

1 RCNY §102-01

CHAPTER 100

Subchapter B Enforcement

§102-01 Violation classification and certification of correction.

(a) Pursuant to section 28-204.1 of the Administrative Code, any person who shall violate or fail to comply with any provision or provisions of law enforced by the Department or with any order issued pursuant thereto shall be liable for a civil penalty that may be recovered in a proceeding before the Environmental Control Board (“ECB”). Such proceeding shall be commenced by service of a notice of violation (“NOV”) returnable before the board. Such notice of violation may be issued by employees of the Department or of other city agencies designated by the Commissioner and may be served by such employees or by a licensed process server.

(b) Classification of violations. For purposes of classifying violations pursuant to section 28-201.2 of the Administrative Code, the following terms shall have the following meanings:

- (1) **IMMEDIATELY HAZARDOUS VIOLATION.** Immediately hazardous violations are those specified as such by the New York City Construction Codes, or those where the violating condition poses a threat that severely affects life, health, safety, property, the public interest, or a significant number of persons so as to warrant immediate corrective action, or, with respect to outdoor advertising, those where the violation and penalty are necessary as an economic disincentive to the continuation or the repetition of the violating condition. Immediately hazardous violations shall be denominated as Class 1 violations.
- (2) **MAJOR VIOLATION.** Major violations are those specified as such by the New York City Construction Codes or those where the violating condition affects life, health, safety, property, or the public interest but does not require immediate corrective action, or, with respect to outdoor advertising, those where the violation and penalty are appropriate as an economic disincentive to the continuation or the repetition of the violating condition. Major violations shall be denominated as Class 2 violations.
- (3) **LESSER VIOLATION.** Lesser violations are those where the violating condition has a lesser effect than an immediately hazardous (Class 1) or major violation (Class 2) on life, health, safety, property, or the public interest. Lesser violations shall be denominated as Class 3 violations.

(c) Correction and certification of correction.

- (1) Each NOV issued by the Commissioner shall contain an order of the Commissioner directing the respondent to correct the condition constituting the violation and to file a certification with the Department that the condition has been corrected
- (2) Violations classified as major or lesser must be corrected within sixty days from the date of service of the NOV.
- (3) A violation for filing a false certification cannot be certified as corrected prior to a hearing before ECB. The respondent must appear at the hearing prior to the submission of the certification to the Department.
- (4) The required certification shall be completed on the form issued with the NOV or obtained from the Department in accordance with the instructions contained therein.
- (5) The respondent must appear at the ECB hearing for all violating conditions unless those charges are cured or a pre-hearing stipulation is offered, timely accepted by the respondent, and approved in writing by ECB. Where more than one violation of law is listed on the same NOV, the respondent may submit a single certification covering one or all of the violating conditions.
- (6) The certification shall be signed by one with personal knowledge of the correction of the violating condition and notarized by a notary public or commissioner of deeds.

- (7) The certification shall be accompanied by true and legible copies of any and all documentary proof of compliance.
- (8) The completed certification must be returned to the Department at the address provided on the City's website, NYC.gov.
- (9) For violations classified as Class 3 or for those Class 2 violations eligible for a cure, respondents may avoid a hearing by submitting a certification of correction acceptable to and received by the Department no later than sixty days from the date of service of the NOV. For violations classified as Class 1, a certification acceptable to the Department must be received by the Department forthwith.
- (10) Failure to submit an acceptable certification for all violating conditions indicated on the NOV on a Department of Buildings form within the time period prescribed in paragraph (9) of this subdivision shall require the respondent to appear at a hearing at ECB on the date indicated on the NOV. If no certificate of correction is received within the time period prescribed in paragraph (9) of this subdivision, the respondent is also subject to issuance of a violation for failure to certify correction and the imposition of civil penalties as defined in section 28-202.1 of the Administrative Code.

(d) Mitigated and zero penalties. Mitigated or zero penalties are available in the following circumstances under the following conditions.

- (1) Cure.¹ An eligible violation may be cured by correction before the first scheduled hearing date at ECB. All violations that are designated as Class 3 violations are eligible for cure. Some, but not all, types of violations that are designated as Class 2 violations are eligible for cure. Those types of Class 2 violations that are eligible for cure will be indicated within the Buildings Penalty Schedule found below. In order to cure, a certificate of correction acceptable to the Department must be filed at the Department within sixty days from the date of service of the NOV. A cure constitutes an admission of the violation; dispenses with the need for a hearing at ECB; constitutes a predicate violation for subsequent violations; and, consistent with the provisions of Section 28-204.2, and with the provisions of the Buildings Penalty Schedule, results in a zero penalty. A violation that has been charged as an Aggravated I or Aggravated II violation is never eligible for a cure, even if there is a "Yes" in the "Cure" column in the Buildings Penalty Schedule for that violation description.
- (2) Stipulation. An eligible violation may be subject to stipulation where the Commissioner offers to the respondent a stipulation prior to or at a hearing to extend the time for compliance upon such terms and conditions as the Commissioner prescribes. Violations that are eligible for stipulation are indicated as such on the Buildings Penalty Schedule. The respondent must admit the violation subject to stipulation and agree to correct it and file an acceptable certification of correction with the Department. The stipulation may be signed and submitted to ECB either before the first scheduled hearing date at ECB or else *on* the first scheduled hearing date but prior to any actual hearing on that date, in which case it is considered a **pre-hearing stipulation**, or may be entered into at the first ECB hearing in which case it is considered a **hearing stipulation**. A reduced penalty will be imposed in connection with a pre-hearing stipulation in an amount indicated for the charge in question in the Buildings Penalty Schedule. Specifically, the penalty imposed for that violation will be half of the penalty amount (rounded to the nearest dollar) of the penalty amount that would otherwise have been imposed at a hearing for that particular violation. In connection with a stipulation entered into at a hearing, a hearing penalty will be imposed in an amount indicated for the charge in question in the Buildings Penalty Schedule. A stipulation, whether a pre-hearing stipulation or a hearing stipulation, gives the respondent seventy-five days from the first scheduled hearing date within which to correct the violation and file a certificate of correction, failing which any reduced penalty that may have been imposed in connection with a pre-hearing stipulation will be adjusted to the standard hearing penalty set forth in the Buildings Penalty Schedule. A stipulation is effective only if it is approved by ECB. A pre-hearing stipulation dispenses with the need for a hearing at ECB. No stipulation shall take effect unless, in the case of a pre-hearing stipulation, it is offered by the Department prior to the first scheduled hearing date, signed by respondent prior to

¹ Section 28-204.2 of the Administrative Code provides for a zero penalty for Lesser violations that are corrected within the prescribed, or cure, period. For purposes of this rule, certain Major violations will also be treated as eligible for cure to the extent that section 28-202.1 of the Administrative Code specifies no minimum penalty for such violations.

the first scheduled hearing date and approved by ECB in writing, or unless, in the case of a hearing stipulation, it is offered by the Department at the hearing, accepted by the respondent at that hearing, and is approved in writing by ECB. A violation that has been charged as an Aggravated I or Aggravated II violation is never eligible for a stipulation, even if there is a "Yes" in the "Stipulation" column in the Buildings Penalty Schedule for that violation description.

- (3) Mitigation. An eligible violation may be subject to mitigation where the respondent proves at the hearing that the condition was corrected prior to the first scheduled hearing date at ECB. Violations that are eligible for mitigation are indicated as such on the Buildings Penalty Schedule. A penalty is imposed on mitigations in accordance with the Buildings Penalty Schedule. If a mitigated penalty is imposed, that penalty will be half of the penalty amount of the penalty amount that would otherwise have been imposed at a hearing for that particular violation. An acceptable certificate of correction must thereafter be filed at the Department. A violation that has been charged as an Aggravated I or Aggravated II violation is never eligible for mitigation, even if there is a "Yes" in the "Mitigation" column in the Buildings Penalty Schedule for that violation description.

(e) Certificate of correction review procedures.

- (1) The Department shall review all certificates and accompanying documentation to determine their acceptability.
- (2) The Department shall notify the respondent if the certification is accepted or rejected and, if rejected, the reasons for the rejection and the documents necessary to correct the problem.

