order issued pursuant thereto shall be liable for a civil penalty that may be recovered in a proceeding before the Environmental Control Board (“ECB”). Such proceeding shall be commenced by service of a notice of violation (“NOV”) returnable before the board. Such notice of violation may be issued by employees of the Department or of other city agencies designated by the Commissioner and may be served by such employees or by a licensed process server.

(b) Classification of violations. For purposes of classifying violations pursuant to section 28-201.2 of the Administrative Code, the following terms shall have the following meanings:

- (1) **IMMEDIATELY HAZARDOUS VIOLATION.** Immediately hazardous violations are those specified as such by the New York City Construction Codes, or those where the violating condition poses a threat that severely affects life, health, safety, property, the public interest, or a significant number of persons so as to warrant immediate corrective action, or, with respect to outdoor advertising, those where the violation and penalty are necessary as an economic disincentive to the continuation or the repetition of the violating condition. Immediately hazardous violations shall be denominated as Class 1 violations.
- (2) **MAJOR VIOLATION.** Major violations are those specified as such by the New York City Construction Codes or those where the violating condition affects life, health, safety, property, or the public interest but does not require immediate corrective action, or, with respect to outdoor advertising, those where the violation and penalty are appropriate as an economic disincentive to the continuation or the repetition of the violating condition. Major violations shall be denominated as Class 2 violations.
- (3) **LESSER VIOLATION.** Lesser violations are those where the violating condition has a lesser effect than an immediately hazardous (Class 1) or major violation (Class 2) on life, health, safety, property, or the public interest. Lesser violations shall be denominated as Class 3 violations.

(c) Correction and certification of correction.

- (1) Each NOV issued by the Commissioner shall contain an order of the Commissioner directing the respondent to correct the condition constituting the violation and to file a certification with the Department that the condition has been corrected
- (2) The following violation cannot be certified as corrected prior to a hearing before ECB. The respondent must appear at the hearing prior to the submission of the certification to the Department:
 - (i) A violation for filing a false certification;
- (3) The required certification shall be completed on the form issued with the NOV or obtained from the Department in accordance with the instructions contained therein.
- (4) The respondent must appear at the ECB hearing for all violating conditions unless those charges are cured or a pre-hearing stipulation is offered, timely accepted by the respondent, and approved in writing by ECB. Where more than one violation of law is listed on the same NOV, the respondent may submit a single certification covering one or all of the violating conditions.
- (5) The certification shall be signed by one with personal knowledge of the correction of the violating condition and notarized by a notary public or commissioner of deeds.

- (6) The certification shall be accompanied by true and legible copies of any and all documentary proof of compliance.
- (7) The completed certification must be returned to the Department at the address provided on the City's website, NYC.gov.
- (8) For violations classified as Class 3 or for those Class 2 violations eligible for a cure, respondents may avoid a hearing by submitting a certification of correction acceptable to and received by the Department no later than forty days from the date of the Commissioner's order to correct set forth in the NOV. For violations classified as Class 1, a certification acceptable to the Department must be received by the Department forthwith.
- (9) Failure to submit an acceptable certification for all violating conditions indicated on the NOV on a Department of Buildings form within the time period prescribed in paragraph (8) of this subdivision shall require the respondent to appear at a hearing at ECB on the date indicated on the NOV. If no certificate of correction is received within the time period prescribed in paragraph (8) of this subdivision, the respondent is also subject to issuance of a violation for failure to certify correction and the imposition of civil penalties as defined in Title 28-202.1.

(d) Mitigated and zero penalties. Mitigated or zero penalties are available in the following circumstances under the following conditions.

- (1) Cure.¹ An eligible violation may be cured by correction before the first scheduled hearing date at ECB. All violations that are designated as Class 3 violations are eligible for cure. Some, but not all, types of violations that are designated as Class 2 violations are eligible for cure. Those types of Class 2 violations that are eligible for cure will be indicated within the Buildings Penalty Schedule found below. In order to cure, a certificate of correction acceptable to the Department must be filed at the Department within forty days from the date of the Commissioner's order to correct set forth in the NOV. A cure constitutes an admission of the violation; dispenses with the need for a hearing at ECB; constitutes a predicate violation for subsequent violations; and, consistent with the provisions of Section 28-204.2, and with the provisions of the Buildings Penalty Schedule, results in a zero penalty. A violation that has been charged as an Aggravated II violation is never eligible for a cure, even if there is a "Yes" in the "Cure" column in the Buildings Penalty Schedule for that violation description.
- (2) Stipulation. An eligible violation may be subject to stipulation where the Commissioner offers to the respondent a stipulation prior to or at a hearing to extend the time for compliance upon such terms and conditions as the Commissioner prescribes. Violations that are eligible for stipulation are indicated as such on the Buildings Penalty Schedule. The respondent must admit the violation subject to stipulation and agree to correct it and file an acceptable certification of correction with the Department. The stipulation may be signed and submitted to ECB either before the first scheduled hearing date at ECB or else *on* the first scheduled hearing date but prior to any actual hearing on that date., in which case it is considered a **pre-hearing stipulation**, or may be entered into at the first ECB hearing in which case it is considered a **hearing stipulation**. A reduced penalty will be imposed in connection with a pre-hearing stipulation in an amount indicated for the charge in question in the Buildings Penalty Schedule. Specifically, the penalty imposed for that violation will be half of the penalty amount (rounded to the nearest dollar) of the penalty amount that would otherwise have been imposed at a hearing for that particular violation. In connection with a stipulation entered into at a hearing, a hearing penalty will be imposed in an amount indicated for the charge in question in the Buildings Penalty Schedule. A stipulation, whether a pre-hearing stipulation or a hearing stipulation, gives the respondent seventy-five days from the first scheduled hearing date within which to correct the violation and file a certificate of correction, failing which any reduced penalty that may have been imposed in connection with a pre-hearing stipulation will be adjusted to the standard hearing penalty set forth in the Buildings Penalty Schedule. A stipulation is effective only if it is approved by ECB. A pre-hearing stipulation dispenses with the need for a hearing at ECB. No stipulation shall take effect unless,

¹ Section 28-204.2 of the Administrative Code provides for a zero penalty for Lesser violations that are corrected within the prescribed, or cure, period. For purposes of this rule, certain Major violations will also be treated as eligible for cure to the extent that section 28-202.1 of the Administrative Code specifies no minimum penalty for such violations.

in the case of a pre-hearing stipulation, it is offered by the Department prior to the first scheduled hearing date, signed by respondent prior to the first scheduled hearing date and approved by ECB in writing, or unless, in the case of a hearing stipulation, it is offered by the Department at the hearing, accepted by the respondent at that hearing, and is approved in writing by ECB. A violation that has been charged as an Aggravated II violation is never eligible for a stipulation, even if there is a “Yes” in the “Stipulation” column in the Buildings Penalty Schedule for that violation description.

- (3) Mitigation. An eligible violation may be subject to mitigation where the respondent proves at the hearing that the condition was corrected prior to the first scheduled hearing date at ECB. Violations that are eligible for mitigation are indicated as such on the Buildings Penalty Schedule. A penalty is imposed on mitigations in accordance with the Buildings Penalty Schedule. If a mitigated penalty is imposed, that penalty will be half of the penalty amount of the penalty amount that would otherwise have been imposed at a hearing for that particular violation. An acceptable certificate of correction must thereafter be filed at the Department. A violation that has been charged as an Aggravated II violation is never eligible for mitigation, even if there is a “Yes” in the “Mitigation” column in the Buildings Penalty Schedule for that violation description.

