



Promulgation Details for 1 RCNY 5000-02

This rule became effective on August, 5, 2018.

Since such date, one or more amendments have been made to this rule. Each rule amendment has its own effective date and Statement of Basis and Purpose.

Below you will find one or more rule amendments (the most recent appearing at the top), followed by the original rule.


The effective date of each amendment and the original rule can be found at the top of each "NOTICE OF ADOPTION OF RULE."

NOTICE OF ADOPTION OF RULE

NOTICE IS HEREBY GIVEN, pursuant to the authority vested in the Commissioner of the Department of Buildings by Section 643 of the New York City Charter and in accordance with Section 1043 of the Charter, that the Department of Buildings hereby adopts the amendments to Section 5000-02 of Title 1 of the Official Compilation of the Rules of the City of New York, relating to the implementation of the New York City Energy Conservation Code to conform to changes in the New York City Energy Conservation Code that were necessitated by updates to the New York State Energy Code that went into effect on October 3, 2016.

This rule was first published on March 27, 2018 and a public hearing thereon was held on May 2, 2018.

Dated: 6.20.18
New York, New York


Rick D. Chandler, P.E.
Commissioner

Statement of Basis and Purpose

The Department of Buildings (DOB) is adding a new rule to make corrections to the reference standard ASHRAE 90.1, as identified in Appendix CA of the Energy Conservation Code, and to clarify modeling methodology for lighting and pump controls.

Specifically, the rule:

- Adds a new section 5000-02 regarding lighting control requirements under American Society of Heating, Refrigerating and Air Conditioning Engineers (“ASHRAE”) 90.1 to Title 1 of the RCNY,
- Adds clarifying language to Section 9.4.1.1, item c, which was omitted due to typographical error. The requirement for occupancy controls for open plan offices was added by the City, but the requirement for partial automatic ON was intended to be exempted,
- Updates the requirements of Table 9.6.1 to conform it to the requirements of ASHRAE standard 90.1-2013. These control requirements were omitted from Local Law 91 of 2016 due to typographical error,
- Further clarifies certain modeling requirements based on published addenda to ASHRAE 90.1-2013,
- Revises Section 4.2 to clarify that Appendix G is allowed for additions and alterations, and Section 11 is allowed for alterations,
- Revises Table G3.1, number 6, Lighting, to correct an inconsistency in modeling the lighting baseline requirements for not yet designed spaces and add details on modeling lighting controls,
- Revises Table G3.1.1-4 to modify a footnote to be consistent with the modeling approach of setting the baseline heat fuel source by climate zone,
- Revises Sections G3.1.3.5, G3.1.3.10 and G3.1.3.11 to provide more detail for the baseline model with regard to pumps, and
- Revises Table G3.7 to clarify the allowable reduction in lighting LPD when applying occupancy controls to the baseline lighting.

The Department of Buildings’ authority for this rule is found in sections 643 and 1043 of the New York City Charter, section 28-103.19 of the New York City Administrative Code and section ECC CA102.1 of the New York City Energy Conservation Code.

New material is underlined.

[Deleted material is in brackets.]

“Shall” and “must” denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Chapter 5000 of Title 1 of the Rules of the City of New York is amended by adding a new section 5000-02 to read as follows:

§ 5000-02 Amendment to ASHRAE 90.1 Relating to Lighting Controls and Modeling Requirements.
Pursuant to section 28-103.19 of the Administrative Code of the City of New York, ASHRAE 90.1, as modified by section CA102.1 of appendix CA of section 28-1001.2.2 of such code, is amended to read as follows:

4.2.1.2 Additions to Existing Buildings.

Revise Section 4.2.1.2 to read as follows:

4.2.1.2 Additions to Existing Buildings. Additions to existing buildings shall comply with either the provisions of Sections 5, 6, 7, 8, 9, and 10 or Section 11 or Normative Appendix G.

4.2.1.2.1 When an addition to an existing building cannot comply by itself, trade-offs will be allowed by modification to one or more of the existing components of the existing building. Modeling of the modified components of the existing building and addition shall employ the procedures of Section 11 or Normative Appendix G; the addition shall not increase the energy consumption of the existing building plus the addition beyond the energy that would be consumed by the existing building plus the addition if the addition alone did comply.

4.2.1.3 Alterations to Existing Buildings.

Revise Section 4.2.1.3 to read as follows:

4.2.1.3 Alterations to Existing Buildings. Alterations of existing buildings shall comply with the provisions of Sections 5, 6, 7, 8, 9, and 10 or Section 11 or Normative Appendix G.

Exception: Historic buildings need not comply with these requirements.

9.4.1.1 Interior Lighting Controls.

Revise Item c of Section 9.4.1.1 to read as follows:

c. Restricted to partial automatic ON: No more than 50% of the lighting power for the general lighting shall be allowed to be automatically turned on, and none of the remaining lighting shall be automatically turned on. For open plan offices, a control device meeting this requirement shall control no more than 2500 ft².

Table 9.6.1 Lighting Power Density Allowances Using the Space-by-Space Method and Minimum Control Requirements Using Either Method.