(f) Aggravated penalties. Aggravated penalties may be imposed in accordance with the following provisions. Notice of aggravated penalties may either be set forth in the NOV or otherwise provided to the respondent prior to the date of the first scheduled hearing at ECB.

- (1) Aggravated penalties of the first order. Aggravated penalties of the first order ("Agg. I") may be imposed when evidence establishes the same condition or the same charge under the New York City Construction Codes or the predecessor charge under the laws in effect prior to July 1, 2008 in a prior enforcement action against the same owner or responsible party during the previous three years.
- (2) Aggravated penalties of the second order. Aggravated penalties of the second order ("Agg. II") may be imposed in the following instances:
 - (i) When the respondent or defendant is found in violation of any law or rule enforced by the Department where the violation of law is accompanied by or results in an accident, or poses a substantial risk thereof; is accompanied by, or results in a fatality or serious injury, or poses a substantial risk thereof; or where the violating condition affects a significant number of people; or
 - (ii) Where the respondent or defendant refuses to give the Department requested information necessary to determine the condition of a building or site; or
 - (iii) Where the respondent or defendant has a history of non-compliance with laws or rules enforced by the Department at one or more locations, including but not limited to a pattern of unreasonable delays in correcting violations, a pattern of failing to obey Stop Work Orders, filing false documents, or multiple defaults.
 - (iv) For purposes of this section, "in violation" shall mean to be adjudged in violation of any law or rule enforced by the Department following a hearing, to admit the charge, or to sign a stipulation agreement either at or before a hearing before any administrative or judicial tribunal. Failure to appear at a hearing leading to entry of a default order or judgment shall also be deemed a finding "in violation."

(g) Additional Daily and Monthly Penalties. Additional daily penalties may be imposed in connection with certain continuing and uncorrected Class 1 violations. Additional monthly penalties may be imposed in connection with certain continuing and uncorrected Class 2 violations. If the Department seeks such penalties in connection with a particular Class 1 or Class 2 charge, that will be indicated on the NOV. Such daily or monthly penalties, if applicable, are in addition to the set, flat-amount penalty that also is indicated in the Buildings Penalty Schedule as applicable to the type of violation in question taking into account the classification level and Aggravated level of the particular violation. Imposition of such additional daily and monthly penalties is authorized pursuant to Section 28-202.1 of the New York City Administrative Code.

(1) Accrual of Daily Penalties. Daily penalties, if applicable, will accrue at the rate of \$1,000 per day for a total of forty-five days running from the date of the Commissioner's order to correct set forth in the NOV, unless the violating condition is proved by the respondent at the hearing to have been corrected prior to the end of that forty-five day period, in which case the daily penalties will accrue for every day up to the date of that proved correction.

(2) Accrual of Monthly Penalties. Monthly penalties, if applicable, accrue at the rate of \$250 per month for a total of one month running from the date of the Commissioner's order to correct set forth in the NOV, unless the violating condition is proved by the respondent at the hearing to have been corrected prior to the end of a month period.

(h) Applicability. On and after July 1, 2008 any work performed without a required permit will be presumed subject to enforcement under the New York City Construction Codes. Thus, the option afforded by 28-101.4 to use either the 1968 Building Code or the New York City Construction Codes applies only to work for which an application is filed with the Department. If and when the work is the subject of an application to legalize, the option will be available once again.

(i) Legal References. The legal references referred to in the table below, which reflect the classification of violations and the Penalty Schedule, include the following:

(1) Title 28 of the New York City (NYC) Administrative Code. References to Title 28 of the NYC Administrative Code begin with "28-" (for example, "28-201.1"). The citation "28-Misc." refers to provisions of Title 28 that are not specifically designated elsewhere in the table.

(2) Chapter 1 of Title 27 of the NYC Administrative Code (also known as the "1968 Building Code") and Chapter 3 of the same (also known as the "Electrical Code"). References to these chapters of Title 27 of the NYC Administrative Code begin with "27-" (for example, "27-371"). The citation "27-Misc." refers to provisions of Title 27 that are not specifically designated elsewhere in the table.

(3) The "New York City Construction Codes," which consist of:

- The New York City Plumbing Code (PC)
- The New York City Building Code (BC)
- The New York City Mechanical Code (MC)
- The New York City Fuel Gas Code (FGC).
- The New York City Energy Conservation Code (ECC).

References to these New York City Construction Codes are designated by the various abbreviations set out above (for example, "BC3010.1"). The citations "BC-Misc.", "PC-Misc.", "MC-Misc.", "FGC-Misc.", "ECC-R Misc." and "ECC-C Misc." refer to provisions of the New York City Building, Plumbing, Mechanical, Fuel Gas, or Energy Conservation codes that are not specifically designated elsewhere in the table.

(4) Appendices to the New York City Construction Codes. The New York City Construction Codes include all enacted appendices. Administrative Code §28-102.6. References to Appendices are cited by using the abbreviation for the particular Construction Code followed by the applicable Appendix letter (for example, "H") followed by the applicable section number (for example, "BC H103.1").

(5) The NYC Zoning Resolution (ZR) and the Rules of the City of New York (RCNY). References to the Zoning Resolution and to the Rules of the City of New York are designated by the abbreviations "ZR" and "RCNY" (for example, "ZR25-41"; "1 RCNY9-01"). The citations "1 RCNY-Misc." and "ZR-Misc." refer to provisions of 1 RCNY or the Zoning Resolution that are not specifically designated elsewhere in the table.

(6) Reference Standards that pertain to Title 27 of the NYC Administrative Code (RS). References to the Reference Standards are designated by the abbreviation set out above (for example, "RS-16"). The citation "RS-Misc." refers to Reference Standards that are not specifically designated elsewhere in the table.

(7) Citations to the New York City Construction Codes. Whenever a section or subdivision of the New York City Construction Codes is cited or referred to, subordinate consecutively numbered subdivisions or paragraphs of the cited provision are deemed to be included in such reference unless the context or subject matter requires otherwise.

(8) Electrical Code Technical Standards. References to sections of the National Fire Protection Association NFPA 70 National Electrical Code as adopted and/or amended by New York City begin

with “EC” (for example, “EC 250.14”). The citation “EC-Misc” refers to provisions of the Electrical Code Technical Standards that are not specifically designated elsewhere in the table.

(9) 2008 code. References to the 2008 code pertain to the New York City Construction Codes effective on July 1, 2008 and any applicable subsequent amendments prior to December 31, 2014.

(10) 2014 code. References to the 2014 code pertain to the amendments and additions to the New York City Construction Codes effective on December 31, 2014 and any applicable subsequent amendments.

(j) Reduction of default penalties upon proof of compliance. With the exception of daily penalties charged under section 28-202.1 of the Administrative Code for continued Class 1 violations of sections 28-210.1 or 28-210.3 of the Administrative Code, any imposed Default Penalty, Aggravated I Default Penalty or Aggravated II Default Penalty will be reduced by one-half (1/2) after:

(1) Respondent files an acceptable certificate of correction with the Department; and

(2) ECB receives notification from the Department of its acceptance of such certificate of correction.

