



NEW YORK CITY
DEPARTMENT OF HEALTH AND MENTAL HYGIENE
NYC LOCAL EARLY INTERVENTION COORDINATING COUNCIL (LEICC)

BOARD MEETING

MARCH 31, 2015

Transcribed by: Geneva Worldwide, Inc.

LEICC - March 31, 2015

A P P E A R A N C E S:

Christopher Treiber, LMSW, LEICC Chair

George L. Askew, MD, FAAP, Deputy Commissioner, Division of Child and Family Health

Marie B. Casalino, MD, MPH, Assistant Commissioner, Bureau of Early Intervention

Lidiya Lednyak, Director of Policy and Quality Assurance, Bureau of Early Intervention

Nora Puffett, Director of Administration and Data Management, Bureau of Early Intervention

Jeanette Gong, PhD, Director of Intervention Quality Initiatives, Bureau of Early Intervention

Robert Stephens, MS, Training Liaison Manager/Health Services Manager, Office of Health Insurance Services

Renee Noel, MPH, Associate Public Health Sanitarian III, Bureau of Child Care

Paula Francis-Crick, MPH, Unit Chief, Program Support Unit, Bureau of Immunization

LEICC MEMBERS:

Christopher Treiber, LMSW, LEICC Chair, MS, SAS

Nancy Calderon-Cruz, MA

Cindy Lin Chau, BS, MA Ed

Mary DeBey, PhD

Tracy LeBright, LMSW

Lois Kessler

Rosalba Maistoru, MA, SDL, BCBA, Lic.BA

Anita P. Richichi, MPA

Toni Rodriguez, LMSW

Lisa Shulman, MD

Linda Silver

Mina Sputz, MS, SAS

Catherine Warkala, MS, SAS

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2 MR. CHRISTOPHER TREIBER: Okay, good
3 morning. I think we're going to get started.
4 There are some more seats up here in the front if
5 you need a chair. Welcome. My name is
6 Christopher Treiber. I'm the Chair of the LEICC,
7 and I'm also with the InterAgency Council. So
8 before we get started, I just want to review the
9 procedures for the LEICC meetings. Attendees
10 should preregister for the LEICC meeting on the
11 New York City Department of Health and Mental
12 Hygiene Bureau of Early Intervention website for
13 the meetings. The meetings are open to the
14 public but the audience does not address the
15 LEICC members during the meeting. Audience
16 members may sign up with Felicia, who is right
17 there, if you want to speak during the public
18 comments section. And then as of May 15, 2014,
19 the New York City Local Law number 103 of 2013
20 and the New York State Open Meeting Law require
21 that open meetings be both webcast and archived,
22 and this meeting is being recorded today.
23 Transcription is available for the meeting, and
24 written minutes for the meeting will be
25 available. Good morning. I first would like to

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2 introduce to everyone a new member of the LEICC.
3 I'm very happy that we have a new parent member,
4 Cindy Chau, and I'll just give you a little bit
5 of information about Cindy. Cindy is a teacher
6 and an advocate and a mother of two. Her four-
7 year old daughter received Early Intervention
8 services since birth. Cindy holds a Masters in
9 Elementary Education from Teachers College and a
10 Masters in Marriage and Family Counseling. She's
11 a mentor for families with children with multiple
12 disabilities and is currently working on a
13 project helping international offerings with
14 special needs. Cindy is excited about being a
15 member of the council and advocating for families
16 receiving Early Intervention services and we're
17 really happy to have a new parent member of the
18 council. So, before we get started, I think I'd
19 just like to go around so Cindy has an idea of
20 who everybody is and so maybe we should start
21 from here].

22 MS. MARIE CASALINO: Marie Casalino,
23 Assistant Commissioner, Bureau of Early
24 Intervention.

25 DR. GEORGE ASKEW: I'm George Askew, the

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2 new Deputy Commissioner for the Division of
3 Family and Child Health at the Department of
4 Health and Mental Hygiene.

5 MS. ANITA RICHICHI: Anita Richichi,
6 Bureau of Child Care, Department of Health and
7 Mental Hygiene.

8 MS. TONI RODRIGUEZ: Toni Rodriguez,
9 Early Childhood Direction Center.

10 MS. NANCY CALDERON-CRUZ: I'm Nancy
11 Calderon-Cruz, provider, TheraCare.

12 MS. LOIS KESSLER: I'm Lois Kessler, New
13 York City Department of Education.

14 MS. TRACY LEBRIGHT: Tracy LeBright,
15 Public Health Solutions.

16 DR. MARY DEBEY: Mary DeBey, Higher
17 Education, Brooklyn College.

18 MS. ROSALBA MAISTORU: Rosalba Maistoru
19 provider, Little Wonders[unintelligible
20 00:05:01]].

21 MS. LINDA SILVER: Linda Silver, Village
22 Child Development Center.

23 MS. CATHY WARKALA: Cathy Warkala, Early
24 Childhood Direction Center.

25 MS. MINA SPUTZ: Mina Sputz, Yeled

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2 V'Yalda.

3 MR. TREIBER: Good morning. So, I first
4 would like to introduce Dr. Askew, who is the
5 Deputy Commissioner for the New Division of
6 Family and Child Health, and we're really happy
7 that you're able to join us today. So Dr. Askew
8 is a pediatrician who has spent the vast majority
9 of his professional career dedicated to
10 addressing the health and well-being of young
11 children and their families through a direct
12 service advocacy and policy change. He joined
13 the Department in November from the US Department
14 of Health and Human Services where he was the
15 first Chief Medical Officer for the
16 Administration for Children and Families. In
17 that role, he helped develop and administer
18 initiatives and policies aimed at addressing the
19 health needs of children, particularly young
20 children and families facing significant economic
21 and social challenges. Dr. Askew previously
22 served as the Deputy CEO and Chief Development
23 Officer for Voices for America and as CEO and
24 President of Jumpstart for Young Children.
25 Additionally, he is the founder of Docs for Tots,

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2 a pediatrician-led child advocacy organization
3 that helps doctors advocate beyond their clinical
4 practices on behalf of the youngest children.
5 The Division of Family and Child Health that Dr.
6 Askew oversees was created by Commissioner
7 Bassett and includes the Bureau of Maternal,
8 Infant and Reproductive Health; the Office of
9 School Health; and most recently the Bureau of
10 Early Intervention.

11 [OFF MIC CONVERSATION]

12 DR. ASKEW: I should say something?
13 Great. I like to stand so I can see everybody,
14 first of all, and also as a pediatrician, you
15 know, I have a fairly soft voice, which is great
16 as a pediatrician, but sometimes not so great for
17 public speaking, especially without, without a
18 microphone. So thank you for allowing me to be
19 here today. I'm actually very excited. How many
20 -- raise your hands if you, if you walked into
21 that construction as you were coming in here
22 today? There you go, so I'm not the only dummy.
23 How many people were delayed this morning? A lot
24 of people delayed on the, on the subway? I was
25 delayed, but we all made it. I'm also very happy

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2 to see a smattering of men in the room. Being in

3 this field for a very long time. You don't see

4 many, many gents who are doing this kind of work

5 and I really appreciate men who enter a field

6 where we nurture and raise and support young

7 children. So we're not seen very often, but I

8 know that the ones that are there are very

9 committed to this work. So thank you, gents, for

10 your efforts. You know, when I met Mary Bassett,

11 Dr. Bassett back in June of last year over

12 coffee, it was because I had been recommended by

13 First Deputy Barbeau who is an old colleague as

14 a, as a senior person who can lead an early

15 childhood effort and, and in performance

16 supervision, I guess she means senior, she means

17 older. I must be getting up there in my career.