Revise Table 9.6.1 to read as follows:

**TABLE 9.6.1 Lighting Power Density Allowances Using the Space-by-Space Method
and Minimum Control Requirements Using Either Method**

| Informative Note: This table is divided into two sections; this first section covers space types that can be commonly found in multiple building types. The second part of this table covers space types that are typically found in a single building type. | | | The control functions below shall be implemented in accordance with the descriptions found in the referenced paragraphs within Section 9.4.1.1. For each space type: (1) All REQs shall be implemented. (2) At least one ADD1 (when present) shall be implemented. (3) At least one ADD2 (when present) shall be implemented. | | | | | | | | |
|---|-----------------------------|----------------------|--|---|--|--|---|--|---|--|--|
| | | | Local Control (See Section 9.4.1.1(a)) | Restricted to Manual ON (See Section 9.4.1.1(b)) | Restricted to Partial Automatic ON (See Section 9.4.1.1(c)) | Bilevel Lighting Control (See Section 9.4.1.1(d)) | Automatic Daylight Responsive Controls for Sidelighting (See Section 9.4.1.1(e)⁶) | Automatic Daylight Responsive Controls for Toplighting (See Section 9.4.1.1(f)⁶) | Automatic Partial OFF (See Section 9.4.1.1(g)) (Full Off complies) | Automatic Full OFF (See Section 9.4.1.1(h)) | Schedule Shutoff (See Section 9.4.1.1(i)) |
| Common Space Types¹ | LPD W/ft² | RCR Threshold | a | b | c | d | e | f | g | h | i |
| Atrium | | | | | | | | | | | |
| ...that is < 20 ft in height | 0.03/ft total height | NA | REQ | ADD1 | ADD1 | - | REQ | REQ | - | ADD2 | ADD2 |
| ...that is ≥ 20 ft and < 40 ft in height | 0.03/ft total height | NA | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...that is > 40 ft in height | 0.40 ± 0.02/ft total height | NA | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| Audience Seating Area | | | | | | | | | | | |
| ...in an auditorium | 0.63 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a convention center | 0.82 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a gymnasium | 0.65 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a motion picture theater | 1.14 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a penitentiary | 0.28 | 4 | REQ | ADD1 | ADD1 | - | REQ | REQ | - | ADD2 | ADD2 |
| ...in a performing arts theater | 2.43 | 8 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a religious building | 1.53 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a sports arena | 0.43 | 4 | REQ | ADD1 | ADD1 | - | REQ | REQ | - | ADD2 | ADD2 |
| ...all other audience seating areas | 0.43 | 4 | REQ | ADD1 | ADD1 | - | REQ | REQ | - | ADD2 | ADD2 |
| Banking Activity Area | 1.01 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| Breakroom (See Lounge/Breakroom) | | | | | | | | | | | |
| Classroom/Lecture hall/Training Room^{8,9} | | | | | | | | | | | |
| ...in a penitentiary | 1.34 | 4 | REQ | REQ | - | REQ | REQ | REQ | - | REQ | - |
| ...all other classrooms/lecture halls/training rooms | 1.24 | 4 | REQ | REQ | - | REQ | REQ | REQ | - | REQ | - |
| Conference/Meeting/Multipurpose Room^{8,9} | 1.23 | 6 | REQ | REQ | - | REQ | REQ | REQ | - | REQ | - |
| Confinement Cells | 0.81 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| Copy/Print Room | 0.72 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | REQ | - |

| | | | | | | | | | | | | |
|---|------|--------------|-----------------------|------|------|-----|-----|-----|------|------|------|--|
| Corridor² | | | | | | | | | | | | |
| ...in a facility for the visually impaired (and not used primarily by the staff) ³ | 0.92 | width < 8 ft | REQ | = | = | = | REQ | REQ | REQ | ADD2 | ADD2 | |
| ...in a hospital | 0.99 | width < 8 ft | REQ | = | = | = | REQ | REQ | ADD2 | ADD2 | ADD2 | |
| ...in a manufacturing facility | 0.41 | width < 8 ft | REQ | = | = | = | REQ | REQ | = | ADD2 | ADD2 | |
| ...all other corridors | 0.66 | width < 8 ft | REQ | = | = | = | REQ | REQ | REQ | ADD2 | ADD2 | |
| Courtroom | 1.72 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| Computer Room | 1.71 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| Dining Area | | | | | | | | | | | | |
| ...in a penitentiary | 0.96 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| ...in a facility for the visually impaired and not used primarily by staff) ³ | 2.65 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| ...in bar lounge or leisure dining | 1.07 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| ...in cafeteria or fast food dining ¹ | 0.65 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| ...in family dining | 0.89 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| ...all other dining areas | 0.65 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| Electrical/Mechanical Room⁷ | 0.42 | 6 | REQ | = | = | = | REQ | REQ | = | = | = | |
| Emergency Vehicle Garage | 0.56 | 4 | REQ | ADD1 | ADD1 | = | REQ | REQ | = | ADD2 | ADD2 | |
| Food Preparation Area | 1.21 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| Guest Room | 0.91 | 6 | See Section 9.4.1.3b. | | | | | | | | | |
| Laboratory | | | | | | | | | | | | |
| ...in or as a classroom | 1.43 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | REQ | ADD2 | ADD2 | |
| ...all other laboratories | 1.81 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| Laundry/Washing Area | 0.60 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| Loading Dock, Interior | 0.47 | 6 | REQ | ADD1 | ADD1 | = | REQ | REQ | = | ADD2 | ADD2 | |
| Lobby | | | | | | | | | | | | |
| ...in a facility for the visually impaired and not used primarily by staff) ³ | 1.80 | 4 | REQ | = | = | = | REQ | REQ | REQ | ADD2 | ADD2 | |
| ...for an elevator | 0.64 | 6 | REQ | = | = | = | REQ | REQ | = | ADD2 | ADD2 | |
| ...in a hotel | 1.06 | 4 | REQ | = | = | = | REQ | REQ | = | ADD2 | ADD2 | |
| ...in a motion picture theater | 0.59 | 4 | REQ | = | = | = | REQ | REQ | = | ADD2 | ADD2 | |
| ...in a performing arts theater | 2.00 | 6 | REQ | = | = | = | REQ | REQ | REQ | ADD2 | ADD2 | |
| ...all other lobbies | 0.90 | 4 | REQ | = | = | = | REQ | REQ | REQ | ADD2 | ADD2 | |
| Locker Room | 0.75 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | REQ | = | |
| Lounge/Breakroom^{8,9} | | | | | | | | | | | | |
| ...in a healthcare facility | 0.92 | 6 | REQ | REQ | = | REQ | REQ | REQ | = | REQ | = | |
| ...all other lounges/breakrooms | 0.73 | 4 | REQ | REQ | = | REQ | REQ | REQ | = | REQ | = | |
| Office | | | | | | | | | | | | |
| ...enclosed and < 250 ft ^{2(8,9)} | 1.0 | 8 | REQ | REQ | = | REQ | REQ | REQ | = | REQ | = | |
| ...enclosed and > 250 ft ² | 1.0 | 8 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| ...open plan | 0.90 | 4 | REQ | = | REQ | REQ | REQ | REQ | = | REQ | = | |
| Parking Area, Interior | 0.19 | 4 | See Section 9.4.1.2 | | | | | | | | | |
| Pharmacy Area | 1.68 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 | |
| Restroom | | | | | | | | | | | | |
| ...in a facility for the visually impaired (and not used primarily by the staff) ³ | 1.21 | 8 | REQ | = | = | = | REQ | REQ | = | REQ | = | |