(k) Classification of particular violations. The table below sets forth the penalties imposed in connection with Summonses, as defined in section 6-01 of Title 48 of the Rules of the City of New York, and/or Notices of Violation returnable to ECB that are issued on or after July 1, 2008. Particular violations shall be classified as indicated in the following table:

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|--------------------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 1 RCNY 5-02 | Class 2 | Failure to meet the requirements of licensing/identification/qualification as required by 1 RCNY 5-02. | Yes | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 1 RCNY 49-03 | Class 1 | Outdoor Advertising Company failed to comply with Commissioner's sign-related Order. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 101-07 | Class 2 | Failure of approved agency to comply with requirements of 1 RCNY 101-07. | Yes | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 1 RCNY 103-04(b)(5)(iii) | Class 2 | Removal of public protection from unsafe façade without approval from the department. | No | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 1 RCNY 103-17(d)(1) | Class 2 | Failure to file report per 28-321.3 | Yes | Yes | \$10,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 1 RCNY 103-17(e)(1) | Class 2 | Failure to demonstrate compliance with energy compliant buildings requirements per 28-321.2 | Yes | Yes | \$10,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 1 RCNY 103-17(e)(2) | Class 2 | Failure to demonstrate compliance with energy conservation measures per 28-321.2 | Yes | Yes | \$10,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 1 RCNY 103-18(e)(2) | Class 3 | Failure to install a sub-meter | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 1 RCNY 104-20 | Class 1 | Licensed Rigger designated an unqualified foreman. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 1 RCNY 104-20 | Class 2 | Licensed Rigger designated an unqualified foreman. | No | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 1 RCNY 3319-02(c) | Class 1 | Equipment User failed to designate a lift director. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

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|---------------------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 1 RCNY 3319-02(c)(1) | Class 1 | No lift director on site. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-02(c)(2) | Class 1 | Equipment User failed to designate a qualified and competent Lift Director. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-02(c)(3) | Class 1 | Equipment User failed to designate the proper party as Lift Director. | No | No | \$2,500 | Yes | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 1 RCNY 3319-01(c)(5) | Class 1 | Equipment user failed to make the proper notification to the department. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-01(c)(5) | Class 2 | Equipment user failed to make the proper notification to the department. | No | Yes | \$5,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 1 RCNY 3319-01(H) | Class 1 | Equipment User failed to maintain crane or derrick log. | No | Yes | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-01(i)(6) | Class 1 | Failed to assemble or disassemble or ensure crane or derrick assembled/dissembled as per plans. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 1 RCNY 3319-01(i)(6) | Class 2 | Failed to assemble or disassemble or ensure crane or derrick assembled/dissembled as per plans. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 1 RCNY 3319-01(i)(6) | Class 1 | No Assembly/Disassembly Director on site. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-01(i) (6) (i) | Class 1 | Unqualified/Incompetent Assembly/Disassembly Director on site. | No | No | \$5,000 | Yes | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-01(k)(1)(ii) | Class 1 | HMO failed to provide proof of conducting a frequent inspection. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|------------------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 1 RCNY 3319-01(t)(7) | Class 1 | No anemometer/non-working anemometer on site or in machine. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-01(t)(7) | Class 2 | No anemometer/non-working anemometer on site or in machine. | No | Yes | \$5,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 1 RCNY 3319-01(u) | Class 1 | Failed to provide/maintain the required documents. | No | Yes | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-02(e) | Class 1 | Lift director failed to perform duties as required. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-02(e) | Class 2 | Lift director failed to perform duties as required. | No | No | \$5,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 1 RCNY 3319-02(f) | Class 1 | Lift director failed to notify the department of a violation. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY 3319-02(j)(3) | Class 1 | Lift director failed to conduct/record meeting (pre-shift or subsequent). | No | Yes | \$5,000 | Yes | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 1 RCNY-Misc., RS-Misc. | Class 1 | Miscellaneous violations. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 1 RCNY-Misc., RS-Misc. | Class 2 | Miscellaneous violations. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 1 RCNY-Misc., RS-Misc. | Class 3 | Miscellaneous violations. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 27-228.5 | Class 2 | Failure to file an Architect/Engineer report certifying that exit/directional signs are connected to emergency power source/storage battery equipment. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |

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|---|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 27-369, BC 1020.2 (2008 code), & BC 1023.2 (2014 code) | Class 1 | Failure to provide unobstructed exit passageway. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$25,000 |
| 27-371, BC 715.3.7 (2008 code) & BC 715.4.8 (2014 code) | Class 2 | Exit door not self-closing. | Yes | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 27-382 & BC 1006.3 | Class 2 | Failure to provide power for emergency exit lighting. | Yes | No | \$1250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 27-383(b), BC 403.16 (2008 code) & BC 403.5.5 (2014 code) | Class 1 | Failure to install luminous egress or photoluminescent exit path marking in a high-rise building. | No | No | \$2,500 | Yes | \$12,500 | \$6,250 | \$25,000 | \$25,000 | \$25,000 |
| 27-391 & BC 3002.3 | Class 2 | Emergency signs at elevator call stations missing, defective or non-compliant with section requirements. | Yes | Yes | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 27-393, BC 1019.1.7 (2008 code) & BC 1022.8 (2014 code) | Class 2 | Stair and/or floor identification signs missing and/or defective. | Yes | Yes | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 27-509, BC 3111.1 (2008 code) & BC 3112.1 (2014 code) | Class 3 | Fence exceeds permitted height. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 27-901(z)(1) & PC 301.6 | Class 2 | Piping installed in elevator/counterweight hoistway. | Yes | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 27-904 & FGC 406.6.2 | Class 1 | Gas being supplied to building without inspection and certification by DOB. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|--|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 27-904 & FGC 406.6.2 | Class 2 | Gas being supplied to building without inspection and certification by DOB. | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code) | Class 1 | Failure to have new or altered plumbing system tested. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code) | Class 2 | Failure to have new or altered plumbing system tested. | Yes | No | \$1250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 27-972(h), BC 907.2.12.3 (2008 code) & BC 907.2.13.3 (2014 code) | Class 2 | Failure to install an acceptable two-way voice communication system with central station connection. | Yes | No | \$2500 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 27-2009.2 | Class 2 | Failure to post or distribute "Safe Construction Bill of Rights". | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 27-3017 | Class 1 | Performed unlicensed electrical work. | No | No | \$4,800 | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 |
| 27-3018(b) | Class 1 | Electrical work without a permit. | No | No | \$1,600 | Yes | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$25,000 |
| 27-3018(b) | Class 2 | Electrical work without a permit. | Yes | Yes | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |
| 27-3018(b) | Class 3 | Electrical work without a permit. | Yes | Yes | \$200 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 27-3018(b) | Class 1 | Electrical work does not conform to approved submittal documents/amendments. | No | No | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$25,000 |
| 27-3018(b) | Class 2 | Electrical work does not conform to approved submittal documents/amendments. | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 27-3018(b) | Class 3 | Electrical work does not conform to approved submittal documents/amendments. | Yes | Yes | \$300 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 27-3018(i) | Class 2 | Installed more than the authorized number of electric meters. | No | No | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| 27-Misc, 28-Misc, BC -Misc | Class 1 | Miscellaneous violations. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 27-Misc, 28-Misc, BC -Misc | Class 2 | Miscellaneous violations. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 27-Misc, 28-Misc, BC -Misc | Class 3 | Miscellaneous violations. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-103.21.1 | Class 1 | Failed to notify the department of an incident that resulted in a fatality or injury. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-103.21.1 | Class 2 | Failed to notify the department of an incident that resulted in a fatality or injury in a timely fashion. | No | No | \$2,500 | No | \$10,000 | \$5,000 | \$10,000 | \$10,000 | \$10,000 |
| 28-103.21.1 | Class 1 | Failure to include the information required by 28-103.21 when notifying the department of an incident that resulted in a fatality or injury. | No | No | \$2,500 | No | \$12,500 | \$5,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-103.21.1 | Class 2 | Failure to include the information required by 28-103.21 when notifying the department of an incident that resulted in a fatality or injury. | Yes | Yes | \$1,250 | No | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-103.27.2 | Class 2 | Fail to notify department prior to the commencement of alteration or addition. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-104.2.2 | Class 2 | Failure to provide approved/accepted construction documents at job site at time of inspection. | Yes | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-105.1 | Class 1 | Work After Hours Without a Variance Permit contrary to 28-105.12.5. | No | No | \$2,500 | Yes | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-105.1 | Class 2 | Work After Hours Without a Variance Permit contrary to 28-105.12.5. | No | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-105.1 | Class 1 | Work without a permit. | No | No | \$2,500 | Yes | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-105.1 | Class 2 | Work without a permit. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-105.1 | Class 3 | Work without a permit. | Yes | Yes | \$250 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-105.1 | Class 1 | Construction or alteration work w/o a permit in manufacturing district for residential use. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-105.1 | Class 2 | Construction or alteration work w/o a permit in manufacturing district for residential use. | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-105.1 | Class 1 | Demolition work without required demolition permit. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-105.1 | Class 1 | Plumbing work without a permit in manufacturing district for residential use. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-105.1 | Class 2 | Plumbing work without a permit in manufacturing district for residential use. | No | Yes | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-105.1 | Class 2 | Failure to obtain a temporary construction permit prior to installation/use of temporary construction equipment. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-105.1 | Class 2 | Outdoor sign on display structure without a permit. | No | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-105.1 | Class 1 | Outdoor Advertising Company sign on display structure without a permit. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-105.11 | Class 2 | Failure to post or properly post permit for work at premises. | Yes | Yes | \$300 | Yes | \$1,500 | \$750 | \$3,750 | \$1,500 | \$7,500 |
| 28-105.12.1 | Class 2 | Outdoor sign permit application contrary to Code and ZR requirements. | No | No | \$2,500 | No | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-105.12.2 | Class 1 | Work does not conform to approved construction documents and/or approved amendments. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-105.12.2 | Class 2 | Work does not conform to approved construction documents and/or approved amendments. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-105.12.2 | Class 3 | Work does not conform to approved construction documents and/or approved amendments. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-105.12.2 | Class 1 | Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-105.12.2 | Class 2 | Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use. | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-105.12.2 | Class 1 | Place of Assembly contrary to approved construction documents. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-105.12.2 | Class 1 | Outdoor Advertising Company sign work does not conform to approved construction documents or amendments. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-110.1(20) | Class 1 | Failure to provide evidence of workers attending construction & safety course. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-116.1 | Class 2 | Failure of permit holder to provide inspection access to and/or expose ongoing construction or work on an active and permitted worksite. | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-116.2.4.2 | Class 2 | Failure to conduct or file a final inspection of permitted work with the Department. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-116.4.1 | Class 2 | Operation of service equipment without Certificate of Compliance. | Yes | Yes | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-117.1 | Class 1 | Operation of a Place of Assembly without a current Certificate of Operation. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-117.1 | Class 2 | Operation of a Place of Assembly without a current Certificate of Operation. | Yes | No | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |
| 28-118.1 | Class 1 | Building or open lot occupied without a valid certificate of occupancy. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-118.3 | Class 1 | Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-118.3 | Class 2 | Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-118.3 | Class 1 | Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-118.3 | Class 2 | Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy. | Yes | No | \$250 | Yes | \$1,250 | \$625 | \$3,125 | \$1,250 | \$6,250 |
| 28-118.3.2 | Class 1 | Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-118.3.2 | Class 2 | Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-118.3.2 | Class 3 | Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-118.3.2.1 | Class 3 | Address, block and/or lot, or metes and bounds of zoning lot contrary to Certificate of Occupancy. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-120.1 | Class 1 | Failure to file a required Tenant Protection Plan | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-120.1.3 | Class 2 | Failure to post or distribute Notice of TPP meeting requirements. | No | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|--------------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-120.1.3 | Class 2 | Failure to post and distribute Notice to occupants of TPP. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-201.1 | Class 1 | Unlawful acts. Failure to comply with Commissioner's order. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-201.1; 28-207.4 | Class 1 | Failure to obey a Vacate Order from the Commissioner per 28-207.4. | No | No | \$4,800 | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 |
| 28-201.1 | Class 1 | Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-201.1 | Class 2 | Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations. | No | No | \$5,000 | No | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| 28-202.1 | Class 1 | Additional daily penalty for Class 1 violation of 28-210.1 or 28-210.2. | No | No | \$1,000/per day | No | \$45,000 | NA | NA | NA | NA |
| 28-202.1 | Class 2 | Additional monthly penalty for continued violation of 28-210.1. | No | No | \$250/month | No | \$10,000 | NA | NA | NA | NA |
| 28-202.1 | Class 1 | Additional daily civil penalties for continued violations. | No | No | \$1,000/per day | No | \$25,000 | NA | NA | NA | NA |
| 28-202.1 | Class 2 | Additional monthly civil penalties for continued violations. | No | No | \$250/month | No | \$10,000 | NA | NA | NA | NA |
| 28-202.1 | Class 2 | Additional monthly penalty for continued violation of 28-210.2. | No | No | \$250/month | No | \$10,000 | NA | NA | NA | NA |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|-----------------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-202.1 | Class 1 | Additional daily penalty for Class 1 violation of 28-210.3 – permanent dwelling offered/used/converted for other than permanent-residential purposes. | No | No | \$1,000/per day | No | \$45,000 | N/A | N/A | N/A | N/A |
| 28-204.4 | Class 2 | Failure to comply with the Commissioner's order to file a certificate of correction with the Department of Buildings. | No | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-207.2.2 | Class 1 | Unlawfully continued work while on notice of a Stop Work Order. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-207.2.5 | Class 1 | Tampered with, removed or defaced a written posted Stop Work Order. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-207.4.4 | Class 1 | Removed or defaced a written posted Vacate Order. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-210.1 | Class 1 | Residence converted maintained or occupied as a dwelling for more than the legally approved number of families - Legal two family converted to a four family dwelling | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-210.1 ¹ | Class 1 | Dwelling converted, maintained, or occupied with 3 or more additional dwelling units than legally authorized by the C of O or official records. | No | No | \$15,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