(e) Certificate of correction review procedures.

- (1) The Department shall review all certificates and accompanying documentation to determine their acceptability.
- (2) The Department shall notify the respondent if the certification is accepted or rejected and, if rejected, the reasons for the rejection and the documents necessary to correct the problem. .
- (3) Corrected certifications must be received by the Department no later than the close of business forty days from the date of the Commissioner’s order to correct set forth in the NOV.

(f) Aggravated penalties. Aggravated penalties may be imposed in accordance with the following provisions. Notice of aggravated penalties may either be set forth in the NOV or otherwise provided to the respondent prior to the date of the first scheduled hearing at ECB.

- (1) Aggravated penalties of the first order. Aggravated penalties of the first order (“Agg. I”) may be imposed when evidence establishes the same condition or the same charge under the New York City Construction Codes or the predecessor charge under the laws in effect prior to July 1, 2008 in a prior enforcement action against the same owner or responsible party during the previous three years.
- (2) Aggravated penalties of the second order. Aggravated penalties of the second order (“Agg. II”) may be imposed in the following instances:
 - (i) When the respondent or defendant is found in violation of any law or rule enforced by the Department where the violation of law is accompanied by or results in an accident, or poses a substantial risk thereof; is accompanied by, or results in a fatality or serious injury, or poses a substantial risk thereof; or where the violating condition affects a significant number of people; or
 - (ii) Where the respondent or defendant refuses to give the Department requested information necessary to determine the condition of a building or site; or
 - (iii) Where the respondent or defendant has a history of non-compliance with laws or rules enforced by the Department at one or more locations, including but not limited to a pattern of unreasonable delays in correcting violations, a pattern of failing to obey Stop Work Orders, filing false documents, or multiple defaults.
 - (iv) For purposes of this section, “in violation” shall mean to be adjudged in violation of any law or rule enforced by the Department following a hearing, to admit the charge, or to sign a stipulation agreement either at or before a hearing before any administrative or judicial tribunal. Failure to appear at a hearing leading to entry of a default order or judgment shall also be deemed a finding “in violation.”

(g) Additional Daily and Monthly Penalties. Additional daily penalties may be imposed in connection with certain continuing and uncorrected Class 1 violations. Additional monthly penalties may be imposed in connection with certain continuing and uncorrected Class 2 violations. If the Department seeks such penalties in connection with a particular Class 1 or Class 2 charge, that will be indicated on the NOV. Such

daily or monthly penalties, if applicable, are in addition to the set, flat-amount penalty that also is indicated in the Buildings Penalty Schedule as applicable to the type of violation in question taking into account the classification level and Aggravated level of the particular violation. Imposition of such additional daily and monthly penalties is authorized pursuant to Section 28-202.1 of the New York City Administrative Code.

(1) **Accrual of Daily Penalties.** Daily penalties, if applicable, will accrue at the rate of \$1,000 per day for a total of forty-five days running from the date of the Commissioner's order to correct set forth in the NOV, unless the violating condition is proved by the respondent at the hearing to have been corrected prior to the end of that forty-five day period, in which case the daily penalties will accrue for every day up to the date of that proved correction.

(2) **Accrual of Monthly Penalties.** Monthly penalties, if applicable, accrue at the rate of \$250 per month for a total of one month running from the date of the Commissioner's order to correct set forth in the NOV, unless the violating condition is proved by the respondent at the hearing to have been corrected prior to the end of a month period.

(h) **Applicability.** On and after July 1, 2008 any work performed without a required permit will be presumed subject to enforcement under the New York City Construction Codes. Thus, the option afforded by 28-101.4 to use either the 1968 Building Code or the New York City Construction Codes applies only to work for which an application is filed with the Department. If and when the work is the subject of an application to legalize, the option will be available once again.

(i) **Legal References.** The legal references referred to in the table below, which reflect the classification of violations and the Penalty Schedule, include the following:

(1) Title 28 of the New York City (NYC) Administrative Code. References to Title 28 of the NYC Administrative Code begin with "28-" (for example, "28-201.1"). The citation "28-Misc." refers to provisions of Title 28 that are not specifically designated elsewhere in the table.

(2) Chapter 1 of Title 27 of the NYC Administrative Code (also known as the "1968 Building Code") and Chapter 3 of the same (also known as the "Electrical Code"). References to these chapters of Title 27 of the NYC Administrative Code begin with "27-" (for example, "27-371"). The citation "27-Misc." refers to provisions of Title 27 that are not specifically designated elsewhere in the table.

(3) The "New York City Construction Codes," which consist of:

- The New York City Plumbing Code (PC)
- The New York City Building Code (BC)
- The New York City Mechanical Code (MC)
- The New York City Fuel Gas Code (FGC).
- The New York City Energy Conservation Code (ECC).

References to these New York City Construction Codes are designated by the various abbreviations set out above (for example, "BC3010.1"). The citations "BC-Misc.," "PC-Misc.," "MC-Misc.," "FGC-Misc.," "ECC-R Misc." and "ECC-C Misc." refer to provisions of the New York City Building, Plumbing, Mechanical, Fuel Gas, or Energy Conservation codes that are not specifically designated elsewhere in the table.

(4) **Appendices to the New York City Construction Codes.** The New York City Construction Codes include all enacted appendices. Administrative Code §28-102.6. References to Appendices are cited by using the abbreviation for the particular Construction Code followed by the applicable Appendix letter (for example, "H") followed by the applicable section number (for example, "BC H103.1").

(5) **The NYC Zoning Resolution (ZR) and the Rules of the City of New York (RCNY).** References to the Zoning Resolution and to the Rules of the City of New York are designated by the abbreviations "ZR" and "RCNY" (for example, "ZR25-41"; "1 RCNY9-01"). The citations "1 RCNY-Misc." and "ZR-Misc." refer to provisions of 1 RCNY or the Zoning Resolution that are not specifically designated elsewhere in the table.

(6) **Reference Standards that pertain to Title 27 of the NYC Administrative Code (RS).** References to the Reference Standards are designated by the abbreviation set out above (for example, "RS-16"). The citation "RS-Misc." refers to Reference Standards that are not specifically designated elsewhere in the table.

(7) Citations to the New York City Construction Codes. Whenever a section or subdivision of the New York City Construction Codes is cited or referred to, subordinate consecutively numbered subdivisions or paragraphs of the cited provision are deemed to be included in such reference unless the context or subject matter requires otherwise.

(8) Electrical Code Technical Standards. References to sections of the National Fire Protection Association NFPA 70 National Electrical Code as adopted and/or amended by New York City begin with "EC" (for example, "EC 250.14"). The citation "EC-Misc" refers to provisions of the Electrical Code Technical Standards that are not specifically designated elsewhere in the table.

(9) 2008 code. References to the 2008 code pertain to the New York City Construction Codes effective on July 1, 2008 and any applicable subsequent amendments prior to December 31, 2014.

(10) 2014 code. References to the 2014 code pertain to the amendments and additions to the New York City Construction Codes effective on December 31, 2014 and any applicable subsequent amendments.