| | | | | | | | | | | | |
|---|--|----|-----|------|------|-----|-----|-----|-----|------|------|
| ...all other restrooms | 0.98 | 8 | REQ | = | = | = | REQ | REQ | = | REQ | = |
| Sales Area⁴ | 1.30 | 6 | REQ | ADD1 | ADD1 | REQ | = | REQ | = | ADD2 | ADD2 |
| Seating Area, General | 0.54 | 4 | REQ | ADD1 | ADD1 | = | REQ | REQ | = | ADD2 | ADD2 |
| Stairway | The space containing the stairway shall determine the LPD and control requirements for the stairway. | | | | | | | | | | |
| Stairwell | 0.69 | 10 | REQ | = | = | REQ | REQ | REQ | REQ | ADD2 | ADD2 |
| Storage Room | | | | | | | | | | | |
| ...< 50 ft ² | 1.24 | 6 | REQ | = | = | = | = | = | = | ADD2 | ADD2 |
| ...> 50 ft ² and <1000 ft ² | 0.63 | 6 | REQ | ADD1 | ADD1 | = | REQ | REQ | = | REQ | = |
| ...all other storage rooms | 0.63 | 6 | REQ | ADD1 | ADD1 | = | REQ | REQ | REQ | ADD2 | ADD2 |
| Vehicular Maintenance Area | 0.67 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 |
| Workshop | 1.59 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | = | ADD2 | ADD2 |

**TABLE 9.6.1 Lighting Power Density Allowances Using the Space-by-Space Method
and Minimum Control Requirements Using Either Method (Continued)**

Informative Note: This table is divided into two sections; this first section covers space types that can be commonly found in multiple building types. The second part of this table covers space types that are typically found in a single building type.

The control functions below shall be implemented in accordance with the descriptions found in the referenced paragraphs within Section 9.4.1.1. For each space type: (1) All REQs shall be implemented. (2) At least one ADD1 (when present) shall be implemented. (3) At least one ADD2 (when present) shall be implemented.

| Building Type | LPD W/ft ² | RCR Threshold | a | b | c | d | e | f | g | h | i | |
|--|--------------------------|------------------|--|------|------|-----|-----|-----|---|------|------|--|
| | | | | | | | | | | | | Local Control (See Section 9.4.1.1(a)) |
| Facility for the Visually Impaired³ | | | | | | | | | | | | |
| ...in a chapel (used primarily by residents) | 2.21 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| ...in a recreation room/common living room (and not used primarily by staff) | 2.41 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| Automotive (See "Vehicular Maintenance Area") | | | | | | | | | | | | |
| Convention Center-Exhibit Space | 1.45 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| Dormitory-Living Quarters | 0.38 | 8 | REQ | - | - | - | - | - | - | - | - | |
| Fire Station-Sleeping Quarters | 0.22 | 6 | REQ | - | - | - | - | - | - | - | - | |
| Facility for the Visually Impaired³ | | | | | | | | | | | | |
| ...in a recreation room/common living room (and not used primarily by staff) | 2.41 | 6 | - | - | - | - | - | - | - | - | - | |
| Gymnasium/Fitness Center | | | | | | | | | | | | |
| ...in an exercise area | 0.72 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| ...in a playing area | 1.20 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| Healthcare Facility | | | | | | | | | | | | |
| ...in an exam/treatment room | 1.66 | 8 | REQ | - | - | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| ...in an imaging room | 1.51 | 6 | REQ | - | - | REQ | - | - | - | ADD2 | ADD2 | |
| ...in a medical supply room | 0.74 | 6 | (See "Storage Room" under "Common Space Types" for control requirements) | | | | | | | | | |
| ...in a nursery | 0.88 | 6 | REQ | - | - | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| ...in a nurse's station | 0.71 | 6 | REQ | - | - | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| ...in an operating room | 2.48 | 6 | REQ | - | - | REQ | - | - | - | ADD2 | ADD2 | |
| ...in a patient room | 0.62 | 6 | REQ | - | - | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| ...in a physical therapy room | 0.91 | 6 | REQ | - | - | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| ...in a recovery room | 1.15 | 6 | REQ | - | - | REQ | REQ | REQ | - | ADD2 | ADD2 | |
| Library | | | | | | | | | | | | |
| ...in a reading area | 1.06 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 | |