¹ This penalty applies to each additional dwelling unit above the number authorized in the certificate of occupancy.

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-210.1 | Class 2 | Residence converted maintained or occupied as a dwelling for more than the legally approved number of families authorized by the C of O or official department records | No | No | \$2,500 | No | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-210.2 | Class 1 | Industrial/manufacturing building converted, maintained, or occupied for residential use for 3 or more additional dwelling units than legally authorized by the C of O or official records. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-210.2 | Class 2 | Industrial/manufacturing building converted, maintained, or occupied for residential use contrary to the C of O or official records | No | No | \$2,500 | No | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-210.3 | Class 1 | Permanent dwelling offered/used/converted for other than permanent-residential purposes | No | No | \$5,000 | No | \$25,000 | \$15,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-210.3 | Class 2 | Permanent dwelling offered/used/converted for other than permanent-residential purposes | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-211.1 | Class 1 | Filed a certificate, form, application etc., containing a material false statement(s). | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-211.1 | Class 1 | Filed a certificate of correction or other related materials containing material false statement (s). | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-217.1.1 | Class 2 | Failure to submit required report of inspection of potentially compromised buildings. | Yes | Yes | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |
| 28-217.1.6 | Class 1 | Failure to immediately notify Department that building or structure has become potentially compromised. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code compliant manner: Lack of required number of means of egress for every floor per BC 1018.1 (2008 code); 27-366; BC 1021.1 (2014 code). | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code compliant manner. Exhaust discharge must be no closer than 10 feet from building openings as per MC 501.2 and RS 13-1 Sec. 2-2.1.4. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner. | Yes | Yes | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-301.1 | Class 3 | Failure to maintain building in code-compliant manner. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: service equipment – boiler. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: service equipment – boiler. | Yes | Yes | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-301.1 | Class 3 | Failure to maintain building in code-compliant manner: service equipment – boiler. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j). | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j). | Yes | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381. | Yes | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7 (2008 code); 27-392; BC 1022.8 (2014 code). | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7 (2008 code); 27-392; BC 1022.8 (2014 code). | Yes | Yes | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1; 27-383.1. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1; 27-383.1. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: lack of emergency power or storage battery connection to exit signs per BC 1011.5.3; 27-384(c). | No | No | \$5,000 | Yes | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: failure to provide non-combustible proscenium curtain or stage water curtain per BC 410.3.5; 27-546. | Yes | Yes | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: Improper exit/exit access doorway arrangement per BC 1014.2 (2008 code); 27-361; BC 1015.2 (2014 code). | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$25,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$25,000 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954. | No | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner re: installation/maintenance of plumbing materials/ equipment per PC102.3; 27-902. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: Gas vent reduced or undersized as per FGC 504.2; 27-887. | No | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: failure to comply with law for water supply system per PC 602.3; 27-908(c). | No | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: failure to comply with law for drainage system per PC 702.1; 27-911. | No | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-301.1 | Class 2 | Failure to maintain building in code-compliant manner: Plumbing fixture(s) not trapped and/or vented per PC 916.1 & PC 1002.1; 27-901(o). | No | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-301.1 | Class 1 | Failure to maintain building in code-compliant manner: Misc. sign violation by Outdoor Advertising Company as per 27-498 through 27-508 & BC H103.1. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-301.1 | Class 2 | Failure to maintain sign in accordance w Tit.27; Tit.28; ZR; RCNY. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-302.1 | Class 1 | Failure to maintain building wall(s) or appurtenances. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-302.1 | Class 2 | Failure to maintain building wall(s) or appurtenances. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-302.1 | Class 3 | Failure to maintain building wall(s) or appurtenances. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-302.3 | Class 1 | Failure of registered design professional to immediately notify the department of unsafe façade condition(s). | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| 28-302.4 | Class 2 | Failure to submit a required report of critical examination documenting condition of exterior wall and appurtenances. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-302.5 | Class 1 | Failure to take required measures to secure public safety – unsafe façade. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-302.5 | Class 2 | Failure to file an amended report acceptable to this Department indicating correction of unsafe conditions. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-303.7 | Class 2 | Failure to file a complete boiler inspection report. | Yes | No | \$625 | No | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-304.1 | Class 1 | Failure to maintain elevator or conveying system. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$25,000 |
| 28-304.1 | Class 2 | Failure to maintain elevator or conveying system. | Yes | Yes | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-304.1 | Class 3 | Failure to maintain elevator or conveying system. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| 28-304.6 | Class 1 | Failure to inspect or test elevator or conveying system. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$25,000 |
| 28-304.6 | Class 2 | Failure to inspect or test elevator or conveying system. | Yes | Yes | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-304.10 | Class 2 | Failure to provide notice of elevator to be out of service for alteration work. | No | No | \$2,500 | No | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-305.4. 4 | Class 2 | Failure to submit required report of condition assessment of retaining wall. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-305.4.6 | Class 1 | Failure to immediately notify Department of unsafe condition observed during condition assessment of retaining wall. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|-------------------------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-305.4.7.3 | Class 2 | Failure to file an amended condition assessment acceptable to Department indicating correction of unsafe conditions. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-317.3 | Class 2 | Failure to register cooling tower prior to operation. | No | No | \$2,500 | Yes | \$10,000 | \$5,000 | \$10,000 | \$10,000 | \$10,000 |
| 28-317.3.1 | Class 2 | Failure to notify of discontinued use or removal of cooling tower. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-317.5 | Class 2 | Failure to file an annual certification of cooling tower inspection/ testing/ cleaning/ disinfecting/ maintenance plan per Adm. Code §17-194.1. | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| 28-401.20 & 1 RCNY 3301-02(g) | Class 1 | Licensee/registrant failed to fully and completely cooperate as per section. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-401.9 | Class 1 | Failure to file evidence of liability &/or property damage insurance. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-401.9 | Class 1 | Failure to file evidence of compliance with Workers Comp, law and/or disability benefits law. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-401.16 | Class 1 | License holder authorized, consented to, or permitted the use of his or her license by or on behalf of another person. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-401.19 | Class 1 | Improper conduct of a licensee or Certificate of Competence Holder. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-401.19 | Class 2 | Improper conduct of a licensee or Certificate of Competence Holder. | No | No | \$2,500 | No | \$6,250 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|---|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-404.1 | Class 1 | Supervision or use of rigging equipment without a Rigger's license. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-404.4.1 | Class 2 | Licensed Master/Special Rigger failed to place appropriate "Danger" sign while using rigging equipment. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| 28-405.1 | Class 1 | Supervision or use of power-operated hoisting machine without a Hoisting Machine Operator's license. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-406.1 | Class 1 | Unlicensed concrete testing activity. | No | No | \$5,000 | Yes | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-408.1 | Class 1 | Performing unlicensed plumbing work without a master plumber license. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-410-1 | Class 1 | Performed fire suppression piping work w/o a license. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-415.1 | Class 1 | Hoisting, lowering, hanging, or attaching of outdoor sign not performed or supervised by a properly licensed sign hanger. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| Misc. Chapter 4 of Title 28 – Unlicensed Activity | Class 1 | Illegally engaging in any business or occupation without a required license or other authorization. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| 28-502.2 | Class 1 | Outdoor Advertising Company engaged in outdoor advertising business without a valid registration. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-502.2.1 | Class 1 | Outdoor Advertising Company failed to submit complete/accurate information as prescribed in 1 RCNY Chapter 49. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| 28-502.2.2 | Class 1 | Outdoor Advertising Company failed to post, renew or replenish bond or other form of security. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-502.5 | Class 1 | Outdoor Advertising Company failed to post required information at sign location. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-502.6 | Class 1 | Misc. sign violation by Outdoor Advertising Company of Title 27; Title 28; ZR; or BC. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| 28-504.1.2 | Class 2 | Failure to complete/implement/ amend bicycle access plan or provide request for exception. | No | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-504.1.2 | Class 2 | Failure to implement the terms and conditions of bicycle access plan/letter of exception as prescribed in 34 RCNY 2-19. | No | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-504.1.4 | Class 2 | Failure to post a bicycle access plan/letter of exception/notice of availability of plan/letter. | No | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| 28-504.1.4 | Class 2 | Failure to timely file bicycle access plan or amendment with DOT as prescribed in 34 RCNY 2-19. | No | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| BC 105.8.2 | Class 2 | Temporary Construction Equipment on Site –Expired Permit. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| BC 903.6 | Class 2 | Failure to paint dedicated sprinkler piping/valves in accordance with section. | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| BC 903.6 | Class 2 | Failure to provide/maintain painting certification of sprinkler and combination sprinkler/standpipe systems in accordance with section. | Yes | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|---|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC 905.11 | Class 2 | Failure to paint dedicated standpipe/valves in accordance with section. | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| BC 905.11 | Class 2 | Failure to provide/maintain painting certification of standpipe and combination sprinkler/standpipe systems in accordance with section. | Yes | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| BC 1016.2 | Class 2 | Failure to maintain building in code-compliant manner: provide required corridor width per BC 1016.2; 27-369. | Yes | No | \$625 | Yes | \$3,125 | \$1,563 | \$6,250 | \$3,125 | \$10,000 |