(j) Reduction of default penalties upon proof of compliance. With the exception of daily penalties charged under section 28-202.1 of the Administrative Code for continued Class 1 violations of sections 28-210.1 or 28-210.3 of the Administrative Code, any imposed Default Penalty, Aggravated I Default Penalty or Aggravated II Default Penalty will be reduced by one-half (1/2) after:

- (1) Respondent files an acceptable certificate of correction with the Department; and
- (2) ECB receives notification from the Department of its acceptance of such certificate of correction.

(k) Classification of particular violations. The table below sets forth the penalties imposed in connection with Summonses, as defined in section 6-01 of Title 48 of the Rules of the City of New York, and/or Notices of Violation returnable to ECB that are issued on or after July 1, 2008. Particular violations shall be classified as indicated in the following table:

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
1 RCNY-Misc., RS-Misc.	Class 1	Miscellaneous violations.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
1 RCNY-Misc., RS-Misc.	Class 2	Miscellaneous violations.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
1 RCNY-Misc., RS-Misc.	Class 3	Miscellaneous violations.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
1 RCNY 5-02	Class 2	Failure to meet the requirements of licensing/identification/qualification as required by 1 RCNY 5-02.	Yes	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
1 RCNY 104-20	Class 1	Licensed Rigger designated an unqualified foreman.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
1 RCNY 104-20	Class 2	Licensed Rigger designated an unqualified foreman.	No	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
1 RCNY 49-03	Class 1	Outdoor Advertising Company failed to comply with Commissioner's sign-related Order.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 101-07	Class 2	Failure of approved agency to comply with requirements of 1 RCNY 101-07.	Yes	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
1 RCNY 103-04(b)(5)(iii)	Class 2	Removal of public protection from unsafe façade without approval from the department.	No	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
BC 3301.13.7 thru BC 3301.13.13	Class 1	Construction Superintendent failed to perform duties per code.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3301.13.7 thru BC 3301.13.13	Class 2	Construction Superintendent failed to perform duties per code.	No	No	\$5,000	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
BC 3301.13.10	Class 1	Construction superintendent failed to immediately notify the department of conditions as required.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
1 RCNY 3319-02(c)	Class 1	Equipment User failed to designate a lift director.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 3319-02(c)(1)	Class 1	No lift director on site.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 3319-02(c)(2)	Class 1	Equipment User failed to designate a qualified and competent Lift Director.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
1 RCNY 3319-02(c) (3)	Class 1	Equipment User failed to designate the proper party as Lift Director.	No	No	\$2,500	Yes	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
1 RCNY 3319-01(i)(6)	Class 1	No Assembly/Disassembly Director on site.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 3319-01(i) (6) (i)	Class 1	Unqualified/Incompetent Assembly/Disassembly Director on site.	No	No	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
1 RCNY 3319-01(i)(6)	Class 1	Failed to assemble or disassemble or ensure crane or derrick assembled/dissembled as per plans.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
1 RCNY 3319-01(i)(6)	Class 2	Failed to assemble or disassemble or ensure crane or derrick assembled/dissembled as per plans.	No	No	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
1 RCNY 3319-01(k)(1)(ii)	Class 1	HMO failed to provide proof of conducting a frequent inspection.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
1 RCNY 3319-01(u)	Class 1	Failed to provide/maintain the required documents.	No	Yes	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
1 RCNY 3319-01(H)	Class 1	Equipment User failed to maintain crane or derrick log.	No	Yes	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
1 RCNY 3319-01(c)(5)	Class 1	Equipment user failed to make the proper notification to the department.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 3319-01(c)(5)	Class 2	Equipment user failed to make the proper notification to the department.	No	Yes	\$5,000	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
1 RCNY 3319-01(t)(7)	Class 1	No anemometer/non-working anemometer on site or in machine.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 3319-01(t)(7)	Class 2	No anemometer/non-working anemometer on site or in machine.	No	Yes	\$5,000	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
1 RCNY 3319-02(e)	Class 1	Lift director failed to perform duties as required.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 3319-02(e)	Class 2	Lift director failed to perform duties as required.	No	No	\$5,000	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
1 RCNY 3319-02(f)	Class 1	Lift director failed to notify the department of a violation.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 3319-02(j)(3)	Class 1	Lift director failed to conduct/record meeting (pre-shift or subsequent).	Yes	Yes	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
27-228.5	Class 2	Failure to file an Architect/Engineer report certifying that exit/directional signs are connected to emergency power source/storage battery equipment.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
27-369, BC 1020.2 (2008 code), & BC 1023.2 (2014 code)	Class 1	Failure to provide unobstructed exit passageway.	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
27-371, BC 715.3.7 (2008 code) & BC 715.4.8 (2014 code)	Class 2	Exit door not self-closing.	Yes	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
27-382 & BC 1006.3	Class 2	Failure to provide power for emergency exit lighting.	Yes	No	\$1250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
27-383(b), BC 403.16 (2008 code) & BC 403.5.5 (2014 code)	Class 1	Failure to install luminous egress or photoluminescent exit path marking in a high-rise building.	No	No	\$2,500	Yes	\$12,500	\$6,250	\$25,000	\$25,000	\$25,000
27-391 & BC 3002.3	Class 2	Emergency signs at elevator call stations missing, defective or non-compliant with section requirements.	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
27-393, BC 1019.1.7 (2008 code) & BC 1022.8 (2014 code)	Class 2	Stair and/or floor identification signs missing and/or defective.	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
27-509, BC 3111.1 (2008 code) & BC 3112.1 (2014 code)	Class 3	Fence exceeds permitted height.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
27-528, BC 1024.1.3 (2008 code) & BC 1028.1.3 (2014 code)	Class 2	Approved Place of Assembly plans not available for inspection.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-901(z)(1) & PC 301.6	Class 2	Piping installed in elevator/counterweight hoistway.	Yes	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
27-904 & FGC 406.6.2	Class 1	Gas being supplied to building without inspection and certification by DOB.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
27-904 & FGC 406.6.2	Class 2	Gas being supplied to building without inspection and certification by DOB.	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code)	Class 1	Failure to have new or altered plumbing system tested.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code)	Class 2	Failure to have new or altered plumbing system tested.	Yes	No	\$1250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
27-972(h), BC 907.2.12.3 (2008 code) & BC 907.2.13.3 (2014 code)	Class 2	Failure to install an acceptable two-way voice communication system with central station connection.	Yes	No	\$2500	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
27-2009.2	Class 2	Failure to post or distribute Notice of TPP.	No	No	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
27-2009.2	Class 2	Failure to post or distribute "Safe Construction Bill of Rights".	No	No	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
27-3017	Class 1	Performed unlicensed electrical work.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
27-3018(b)	Class 1	Electrical work without a permit.	No	No	\$1,600	Yes	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
27-3018(b)	Class 2	Electrical work without a permit.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
27-3018(b)	Class 3	Electrical work without a permit.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500
27-3018(b)	Class 3	Failure to conspicuously post electrical work permit while work is in progress.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
27-3018(b)	Class 1	Electrical work does not conform to approved submittal documents/amendments.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
27-3018(b)	Class 2	Electrical work does not conform to approved submittal documents/amendments.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-3018(b)	Class 3	Electrical work does not conform to approved submittal documents/amendments.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
27-3018(i)	Class 2	Installed more than the authorized number of electric meters.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
27-Misc, 28-Misc, BC -Misc	Class 1	Miscellaneous violations.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
27-Misc, 28-Misc, BC -Misc	Class 2	Miscellaneous violations.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$12,500	\$6,250	\$10,000
27-Misc, 28-Misc, BC -Misc	Class 3	Miscellaneous violations.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
28-103.21.1	Class 1	Failed to notify the department of an incident that resulted in a fatality or injury.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-103.21.1	Class 2	Failed to notify the department of an incident that resulted in a fatality or injury in a timely fashion.	No	No	\$2,500	No	\$10,000	\$5,000	\$10,000	\$10,000	\$10,000
28-103.21.1	Class 1	Failure to include the information required by 28-103.21 when notifying the department of an incident that resulted in a fatality or injury.	No	No	\$2,500	No	\$12,500	\$5,000	\$25,000	\$25,000	\$25,000
28-103.21.1	Class 2	Failure to include the information required by 28-103.21 when notifying the department of an incident that resulted in a fatality or injury.	Yes	Yes	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-103.27.2	Class 2	Fail to notify department prior to the commencement of alteration or addition.	No	No	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-104.2.2	Class 2	Failure to provide approved/accepted construction documents at job site at time of inspection.	Yes	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-104.8.4.3	Class 3	Failure to post or distribute a notice meeting requirements of 28-104.8.4.3.	No	No	\$500	Yes	\$500	\$500	\$500	\$500	\$500
28-105.1	Class 1	Work After Hours Without a Variance Permit contrary to 28-105.12.5.	No	No	\$2,500	Yes	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-105.1	Class 2	Work After Hours Without a Variance Permit contrary to 28-105.12.5.	No	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-105.1	Class 1	Work without a permit.	No	No	\$2,500	Yes	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-105.1	Class 2	Work without a permit.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-105.1	Class 3	Work without a permit.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
28-105.1	Class 1	Construction or alteration work w/o a permit in manufacturing district for residential use.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-105.1	Class 2	Construction or alteration work w/o a permit in manufacturing district for residential use.	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
28-105.1	Class 1	Demolition work without required demolition permit.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-105.1	Class 1	Plumbing work without a permit in manufacturing district for residential use.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-105.1	Class 2	Plumbing work without a permit in manufacturing district for residential use.	No	Yes	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
28-105.1	Class 2	Failure to obtain a temporary construction permit prior to installation/use of temporary construction equipment.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$12,500	\$6,250	\$10,000
28-105.1	Class 2	Outdoor sign on display structure without a permit.	No	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-105.1	Class 1	Outdoor Advertising Company sign on display structure without a permit.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-105.11	Class 2	Failure to post or properly post permit for work at premises.	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-105.12.1	Class 2	Outdoor sign permit application contrary to Code and ZR requirements.	No	No	\$2,500	No	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
28-105.12.2	Class 1	Work does not conform to approved construction documents and/or approved amendments.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-105.12.2	Class 2	Work does not conform to approved construction documents and/or approved amendments.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-105.12.2	Class 3	Work does not conform to approved construction documents and/or approved amendments.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
28-105.12.2	Class 1	Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-105.12.2	Class 2	Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use.	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
28-105.12.2	Class 1	Place of Assembly contrary to approved construction documents.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-105.12.2	Class 2	Place of Assembly contrary to approved construction documents.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-105.12.2	Class 1	Outdoor Advertising Company sign work does not conform to approved construction documents or amendments.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-110.1(20)	Class 1	Failure to provide evidence of workers attending construction & safety course.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-116.1	Class 2	Failure of permit holder to provide inspection access to and/or expose ongoing construction or work on an active and permitted worksite.	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
28-116.2.4.2	Class 2	Failure to conduct or file a final inspection of permitted work with the Department.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-116.4.1	Class 2	Operation of service equipment without Certificate of Compliance.	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-117.1	Class 1	Operation of a Place of Assembly without a current Certificate of Operation.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-117.1	Class 2	Operation of a Place of Assembly without a current Certificate of Operation.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-118.1	Class 1	Building or open lot occupied without a valid certificate of occupancy.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-118.3	Class 1	Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-118.3	Class 2	Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-118.3	Class 1	Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-118.3	Class 2	Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-118.3.2	Class 1	Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-118.3.2	Class 2	Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-118.3.2	Class 3	Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-118.3.2.1	Class 3	Address, block and/or lot, or metes and bounds of zoning lot contrary to Certificate of Occupancy.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
28-201.1	Class 1	Unlawful acts. Failure to comply with Commissioner's order.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-201.1; 28-207.4	Class 1	Failure to obey a Vacate Order from the Commissioner per 28-207.4.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
28-201.1	Class 1	Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-201.1	Class 2	Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations.	No	No	\$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
28-202.1	Class 1	Additional daily penalty for Class 1 violation of 28-210.1 or 28-210.2.	No	No	\$1,000/per day	No	\$45,000	NA	NA	NA	NA
28-202.1	Class 2	Additional monthly penalty for continued violation of 28-210.1.	No	No	\$250/month	No	\$10,000	NA	NA	NA	NA
28-202.1	Class 1	Additional daily civil penalties for continued violations.	No	No	\$1,000/per day	No	\$25,000	NA	NA	NA	NA
28-202.1	Class 2	Additional monthly civil penalties for continued violations.	No	No	\$250/month	No	\$10,000	NA	NA	NA	NA
28-202.1	Class 2	Additional monthly penalty for continued violation of 28-210.2.	No	No	\$250/month	No	\$10,000	NA	NA	NA	NA