18 When she, when she told me about this new

19 division, sort of bringing together all the child

20 and family facing efforts in the Department and

21 trying to put them all under one umbrella, I was,

22 I was excited. So she said, well, you know there

23 will also be School Health, which, which gave me

24 a smile and there would be the Bureau of Maternal

25 and Infant and Reproductive Health, which gave me

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2 a smile, and then she said and we're trying to
3 move Early Intervention into this new division
4 and that really gave me a really big smile. And
5 that really -- and that really was kind of a make
6 or break, to be honest with you about a job,
7 because if you were going to, you know, if we're
8 really going to attack early childhood, this is
9 one -- this is where you want to start, you know.
10 I certainly, you know, value the Bureau of
11 Maternal and Infant and Reproductive Health, you
12 know, Office of School Health, but then there --
13 but then there's a gap, that zero to three gap,
14 and we were able to sort of fill that zero to
15 three gap, and still have the gap, that three to
16 four or five gap before you get to UPK, well,
17 we're going to work on that too. So I was really
18 excited when, when this actually came to
19 fruition. It really is a big part of why I'm
20 here. So, you know, the work that you're doing
21 and the efforts that you're making are really
22 part, a significant part of why I chose to take
23 this job when I left Washington D.C., and left my
24 family back there to come be here with you all.
25 They don't mind. They get to see me on the

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2 weekends. But when I, when I came, I didn't want
3 to set my own vision here. I didn't want to come
4 here and say I think this is how it should be
5 done. I really thought that we should have a
6 shared vision within the Division, something that
7 we all could participate in, really did some
8 visioning work with the team and took them
9 offsite with a facilitator and we came away with
10 some -- with a vision that every child, woman and
11 family in the City of New York be empowered to
12 reach their full health and development
13 potential. And I think that really speaks very
14 directly to the work that you do here, especially
15 with regard to the word empower. You know,
16 that's what we're trying to do with families.
17 That's what we're trying to do with kids. And we
18 really want the kids that we serve to reach their
19 full health and development potential. And that
20 is something that's a theme that flows through
21 the entire division. So know that -- know that
22 that's there. And then we wanted to focus on a
23 couple of priorities and one of those priorities
24 that emerged was early childhood. We really want
25 to focus on something, and one of the things we

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2 want to look at is early screening. And we're
3 going to be pushing for universal screening of
4 kids. And so we're really going to be banging on
5 that, the, the childcare providers' doors and
6 offices and saying that everybody has to do this,
7 that this is a part of your job. And you know it
8 is and you want to do it, I'm going to see if we
9 can make sure you get paid to do it too. A lot
10 of, a lot of complications in there. And also
11 preventing unintended pregnancies is another one
12 of our, of our big efforts. But I just wanted
13 you to sort of know what the Division kind of
14 looks like, what we're thinking as we're moving
15 forward, how you fit in to the work that we're
16 trying to do and how important you think it is,
17 what you're doing and that my door is open. I am
18 still in the learning mode. I've only been here
19 for four and a half months, believe it or not.
20 Gosh, it seems like it's much longer, because I'm
21 having so much fun. I haven't had this much fun
22 in a long time. So feel free to call me, send me
23 messages, text me. I'm on Twitter. Stop in and
24 see me. I love meeting, meeting folks and
25 learning what you're doing. And so my door is

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2 open and I'm sorry to say that I'm going to have
3 to leave here about 11:00, but I'm going to get
4 hopefully a good feel for what goes on here and
5 certainly will be back. So, thank you.

6 DR. CASALINO: So, thank you all.

7 Special thanks to IEP and Chris for hosting us
8 today. We are usually in a room at Gotham, but
9 it has been taken over for other purposes for the
10 Department. So we were displaced temporarily and
11 taken in. So thank you. I'm going to start off
12 with our Department report about talking about
13 the local determination, which is really an
14 annual performance report for the city and the
15 city's Early Intervention Program, and for the
16 work that everyone in this room is doing. So
17 each year, we submit performance data to the
18 State Department of Health. The State Department
19 of Health in turn submits that performance data
20 to the Federal Government. Over the past few
21 years, the State Department of Health's
22 assessment of our work showed that we needed to
23 make some improvements. So what did we do? We
24 updated our policies and procedures, we changed
25 some of the aspects of the Bureau's work,

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2 starting in the Regional Offices, and all the way
3 up to how data was managed, how we analyze data,
4 and how we work together within the provider
5 community, including our enhanced provider
6 oversight process, and are working with the
7 provider community to improve performance. I'm
8 pleased to report that all of that hard work and
9 those years of efforts have paid off. Dedication
10 to the program has shown the results and this
11 year's local determination for New York City
12 shows that our compliance meets requirements,
13 which is the highest possible ranking you can
14 get. So thank you to all. I'm going to go
15 through some of the information that put us in
16 that category. While we still have some work to
17 do on our 45-day compliance, as I present some of
18 the numbers to you, you'll hear that, we also
19 continue to exceed state targets for, excuse me,
20 for important indicators such as percent of
21 children served in New York City. So indicators.
22 The state target for the start date of services,
23 we all know that as the 30-day, it is 100
24 percent. So the compliance, the target is 100
25 percent, but the Federal indicator says that we

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2 should be achieving 100 percent. In New York

3 City, Federal fiscal year '11 to '12, our

4 performance was 69 percent. Federal fiscal year

5 '12-'13, 88.7 percent. The statewide performance

6 was 88.5 percent. So just a touch over the

7 statewide performance. State target 45-day

8 compliance again one of the Federal indicators

9 100 percent is the target and Federal fiscal year

10 '11-'12, New York City compliance was at 72

11 percent. We increased in the next fiscal year to

12 75.6 percent; statewide performance 82.5. So we

13 still have some work to do on our 45-day but

14 we're definitely going in the right direction.

15 State target for percent of children served birth

16 to one years of age, 1.22 percent. New York City

17 Federal fiscal year '11-'12, we were at 1.11

18 percent. The next year, 1.25 percent with a

19 statewide average of 1.22. Again, slightly

20 exceeding the statewide average. State target

21 for percent of children served birth to three,

22 4.09 percent. Federal fiscal year '11-'12 New

23 York City 4.12. The next year we were at 4.57

24 percent, with the state average being 4.04. So

25 it's important to remember that the work of the

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2 Bureau is a collaboration between the Bureau,
3 provider community. It has to do with how data
4 is collected, how data is analyzed but it comes
5 down to the fact that everyone is working very
6 hard within this program to ensure that the
7 children and the families are getting the service
8 that they, they have been authorized for and that
9 they should be getting in our program. It is
10 about delivering the services to the children and
11 families. So thank you to everyone who
12 participated in this, beginning in the Bureau but
13 certainly going out into the provider community.
14 The next part of my report is on the SEICC, the
15 early -- State Early Intervention Coordinating
16 Council. Since our last meeting there have been
17 two meetings. So I'm going to report on two
18 meetings, the December meeting and the March
19 meeting. I'm going to start off with the
20 December meeting talking about the task force on
21 social and emotional development and we presented
22 some data to the task force, which was
23 subsequently presented to the SEICC. We're going
24 to present that data to you here, but I'm going
25 to leave it till the end of the discussion of the

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2 March meeting, because just to give the committee
3 some opportunity to have a good discussion about
4 the data that was presented statewide from New
5 York City. So the task force on social and
6 emotional development, we've talked about before,
7 was created about a year and a half or so ago, by
8 the SEICC. There are SEICC and non-SEICC members
9 on this task force and the focus of the task
10 force is to create a guidance document for the
11 field. To address and highlight critical
12 relationship between children and their parents,
13 caregivers, promote family-driven approach to
14 services, promote the concept of trauma informed
15 care. By the December SEICC meeting, there had
16 already been some draft documents presented to
17 the committee. At the December meeting, the
18 state informed us that they had identified some
19 funds to be able to bring on a consultant editor
20 to take the work of the various workgroups to put
21 it together into one voice and make it a cohesive
22 document because there are a number of
23 workgroups. And this was, this was the meeting
24 that -- this was the SEICC meeting that the task
25 force brought the New York City data for

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2 discussion. And as I said, we're going to -- I'm
3 going to delay it till the end of the SEICC
4 report, so that you can see the data. We are
5 going to project the data on the screen and allow
6 you some opportunity to discuss the New York City
7 experience. Also at the December meeting, there
8 was a report by the state on the annual
9 performance report that is to be submitted to the
10 Federal government. This was the 2013-14
11 preliminary data. There was extensive discussion
12 on timely services, children receiving services
13 and the natural environment, some child outcome
14 data, percent of children in New York State
15 participating in EIP, timely IFSP or what we know
16 as the 45-day, full discussion by the committee
17 and there was a vote by the SEICC to submit the
18 information to, to the Federal government. NYEIS
19 updates. Considerable discussion. The
20 overarching theme in any of the NYEIS discussions
21 presented by the state is that they continue to
22 work on enhancements on reports and
23 functionality. There was also a presentation on
24 the state fiscal agent and post-April 2013
25 transition. Looking at data at the time of