| BC 1704.4 | Class 2 | Failure to perform special inspections and verifications for concrete construction as required by section and Table 1704.4. | No | No | \$2,500 | No | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| BC 1704.21.1 (2008 code) & BC 1704.23.1 (2014 code) | Class 1 | Failure to perform successful hydrostatic pressure test of sprinkler system. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 1704.22.1 (2008 code) & BC 1704.24.1 (2014 code) | Class 1 | Failure to perform successful hydrostatic pressure test of standpipe system. | No | No | \$2,400 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 1905.6.3.2 (2008 code) & BC 1905.6.3.3 (2014 code) | Class 2 | Failure to comply with ASTM C31 standards for concrete cylinder test samples. | No | No | \$2,500 | No | \$10,000 | \$6,250 | \$10,000 | \$8,000 | \$10,000 |
| BC 3012.1 & 27-1006 | Class 1 | Failure to promptly report an elevator accident involving personal injury requiring the services of a physician or damage to property. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3301.1.3 (2014 code) | Class 1 | Failure to comply with manufacturer specifications. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3301.1.3 (2014 code) | Class 2 | Failure to comply with manufacturer specifications. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|-------------------------------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC 3301.2 & 27-1009(a) | Class 1 | Failure to safeguard all persons and property affected by construction operations. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.2 & 27-1009(a) | Class 2 | Failure to safeguard all persons and property affected by construction operations. | No | No | \$5,000 | No | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| BC 3301.2 & 27-1009(a) | Class 1 | Failure to institute/maintain safety equipment measures or temporary construction – No guard rails. | No | No | \$5,000 | Yes | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.2 & 27-1009(a) | Class 1 | Failure to institute/maintain safety equipment measures or temporary construction – No toe boards. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.2 & 27-1009(a) | Class 1 | Failure to institute/maintain safety equipment measures or temporary construction – No handrails. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3301.3 & BC 3310.5 & BC 3310.5.2 | Class 1 | Failure to designate and/or have Site Safety Manager or Site Safety Coordinator present at site as required. | No | No | \$10,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.7 (2014 code) | Class 1 | Failure to maintain/display on site documents required by BC Chapter 33. | No | No | \$2,500 | Yes | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3301.7 (2014 code) | Class 2 | Failure to maintain/display on site documents required by BC Chapter 33. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| BC 3301.8 | Class 1 | Failure to promptly notify the Department of an accident or damage to adjoining property at construction/demolition site. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.9 | Class 2 | Project Information Panel/Sidewalk Shed Parapet Panel/Construction Sign not provided or not in compliance with section. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|--------------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC 3301.11.1 and 2 | Class 1 | Permit Holder failed to ensure workers receive site safety orientation or refresher. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.11.3 | Class 1 | Permit Holder failed to designate a qualified person to conduct site safety orientation or refresher, or to ensure that such qualified person conducted the site safety orientation or refresher. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.11.4 | Class 1 | Failure to communicate required information during site safety orientation or refresher. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.11.5 | Class 1 | Failure to maintain a record of orientations performed at worksite. | No | No | \$5,000 | Yes | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.12 | Class 1 | Permit Holder failed to ensure workers attend pre-shift safety meeting prior to commencement of work. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.12.1 | Class 1 | Permit Holder failed to designate a competent person to conduct pre-shift safety meeting, or to ensure that such competent person conducted the pre-shift meeting. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.12.2 | Class 1 | Failure to communicate required information to workers during pre-shift safety meeting. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.12.3 | Class 1 | Failure to maintain record of pre-shift safety meeting for each worker. | No | No | \$5,000 | Yes | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|---------------------------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC 3301.13.3 | Class 1 | Failure to designate and/or have a Construction Superintendent present at site as required. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.13.7 thru BC 3301.13.13 | Class 1 | Construction Superintendent failed to perform duties per code. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3301.13.7 thru BC 3301.13.13 | Class 2 | Construction Superintendent failed to perform duties per code. | No | No | \$5,000 | Yes | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| BC 3301.13.10 | Class 1 | Construction superintendent failed to immediately notify the department of conditions as required. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3303.4 & 27-1018 | Class 1 | Failure to maintain adequate housekeeping per section requirements. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3303.4 & 27-1018 | Class 2 | Failure to maintain adequate housekeeping per section requirements. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| BC 3303.4.5 & 27-1018 | Class 1 | Unsafe storage of materials during construction or demolition. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3303.4.6 & 27-1018 | Class 1 | Unsafe storage of combustible material and equipment. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3303.7.3 | Class 1 | Smoking at construction/demolition site. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3303.7.3 | Class 2 | Smoking at construction/demolition site. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|-----------------------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC 3303.7.3 | Class 2 | Failure to post No Smoking signs at construction/demolition sites per Fire Code. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| BC 3303.8.1 | Class 1 | Failure to provide standpipe or air pressurized alarm system for standpipe system during construction or demolition operation. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3303.8.1 | Class 1 | Failure to conduct proper planned removal from service of standpipe system and/or standpipe air pressurized alarm. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3303.10.2 | Class 2 | Failure to notify the department prior to commencement of work that requires tenant protection plan. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| BC 3303.15 | Class 2 | Failure to perform proper concrete washout water procedures. | No | No | \$2,500 | No | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| BC 3304.3 & 1 RCNY 52-01(a) | Class 1 | Failure to notify the Department prior to the commencement of earthwork. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3304.3 & 1 RCNY 52-01(b) | Class 2 | Failure to notify the Department prior to the cancellation of earthwork. | No | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| BC 3304.4 & 27-1032 | Class 1 | Failure to provide protection at sides of excavation.. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3304.12 (2014 code) | Class 1 | Failure to perform slurry operations in accordance with section. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3305.3.1.2.1 (2014 code) | Class 1 | Failure to obtain registered design professional evaluation prior to using existing structure to support formwork loads. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|---|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC 3305.3.2 (2014 code) | Class 1 | No site-specific formwork design drawings present per 3301.7. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3305.3.3.2 (2014 code) | Class 1 | Failure to perform required formwork observation. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3306 & 27-1039 | Class 1 | Failure to carry out demolition operations as required by section. | No | No | \$5,000 | Yes | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3306.2.1 | Class 1 | Failure to provide safety zone for demolition operations. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3306.3 & 27-195 | Class 1 | Failure to provide required notification prior to the commencement of demolition. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3306.5 | Class 1 | Mechanical demolition without plans on site. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3307.1 | Class 2 | Pedestrian protection does not meet code specifications. | No | No | \$2,400 | No | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code) | Class 1 | Prohibited Outdoor Advertising Company sign on sidewalk shed or construction fence. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code) | Class 2 | Posting of unlawful signs, information, pictorial representation, business or advertising messages on protective structures. | Yes | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| BC 3307.3 (2008 Code) and BC 3307.1 (2014 Code) | Class 1 | Failure to provide pedestrian protection for sidewalks and walkways. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|---|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC 3307.3.1 (2008 code), 27-1021(a) & BC 3307.6.2 (2014 code) | Class 1 | Failure to provide sidewalk shed where required. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3307.6.4 (2008 code) & BC 3307.6.4.11 (2014 code) | Class 2 | Sidewalk shed does not meet color specification. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| BC 3307.7 | Class 2 | Job site fence not constructed or maintained pursuant to section. | Yes | No | \$1000 | Yes | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| BC 3309.4 & 27-1031 | Class 1 | Failure to protect adjoining structures during excavation operations. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3310.8.2 (2008 code) & BC 3310.8.2.1 (2014 code) | Class 1 | Site Safety Manager/Coordinator failed to immediately notify the Department of conditions as required. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3310.9.1 | Class 1 | No Concrete Safety Manager present at site as required. | No | No | \$5,000 | Yes | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3310.10 | Class 1 | Failure to conduct a site-specific safety orientation program for all workers. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3314.1.1 (2008 code) & 27-1050.1 & BC 3314.4.1.5 (2014 code) | Class 2 | Failed to notify Department prior to installation or removal of Suspended Scaffold. | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| BC 3314.2 & 27-1042 | Class 1 | Erected or installed supported scaffold 40 feet or higher without a permit. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |

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|---|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC 3314.4.3.1 (2008 code), 27-1045 & BC 3314.4.3 (2014 code) | Class 1 | Failure to perform safe/proper inspection of Suspended Scaffold. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3314.4.3.1 (2008 code), 27-1045(b) & BC 3314.4.3.4 (2014 code) | Class 1 | No record of daily inspection of Suspended Scaffold performed by authorized person at site. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3314.4.5 (2008 code) & BC 3314.4.5.1 (2014 code) | Class 1 | Unqualified supervisor or worker performing work on scaffold. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3314.4.6 (2008 code) & BC 3314.4.5.8 (2014 code) | Class 2 | Scaffold training certificate card not readily available for inspection. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| BC 3314.6.3 & 27-1009 | Class 1 | Failure to provide/use lifeline while working on scaffold. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3314.6.3 & 27-1009 | Class 2 | Failure to provide/use lifeline while working on scaffold. | No | No | \$2,500 | Yes | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| BC 3316.2 & BC 3319.1 | Class 1 | Inadequate safety measures: Operation of crane/derrick/hoisting equipment in unsafe manner. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC 3319.3 | Class 1 | Operation of a crane/derrick without a Certificate of Operation/Certificate of Approval. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3319.3 & 27-1057(d) | Class 2 | Operation of a crane/derrick without a Certificate of Onsite Inspection. | No | No | \$5,000 | No | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| BC 3319.8 | Class 1 | Failure to provide erection, jumping, climbing, dismantling plan for tower/climber crane. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |