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-202.1	Class 1	Additional daily penalty for Class 1 violation of 28-210.3 – permanent dwelling offered/used/converted for other than permanent-residential purposes.	No	No	\$1,000/per day	No	\$45,000	N/A	N/A	N/A	N/A
28-204.4	Class 2	Failure to comply with the Commissioner's order to file a certificate of correction with the Department of Buildings.	No	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-207.2.2	Class 1	Unlawfully continued work while on notice of a Stop Work Order.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-207.2.5	Class 1	Tampered with, removed or defaced a written posted Stop Work Order.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-207.4.4	Class 1	Removed or defaced a written posted Vacate Order.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-210.1	Class 1	1- or 2-family residence converted to or maintained as a dwelling for more than the number of families legally authorized by the C of O or official records – Less than three additional dwelling units	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-210.1	Class 1	Residence converted maintained or occupied as a dwelling for more than the legally approved number of families - Legal two family converted to a four family dwelling	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-210.1 ¹	Class 1	Dwelling converted, maintained, or occupied with 3 or more additional dwelling units than legally authorized by the C of O or official records.	No	No	\$15,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-210.1	Class 2	Residence converted maintained or occupied as a dwelling for more than the legally approved number of families authorized by the C of O or official department records	No	No	\$2,500	No	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
28-210.2	Class 1	Industrial/manufacturing building converted, maintained, or occupied for residential use for 3 or more additional dwelling units than legally authorized by the C of O or official records.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-210.2	Class 2	Industrial/manufacturing building converted, maintained, or occupied for residential use contrary to the C of O or official records	No	No	\$2,500	No	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
28-210.3	Class 1	Permanent dwelling offered/used/converted for other than permanent-residential purposes	No	No	\$5,000	No	\$25,000	\$15,000	\$25,000	\$25,000	\$25,000
28-210.3	Class 2	Permanent dwelling offered/used/converted for other than permanent-residential purposes	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000

¹ This penalty applies to each additional dwelling unit above the number authorized in the certificate of occupancy.