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2 transition and the subsequent years, what the
3 state presented was that enrollment in the
4 program essentially unchanged 2012, '13, '14.
5 Rendering provider capacity has increased 5.8
6 percent. Ratio of providers to children remains
7 constant at approximately four therapists per
8 child. This is statewide data. The number of
9 billing providers has declined 20.4 percent, but
10 the presentation proposed that this was probably
11 due to rendering providers consolidating into
12 fewer agencies. Payment cycles have improved.
13 Mean time for full payment to provider agencies
14 equals 15 days. Mean time for payments to
15 independent providers, 20 days. As of the SEICC
16 in December. The number of days from date of
17 service -- the number of days from the date of
18 service to the date the claim was submitted to
19 the EIP by the provider community, mean time 54
20 days in 2012, decreased to 39 days in 2014.
21 Commercial insurance claims submitted and
22 adjudicated by commercial insurance within 60
23 days, 91.6 percent, 15 percent of submitted
24 claims were reimbursed by third quarter of 2014.
25 I'm going to go back to the issue of commercial

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2 insurers because that did provoke some discussion
3 at the table. The state also talked about the AT
4 process that had been implemented in October 2014
5 in New York City and was going to be
6 incrementally rolled out to the rest of the
7 state. There was discussion about the percent of
8 payments received from the insurers. Brad Hutton
9 stepped in at that point in time to clarify that
10 there are two percentages that the state is
11 looking at regarding the statewide fiscal agent
12 and commercial insurance billing claiming. Two
13 percent is the percent of all dollars spent on EI
14 in New York State that is supported by dollars
15 from commercial insurers, 2 percent. Fifteen
16 percent is the percent of claims submitted to
17 commercials that are paid. Two different
18 percentages that the state is monitoring. There
19 was also discussion at this particular meeting
20 regarding the administrative burden to providers
21 for billing post April 2013, and consideration of
22 a rate increase to cover that burden. I'm going
23 to switch now to the March meeting, March 12,
24 2015 meeting. Very short beginning to the
25 meeting because the majority of time spent at

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2 this meeting was spent on a discussion and
3 decisions regarding the SSIP, which is the State
4 Systemic Improvement Plan. State now informed us
5 at the beginning of the meeting that the update
6 to regulations that we worked on about a year or
7 so ago. I think many -- some of the people
8 around the table may have presented or been
9 involved or looked at some of the proposed
10 regulations. And remember, these are regulations
11 that have to be modified at the state level to
12 come into compliance with recent changes to
13 federal regs. So the state informed us that they
14 were far along in the process. They still need
15 to have public hearings. They should take place
16 late spring/early summer, and the next package --
17 there were two packages of regulations that were
18 going to be sent out to us. The first package is
19 the one we saw that they felt were the easier
20 fixes to the regulations, but the next package
21 that should be coming out soon was -- would
22 involve more complex regulatory changes. Health
23 Homes, Lidiya Lednyak is going to do a more
24 extensive update on Health Homes as it applies to
25 New York City and what's -- what that's going to

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2 mean, but I can tell you from the presentation at
3 the March meeting the state informed the
4 committee on locations had been received, the
5 Health Home applications from the provider
6 community had been received. The planned
7 implementation October 2015. EI phase-in early
8 2016. Considerable discussion around the table
9 regarding exactly what that was going to mean to
10 the families. A lot of questions about
11 eligibility determination versus determination of
12 acuity. The CANS, those of you who have been
13 involved, the tool that is being assessed to
14 determine level of acuity, there is still work
15 being done on that to be sure that it's
16 applicable to the child population. So the
17 remainder of the March meeting was dedicated to
18 identifying the measure for the State Systemic
19 Improvement Plan and this is coming from the
20 Federal government. It is a Federal requirement
21 for each state to identify a comprehensive
22 ambitious achievable plan designed to improve
23 results for infants, toddlers and their families.
24 These have to be measurable indicators. They
25 have to be measured, measurable, there has to be

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2 an infrastructure in place, there has to be
3 experience with this, there has to be involvement
4 of stakeholders that is a significant plan for
5 improvement focused on outcomes that will take
6 place in the state over a number of years. The
7 state actions to date were data analysis,
8 extensive data analysis, infrastructure
9 assessment, measurable -- identifying measurable
10 results, again, important outcome not process
11 measure and identifying the improvement
12 strategies. So to that end, the state presented
13 to the SEICC a multi-year experience with
14 outcome, surveys and work. The state for
15 approximately ten years has been doing a child
16 outcome study and many of you have been involved
17 in this. These are the child outcome surveys
18 that are completed by the IFSP team at entry and
19 at exit. I see lots of nodding heads. Yes, you
20 have been doing this work. The items that are
21 assessed, child functioning compared to other
22 children his/her age, and really improvement of
23 those skills from the point of entry to the time
24 the child is transitioning out of the program.
25 So child outcome survey, identifying child

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2 outcomes as our SSIP was one of the
3 considerations. The second consideration was
4 family outcomes, and the state over the course of
5 these number of years, almost ten years of work,
6 has developed with consultants a family survey
7 that has been either mailed to the parent hard
8 copy or they have invited the family to complete
9 the survey online. Three key elements to family
10 outcomes. One is helping the family know their
11 rights. Two, effectively communicating their
12 children's needs and helping their children
13 develop and learn. So presented to the SEICC,
14 focusing the SSIP for the next number of years on
15 child outcomes or family outcomes. The measuring
16 scales had all been identified for both. So two
17 paths that the SSIP could have gone down and the
18 SEICC would be supporting. The state, at the
19 meeting, was promoting family outcomes. I can
20 tell you it was well received by the SEICC. The
21 SEICC had a very rich discussion, very good
22 discussion with the individual who had developed
23 the scales, the surveys, presented the data,
24 talked about the analysis that had occurred to
25 date and the potential for the analysis. And

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2 after much discussion, the SEICC voted to focus
3 its SSIP on family outcomes for New York State
4 using the tools that had been created to date.
5 The basis for this decision is as we know it,
6 surely as we know it in New York City is there is
7 a strong relationship between increasing or
8 improving family outcomes, their knowledge, their
9 self-efficacy, their access to support. We know
10 that family support, family knowledge, family
11 empowerment will work to the benefit of the
12 children. So the SEICC supported this, New York
13 City has been working on this. Clearly, New York
14 City supported it at the table, and the focus
15 going forward for these next few years will be on
16 global family outcomes. I gave you three
17 examples, but the other items that are included
18 in the survey are parents expressing their
19 feeling that -- feel that my efforts are helping
20 my child, feel more confident in my skills as a
21 parent, find resources in the community to meet
22 my child's needs, use services to address my
23 child's health needs, advocate for my child. It
24 is a very long list. I picked out some of what I
25 believe are the most salient elements to the

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2 survey. We are obviously entirely supportive of
3 this plan. I am confident that the work we've
4 been doing in the Bureau and within our provider
5 community is going to put us in good stead and
6 certainly shine some very positive light on the
7 work we've been doing. So that was -- there will
8 be more to come I'm certain on the SSIP.

9 MS. SILVER: I just have a question.

10 DR. CASALINO: Sure.

11 MS. SILVER: Not so much in the
12 determination of focusing on family outcomes, but
13 my question is did they mention -- they had how
14 many years of data on child outcome? Did they
15 present that data? Are they doing anything with
16 that data? We just fill out those forms and then
17 never know what they do with them?

18 DR. CASALINO: Yes, they presented some
19 of -- yes.

20 MS. SILVER: Okay.

21 DR. CASALINO: They present -- presented
22 some of the data at the meeting with them. I'm
23 not sure how much of the data I have, either
24 electr- -- if I, I will go back, because it was a
25 very long, very detailed discussion.

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2 MS. SILVER: I'm sure.