Informative Note: This table is divided into two sections; this first section covers space types that can be commonly found in multiple building types. The second part of this table covers space types that are typically found in a single building type.

The control functions below shall be implemented in accordance with the descriptions found in the referenced paragraphs within Section 9.4.1.1. For each space type: (1) All REQs shall be implemented. (2) At least one ADD1 (when present) shall be implemented. (3) At least one ADD2 (when present) shall be implemented.

| Building Type Specific/Space Types ¹ | LPD W/ft ² | RCR Threshold | Local | Restricted to | Restricted to | Bilevel | Automatic | Automatic | Automatic | Automatic | Scheduled |
|---|--------------------------|------------------|--|--|---|--|---|--|--|---|--|
| | | | Control (See Section 9.4.1.1(a)) | Manual ON (See Section 9.4.1.1(b)) | Partial Automatic ON (See Section 9.4.1.1(c)) | Lighting Control (See Section 9.4.1.1(d)) | Daylight Responsive Controls for Sidelighting (See Section 9.4.1.1(e) ⁶) | Daylight Responsive Controls for Toplighting (See Section 9.4.1.1(f) ⁶) | Partial OFF (See Section 9.4.1.1(g) (Full Off complies)) | Full OFF (See Section 9.4.1.1(h)) | Shutoff (See Section 9.4.1.1(i)) |
| | | | a | b | c | d | e | f | g | h | i |
| ...in the stacks | 1.71 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | REQ | ADD2 | ADD2 |
| Manufacturing Facility | | | | | | | | | | | |
| ...in a detailed manufacturing area | 1.29 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in an equipment room | 0.74 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in an extra high bay area (> 50 ft floor-to- ceiling height) | 1.05 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a high bay area (25- 50 ft floor-to-ceiling height) | 1.23 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a low bay area (< 25 ft floor-to-ceiling height) | 1.19 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| Museum | | | | | | | | | | | |
| ...in a general exhibition area | 1.05 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a restoration room | 1.02 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| Performing Arts | 0.61 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | REQ | - |
| Theater-Dressing Room | | | | | | | | | | | |
| Post Office-Sorting Area | 0.94 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | REQ | ADD2 | ADD2 |
| Religious Buildings | | | | | | | | | | | |
| ...in a fellowship hall | 0.64 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...in a worship/pulpit/choir area | 1.53 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| Retail Facilities | | | | | | | | | | | |
| ...in a dressing/fitting room | 0.71 | 8 | REQ | ADD1 | ADD1 | REQ | - | REQ | - | REQ | - |
| ...in a mall concourse | 1.10 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| Sports Arena-Playing Area | | | | | | | | | | | |
| ...for a Class I facility | 3.68 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...for a Class II facility | 2.40 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...for a Class III facility | 1.80 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| ...for a Class IV facility | 1.20 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| Transportation Facility | | | | | | | | | | | |
| ...in a baggage/carousel area | 0.53 | 4 | REQ | ADD1 | ADD1 | - | REQ | REQ | - | ADD2 | ADD2 |
| ...in an airport concourse | 0.36 | 4 | REQ | ADD1 | ADD1 | - | REQ | REQ | - | ADD2 | ADD2 |
| ...at a terminal ticket counter | 0.80 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | - | ADD2 | ADD2 |
| Warehouse-Storage Area | | | | | | | | | | | |
| ...for medium to bulky, | 0.58 | 4 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | REQ | ADD2 | ADD2 |

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| Building Type Specific/Space Types ¹ | LPD W/ft ² | RCR Threshold | Local | Restricted to | Restricted to | Bilevel | Automatic | Automatic | Automatic | Automatic | Scheduled |
|---|--------------------------|------------------|--|--|---|--|---|--|--|---|--|
| | | | Control (See Section 9.4.1.1(a)) | Manual ON (See Section 9.4.1.1(b)) | Partial Automatic ON (See Section 9.4.1.1(c)) | Lighting Control (See Section 9.4.1.1(d)) | Daylight Responsive Controls for Sidelighting (See Section 9.4.1.1(e) ⁶) | Daylight Responsive Controls for Toplighting (See Section 9.4.1.1(f) ⁶) | Partial OFF (See Section 9.4.1.1(g) (Full Off complies)) | Full OFF (See Section 9.4.1.1(h)) | Shutoff (See Section 9.4.1.1(i)) |
| a | b | c | d | e | f | g | h | i | | | |
| palletized items ...for smaller, hand- carried items ⁵ | 0.95 | 6 | REQ | ADD1 | ADD1 | REQ | REQ | REQ | REQ | ADD2 | ADD2 |