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-211.1	Class 1	Filed a certificate, form, application etc., containing a material false statement(s).	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-211.1	Class 1	Filed a certificate of correction or other related materials containing material false statement (s).	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-217.1.1	Class 2	Failure to submit required report of inspection of potentially compromised buildings.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-217.16	Class 1	Failure to immediately notify Department that building or structure has become potentially compromised.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-301.1	Class 1	Failure to maintain building in code compliant manner: Lack of required number of means of egress for every floor per BC 1018.1 (2008 code); 27-366; BC 1021.1 (2014 code).	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-301.1	Class 2	Failure to maintain building in code compliant manner. Exhaust discharge must be no closer than 10 feet from building openings as per MC 501.2 and RS 13-1 Sec. 2-2.1.4.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner.	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner.	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-301.1	Class 3	Failure to maintain building in code-compliant manner.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
28-301.1	Class 1	Failure to maintain building in code-compliant manner: service equipment – boiler.	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: service equipment – boiler.	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-301.1	Class 3	Failure to maintain building in code-compliant manner: service equipment – boiler.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
28-301.1	Class 1	Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j).	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j).	Yes	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381.	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381.	Yes	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7 (2008 code); 27-392; BC 1022.8 (2014 code).	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-301.1	Class 2	Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7 (2008 code); 27-392; BC 1022.8 (2014 code).	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1; 27-383.1.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1; 27-383.1.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: lack of emergency power or storage battery connection to exit signs per BC 1011.5.3; 27-384(c).	No	No	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: failure to provide non-combustible proscenium curtain or stage water curtain per BC 410.3.5; 27-546.	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-301.1	Class 1	Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: Improper exit/exit access doorway arrangement per BC 1014.2 (2008 code); 27-361; BC 1015.2 (2014 code).	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$25,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954.	No	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner re: installation/maintenance of plumbing materials/ equipment per PC102.3; 27-902.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: Gas vent reduced or undersized as per FGC 504.2; 27-887.	No	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: failure to comply with law for water supply system per PC 602.3; 27-908(c).	No	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-301.1	Class 2	Failure to maintain building in code-compliant manner: failure to comply with law for drainage system per PC 702.1; 27-911.	No	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: Plumbing fixture(s) not trapped and/or vented per PC 916.1 & PC 1002.1; 27-901(o).	No	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: Misc. sign violation by Outdoor Advertising Company as per 27-498 through 27-508 & BC H103.1.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-301.1	Class 2	Failure to maintain sign in accordance w Tit.27; Tit.28; ZR; RCNY.	No	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-302.1	Class 1	Failure to maintain building wall(s) or appurtenances.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-302.1	Class 2	Failure to maintain building wall(s) or appurtenances.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-302.1	Class 3	Failure to maintain building wall(s) or appurtenances.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
28-302.3	Class 1	Failure of registered design professional to immediately notify the department of unsafe façade condition(s).	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-302.4	Class 2	Failure to submit a required report of critical examination documenting condition of exterior wall and appurtenances.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-302.5	Class 1	Failure to take required measures to secure public safety – unsafe façade.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-302.5	Class 2	Failure to file an amended report acceptable to this Department indicating correction of unsafe conditions.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-303.7	Class 2	Failure to file a complete boiler inspection report.	No	No	\$625	No	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-304.1	Class 1	Failure to maintain elevator or conveying system.	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000
28-304.1	Class 2	Failure to maintain elevator or conveying system.	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-304.1	Class 3	Failure to maintain elevator or conveying system.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
28-304.6	Class 1	Failure to inspect or test elevator or conveying system.	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000
28-304.6	Class 2	Failure to inspect or test elevator or conveying system.	Yes	Yes	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-304.10	Class 2	Failure to provide notice of elevator to be out of service for alteration work.	No	No	\$2,500	No	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
28-305.4. 4	Class 2	Failure to submit required report of condition assessment of retaining wall.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-305.4.6	Class 1	Failure to immediately notify Department of unsafe condition observed during condition assessment of retaining wall.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-305.4.7.3	Class 2	Failure to file an amended condition assessment acceptable to Department indicating correction of unsafe conditions.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-317.3	Class 2	Failure to register cooling tower prior to operation.	No	No	\$2,500	Yes	\$10,000	\$5,000	\$10,000	\$10,000	\$10,000
28-317.3.1	Class 2	Failure to notify of discontinued use or removal of cooling tower.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-317.5	Class 2	Failure to file an annual certification of cooling tower inspection/ testing/ cleaning/ disinfecting/ maintenance plan per Adm. Code §17-194.1.	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
Misc. Chapter 4 of Title 28 – Unlicensed Activity	Class 1	Illegally engaging in any business or occupation without a required license or other authorization.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-401.20 & 1 RCNY 3301-02(g)	Class 1	Licensee/registrant failed to fully and completely cooperate as per section.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-401.9	Class 1	Failure to file evidence of liability &/or property damage insurance.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-401.9	Class 1	Failure to file evidence of compliance with Workers Comp, law and/or disability benefits law.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-401.19	Class 1	Improper conduct of a licensee or Certificate of Competence Holder.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-401.19	Class 2	Improper conduct of a licensee or Certificate of Competence Holder.	No	No	\$2,500	No	\$6,250	\$10,000	\$10,000	\$10,000	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-404.1	Class 1	Supervision or use of rigging equipment without a Rigger's license.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-404.4.1	Class 2	Licensed Master/Special Rigger failed to place appropriate "Danger" sign while using rigging equipment.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
28-405.1	Class 1	Supervision or use of power-operated hoisting machine without a Hoisting Machine Operator's license.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-406.1	Class 1	Unlicensed concrete testing activity.	No	No	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-408.1	Class 1	Performing unlicensed plumbing work without a master plumber license.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-410-1	Class 1	Performed fire suppression piping work w/o a license.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-415.1	Class 1	Hoisting, lowering, hanging, or attaching of outdoor sign not performed or supervised by a properly licensed sign hanger.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-502.2	Class 1	Outdoor Advertising Company engaged in outdoor advertising business without a valid registration.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.2.1	Class 1	Outdoor Advertising Company failed to submit complete/accurate information as prescribed in 1 RCNY Chapter 49.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.2.2	Class 1	Outdoor Advertising Company failed to post, renew or replenish bond or other form of security.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
28-502.5	Class 1	Outdoor Advertising Company failed to post required information at sign location.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.6	Class 1	Misc. sign violation by Outdoor Advertising Company of Title 27; Title 28; ZR; or BC.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-504.1.2	Class 2	Failure to complete/implement/amend bicycle access plan or provide request for exception.	No	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-504.1.2	Class 2	Failure to implement the terms and conditions of bicycle access plan/letter of exception as prescribed in 34 RCNY 2-19.	No	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-504.1.4	Class 2	Failure to post a bicycle access plan/letter of exception/notice of availability of plan/letter.	No	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
28-504.1.4	Class 2	Failure to timely file bicycle access plan or amendment with DOT as prescribed in 34 RCNY 2-19.	No	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
BC 105.8.2	Class 2	Temporary Construction Equipment on Site –Expired Permit.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
BC 903.6	Class 2	Failure to paint dedicated sprinkler piping/valves in accordance with section.	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
BC 903.6	Class 2	Failure to provide/maintain painting certification of sprinkler and combination sprinkler/standpipe systems in accordance with section.	Yes	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
BC 905.11	Class 2	Failure to paint dedicated standpipe/valves in accordance with section.	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
BC 905.11	Class 2	Failure to provide/maintain painting certification of standpipe and combination sprinkler/standpipe systems in accordance with section.	Yes	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
BC 1016.2	Class 2	Failure to maintain building in code-compliant manner: provide required corridor width per BC 1016.2; 27-369.	Yes	No	\$625	Yes	\$3,125	\$1,563	\$6,250	\$3,125	\$10,000
BC 1704.4	Class 2	Failure to perform special inspections and verifications for concrete construction as required by section and Table 1704.4.	No	No	\$2,500	No	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
BC 1704.21.1 (2008 code) & BC 1704.23.1 (2014 code)	Class 1	Failure to perform successful hydrostatic pressure test of sprinkler system.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 1704.22.1 (2008 code) & BC 1704.24.1 (2014 code)	Class 1	Failure to perform successful hydrostatic pressure test of standpipe system.	No	No	\$2,400	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 1905.6.3.2 (2008 code) & BC 1905.6.3.3 (2014 code)	Class 2	Failure to comply with ASTM C31 standards for concrete cylinder test samples.	No	No	\$2,500	No	\$10,000	\$6,250	\$10,000	\$8,000	\$10,000
BC 3010.1 & 27-1006	Class 1	Failure to promptly report an elevator accident involving personal injury requiring the services of a physician or damage to property.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3301.1.3 (2014 code)	Class 1	Failure to comply with manufacturer specifications.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3301.1.3 (2014 code)	Class 2	Failure to comply with manufacturer specifications.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