3 DR. CASALINO: Very interesting, I can
4 assure you very interesting. The consultant who
5 had been working on this, a very extensive
6 presentation. There is some data, but there is
7 still more work to do and that's why the SSIP is
8 important for us to continue to do this. So I
9 will share with you --

10 MS. SILVER: Okay.

11 DR. CASALINO: -- what they have said to
12 date.

13 MS. SILVER: I mean I just like kind of
14 wonder because I mean, obviously I'm listening to
15 you who is listening to them, but one wonders if
16 they have what 10-15 years of data, I can't -- as
17 long as they've been doing outcome studies of, of
18 how children have come in at entrance and leave
19 at exit, and do -- what do they do with that data
20 and then I would wonder if the focus is going to
21 be on family and family outcome, would there ever
22 be a measure, you know, against the newer data of
23 family outcome and outcomes for children against
24 the older data that didn't focus on family, you
25 know, family participation. That's what I would

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2 have -- that would have been like an interesting
3 study. But I'm just -- so it's kind of up in the
4 air.

5 DR. CASALINO: So, good question, good
6 question. There -- the focus of the meeting
7 really was on which of these --

8 MS. SILVER: Right.

9 DR. CASALINO: -- which of these
10 outcomes are we going to focus on. I will, as I
11 said, we've got subsequent information. I can
12 send to you what I can send to you --

13 MS. SILVER: Right, right, right.

14 DR. CASALINO: -- what I can share with
15 you. I expect there will be more discussion --

16 MS. SILVER: And I get, I get what
17 you're saying, because they have a giant thing to
18 start working on, even though they had, what, ten
19 years to do it or some enormous thing. They have
20 to start doing it. It's a long, long project.

21 DR. CASALINO: It's a, it's a long
22 project, but my understanding of the process is
23 it started out focusing on one area and then
24 developed, there were these -- there was layering
25 on of different aspects.

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2 MS. SILVER: Right.

3 DR. CASALINO: Which in a way is going
4 to really serve us well because we have this many
5 years of the statewide --

6 MS. SILVER: Right.

7 DR. CASALINO: -- experience to get to a
8 family outcome survey that I think is going to --

9 [CROSSTALK]

10 MS. SILVER: It's interesting and I'm
11 glad I'm not the one who has to do it but I think
12 it's good. Okay, thanks.

13 DR. CASALINO: Any other discussions,
14 questions about SEICC? What I want to do now --
15 we're done on the SEICC, yes?

16 MS. SILVER: I guess I had one other
17 question. And I'm assuming like the easy package
18 versus the more complicated packaging? There
19 was, I remember when Donna had done the original
20 presentation on the whole systemic improvement,
21 they were talking about, you know, capitating,
22 fixating, whatever, whatever word they're using
23 in terms of service coordination rates, wasn't
24 that part of that whole improvement, that they
25 were going to do as far as legislation?

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2 DR. CASALINO: I'm trying to -- you're
3 right. There was, well, yes, there was this RAP,
4 the Reimbursement Advisory Panel, and the one
5 very specific recommendation that came from the
6 RAP was to look at the payment structure for
7 service coordination. And I'm trying to -- I'm
8 trying to remember --

9 MS. SILVER: I seem to remember when
10 Donna originally made a presentation --.

11 DR. CASALINO: Yes, it was in, it was in
12 the regulatory corrective.

13 MS. SILVER: It was, right?

14 DR. CASALINO: Right, yes.

15 MS. SILVER: Because I remember a lot of
16 the stuff was just conforming to the regulatory,
17 I mean, to the Federal regulatory language and it
18 wasn't a big deal.

19 DR. CASALINO: Right.

20 MS. SILVER: Which I'm assuming is in
21 this first package that you referred to.

22 DR. CASALINO: Yes.

23 MS. SILVER But then there was something
24 about the service coordination.

25 DR. CASALINO: Yes, that's right.

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2 MS. SILVER: And changing the rate
3 structure, and that wasn't spoken about, I guess?

4 DR. CASALINO: Not specifically.

5 MS. SILVER: Okay.

6 DR. CASALINO: Not specifically.

7 MS. SILVER:: So it's still out there?

8 Okay.

9 DR. DEBEY: So when, when you asked,
10 when you said the global family outcome, does
11 that mean just more variables or are they looking
12 longitudinally or is --

13 DR. CASALINO: There were more
14 variables. I just went down this very long list
15 of the items that are included in the family
16 survey and picked out a few that I thought would
17 be most pertinent for our discussion, but it is a
18 -- the family survey has many more elements to
19 it, many more questions to it.

20 DR. DEBEY: So they're not increasing
21 like the longevity of the study? They're looking
22 at more variables in the global --

23 DR. CASALINO: To my understanding, yes.

24 DR. DEBEY: Thanks.

25 DR. CASALINO: Sure.

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2 MS. RODRIGUEZ: So you mention as part
3 of it is family's ability or their feeling about
4 community resources between Early Intervention
5 within the communities or other services that
6 could complement Early Intervention in those
7 communities?

8 DR. CASALINO: From my reading of the
9 survey, it goes beyond Early Intervention and
10 it's really in a sense empowering -- not in a
11 sense, but empowering families to reach beyond
12 Early Intervention for other services they would
13 need to support their child and family. And I, I
14 have, we, a copy of the survey was distributed at
15 the SEICC. I don't believe I have it
16 electronically, but I can scan it in and I can
17 send it to the members of our LEICC for you to
18 see. I'm not certain that that's exactly the
19 survey that's going to be used going forward, but
20 you can at least see the, the elements included
21 in that, there are many questions and they're all
22 -- I read through them and like this is good, you
23 know, this is good, this is good, this is good.
24 So I can, I can scan that in and send it to you.
25 Okay? Great.

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2 MS. WARKALA: I don't know if I missed
3 it, did you, was there a discussion about how
4 long that would be sent out - how would it be
5 sent out?

6 DR. CASALINO: No, we didn't get that to
7 that point.

8 MS. WARKALA: Okay.

9 DR. CASALINO: So what I wanted to do is
10 go back to the data that was presented to the S-E
11 -- the Social Emotional Task Force, from New York
12 City, and the Social Emotional Task Force
13 presented this information to the SEICC. So I'm
14 going to ask Nora to do this presentation.

15 MS. NORA PUFFETT: So this was really to
16 answer the underlying constant belief that no
17 child is diagnosed with a social emotional delay.
18 That was really kind of where that idea was
19 coming from. And we were able to -- we happened
20 to have some old data around this issue and the
21 first thing I can tell you is that it's true. No
22 child is diagnosed with a social emotional delay
23 alone. I think I found three. But when you
24 think of children zero to three that makes
25 perfect sense that they're not -- if they have a

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2 truly severe delay in social and emotional,
3 that's not the only thing that's going to be
4 manifesting. And so we looked at the data to
5 come back to people who were saying, well, we
6 don't see children, you know, who only a social
7 emotional or, you know, what kind of services, if
8 it's not this service, it's not a true social
9 emotional. We have a lot of children with social
10 emotional delays. We chose to make a distinction
11 between autism spectrum disorder and other social
12 emotional delays because they are a pretty
13 significant spectrum even beyond the autism
14 spectrum. And as usual, we made our usual
15 distinction between children at the age in which
16 they come into the program, because we do find
17 that their delay profile tends to look very
18 differently depending on whether they are over or
19 under 18 months of age at entry. And so what you
20 could see though is that first set of columns is
21 children who come in under 18 months of age.
22 They tend to have, you know, physical problems
23 usually is what we're seeing there and often just
24 one domain. Well, even with only under 18 months
25 of age, five percent already have an ASD

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2 diagnosis and then another 17 percent have a
3 social emotional delay of some kind. So that 22
4 percent of children, even under the age of 18
5 months coming in have a social emotional delay,
6 basically a fifth of our kids. And when you look
7 at the older children, that second set of
8 columns, the over 18 months, what you see is that
9 these days incredibly 19 percent of children with
10 an ASD diagnosis, but another 24 percent with a
11 different kind, other kind of social emotional
12 delay, whether it just doesn't quite meet DSM
13 criteria or it's, you know, very distinct, it
14 should -- which would bring you up to, if you do
15 the math, 43 percent of children in that age
16 group with a social emotional delay. So what we
17 were hearing is this Social Emotional Task Force
18 was getting underway was this is such an under
19 recognized condition, and of course it is, the
20 implication at times that it's completely
21 unrecognized, that no one acknowledges the social
22 emotional delays of very young children, it's
23 just not true. So that even when you look at
24 them as a whole, 13 percent of all our children
25 regardless of age have ASD, have a diagnosis.