1. In cases where both a common space type and a building area specific space type are listed, the building area specific space type shall apply.
2. In corridors, the extra lighting power density allowance is permitted when the width of the corridor is less than 8 ft and is not based on the RCR.
3. A "Facility for the Visually Impaired" is a facility that can be documented as being designed to comply with the light levels in ANSI/IES RP-28 and is licensed or will be licensed by local/state authorities for either senior long-term care, adult daycare, senior support and/or people with special visual needs.
4. For accent lighting, see Section 9.6.2(b).
5. Sometimes referred to as a "Picking Area."
6. Automatic daylight responsive controls are mandatory only if the requirements of the specified sections are present.
7. An additional 0.53w/ft² shall be allowed, provided that the additional lighting is controlled separately from the base allowance of 0.42 W/ft². The additional 0.53 w/ft² allowance shall not be used for any other purpose.
8. Occupant sensor shall not have an override switch that converts from manual-on to automatic-on functionality.
9. The occupant sensor may have a grace period of up to 30 seconds to turn on the lighting automatically after the sensor has turned off the lighting if occupancy is detected.

APPENDIX G – PERFORMANCE RATING METHOD

G1.3 Trade-Off Limits.

Revise Section G1.3 to read as follows:

G1.3 Trade-Off Limits. RESERVED.

TABLE G3.1 Modeling Requirements for Calculating Proposed and Baseline Building Performance.

Revise Item 2 of Table G3.1 to read as follows:

| <u>No.</u> <u>Proposed Building Performance</u> | <u>Baseline Building Performance</u> |
|---|--|
| <u>2. Additions and Alterations</u> | |
| <u>It is acceptable to predict performance using building models that exclude parts of the existing building provided that all of the following conditions are met:</u> <u>a. Work to be performed in excluded parts of the building shall meet the requirements of Sections 5 through 10.</u> <u>b. Excluded parts of the building are served by HVAC systems that are entirely separate from those serving parts of the building that are included in the building model.</u> <u>c. Design space temperature and HVAC system operating setpoints and schedules on either side of the boundary between included and excluded parts of the building are essentially the same.</u> <u>d. If a declining block or similar utility rate is being used in the analysis, and the excluded and included parts of the building are on the same utility meter, the rate shall reflect the utility block or rate for the building plus the addition.</u> | <u>If the proposed building model excluded parts of the existing building, the baseline building model shall exclude them as well.</u> <u>When modeled, unmodified existing building component shall follow the same rules as new and modified building components.</u> |

Revise Item 6 of Table G3.1 to read as follows:

| No. Proposed Building Performance | Baseline Building Performance |
|--|---|
| 6. Lighting | |
| <p>Lighting power in the proposed design shall be determined as follows:</p> <ul style="list-style-type: none"> a. Where a complete lighting system exists, the actual lighting power for each thermal block shall be used in the model. b. Where a lighting system has been designed and submitted with design documents, lighting power shall be determined in accordance with Sections 9.1.3 and 9.1.4. c. Where lighting neither exists nor is submitted with design documents, lighting shall comply with but not exceed the requirements of Section 9. Where space types are known, lighting power shall be determined in accordance with the Space-by-Space Method. Where space types are not known, lighting power shall be determined in accordance with the Building Area Method. d. Lighting system power shall include all lighting system components shown or provided for on the plans (including lamps and ballasts and task and furniture-mounted fixtures). <p>Exception: For multifamily dwelling units, hotel/motel guest rooms, and other spaces in which lighting systems are connected via receptacles and are not shown or provided for on building plans, assume identical lighting power for the proposed and baseline building designs in the simulations.</p> <ul style="list-style-type: none"> e. Lighting power for parking garages and building facades shall be modeled. f. For lighting controls, at a minimum, the proposed building design shall contain the mandatory automatic lighting controls specified in Section 9.4.1 (e.g., automatic daylight responsive controls, occupancy sensors, programmable controls, etc.). These controls shall be modeled in accordance with (g) and (h). g. Automatic daylighting responsive controls shall be modeled directly in the proposed building design or through schedule adjustments determined by a separate daylighting analysis approved by the rating authority. Modeling and schedule adjustments shall separately account for primary sidelighted areas, secondary sidelighted areas, and toplighted areas. h. Other automatic lighting controls included in the proposed building design shall be modeled directly in the building simulation by reducing the lighting schedule each hour by the occupancy sensor reduction factors in Table G3.7 for the applicable space type. This reduction shall be taken only for lighting controlled by the occupancy sensors. Credit for other programmable lighting control in buildings less than 5,000 ft² can be taken by reducing the lighting schedule each hour by 10%. | <p>Interior lighting power in the baseline building design shall be determined using the values in Table G3.7.</p> <p>Exceptions: Where lighting neither exists nor is submitted with design documents, and the proposed building lighting power is determined in accordance with the Building Area Method, the baseline lighting power shall be determined in accordance with Table G3.8.</p> <p>Lighting shall be modeled having the automatic shutoff controls in buildings > 5000 ft² and occupancy sensors in employee lunch and break rooms, conference/meeting rooms, and classrooms (not including shop classrooms, laboratory classrooms, and preschool through 12th grade classrooms). These controls shall be reflected in the baseline building design lighting schedules. No additional automatic lighting controls (e.g., automatic controls for daylight utilization and occupancy sensors in space types not listed above) shall be modeled in the baseline building design.</p> <p>Exterior lighting in areas identified as “Tradable Surfaces” in Table G3.6 shall be modeled with the baseline lighting power shown in Table G3.6. Other exterior lighting shall be modeled the same in the baseline building as in the <i>proposed design</i>.</p> |