BC 3301.2 & 27-1009(a)	Class 1	Failure to safeguard all persons and property affected by construction operations.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3301.2 & 27-1009(a)	Class 2	Failure to safeguard all persons and property affected by construction operations.	No	No	\$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
BC 3301.2 & 27-1009(a)	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No guard rails.	No	No	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.2 & 27-1009(a)	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No toe boards.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.2 & 27-1009(a)	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No handrails.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3301.13.3	Class 1	Failure to designate and/or have a Construction Superintendent present at site as required.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3301.7 (2014 code)	Class 1	Failure to maintain/display on site documents required by BC Chapter 33.	No	No	\$2,500	Yes	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3301.7 (2014 code)	Class 2	Failure to maintain/display on site documents required by BC Chapter 33.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
BC 3301.8	Class 1	Failure to promptly notify the Department of an accident or damage to adjoining property at construction/demolition site.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.9	Class 2	Project Information Panel/Sidewalk Shed Parapet Panel/Construction Sign not provided or not in compliance with section.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
BC 3301.11.1 and 2	Class 1	Permit Holder failed to ensure workers receive site safety orientation or refresher.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.11.3	Class 1	Permit Holder failed to designate a qualified person to conduct site safety orientation or refresher, or to ensure that such qualified person conducted the site safety orientation or refresher.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.11.4	Class 1	Failure to communicate required information during site safety orientation or refresher.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.11.5	Class 1	Failure to maintain a record of orientations performed at worksite.	No	No	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.12	Class 1	Permit Holder failed to ensure workers attend pre-shift safety meeting prior to commencement of work.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.12.1	Class 1	Permit Holder failed to designate a competent person to conduct pre-shift safety meeting, or to ensure that such competent person conducted the pre-shift meeting.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.12.2	Class 1	Failure to communicate required information to workers during pre-shift safety meeting.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.12.3	Class 1	Failure to maintain record of pre-shift safety meeting for each worker.	No	No	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
BC 3303.4 & 27-1018	Class 1	Failure to maintain adequate housekeeping per section requirements.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3303.4 & 27-1018	Class 2	Failure to maintain adequate housekeeping per section requirements.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
BC 3303.4.5 & 27-1018	Class 1	Unsafe storage of materials during construction or demolition.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3303.4.6 & 27-1018	Class 1	Unsafe storage of combustible material and equipment.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3303.7.3	Class 1	Smoking at construction/demolition site.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3303.7.3	Class 2	Smoking at construction/demolition site.	No	No	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
BC 3303.7.3	Class 2	Failure to post No Smoking signs at construction/demolition sites per Fire Code.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
BC 3303.8.1	Class 1	Failure to provide standpipe or air pressurized alarm system for standpipe system during construction or demolition operation.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3303.8.1	Class 1	Failure to conduct proper planned removal from service of standpipe system and/or standpipe air pressurized alarm.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3303.10.2	Class 2	Failure to notify the department prior to commencement of work that requires tenant protection plan.	No	No	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
BC 3303.15	Class 2	Failure to perform proper concrete washout water procedures.	No	No	\$2,500	No	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
BC 3304.3 & 1 RCNY 52-01(a)	Class 1	Failure to notify the Department prior to the commencement of earthwork.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3304.3 & 1 RCNY 52-01(b)	Class 2	Failure to notify the Department prior to the cancellation of earthwork.	No	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
BC 3304.4 & 27-1032	Class 1	Failure to provide protection at sides of excavation..	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3304.12 (2014 code)	Class 1	Failure to perform slurry operations in accordance with section.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3305.3.1.2.1 (2014 code)	Class 1	Failure to obtain registered design professional evaluation prior to using existing structure to support formwork loads.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3305.3.2 (2014 code)	Class 1	No site-specific formwork design drawings present per 3301.7.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3305.3.3.2 (2014 code)	Class 1	Failure to perform required formwork observation.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3306 & 27-1039	Class 1	Failure to carry out demolition operations as required by section.	No	No	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3306.2.1	Class 1	Failure to provide safety zone for demolition operations.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3306.3& 27-195	Class 1	Failure to provide required notification prior to the commencement of demolition.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
BC 3306.5	Class 1	Mechanical demolition without plans on site.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3307.1	Class 2	Pedestrian protection does not meet code specifications.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code)	Class 1	Prohibited Outdoor Advertising Company sign on sidewalk shed or construction fence.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code)	Class 2	Posting of unlawful signs, information, pictorial representation, business or advertising messages on protective structures.	Yes	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
BC 3307.3 (2008 Code) and BC 3307.1 (2014 Code)	Class 1	Failure to provide pedestrian protection for sidewalks and walkways.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3307.3.1 (2008 code), 27-1021(a) & BC 3307.6.2 (2014 code)	Class 1	Failure to provide sidewalk shed where required.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3307.6.4 (2008 code) & BC 3307.6.4.11 (2014 code)	Class 2	Sidewalk shed does not meet color specification.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
BC 3307.7	Class 2	Job site fence not constructed or maintained pursuant to subsection.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3309.4 & 27-1031	Class 1	Failure to protect adjoining structures during excavation operations.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3301.3 & BC 3310.5 & BC 3310.5.2	Class 1	Failure to designate and/or have Site Safety Manager or Site Safety Coordinator present at site as required.	No	No	\$10,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
BC 3310.8.2 (2008 code) & BC 3310.8.2.1 (2014 code)	Class 1	Site Safety Manager/Coordinator failed to immediately notify the Department of conditions as required.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3310.9.1	Class 1	No Concrete Safety Manager present at site as required.	No	No	\$5,000	Yes	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3310.10	Class 1	Failure to conduct a site-specific safety orientation program for all workers.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3314.1.1 (2008 code) & 27-1050.1 & BC 3314.4.1.5 (2014 code)	Class 2	Failed to notify Department prior to installation or removal of Suspended Scaffold.	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
BC 3314.2 & 27-1042	Class 1	Erected or installed supported scaffold 40 feet or higher without a permit.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3314.4.3.1 (2008 code), 27-1045 & BC 3314.4.3 (2014 code)	Class 1	Failure to perform safe/proper inspection of Suspended Scaffold.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3314.4.3.1 (2008 code), 27-1045(b) & BC 3314.4.3.4 (2014 code)	Class 1	No record of daily inspection of Suspended Scaffold performed by authorized person at site.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3314.4.5 (2008 code) & BC 3314.4.5.1 (2014 code)	Class 1	Unqualified supervisor or worker performing work on scaffold.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3314.4.6 (2008 code) & BC 3314.4.5.8 (2014 code)	Class 2	Scaffold training certificate card not readily available for inspection.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
BC 3314.6.3 & 27-1009	Class 1	Failure to provide/use lifeline while working on scaffold.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3314.6.3 & 27-1009	Class 2	Failure to provide/use lifeline while working on scaffold.	No	No	\$2,500	Yes	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
BC 3316.2 & BC 3319.1	Class 1	Inadequate safety measures: Operation of crane/derrick/hoisting equipment in unsafe manner.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3319.3	Class 1	Operation of a crane/derrick without a Certificate of Operation/Certificate of Approval.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3319.3 & 27-1057(d)	Class 2	Operation of a crane/derrick without a Certificate of Onsite Inspection.	No	No	\$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
BC 3319.8	Class 1	Failure to provide erection, jumping, climbing, dismantling plan for tower/climber crane.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3319.8.2	Class 1	Failure to conduct a safety coordination meeting.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3319.8.3	Class 1	Failure to conduct a pre-jump safety meeting.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3319.8.4	Class 1	Failure to notify the Department prior to pre-jump or safety coordination meeting.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3319.8.4.2	Class 1	Failure to provide time schedule indicating erection, jumping, climbing or dismantling of crane.	No	No	\$1,250	No	\$6,500	\$3,125	\$12,500	\$6,250	\$25,000
BC 3319.8.6	Class 1	No meeting log available.	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
BC 3319.8.7	Class 1	Failure to file a complete and acceptable tower/climber Installation Report per BC 3319.8.7.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3319.8.8	Class 1	Erection, jumping, climbing, dismantling operations of a tower or climber crane not in accordance with 3319.8.8.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC3321.1	Class 1	Failed to ensure each worker has successfully completed the required training.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC3321.1	Class 2	Failed to ensure each worker has successfully completed the required training.	No	No	\$2,500	Yes	\$10,000	\$5,000	\$10,000	\$10,000	\$10,000
BC3321.2.1	Class 1	Failed to ensure each worker is in compliance with BC3321.1	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC3321.2.3	Class 1	Failed to have or maintain the required daily log.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC3321.2.4	Class 1	Failed to produce daily log for inspection.	No	No	\$ 2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
EC-Misc.	Class 1	Miscellaneous violation of the Electrical Code Technical Standards.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
EC-Misc.	Class 2	Miscellaneous violation of the Electrical Code Technical Standards.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
EC-Misc.	Class 3	Miscellaneous violation of the Electrical Code Technical Standards.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.2(A)	Class 1	Unapproved/unsafe/unsuitable electrical equipment, apparatus, materials, devices, appliances or wiring in use.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
EC 110.2(A)	Class 2	Unapproved/unsafe/unsuitable electrical equipment, apparatus, materials, devices, appliances or wiring in use.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
EC 110.2(B)	Class 2	Constructed electrical installation without required commissioner's approval per section.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 110.2	Class 2	Failure to use approved conductors and/or equipment.	No	No	\$1,000	Yes	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