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2 Most of them pick it up while they're in the
3 program but they do get it, and then 21 percent
4 have another social emotional delay. So 44
5 percent of children have some form of social
6 emotional delay that has been identified and
7 addressed in their IFSP plan. And as I said,
8 this was really very specific to the concern as
9 this group got going about what is the level of
10 awareness, do people know about these issues, do
11 they know how to evaluate for these issues, and
12 do they know what services to provide to address
13 these issues. And while there's needs in all
14 those areas, I think that the recognition piece
15 is not as dire as people made it out to be,
16 because we already know as while they're in our
17 program that this many of them, we are aware of
18 the problem. And then the next slide, I think
19 was just a visual of the same thing. Maybe
20 easier to look at. Next slide, next. Next page,
21 sorry. No, we can just skip that it's just the
22 same data. So the next slide was really a pie
23 chart showing the data.

24 [CROSSTALK]

25 MS. PUFFETT: So it was social emotional

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2 dark pic ASD, later pic other types of social
3 emotional. So you can just see it jumps out a
4 little bit more clearly that we're usually
5 looking at anywhere from a fifth to a quarter of
6 the kids.

7 DR. ASKEW: How's that?

8 MS. PUFFETT: Perfect.

9 DR. ASKEW: Good for something, right?

10 DR. CASALINO: So we, we presented this
11 data, as I said, at the task force because there
12 had been this discussion, ongoing discussion the
13 children are not being identified with the delay.
14 We knew that in New York City we were recognizing
15 this delay in our children. This data was
16 presented really to inform the work of the task
17 force to guide the writers in preparing their
18 drafts for the guidance document. They were
19 extremely appreciative that New York City
20 presented this data. There was a request to the
21 State Department of Health to generate the same
22 data statewide.

23 DR. SHULMAN: I'm wondering what, and if
24 you do qualify for Early Intervention. Coming
25 with significant severe tantrums, the parent

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2 describing unusual emotional delay symptoms, and
3 they don't have other delays, is a very hard
4 population to get into Early Intervention and get
5 services for. And so I, I'm wondering if there's
6 some way to calculate the percentage of kids who
7 are referred to Early Intervention with an
8 emotional behavioral chief concern, and how they
9 fare in terms of Early Intervention, because then
10 that population that medically we seek to try to
11 diagnose to give some diagnosed condition and a
12 high probability of developmental delays in an
13 effort to get these kids service when they don't
14 have delays other than difficulties in that
15 domain.

16 MS. PUFFETT: From a data perspective,
17 that would be extremely difficult and the reason
18 is because more than - I should have looked at
19 this - - more 50 percent of children come in
20 without a reason for referral. They just don't
21 enter anything and so we don't know what the
22 initial concern was and then they go ahead and
23 they get an evaluation in all five domains. We
24 could potentially try to pull out results by
25 domain, but it would actually be extremely

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2 difficult. So I think you're right that it might
3 be there and I don't know if that population
4 would be more or less likely to report their
5 reason for referral, but just that huge piece if
6 missing data is why we've actually never looked
7 at that question.

8 DR. CASALINO: Let me step in and just
9 say that the work of this task force is to create
10 a guidance document for the field, so that the
11 field would have the basic information they need
12 to do the quality evaluations that would identify
13 the children to meet the eligibility standards
14 for the Early Intervention Program, within the
15 regulations that currently exist. The state has
16 been very clear about the fact that there will be
17 no change to the regulations at this point in
18 time regarding the eligibility standards. And
19 one of the things that has been discussed are
20 the, the, the services that are available for
21 children and families beyond the Early
22 Intervention Program. So for those children that
23 need to be monitored whether it's in the
24 pediatric setting or in another setting, what
25 guidance could be given to them. And we do have

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2 different writing groups working on the different
3 aspects of the guidance document. The guidance
4 document is actually moving along much more
5 quickly than I had ever anticipated and we should
6 have a draft somewhere along the line. So if
7 there's a way for us to generate the data, we
8 would tell you that, but this guidance document
9 is also for the field to present information
10 regarding those children and families that would
11 not be accessing Early Intervention services.

12 MR. TREIBER: I just have two questions.
13 One is in terms of on the data, where have you
14 got it specifically within the IFSP, within the
15 evaluation? Like how, how did you sort of pull
16 the information?

17 MS. PUFFETT: Yeah, so within the,
18 within NYEIS or within the MDE and then reported
19 within NYEIS, in the MDE summary, they have to
20 give results by domain.

21 MR. TREIBER: Yeah.

22 MS. PUFFETT: And so we look for -- we
23 pull out, is this just eligible children.

24 MR. TREIBER: Mm-hmm.

25 MS. PUFFETT: We pull out eligible

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2 children, and then we have to see who had a
3 domain delay. And then point out that it
4 captures -- the delay may have only been like a
5 25 percent. It wasn't going to make the child
6 eligible on its own.

7 MR. TREIBER:: It was just identified as

8 --

9 MS. PUFFETT: Exactly, as part of their
10 profile.

11 MR. TREIBER: As part of their profile.

12 MS. PUFFETT: Exactly.

13 MR. TREIBER: And then is there any, I
14 know, I know at the last LEIC we talked about
15 kids who were referred but sort of never got
16 there.

17 MS. PUFFETT: Yes.

18 MR. TREIBER: And kids who were
19 identified -- is there any way to look at these
20 numbers in terms of were there kids who once were
21 referred and then were found later like
22 especially in regards to the social emotional
23 issue.

24 MS. PUFFETT: So you think like maybe
25 who were evaluated, not eligible but came back

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2 and evaluated later?

3 MR. TREIBER: Exactly or --

4 MS. PUFFETT: That's --

5 MR. TRIBER: -- you know, like
6 specifically if you think certainly children who,
7 who are in like very traumatic situations,
8 children who might be homeless and in shelters
9 and other things, may not initially show at that
10 young age, but certainly by 18 months --

11 MR. TREIBER: Yes.

12 UNIDENTIFIED FEMALE: Right.

13 MR. TREIBER: -- you're very, you know,
14 so I'm just wondering because I know that was a
15 discussion and things that the Department was
16 starting to look at is in that issue, it might be
17 something to look at in terms of are these kids
18 being referred initially found not eligible and
19 later found eligible?

20 MS. PUFFETT: Yes.

21 MR. TREIBER: I don't know if there's
22 any way to look at that, but I think that would
23 be important information.

24 MS. PUFFETT: I think we could try to
25 look at that in the future. I think it may not

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2 stand out as much as you expect because we
3 actually, we were just doing some sort of hand
4 data these days and almost 20 percent of children
5 are re-referred and quite a lot of them get
6 evaluated at both points.

7 MR. TREIBER: Mm-hmm.

8 MS. PUFFETT: And in particular delays
9 like communication will show that same trend. --

10 MR. TREIBER: Mm-hmm.

11 MS. PUFFETT: -- we're not quite there
12 now, but six months later you are. So instead of
13 like something we could look at, I just don't
14 know if it'll jump out as much as you might
15 expect, but it's, it's something we could end up
16 seeing.

17 MS. RODRIGUEZ: I mean, in terms of
18 continuing that, I'm just wondering about the
19 relationship between a parent, parent depression,
20 post partum, poverty, noncompliance, and the
21 social emotional piece as well.

22 MS. SILVER: I guess, I'm thinking about
23 everything and listening to everybody and I think
24 I'm probably going to answer my own question
25 about something you had said, but I, I think

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2 about kids with social emotional issues, I mean,
3 I know you said these are kids where it may not
4 be the primary handicapping condition. It's
5 probably a secondary handicapping condition, and
6 like Lisa said, you're more -- you're very
7 concerned about the kids with the social
8 emotional problems that might be the primary.
9 But, and I, just as a thing, it's like in the
10 three to five world, what I see a lot of are kids
11 -- I don't know if I want to call it social
12 emotional issues, but that's, for lack of a
13 better term, that's what it is. It's like self-
14 regulation, and I've been doing a lot of reading
15 about self-regulation and that self-regulation in
16 a lot of the journals how now been the major
17 predictor of success in an adult, because you
18 can't get your self-regulation going, you know,
19 you have a tough time in life. So I just don't
20 know where it all fits in, but I think where it
21 all fits in is more that if you identify a child
22 who might be depressed or might have some other
23 kind of social emotional component, a self-
24 regulatory component but don't meet the
25 eligibility criteria, that the guidance document

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2 is going to show, show you directions of where to
3 go, but maybe not, I guess not an EI kid. But I
4 think there just needs to be a greater focus. I
5 mean, everything, every time you read a
6 newspaper, it's some, you know, social emotional
7 concern to just not taken seriously enough in
8 this country or anywhere else. And so you have
9 all these horrible things that are happening
10 worldwide. So but it begins with the little
11 guys. So is that the goal is to, to what, to
12 make it clear what EI is all about and then
13 resources if you identify a child who has a some
14 sort of a social emotional component where to go
15 and how to follow-up with it?