TABLE G3.1.1-4 Baseline System Descriptions

Revise Table G3.1.1-4 to read as follows:

TABLE G3.1.1-4 Baseline System Descriptions

| <u>System No.</u> | <u>System Type</u> | <u>Fan Control</u> | <u>Cooling Type (1)</u> | <u>Heating Type (1)</u> |
|---------------------------------------|--|------------------------|-------------------------|-------------------------------------|
| <u>1. PTAC</u> | <u>Packaged terminal air conditioner</u> | <u>Constant volume</u> | <u>Direct expansion</u> | <u>Hot-water fossil fuel boiler</u> |
| <u>2. PTHP</u> | <u>Packaged terminal heat pump</u> | <u>Constant volume</u> | <u>Direct expansion</u> | <u>Electric heat pump</u> |
| <u>3. PSZ-AC</u> | <u>Packaged rooftop air conditioner</u> | <u>Constant volume</u> | <u>Direct expansion</u> | <u>Fossil fuel furnace</u> |
| <u>4. PSZ-HP</u> | <u>Packaged rooftop heat pump</u> | <u>Constant volume</u> | <u>Direct expansion</u> | <u>Electric heat pump</u> |
| <u>5. Packaged VAV with Reheat</u> | <u>Packaged rooftop VAV with reheat</u> | <u>VAV</u> | <u>Direct expansion</u> | <u>Hot-water fossil fuel boiler</u> |
| <u>6. Packaged VAV with PFP Boxes</u> | <u>Packaged rooftop VAV with parallel fan power boxes and reheat</u> | <u>VAV</u> | <u>Direct expansion</u> | <u>Electric resistance</u> |
| <u>7. VAV with Reheat</u> | <u>VAV with reheat</u> | <u>VAV</u> | <u>Chilled water</u> | <u>Hot-water fossil fuel boiler</u> |
| <u>8. VAV with PFP Boxes</u> | <u>VAV with parallel fan-powered boxes and reheat</u> | <u>VAV</u> | <u>Chilled water</u> | <u>Electric resistance</u> |
| <u>9. Heating and Ventilation</u> | <u>Warm air furnace, gas fired</u> | <u>Constant volume</u> | <u>None</u> | <u>Fossil fuel furnace</u> |
| <u>10. Heating and Ventilation</u> | <u>Warm air furnace, electric</u> | <u>Constant volume</u> | <u>None</u> | <u>Electric resistance</u> |
| <u>11. SZ-VAV</u> | <u>Single-zone VAV</u> | <u>VAV</u> | <u>Chilled water</u> | <u>See note 2.</u> |
| <u>12. SZ-CV-HW</u> | <u>Single zone</u> | <u>Constant volume</u> | <u>Chilled water</u> | <u>Hot-water fossil fuel boiler</u> |
| <u>13. SZ-CV-ER</u> | <u>Single zone</u> | <u>Constant volume</u> | <u>Chilled water</u> | <u>Electric resistance</u> |

Notes:

1. For purchased chilled water and purchased heat, see G3.1.1.3.
2. For Climate Zones 0 through 3a, the heating type shall be electric resistance. For all other climate zones the heating type shall be hot-water fossil fuel boiler.

G3.1.3.5 Hot-Water Pumps.

Revise Section G3.1.3.5 to read as follows:

G3.1.3.5 Hot-Water Pumps. The baseline building design hot-water pump power shall be 19 W/gpm. The pumping system shall be modeled as primary-only with continuous variable flow and a minimum of 25% of the design flow rate. Hot-water systems serving 120,000 ft² or more shall be modeled with variable-speed drives, and systems serving less than 120,000 ft² shall be modeled as riding the pump curve.

Exception: The pump power for systems using purchased heat shall be 14 W/gpm.

G3.1.3.10 Chilled-Water Pumps.

Revise Section G3.1.3.10 to read as follows:

G3.1.3.10 Chilled-Water Pumps. Chilled-water systems shall be modeled as primary/secondary systems with constant flow primary loop and variable flow secondary loop. For systems with a cooling capacity of 300 tons or more, the secondary pump shall be modeled with variable-speed drive and a minimum flow of 25% of the design flow rate. For systems with less than 300 tons cooling capacity the secondary pump shall be modeled as riding the pump curve. The baseline building constant-volume primary pump power shall be modeled as 9 W/gpm and the variable-flow secondary pump power shall be modeled as 13 W/gpm at design conditions. For computer room systems using System 11 with an integrated water-side economizer, the baseline building design primary chilled-water pump power shall be increased by 3 W/gpm for flow associated with the water-side economizer.

Exception: For systems using purchased chilled water, the building distribution pump shall be modeled with variable-speed drive, a minimum flow of 25% of the design flow rate, and a pump power of 16 W/gpm.