EC 110.2	Class 3	Failure to use approved conductors and/or equipment.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.12	Class 3	Failure to close unused openings (knockouts) in outlet/panel box.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.14(A)	Class 2	Failure to properly connect conductors to terminals.	No	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
EC 110.25	Class 1	Electrical closet not dedicated to electrical distribution equipment only.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
EC 110.25	Class 2	Electrical closet not dedicated to electrical distribution equipment only.	Yes	Yes	\$600	Yes	\$3,000	\$1,500	\$6,000	\$3,000	\$10,000
EC 110.25	Class 3	Electrical closet not dedicated to electrical distribution equipment only.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.26	Class 2	Failure to provide/maintain sufficient access/work space about electrical equipment.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 210.8	Class 2	Failure to install Ground-fault circuit interrupter (GFCI) protection as required.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
EC 210.12(B)	Class 2	Failure to provide Arc-fault circuit interrupter (AFCI) protection in dwelling units.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 210.52(A)	Class 3	Failure to provide proper spacing between receptacle outlets.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 230.72(A)	Class 1	Failure to properly group/label disconnects.	No	No	\$1,000	Yes	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
EC 230.72(A)	Class 2	Failure to properly group/label disconnects.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 240.3	Class 1	Failure to provide adequate circuit overcurrent protection device per table.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
EC 240.3	Class 2	Failure to provide adequate circuit overcurrent protection device per table.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 240.3	Class 3	Failure to provide adequate circuit overcurrent protection device per table.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
EC 240.4	Class 1	Failure to protect conductor(s) against overcurrent per EC.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
EC 240.4	Class 2	Failure to protect conductor(s) against overcurrent per EC.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 240.21	Class 2	Tap conductors not in compliance with section.	No	No	\$1,000	Yes	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
EC 250.4	Class 1	Failure to ground electrical systems.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
EC 250.4	Class 1	Failure to properly bond electrical systems.	No	No	\$3,000	No	\$15,000	\$7,500	\$25,000	\$15,000	\$25,000
EC 250.4	Class 2	Failure to properly bond electrical systems.	No	No	\$1,500	Yes	\$7,500	\$3,750	\$10,000	\$7,500	\$10,000
EC 250.4	Class 3	Failure to properly bond electrical systems.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
EC 250.4	Class 2	Failure to provide adequate grounding of electrical systems.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 250.64	Class 1	Failure to install grounding electrode conductor in accordance with section.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
EC 300.10	Class 2	Fail to provide effective electrical continuity for metal raceways/enclosures/cable armor.	No	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
EC 300.11	Class 2	Failure to secure/support raceways/cable assemblies/boxes/cabinets/fittings.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
EC 314.23	Class 3	Failure to secure electrical device enclosure per section requirement.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 314.25	Class 2	Failure to provide cover/faceplate/lampholder/luminaire canopy for electrical outlet.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 358.12	Class 2	Prohibited use of electrical metallic tubing (EMT).	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 358.30	Class 2	Failure to properly secure/support electrical metallic tubing (EMT)	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
EC 408.4	Class 3	Failure to provide required circuit directory/identification.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500	\$500
EC 410.30	Class 2	Luminaires and Lampholders not installed in an approved manner.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 590.4(G)	Class 2	Improper splicing of temporary wiring.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 590.4(J)	Class 1	Failure to provide proper support for temporary wiring.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
EC 590.4(J)	Class 1	Failure to protect temporary wiring from improper contact per section.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
ECC R402.2	Class 2	Insulation placement and/or R values do not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C502.2 2011 code; C402.2 2014 and 2016 code	Class 2	Insulation placement and/or R values do not meet minimum requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R402.3	Class 2	Fenestration U factor and/or SHGC does not meet requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC 502.3 2011 code; C402.3 2014 code; C402.4 2016 code	Class 2	Fenestration U factor and/or SHGC does not meet requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R402.4	Class 2	Air leakage controls were not installed per code requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C502.4 2011 code; C402.4 2014 code; C402.5 2016 code	Class 2	Air leakage controls were not installed per code requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
ECC R403.1	Class 2	Equipment controls not installed per requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C503.2.4 2011 code; C403.2.4 2014 and 2016 code	Class 2	Equipment controls not installed per requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R403.2 2011 and 2014 code; R403.3 2016 code	Class 2	Duct insulation and sealing does not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C503.2.7 2011 code; C403.2.7 2014 code; C403.2.9 2016 code	Class 2	Duct insulation and sealing does not meet minimum requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R403.3 2011 and 2014 code; R403.4 2016 Code	Class 2	Mechanical system piping insulation does not meet minimum requirements.	Yes	Yes	\$500	No	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
ECC C503.2.8 2011 code; C403.2.8 2014 code; C403.2.10 2016 code	Class 2	Mechanical system piping insulation does not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC R403.4 2011 and 2014 code; R403.5 2016 code	Class 2	Hot water piping insulation does not meet minimum requirements.	Yes	Yes	\$500	No	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
ECC C504.5 2011 code; C404.5 2014 code; C404.4 2016 code	Class 2	Hot water piping insulation does not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC R403.5 2011 and 2014 code; R403.6 2016 code	Class 2	Required dampers are not installed/Service water heating equipment efficiency does not meet requirements.	Yes	Yes	\$500	No	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
ECC C503.2.4.4 2011 code; C403.2.4.4 2014 code; ECC C403.2.4.3 2016 code	Class 2	Required dampers are not installed/Service water heating equipment efficiency does not meet requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC R403.6 2011 and 2014 code; R403.7 2016 code	Class 2	HVAC equipment size and/or efficiency does not meet minimum requirements.	Yes	Yes	\$500	No	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
ECC C503.2 2011 code; C403.2 2014 and 2016 code	Class 2	HVAC equipment size and/or efficiency does not meet minimum requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC R404.1	Class 2	Fixtures installed do not meet minimum efficiency requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC R404.2	Class 2	Failure to meter each dwelling unit separately.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC C505.7 2011 code; C405.7 2014 code; C405.6 2016 code	Class 2	Failure to meter each dwelling unit separately.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC C505.2 2011 code; C405.2 2014 and 2016 code	Class 2	Lighting controls installed do not meet code.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC C505.4 2011 code; C405.4 2014 code; C405.3 2016 code	Class 2	Exit signs in excess of five watts per side.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC C 505.5 2011 code; C405.5 2014 code; C405.4 2016 code	Class 2	Failure to meet interior lighting power requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
ECC C505.6 2011 code; C405.6 2014 code; C405.5 2016 code	Class 2	Failure to meet exterior lighting power requirements.	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC C405.9.2 2016 Code	Class 2	Escalator or moving walk fails to comply with ASMEA17.1/CSA or local code when not conveying passengers.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC C405.9.2.1 2016 Code	Class 2	Escalator fails to have a variable frequency regenerative drive as per section requirements.	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC – R-Misc.	Class 1	Miscellaneous violation of Energy Conservation Code residential provisions.	Yes	Yes	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
ECC – R-Misc.	Class 2	Miscellaneous violation of Energy Conservation Code residential provisions.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
ECC – R-Misc.	Class 3	Miscellaneous violation of Energy Conservation Code residential provisions.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
ECC – C-Misc.	Class 1	Miscellaneous violation of Energy Conservation Code commercial provisions.	No	No	\$3,200	No	\$16,000	\$8,000	\$25,000	\$16,000	\$25,000
ECC – C-Misc.	Class 2	Miscellaneous violation of Energy Conservation Code commercial provisions.	Yes	Yes	\$1,600	Yes	\$8,000	\$4,000	\$10,000	\$10,000	\$10,000
ECC – C-Misc.	Class 3	Miscellaneous violation of Energy Conservation Code commercial provisions.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
PC-Misc., FGC-Misc., MC-Misc.	Class 1	Miscellaneous violations.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
PC-Misc., FGC-Misc., MC-Misc.	Class 2	Miscellaneous violations.	Yes	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
PC-Misc., FGC-Misc., MC-Misc.	Class 3	Miscellaneous violations.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
RS 6-1	Class 1	Failure to file affidavits and/or comply with other requirements set forth for photoluminescent exit path marking.	No	No	\$2,500	Yes	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
ZR 11-62	Class 1	Violation of discretionary Zoning conditions on privately owned public space (substantial denial of public access).	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 11-62	Class 2	Violation of discretionary Zoning conditions on privately owned public space.	No	No	\$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
ZR 42-543	Class 1	Outdoor Advertising Company sign in M District exceeds height limit.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 22-00	Class 2	Illegal use in residential district.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$12,500	\$6,250	\$10,000
ZR 22-00	Class 3	Illegal use in residential district.	Yes	Yes	\$500	No	\$500	\$500	\$500	\$500	\$500
ZR 22-32	Class 1	Outdoor Advertising Company has impermissible advertising sign in an R District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 22-342	Class 1	Outdoor Advertising Company sign in R District exceeds height limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
ZR 25-41	Class 2	Violation of parking regulations in a residential district.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
ZR 25-41	Class 3	Violation of parking regulations in a residential district.	Yes	No	\$500	Yes	\$500	\$500	\$500	\$500	\$500
ZR 32-00	Class 2	Illegal use in a commercial district.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
ZR 32-63	Class 1	Outdoor Advertising Company advertising sign not permitted in specified C District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 32-64	Class 2	Sign(s) in specified C District exceed(s) surface area restrictions.	No	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
ZR 32-64	Class 1	Outdoor Advertising Company sign(s) in specified C Districts exceed surface area limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 32-652	Class 2	Sign in specified C District extends beyond street line limitation.	No	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
ZR 32-653	Class 2	Prohibited sign on awning, canopy, or marquee in C District.	No	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
ZR 32-655	Class 1	Outdoor Advertising Company sign exceeds permitted height for specified C District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 42-00	Class 2	Illegal use in a manufacturing district.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
ZR 42-52	Class 1	Outdoor Advertising sign not permitted in M District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