16 DR. CASALINO: There, there are set
17 portions of the document that are being generated
18 right now. We have -- it's a very wide spectrum
19 of participants on this document, very wide
20 spectrum, and if I were to go down the list, you
21 would recognize many of the names. They are --
22 there is a section on clinical clues. We're
23 involved in writing the section on evaluation.
24 We're involved and with teams, each writing team
25 is a team, we're involved in writing the section

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2 on the IFSP, and each person on the writing team
3 is going to bring the appropriate information to
4 the document. It will be a document that will
5 provide a broad spectrum of information to the
6 field. Again, not to say that Early Intervention
7 is the only program. You still have to meet your
8 eligibility requirements. We still authorize
9 services individualized for the child and family.
10 So it will, that theme will continue but it will
11 be a clarifying document.

12 MS. SILVER: Sort of like the speech and
13 language with the clinical clues and --

14 [CROSSTALK]

15 MS. SILVER:: -- replicates that type of
16 a guidance document? That's what I'm
17 envisioning. Okay.

18 MS. WARKALA: Actually it's more of a
19 comment. I see a strong tie between the Social
20 Emotional Task Force and the outcome of the
21 guidance document, with the family outcome
22 survey, that I know you only highlighted some of
23 those questions. I'd be really interested if
24 there's other questions that are talking about
25 the composition of the family, I mean, you know,

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2 we have to -- I mean, I don't know, you know, if
3 there's stressors, you know, different things
4 that are not enabling them to move forward with
5 their child stumbling blocks or those type of
6 issues that are kind of not enabling them. So I
7 see a nice bridge between that in helping to
8 identify the children, more concerns, and
9 resources, resources one thing we talked about,
10 more resources. That's more of a comment.

11 DR. DEBEY: Nora, when you looked at
12 data, a child has social emotional diagnosis,
13 what's the primary diagnosis, communication?

14 MS. PUFFETT: Yeah, I think there was a
15 little confusion around that. So what we don't
16 see are children with only social emotional delay
17 and nothing else, but that doesn't mean that the
18 social emotional delay isn't really severe. It
19 can be the primary diagnosis. It's just that
20 it's causing ripples in other delays. I am going
21 to have to go back and look because we did look
22 at that, but I would guess that, you know,
23 obviously communication is the common one but I
24 actually think it's, it's pretty, because like
25 with those little guys, you know, again, you're

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2 not necessarily seeing it with the communication
3 yet, because it's even almost too early for them
4 to be doing much more communicating. It can be
5 in the physical development as well.

6 DR. DEBEY: So then the affected could
7 be --

8 MS. PUFFETT: Yeah, I mean, it really, I
9 mean, there's no domain that can't be affected by
10 social emotional. I would have to go look at the
11 combinations. I don't remember any single domain
12 like jumping out, as this is it communication
13 seems likely.

14 DR. DEBEY: Mm-hmm.

15 MS. PUFFETT: But mostly what I remember
16 coming away with it was the idea of like you just
17 cannot take the child's -- that, that apart from
18 the rest of the --

19 DR. DEBEY: But it would be good to see
20 if it changes as a child ages.

21 MS. PUFFETT: Which is usually the case.

22 DR. DEBEY: Which is sometimes
23 rhetorical.

24 MS. PUFFETT: Exactly, exactly. Yeah,
25 we could definitely run like the common

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2 combinations, but nothing stood out so strongly
3 that, you know, we can't screen.

4 MS. CALDERON-CRUZ: I just want to make
5 a comment about data and then we need to move on,
6 Dr. Casalino this is another example of New York
7 City pushing data, and all due respect if anybody
8 is here from the state but, you know, I just, I
9 think that my colleagues who continue to
10 recommend support that the city really take the
11 leap, because I think that the Department along
12 with the providers provide a lot of good credible
13 data to the state. So I commend you for that. I
14 think it's helpful really to talk about
15 eligibility. I know for the state that it would
16 change that. But I think the more data, the more
17 power it gives to make a reconsider looking at
18 eligibility because we are missing a significant
19 amount of children. The impact of them being
20 small kids to what we are seeing in CPSE right
21 now, it's, it's significant. So, again, this is
22 another example of the city really taking the
23 lead and providing the state with very credible
24 data. So hopefully NYEIS will one day give us
25 even more data. So I do appreciate that and

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2 everyone else who provides that information to
3 your Department.

4 DR. CASALINO: So -- oh, yes?

5 MR. TREIBER: I, I had forgot to review
6 the minutes from the last meeting of October
7 28th, but I just wanted to make sure everybody
8 got them and if everyone approves, then we can
9 just have two people say they accept it. Thank
10 you.

11 DR. CASALINO: So we're now going to do
12 the rest of the department report. Lidiya
13 Lednyak is going to be talking about agency
14 updates, post April 1st, AT, Health Homes.
15 Jeanette Gong will give us an update on academic
16 collaborations. And Nora will talk about
17 provider oversight and discussion we had
18 previously about [unintelligible 00:59:34].

19 MS. LIDIYA LEDNYAK: Let's just wait for
20 that PowerPoint to come up. Good morning. So as
21 Dr. Casalino said, I'll be reporting on the April
22 1st transitions, new agencies and our technical
23 assistance process. The implementation, the
24 October 1st implementation of Assistive
25 Technology. And so, sort of where we are with

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2 our understanding and what the next steps are
3 with Health Homes. Let me just grab that
4 clicker. I'm sorry. Okay. So this is the --
5 the general message on the April 1, 2013 provider
6 landscape is that in New York City, at least, is
7 that the number of agencies continues to expand.
8 I know that Dr. Casalino reported earlier from
9 the state report that we have less agencies and
10 more rendering providers and rendering providers
11 are consolidating under less agencies but in New
12 York City we kind of have a different story to
13 tell on that. So as you can see, April 1, 2013
14 we had 85 providers. And as of March 30th, we
15 have 105 providers. I think the largest increase
16 we're seeing is around ABA providers. I keep
17 reporting to you on this. April 1st we had 31.
18 Now we have 58. SC providers, there's some
19 growth in new providers, there's some growth. I
20 think the -- and groups are pretty much remaining
21 a constant but it's not the same mix of
22 providers. So in New York City you have some
23 providers exiting while you have other newer
24 providers coming in to sort of take their place.
25 And, and I think and there's 40 new and existing

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2 providers that are right now in the technical
3 assistance process. So there's a potential for
4 at least 40 additional agencies. I mean, these
5 agencies are in different stages of readiness and
6 some of these are existing providers who want to
7 broaden what they offer in New York City but most
8 of that 40 is new people, new folks. So I guess
9 sort of that's the story. It's a little bit
10 different than the story, than the statewide
11 perspective and we've talked many times about
12 our, with our other municipal colleagues about
13 their, New York City's experience is not their
14 experience. It's different. And -- yeah?

15 DR. SHULMAN: Question after that?

16 MS. LEDNYAK: Sure.

17 DR. SHULMAN: So if the, the ABA
18 providers and the group providers --

19 MS. LEDNYAK: Mm-hmm.

20 DR. SHULMAN -- of group providers, are
21 the group providers ABA group providers, or just
22 developmental --?

23 MS. LEDNYAK: Both. There's both.

24 DR. SHULMAN So there's no --

25 MS. LEDNYAK: I didn't, I didn't break

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2 that out.

3 DR. SHULMAN You didn't break it out?

4 MS. LEDNYAK: No.

5 DR. SHULMAN So we don't know if there
6 are more.

7 MS. LEDNYAK: I can, I can break that
8 out next time.

9 DR. SHULMAN Right.

10 MS. LEDNYAK: We know that.

11 DR. SHULMAN Okay, alright.

12 MS. LEDNYAK: Mm-hmm. And just an
13 updated provider directory, a mass update because
14 we have so many new providers sort of coming in,
15 will be initiated by Provider Oversight in April.
16 So we probably should have an updated provider
17 directory on the website hopefully in, sometime
18 in early to mid-summer. Okay.