G3.1.3.11 Heat Rejection.

Revise Section G3.1.3.11 to read as follows:

G3.1.3.11 Heat Rejection (Systems 7, 8, 11, and 12). The heat rejection device shall be an axial-fan open-circuit cooling tower with variable-speed fan control and shall have an efficiency of 38.2 gpm/hp at the conditions specified in Table 6.8.1-7. Condenser water design supply temperature shall be calculated using the cooling tower approach to the 0.4% evaporation design wet-bulb temperature as generated by the formula below, with a design temperature rise of 10°F.

$$\text{Approach}_{10^{\circ}\text{F Range}} = 25.72 - (0.24 \times \text{WB})$$

where WB is the 0.4% evaporation design wet-bulb temperature in °F; valid for wet bulbs from 55°F to 90°F.

The tower shall be controlled to maintain a 70°F leaving water temperature where weather permits, floating up to leaving water temperature at design conditions. The baseline building design condenser-water pump power shall be 19 W/gpm. For computer room systems using System 11 with an integrated water-side economizer, the baseline building design condenser water-pump power shall be increased 3 W/gpm for flow associated with the water-side economizer. Each chiller shall be modeled with separate condenser water and chilled-water pumps interlocked to operate with the associated chiller.

TABLE G3.7 Performance Rating Method Lighting Power.

Revise Table G3.7 to read as follows:

TABLE G3.7 Performance Rating Method Lighting Power Densities and Occupancy Sensor Reductions Using the Space-by-Space Method

| <u>Common Space Types^a</u> | <u>Lighting Power Density, W/ft²</u> | <u>Occupancy Sensor Reduction^b</u> | <u>Building Type Specific Space Types^a</u> | <u>Lighting Power Density, W/ft²</u> | <u>Occupancy Sensor Reduction^b</u> |
|--|---|---|--|---|---|
| <u>Audience Seating Area</u> | | | <u>Assisted Living Facility</u> | | |
| ...in an auditorium | 0.90 | 10% | ...in a chapel (used primarily by residents) | 2.77 | 10% |
| ...in a convention center | 0.70 | 10% | ...in a recreation room (used primarily by residents) | 3.02 | 10% |
| ...in an exercise center | 0.30 | 10% | <u>Automotive (See "Vehicular Maintenance Area")</u> | | |
| ...in a gymnasium | 0.40 | 10% | <u>Convention Center – Exhibit Space</u> | 1.30 | 35% |
| ...in a motion picture theater | 1.20 | 10% | <u>Dormitory – Living Quarters</u> | 1.11 | 10% |
| ...in a penitentiary | 0.70 | 10% | <u>Fire Station – Sleeping Quarters</u> | 0.30 | 10% |
| ...in a performing arts theater | 2.60 | 10% | <u>Gymnasium/Fitness Center</u> | | |
| ...in a religious building | 1.70 | 10% | ...in an exercise area | 0.90 | 35% |
| ...in a sports arena | 0.40 | 10% | ...in a playing area | 1.40 | 35% |
| ...in a transportation facility | 0.50 | 10% | <u>Healthcare Facility</u> | | |
| ...all other audience seating area | 0.90 | 10% | ...in an emergency room | 2.70 | 10% |
| <u>Atrium</u> | | | ...in an exam/treatment room | 1.50 | 10% |
| ...that is < 40 ft in height | 0.0375 per foot in total height | 10% | ...in an imaging room | 0.40 | 22% |
| ...that is > 40 ft in height | 0.50 + 0.025 per foot in total height | 10% | ...in a medical supply room | 1.40 | 45% |
| <u>Banking Activity Area</u> | 1.50 | 10% | ...in a nursery | 0.60 | 10% |
| <u>Breakroom (See Lounge/Breakroom)</u> | | | ...in a nurse's station | 1.00 | 10% |
| <u>Classroom/Lecture Hall/Training Room</u> | | | ...in an operating room | 2.20 | 10% |
| ...in a penitentiary | 1.30 | None | ...in a patient room | 0.70 | 10% |
| ...all other classroom/lecture hall/training room | 1.40 | 30% | ...in a physical therapy room | 0.90 | 10% |
| <u>Conference/Meeting/Multipurpose Room</u> | 1.30 | None | ...in a recovery room | 0.80 | 10% |
| <u>Confinement Cells</u> | 0.90 | 10% | <u>Library</u> | | |
| <u>Copy/Print Room</u> | 0.90 | 10% | ...in a reading area | 1.20 | 15% |
| <u>Corridor</u> | | | ...in the stacks | 1.70 | 15% |
| ...in a facility for the visually impaired (and used primarily by residents) | 1.15 | 25% | <u>Manufacturing Facility</u> | | |
| ...in a hospital | 1.00 | 25% | ...in a detailed manufacturing area | 2.10 | 10% |
| ...in a manufacturing facility | 0.50 | 25% | ...in an equipment room | 1.20 | 10% |
| ...all other corridor | 0.50 | 25% | ...in an extra-high bay area (> 50 ft floor-to-ceiling height) | 1.32 | 10% |
| <u>Courtroom</u> | 1.90 | 10% | ...in a high bay area (25-50 ft floor-to-ceiling height) | 1.70 | 10% |
| <u>Computer Room</u> | 2.14 | 35% | ...in a low bay area (< 25 ft floor-to-ceiling height) | 1.20 | 10% |
| <u>Dining Area</u> | | | <u>Museum</u> | | |
| ...in a penitentiary | 1.30 | 35% | ...in a general exhibition area | 1.00 | 10% |
| ...in a facility for the visually impaired (and used primarily by residents) | 3.32 | 35% | ...in a restoration room | 1.70 | 10% |
| ...in bar/lounge or leisure dining | 1.40 | 35% | <u>Post Office – Sorting Area</u> | 1.20 | 10% |
| ...in cafeteria or fast food dining | 0.90 | 35% | <u>Religious Buildings</u> | | |
| ...in family dining | 2.10 | 35% | ...in a fellowship hall | 0.90 | 10% |
| ...all other dining area | 0.90 | 35% | ...in a worship/pulpit/choir area | 2.40 | 10% |
| <u>Electrical/Mechanical Room</u> | 1.50 | 30% | <u>Retail Facilities</u> | | |
| <u>Emergency Vehicle Garage</u> | 0.80 | 10% | ...in a dressing/fitting room | 0.89 | 10% |
| <u>Food Preparation Area</u> | 1.20 | 30% | ...in a mall concourse | 1.70 | 10% |
| <u>Guest Room</u> | 1.14 | 45% | <u>Sport Arena – Playing Area</u> | | |
| <u>Judges Chambers</u> | 1.30 | 30% | ...for a Class I facility | 4.61 | 10% |
| <u>Laboratory</u> | | | ...for a Class II facility | 3.01 | 10% |
| ...in or as a classroom | 1.40 | None | ...for a Class III facility | 2.26 | 10% |
| ...all other laboratories | 1.40 | 10% | ...for a Class IV facility | 1.50 | 10% |
| <u>Laundry/Washing Area</u> | 0.60 | 10% | <u>Transportation Facility</u> | | |
| <u>Loading Dock, Interior</u> | 0.59 | 10% | ...in a baggage/carousel area | 1.00 | 10% |