Section of Law	Classification	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty	Aggravated II Default – Max Penalty
ZR 42-53	Class 1	Outdoor Advertising sign in M District exceeds surface area limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 105-20	Class 2	Damaged or removed a tree within a Special Natural Area District without certification, authorization or special permit.	No	No	\$1,250	No	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
ZR-Misc.	Class 2	Miscellaneous violations of the Zoning Resolution.	Yes	No	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
ZR-Misc.	Class 3	Miscellaneous violations of the Zoning Resolution.	Yes	No	\$500	Yes	\$500	\$500	\$500	\$500	\$500
ZR-Misc.	Class 1	Misc. sign violation under the Zoning Resolution by an Outdoor Advertising Company.	No	Yes	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR-Misc.	Class 2	Misc. sign violation under the Zoning Resolution.	No	Yes	\$1,250	Yes	\$6,250	\$3,125	\$10,000	\$6,250	\$10,000
Misc. – ZR Misc. – Title 28	Class 1	Misc. outdoor sign violation of ZR and/or Building Code.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Misc. – ZR Misc. – Title 28	Class 2	Misc. outdoor sign violation of ZR and/or Building Code.	No	No	\$2,500	No	\$10,000	\$6,250	\$10,000	\$10,000	\$10,000
Misc. ZR	Class 2	Misc. violation of condition on as of right privately owned public space.	No	No	\$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000