19 MS. SILVER: Is there a sense that all
20 the boroughs are being adequately covered by the
21 new providers?

22 MS. LEDNYAK: It's actually a very good
23 mix, I would say. No, there isn't one borough
24 that all the providers are flocking to. It might
25 seem that way, but it's not. I mean, I think in

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2 certain boroughs where there was already a lot of
3 providers, new providers are sort of may go there
4 and then they're like, oh, this is not -- maybe
5 this is not the best sort of business move. So
6 they'll call and we'll talk to them, technical
7 assistance will talk to them and say, you know,
8 maybe you'd have better luck going to a different
9 borough and they have been doing that. So the,
10 we the department have been -- we work with
11 providers to sort of help them think through
12 where they might go and where the needs are.
13 We've been getting folks -- these are, these are
14 the zip codes where we have, where we have
15 difficulty, where we have need. We, when we work
16 with them through the TA process we will, we'll,
17 we, we look at that list to see, you know, where,
18 where, where can you go and sort of what are,
19 what are the capacity needs of the system.

20 MS. SILVER: Do they -- when a, when a
21 new person comes on, do they, like I understand
22 you have them determine where the needs are.

23 MS. LEDNYAK: Mm-hmm.

24 MS. SILVER: That makes total sense.

25 But are they, are they the sort, are they

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2 restricted to a particular -- when they finally
3 become operational and decide where they want to
4 be, are they restricted to that initial
5 commitment?

6 MS. LEDNYAK: The initial commitment
7 that they make to the state. So usually, they
8 will, the new providers go and they will check
9 off all of the five boroughs.

10 MS. SILVER: Right.

11 MS. LEDNYAK: But in terms of
12 initiation, you know, in terms of from a planning
13 perspective, they'll say, well, I'm going to
14 start in a particular borough in a particular
15 neighborhood and see how I fare. And then if
16 they're not faring that well, they'll say, wait,
17 maybe this wasn't the best idea.

18 MS. SILVER: So do they come back to --

19 MS. LEDNYAK: Yeah, we --

20 MS. SILVER: -- do they come back to the
21 Department and go, okay, I decided I wanted to be
22 in Manhattan, but that's not working out, so they
23 go back to the department. So the Department
24 kind of --

25 MS. LEDNYAK: We act as a broker kind of

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2 --

3 [CROSSTALK]

4 MS. LEDNYAK: -- you know?

5 MS. SILVER: I don't want to say the
6 word approve.

7 MS. LEDNYAK: No, we don't approve --

8 MS. SILVER: It's not approval but --

9 MS. LEDNYAK: -- we, we help them, we,
10 we don't want people to fail, okay, because if
11 you come into our system and you take on 200
12 children and then all of a sudden something
13 happens, it's a -- it's, it's a problem for the
14 families, but it's also a problem for the system
15 --

16 MS. SILVER: Right.

17 MS. LEDNYAK: -- and how is the system
18 going to absorb, if that is to happen. So we
19 need to be -- the reason that we developed this
20 is because we need to be vigilant about, you
21 know, what, what our system looks like now,
22 particularly post-transition.

23 MS. SILVER: So basically the Department
24 kind of -- I'm not going to say approve, but
25 gives the okay to a particular borough and

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2 there's got to be communication between the new
3 provider and the Department in terms of where
4 they're setting up shop, so to speak. Yes?

5 MS. LEDNYAK: Yes. You know, email
6 eita@health.nyc.gov, if you're a new provider or
7 if you're an existing provider who wants to, I
8 don't know, do groups, do more OT, I don't know,
9 some, some new service that we all need. Hey, so
10 Health Homes, so as you all know, I hope, so
11 Health Homes, the goal of Health Homes is to
12 expand the availability of Medicaid care
13 coordination services to more than 200,000
14 children across the state who are eligible under
15 the optional state plan benefit created by the
16 Affordable Care Act. They -- the state sees
17 Health Homes as being a natural linkage with EI.
18 There have -- at the last LEICC meeting, I
19 presented to you on what the eligibility criteria
20 were for Health Homes. So it's two chronic
21 conditions and they are -- and a determination of
22 need and how need is going to be determined is
23 something that's still being discussed up at the
24 state. So I'm just -- I'm sort of going to glaze
25 over that unless you guys want any sort of

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2 additional detail on eligibility. Great.

3 Current status, so the Health Home application
4 period ended March 2, 2015. New York City Bureau
5 of Early Intervention is part of a Health Home
6 review committee at the Department of Health. So
7 we've been looking at Health Home applications
8 along with many other programs and offices in
9 DOHMH. There are currently 34 agencies expressed
10 interest to become a child-serving Health Home
11 across the state. And so we're reviewing a
12 portion of that. Once, so like with other things
13 with state, Health Home approvals will be
14 rolling. So between March 2nd and June 15th, is
15 when they expect to take on all of the Health
16 Homes that are, you know, sort of going to be
17 operating, and then between June 15th and
18 September 30th is when they're going to be
19 conducting all of the system readiness, the
20 training, the webinars, all of that for, for new
21 Health Homes. So they expect to take children on
22 in Health Homes in October of 2015. EI impact,
23 though, even though they said that it might be
24 more towards January 2016, they have not really
25 announced a target enrollment date for kids in EI

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2 into Health Homes. New York City along with
3 other municipalities are sitting on NYSACHO, you
4 know, New York State Association of County Health
5 Officials, with a work order with SDOH and other
6 municipalities to really talk about how, how
7 Health Homes are going to be implemented in New
8 York City. And so we just pretty much started
9 talking about the specific operational details.
10 We have not -- I think, you know, I think from a
11 state perspective, their priority is really,
12 well, how are we going to phase in all of the
13 kids who are in various, you know, Medicaid case
14 management programs into health homes. I think
15 they're, I think they're still developing that
16 mechanism. So early implementation phase in will
17 come after they have sort of taken care of that.
18 I think that the message around Health Homes is
19 that, you know, Medicaid redesign is very real
20 and it's affecting all of us and Early
21 Intervention will ultimately be, you know, rolled
22 into that in one way or another. So as a system,
23 we have to be vigilant about sort of what the
24 impacts are going to be on the families and, and
25 the children that we serve. The other message is

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2 that we, we know that many Early Intervention
3 providers have joined Health Home networks to
4 become downstream Health Home providers, and we
5 would encourage more Early Intervention providers
6 to think about that, take that seriously and
7 consider doing that. So that when EI kids start
8 getting rolled into Health Homes, that there is a
9 built-in Early Intervention expertise there. Any
10 questions? Health Homes.

11 MS. CALDERON-CRUZ: I just have a quick
12 question, our organization had a phone conference
13 with Home Health just to get some more
14 information because we were confused.

15 MS. LEDNYAK: At the state?

16 MS. CALDERON-CRUZ: Yeah, I believe it
17 was the state, and I think part of the confusion
18 they had when we talked about Early Intervention
19 service coordination roles and we looked at the,
20 the evaluation tool that they used, which is not
21 --

22 MS. LEDNYAK: The CANS.

23 MS. CALDERON-CRUZ: Yeah, and I know
24 that all that's going to be revised, and so what
25 I'm hearing from you, Lidiya, there's, there's

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2 going to be a liaison from EI working with them
3 when we do the changes and the policy procedures
4 that would be specific to EI? Because they were
5 very confused with questions we asked regarding
6 policies that currently --

7 MS. LEDNYAK: Mm-hmm.

8 MS. CALDERON-CRUZ: -- and they were
9 like we have no idea.

10 MS. LEDNYAK: Yes.

11 MS. CALDERON-CRUZ: We're going to
12 update all that? Because that's, that, that
13 would be my concern is them understanding what we
14 do, our rules and regulations and the burden on,
15 is it the service coordinator going to be
16 involved in doing that? Is it somebody else in
17 addition to the service coordinator and all that?