| | | | | | |
|---|-------------|-------------|---|-------------|------------|
| <u>Lobby</u> | | | <u>...in an airport concourse</u> | <u>0.60</u> | <u>10%</u> |
| <u>...in a facility for the visually impaired (and used primarily by residents)</u> | <u>2.26</u> | <u>25%</u> | <u>...at a terminal ticket counter</u> | <u>1.50</u> | <u>10%</u> |
| <u>...for an elevator</u> | <u>0.80</u> | <u>25%</u> | <u>Warehouse – Storage Area</u> | | |
| <u>...in a hotel</u> | <u>1.10</u> | <u>25%</u> | <u>...for medium to bulky, palletized items</u> | <u>0.90</u> | <u>45%</u> |
| <u>...in a motion picture theater</u> | <u>1.10</u> | <u>25%</u> | <u>...for smaller, hand-carried items</u> | <u>1.40</u> | <u>45%</u> |
| <u>...in a performing arts theater</u> | <u>3.30</u> | <u>25%</u> | | | |
| <u>...all other lobbies</u> | <u>1.30</u> | <u>25%</u> | | | |
| <u>Locker Room</u> | <u>0.60</u> | <u>25%</u> | | | |
| <u>Lounge/Breakroom</u> | | | | | |
| <u>...in a healthcare facility</u> | <u>0.80</u> | <u>None</u> | | | |
| <u>...all other lounge/breakroom</u> | <u>1.20</u> | <u>None</u> | | | |
| <u>Office</u> | | | | | |
| <u>...enclosed</u> | <u>1.10</u> | <u>30%</u> | | | |
| <u>...open plan</u> | <u>1.10</u> | <u>15%</u> | | | |
| <u>Parking Area, Interior</u> | <u>0.20</u> | <u>15%</u> | | | |
| <u>Pharmacy Area</u> | <u>1.20</u> | <u>10%</u> | | | |
| <u>Restroom</u> | | | | | |
| <u>...in a facility for the visually impaired (and used primarily by residents)</u> | <u>1.52</u> | <u>45%</u> | | | |
| <u>...all other restroom</u> | <u>0.90</u> | <u>45%</u> | | | |
| <u>Sales Area</u> | <u>1.70</u> | <u>15%</u> | | | |
| <u>Seating Area, General</u> | <u>0.68</u> | <u>10%</u> | | | |
| <u>Stairwell</u> | <u>0.60</u> | <u>75%</u> | | | |
| <u>Storage Room</u> | | | | | |
| <u>...in a hospital</u> | <u>0.90</u> | <u>45%</u> | | | |
| <u>...that is ≥ 50 ft²</u> | <u>0.80</u> | <u>45%</u> | | | |
| <u>...that is < 50 ft²</u> | <u>0.80</u> | <u>45%</u> | | | |
| <u>Vehicular Maintenance Area</u> | <u>0.70</u> | <u>10%</u> | | | |
| <u>Workshop</u> | <u>1.90</u> | <u>10%</u> | | | |

a. In cases where both a common space type and a building area specific space type are listed, the building area specific space type shall apply.

b. For manual-ON or partial-auto-ON occupancy sensors, the occupancy sensor reduction factor shall be multiplied by 1.25.

c. For occupancy sensors controlling individual workstation lighting, occupancy sensor reduction factor shall be 30%.