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|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC 3319.8.2 | Class 1 | Failure to conduct a safety coordination meeting. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3319.8.3 | Class 1 | Failure to conduct a pre-jump safety meeting. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC 3319.8.4 | Class 1 | Failure to notify the Department prior to pre-jump or safety coordination meeting. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3319.8.4.2 | Class 1 | Failure to provide time schedule indicating erection, jumping, climbing or dismantling of crane. | No | No | \$2,000 | No | \$10,000 | \$5,000 | \$25,000 | \$10,000 | \$25,000 |
| BC 3319.8.6 | Class 1 | No meeting log available. | No | No | \$2,000 | No | \$10,000 | \$5,000 | \$25,000 | \$10,000 | \$25,000 |
| BC 3319.8.7 | Class 1 | Failure to file a complete and acceptable tower/climber Installation Report per BC 3319.8.7. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| BC 3319.8.8 | Class 1 | Erection, jumping, climbing, dismantling operations of a tower or climber crane not in accordance with 3319.8.8. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC3321.1 | Class 1 | Failed to ensure each worker has successfully completed the required training. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |
| BC3321.1 | Class 2 | Failed to ensure each worker has successfully completed the required training. | No | No | \$2,500 | Yes | \$10,000 | \$5,000 | \$10,000 | \$10,000 | \$10,000 |
| BC3321.2.1 | Class 1 | Failed to ensure each worker is in compliance with BC3321.1 | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| BC3321.2.3 | Class 1 | Failed to have or maintain the required daily log. | No | No | \$5,000 | No | \$25,000 | \$12,500 | \$25,000 | \$25,000 | \$25,000 |

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|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| BC3321.2.4 | Class 1 | Failed to produce daily log for inspection. | No | No | \$ 2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| EC 110.2 | Class 2 | Failure to use approved conductors and/or equipment. | No | No | \$1,000 | Yes | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| EC 110.2 | Class 3 | Failure to use approved conductors and/or equipment. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| EC 110.2(A) | Class 1 | Unapproved/unsafe/unsuitable electrical equipment, apparatus, materials, devices, appliances or wiring in use. | No | No | \$1,600 | No | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$25,000 |
| EC 110.2(A) | Class 2 | Unapproved/unsafe/unsuitable electrical equipment, apparatus, materials, devices, appliances or wiring in use. | Yes | Yes | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |
| EC 110.2(B) | Class 2 | Constructed electrical installation without required commissioner's approval per section. | No | No | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| EC 110.12 | Class 3 | Failure to close unused openings (knockouts) in outlet/panel box. | Yes | Yes | \$300 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| EC 110.14(A) | Class 2 | Failure to properly connect conductors to terminals. | No | No | \$1,200 | Yes | \$6,000 | \$3,000 | \$10,000 | \$6,000 | \$10,000 |
| EC 110.25 | Class 1 | Electrical closet not dedicated to electrical distribution equipment only. | No | No | \$1,200 | No | \$6,000 | \$3,000 | \$12,000 | \$6,000 | \$25,000 |
| EC 110.26 | Class 2 | Failure to provide/maintain sufficient access/work space about electrical equipment. | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| EC 210.8 | Class 2 | Failure to install Ground-fault circuit interrupter (GFCI) protection as required. | No | No | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |

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|----------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| EC 210.12(B) | Class 2 | Failure to provide Arc-fault circuit interrupter (AFCI) protection in dwelling units. | No | No | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| EC 210.52(A) | Class 3 | Failure to provide proper spacing between receptacle outlets. | Yes | Yes | \$300 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| EC 230.72(A) | Class 1 | Failure to properly group/label disconnects. | No | No | \$1,000 | Yes | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$25,000 |
| EC 230.72(A) | Class 2 | Failure to properly group/label disconnects. | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| EC 240.3 | Class 1 | Failure to provide adequate circuit overcurrent protection device per table. | No | No | \$4,800 | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 |
| EC 240.3 | Class 2 | Failure to provide adequate circuit overcurrent protection device per table. | No | No | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| EC 240.3 | Class 3 | Failure to provide adequate circuit overcurrent protection device per table. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| EC 240.4 | Class 1 | Failure to protect conductor(s) against overcurrent per EC. | No | No | \$4,800 | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 |
| EC 240.4 | Class 2 | Failure to protect conductor(s) against overcurrent per EC. | No | No | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| EC 240.21 | Class 2 | Tap conductors not in compliance with section. | No | No | \$1,000 | Yes | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| EC 250.4 | Class 1 | Failure to ground electrical systems. | No | No | \$4,800 | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 |

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|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| EC 250.4 | Class 1 | Failure to properly bond electrical systems. | No | No | \$3,000 | No | \$15,000 | \$7,500 | \$25,000 | \$15,000 | \$25,000 |
| EC 250.4 | Class 2 | Failure to properly bond electrical systems. | No | No | \$1,500 | Yes | \$7,500 | \$3,750 | \$10,000 | \$7,500 | \$10,000 |
| EC 250.4 | Class 3 | Failure to properly bond electrical systems. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| EC 250.4 | Class 2 | Failure to provide adequate grounding of electrical systems. | No | No | \$2,400 | Yes | \$10,000 | \$6,000 | \$10,000 | \$10,000 | \$10,000 |
| EC 250.64 | Class 1 | Failure to install grounding electrode conductor in accordance with section. | No | No | \$4,800 | No | \$24,000 | \$12,000 | \$25,000 | \$24,000 | \$25,000 |
| EC 300.10 | Class 2 | Fail to provide effective electrical continuity for metal raceways/enclosures/cable armor. | No | No | \$1,200 | Yes | \$6,000 | \$3,000 | \$10,000 | \$6,000 | \$10,000 |
| EC 300.11 | Class 2 | Failure to secure/support raceways/cable assemblies/boxes/cabinets/fittings. | Yes | Yes | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |
| EC 314.23 | Class 3 | Failure to secure electrical device enclosure per section requirement. | Yes | Yes | \$300 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| EC 358.12 | Class 2 | Prohibited use of electrical metallic tubing (EMT). | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| EC 358.30 | Class 2 | Failure to properly secure/support electrical metallic tubing (EMT) | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| EC 408.4 | Class 3 | Failure to provide required circuit directory/identification. | Yes | Yes | \$200 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |

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|---|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| EC 410.30 | Class 2 | Luminaires and Lampholders not installed in an approved manner. | Yes | Yes | \$250 | Yes | \$1,250 | \$625 | \$3,125 | \$1,250 | \$6,250 |
| EC 590.4(G) | Class 2 | Improper splicing of temporary wiring. | Yes | Yes | \$500 | Yes | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| EC 590.4(J) | Class 1 | Failure to provide proper support for temporary wiring. | No | No | \$1,600 | No | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$25,000 |
| EC 590.4(J) | Class 1 | Failure to protect temporary wiring from improper contact per section. | No | No | \$1,600 | No | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$25,000 |
| EC-Misc. | Class 1 | Miscellaneous violation of the Electrical Code Technical Standards. | No | No | \$1,600 | No | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$25,000 |
| EC-Misc. | Class 2 | Miscellaneous violation of the Electrical Code Technical Standards. | Yes | Yes | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |
| EC-Misc. | Class 3 | Miscellaneous violation of the Electrical Code Technical Standards. | Yes | Yes | \$400 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| ECC C405.9.2 2016 Code | Class 2 | Escalator or moving walk fails to comply with ASMEA17.1/CSA or local code when not conveying passengers. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C405.9.2.1 2016 Code | Class 2 | Escalator fails to have a variable frequency regenerative drive as per section requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C502.2 2011 code; C402.2 2014 and 2016 code | Class 2 | Insulation placement and/or R values do not meet minimum requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC 502.3 2011 code; C402.3 2014 code; C402.4 2016 code | Class 2 | Fenestration U factor and/or SHGC does not meet requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |

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|--|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| ECC C502.4 2011 code; C402.4 2014 code; C402.5 2016 code | Class 2 | Air leakage controls were not installed per code requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C503.2 2011 code; C403.2 2014 and 2016 code | Class 2 | HVAC equipment size and/or efficiency does not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C503.2.4 2011 code; C403.2.4 2014 and 2016 code | Class 2 | Equipment controls not installed per requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C503.2.4.4 2011 code; C403.2.4.4 2014 code; ECC C403.2.4.3 2016 code | Class 2 | Required dampers are not installed/Service water heating equipment efficiency does not meet requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C503.2.7 2011 code; C403.2.7 2014 code; C403.2.9 2016 code | Class 2 | Duct insulation and sealing does not meet minimum requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C503.2.8 2011 code; C403.2.8 2014 code; C403.2.10 2016 code | Class 2 | Mechanical system piping insulation does not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C504.5 2011 code; C404.5 2014 code; C404.4 2016 code | Class 2 | Hot water piping insulation does not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C505.2 2011 code; C405.2 2014 and 2016 code | Class 2 | Lighting controls installed do not meet code. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |

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|--|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| ECC C505.4 2011 code; C405.4 2014 code; C405.3 2016 code | Class 2 | Exit signs in excess of five watts per side. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C505.5 2011 code; C405.5 2014 code; C405.4 2016 code | Class 2 | Failure to meet interior lighting power requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C505.6 2011 code; C405.6 2014 code; C405.5 2016 code | Class 2 | Failure to meet exterior lighting power requirements. | Yes | Yes | \$2,000 | No | \$10,000 | \$4,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC C505.7 2011 code; C405.7 2014 code; C405.6 2016 code | Class 2 | Failure to meter each dwelling unit separately. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC – C-Misc. | Class 1 | Miscellaneous violation of Energy Conservation Code commercial provisions. | No | No | \$3,200 | No | \$16,000 | \$8,000 | \$25,000 | \$16,000 | \$25,000 |
| ECC – C-Misc. | Class 2 | Miscellaneous violation of Energy Conservation Code commercial provisions. | Yes | Yes | \$1,600 | Yes | \$8,000 | \$4,000 | \$10,000 | \$10,000 | \$10,000 |
| ECC – C-Misc. | Class 3 | Miscellaneous violation of Energy Conservation Code commercial provisions. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| ECC R402.2 | Class 2 | Insulation placement and/or R values do not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| ECC R402.3 | Class 2 | Fenestration U factor and/or SHGC does not meet requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| ECC R402.4 | Class 2 | Air leakage controls were not installed per code requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |

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|---|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| ECC R403.1 | Class 2 | Equipment controls not installed per requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| ECC R403.2 2011 and 2014 code; R403.3 2016 code | Class 2 | Duct insulation and sealing does not meet minimum requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| ECC R403.3 2011 and 2014 code; R403.4 2016 Code | Class 2 | Mechanical system piping insulation does not meet minimum requirements. | Yes | Yes | \$500 | No | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| ECC R403.4 2011 and 2014 code; R403.5 2016 code | Class 2 | Hot water piping insulation does not meet minimum requirements. | Yes | Yes | \$500 | No | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| ECC R403.5 2011 and 2014 code; R403.6 2016 code | Class 2 | Required dampers are not installed/Service water heating equipment efficiency does not meet requirements. | Yes | Yes | \$500 | No | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| ECC R403.6 2011 and 2014 code; R403.7 2016 code | Class 2 | HVAC equipment size and/or efficiency does not meet minimum requirements. | Yes | Yes | \$500 | No | \$2,500 | \$1,250 | \$5,000 | \$2,500 | \$10,000 |
| ECC R404.1 | Class 2 | Fixtures installed do not meet minimum efficiency requirements. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$5,000 | \$10,000 |
| ECC R404.2 | Class 2 | Failure to meter each dwelling unit separately. | Yes | Yes | \$1,000 | No | \$5,000 | \$2,500 | \$10,000 | \$10,000 | \$10,000 |
| ECC – R-Misc. | Class 1 | Miscellaneous violation of Energy Conservation Code residential provisions. | No | Yes | \$1,600 | No | \$8,000 | \$4,000 | \$16,000 | \$8,000 | \$25,000 |
| ECC – R-Misc. | Class 2 | Miscellaneous violation of Energy Conservation Code residential provisions. | Yes | Yes | \$800 | Yes | \$4,000 | \$2,000 | \$8,000 | \$4,000 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|-------------------------------|----------------|---|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| ECC – R-Misc. | Class 3 | Miscellaneous violation of Energy Conservation Code residential provisions. | Yes | Yes | \$300 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| PC-Misc., FGC-Misc., MC-Misc. | Class 1 | Miscellaneous violations. | No | No | \$2,500 | No | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| PC-Misc., FGC-Misc., MC-Misc. | Class 2 | Miscellaneous violations. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| PC-Misc., FGC-Misc., MC-Misc. | Class 3 | Miscellaneous violations. | Yes | Yes | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| RS 6-1 | Class 1 | Failure to file affidavits and/or comply with other requirements set forth for photoluminescent exit path marking. | No | No | \$2,500 | Yes | \$12,500 | \$6,250 | \$25,000 | \$12,500 | \$25,000 |
| ZR 11-62 | Class 1 | Violation of discretionary Zoning conditions on privately owned public space (substantial denial of public access). | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 11-62 | Class 2 | Violation of discretionary Zoning conditions on privately owned public space. | No | No | \$5,000 | No | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |
| ZR 22-00 | Class 2 | Illegal use in residential district. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$12,500 | \$6,250 | \$10,000 |
| ZR 22-00 | Class 3 | Illegal use in residential district. | Yes | Yes | \$500 | No | \$500 | \$500 | \$500 | \$500 | \$500 |
| ZR 22-32 | Class 1 | Outdoor Advertising Company has impermissible advertising sign in an R District. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|----------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| ZR 22-342 | Class 1 | Outdoor Advertising Company sign in R District exceeds height limits. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 25-41 | Class 2 | Violation of parking regulations in a residential district. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| ZR 25-41 | Class 3 | Violation of parking regulations in a residential district. | Yes | No | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| ZR 32-00 | Class 2 | Illegal use in a commercial district. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| ZR 32-63 | Class 1 | Outdoor Advertising Company advertising sign not permitted in specified C District. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 32-64 | Class 1 | Outdoor Advertising Company sign(s) in specified C Districts exceed surface area limits. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 32-64 | Class 2 | Sign(s) in specified C District exceed(s) surface area restrictions. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| ZR 32-652 | Class 2 | Sign in specified C District extends beyond street line limitation. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| ZR 32-653 | Class 2 | Prohibited sign on awning, canopy, or marquee in C District. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| ZR 32-655 | Class 1 | Outdoor Advertising Company sign exceeds permitted height for specified C District. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 42-00 | Class 2 | Illegal use in a manufacturing district. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |

| Section of Law | Classification | Violation Description | Cure | Stipulation | Standard Penalty | Mitigated Penalty | Default Penalty | Aggravated I Penalty | Aggravated I Default Penalty | Aggravated II Penalty | Aggravated II Default – Max Penalty |
|--------------------------------|----------------|--|------|-------------|------------------|-------------------|-----------------|----------------------|------------------------------|-----------------------|-------------------------------------|
| ZR 42-52 | Class 1 | Outdoor Advertising sign not permitted in M District. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 42-53 | Class 1 | Outdoor Advertising sign in M District exceeds surface area limits. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 42-543 | Class 1 | Outdoor Advertising Company sign in M District exceeds height limit. | No | No | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR 105-20 | Class 2 | Damaged or removed a tree within a Special Natural Area District without certification, authorization or special permit. | No | No | \$1,250 | No | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| ZR-Misc. | Class 2 | Miscellaneous violations of the Zoning Resolution. | Yes | No | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| ZR-Misc. | Class 3 | Miscellaneous violations of the Zoning Resolution. | Yes | No | \$500 | Yes | \$500 | \$500 | \$500 | \$500 | \$500 |
| ZR-Misc. | Class 1 | Misc. sign violation under the Zoning Resolution by an Outdoor Advertising Company. | No | Yes | \$10,000 | Yes | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| ZR-Misc. | Class 2 | Misc. sign violation under the Zoning Resolution. | Yes | Yes | \$1,250 | Yes | \$6,250 | \$3,125 | \$10,000 | \$6,250 | \$10,000 |
| Misc. – ZR Misc. – Title 28 | Class 1 | Misc. outdoor sign violation of ZR and/or Building Code. | No | No | \$10,000 | No | \$25,000 | \$25,000 | \$25,000 | \$25,000 | \$25,000 |
| Misc. – ZR Misc. – Title 28 | Class 2 | Misc. outdoor sign violation of ZR and/or Building Code. | Yes | No | \$2,500 | No | \$10,000 | \$6,250 | \$10,000 | \$10,000 | \$10,000 |
| Misc. ZR | Class 2 | Misc. violation of condition on as of right privately owned public space. | No | No | \$5,000 | No | \$10,000 | \$10,000 | \$10,000 | \$10,000 | \$10,000 |