18 MS. LEDNYAK: So we share your concern
19 on that and that is really the work that this
20 NYSACHO committed had started talking to -- so
21 who's on this committee with, you know, Early
22 Intervention, municipal representatives, is the
23 lead, the lead Health Home team from the state
24 and also Bureau of Early Intervention on the
25 state side so that we could all speak together

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2 about these issues. I think one of the, one of
3 the big issues that was brought up on the last
4 conference call was what's the impact on code 35
5 on all this. And so we're -- and, you know, they
6 don't know. The, they're thinking about it. So,
7 and, and I think it's sort of like we need to
8 sort of answer the basics first, because if the
9 state is going to keep their targeted case
10 management approval for EI and also do Health
11 Homes, which is also targeted case management,
12 how does that overlap, how does that, how does
13 that work itself out. So we're hoping that this
14 municipal group is going to play a very active
15 role in it.

16 MS. RODRIGUEZ: I'm just curious to
17 know, I know previously there weren't that many
18 independent providers.

19 MS. LEDNYAK: Mm-hmm.

20 MS. RODRIGUEZ: And you're talking about
21 agencies. Are you still working with independent
22 providers?

23 MS. LEDNYAK: Yes, we are.

24 MS. RODRIGUEZ: And they're increasing
25 as well?

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2 MS. LEDNYAK: Yes, they are. Not that
3 many. I don't want to say that there's like, you
4 know, 50, there's not. There's, you know, five,
5 you know, but, but --

6 MS. RODRIGUEZ: But they're growing?

7 MS. LEDNYAK: -- it's, it's, it's
8 starting to grow and in terms of disciplines,
9 we've just seen speech, special instruction and
10 now a couple of BCBAs. Nothing, no OT, no PT, no
11 that sort of thing.

12 MS. SILVER: I, I hear everybody
13 talking about Health Home, I'm not really sure,
14 I'm still trying to understand it. But is there
15 a difference between the new Health Homes and the
16 old Medicaid Managed Care?

17 MS. LEDNYAK: Medicaid Case Management.

18 MS. SILVER: Huh?

19 MS. LEDNYAK: You meant --

20 MS. SILVER: I mean, like, like SKIP,
21 you know?

22 MS. LEDNYAK: Mm-hmm.

23 MS. SILVER: I think of them.

24 MS. LEDNYAK: SKIP is going to be a
25 Health Home provider.

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2 MS. SILVER: So it's similar to that?

3 MS. LEDNYAK: It's, it is similar to
4 that. The funding is different.

5 MS. SILVER: Okay.

6 MS. LEDNYAK: And, you know --

7 MS. SILVER: So it's a different funding
8 scheme, but it's similar, it's very similar to
9 that?

10 MS. LEDNYAK: And the eligibility is
11 broadened.

12 MS. SILVER: And the eligibility is
13 broadened.

14 MS. LEDNYAK: Right, so --

15 MS. SILVER: Okay, so that makes it
16 easy.

17 MS. LEDNYAK: So, you know, two chronic
18 conditions -- let's, let's just talk about it.
19 So two chronic conditions, asthma, obesity, it's
20 not, it's not what it is for, for, you know, for
21 these kind of what we know today to be these
22 Medicaid case management.

23 MS. SILVER: Right like these kids really
24 sick kids.

25 MS. LEDNYAK: Right. This is very

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2 different than that.

3 MS. SILVER: This is way broader?

4 MS. LEDNYAK: This is much broader, and
5 so let's say you have a child with general
6 developmental delay and the child has asthma,
7 they technically met the two requirements. So
8 that's not a --

9 MS. SILVER: Right, right, right.

10 MS. LEDNYAK: You know, the threshold
11 isn't that -- but, I mean, then, then the other
12 questions that come up afterwards is, well, what
13 is the, you know, level of need? How do you
14 determine if this child is "appropriate" for
15 Health Homes, and that's the devil's going to be
16 in the details on that.

17 MS. SILVER: And I remember, years ago,
18 you know, doing this, like maybe too long, when,
19 I mean, I was first beginning, there was, the
20 providers were encouraged to become Medicaid
21 managed care providers, because most of those
22 kids were turning to be Early Intervention kids
23 and so there were a number of agency, not a
24 number, but a couple of agencies back then that
25 did, that actually went and did that.

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2 MS. LEDNYAK: Mm-hmm.

3 MS. SILVER: I don't necessarily know if
4 they thought it was a successful thing to do at
5 that time, and I know that dealing with the
6 Medicaid managed cares that are in existence now
7 from an EI perspective, they, they didn't
8 understand EI.

9 MS. LEDNYAK: Mm-hmm.

10 MS. SILVER: It was very, very, very
11 problematic. So I think it's like deja-vu all
12 over again. I just get the sense it's -- but on
13 a much broader scale.

14 MS. LEDNYAK: Mm-hmm.

15 DR. CASALINO: So should the, the --
16 there are a lot of concerns and I think that
17 this, as I mentioned earlier, this came up at the
18 SEICC, there are a lot of concerns, there are a
19 lot of questions. As Lidiya said, there's a lot
20 of work going on --

21 MS. LEDNYAK: A lot of work.

22 DR. CASALINO: -- at the state level,
23 and fortunately the municipalities are being
24 involved in the work. Our understanding of, of
25 how this is going to happen in Early Intervention

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2 is that the children, the children and families
3 would get referred in for initial service
4 coordination. At the point of assignment of the
5 ongoing service coordination, would be the point
6 at which and we have been told this will be a
7 family decision.

8 MS. SILVER: Okay.

9 DR. CASALINO: Whether the child will
10 stay with a traditional OSC within Early
11 Intervention or the family would decide to follow
12 the path of the Health Home care coordination.
13 So there are many steps in between that have to
14 be worked out and, again, going back to our
15 concern about families, we're, we're working with
16 families that will then have to make a decision
17 at one point in time, but our concerns is the
18 ongoing service coordinators performing Health
19 Home responsibilities but being aware of the
20 Early Intervention system and functioning in the
21 role of an ongoing service coordinator.

22 [CROSSTALK]

23 MS. SILVER: The problem I think was not
24 so much the EI, the EI coordinator had to become
25 an expert in Medicaid, but even more difficult

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2 was the essentially Medicaid case manager
3 understanding what the requirements of an EI
4 service coordinator are. That was even much more
5 challenging, so.

6 DR. CASALINO: And that's why we have
7 encouraged the Early Intervention community to
8 become involved.

9 MS. SILVER: And the rate would be, I
10 guess the rate, the rate would be the rate,
11 right? You don't know?

12 MS. LEDNYAK: I'm working on the rate
13 currently.

14 MS. SILVER: Okay, more questions than
15 answers.

16 MS. LEDNYAK: Yeah, absolutely.

17 DR. CASALINO: A lot of work to be done.

18 UNIDENTIFIED FEMALE: Okay.

19 [CROSSTALK]

20 DR. CASALINO: And we have two
21 presentations.

22 MS. LEDNYAK: Okay. So AT is
23 implemented. There are issues but we're working
24 through them. We can talk about any of these
25 concerns offline. Thanks.

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2 DR. CASALINO: Jeanette

3 with[Unintelligible 01:20:15] academic

4 collaborations quickly then we will - -

5 [CROSSTALK]

6 DR. JEANETTE GONG: So while we're

7 waiting for the PowerPoint to come on, I wanted

8 to just introduce everyone to some of the faculty

9 members that we have academic partnerships with.

10 We have the chairperson, Dr. Shannon. And we

11 have professor Haroula Ntalla from Brooklyn

12 College. And then we have Jasmine Thomas,

13 Jasmine, stand up so we can see you --

14 MS. JASMINE THOMAS: Hi everybody.

15 DR. GONG: From SUNY Downstate OT. So I

16 just wanted to let everyone know that they're

17 here today, just in case anyone is very

18 interested in fieldwork placements for their

19 students. You should come and approach them

20 after the meeting today. Okay, so I'm going to

21 briefly talk about just a status report of where

22 we are in terms of the academic partnerships. Am

23 I doing this right? Okay. So just to talk about

24 what's happening with three of our main academic

25 partners. At the Brooklyn College right now,

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2 they are currently and will be meeting with
3 partners to finalize fieldwork replacements. So
4 they, they, we just actually met with the Infant
5 and Child Learning Center at the Research
6 Foundation at Downstate Medical Center and they
7 plan to also meet with TheraCare, Little Wonders,
8 University Settlement and Bellevue for other
9 fieldwork placements for their students, and they
10 were also completed their field work handbook and
11 manual, which will include the responsibilities
12 of the graduate students, the responsibilities of
13 the clinical supervisors and any relevant forms
14 or surveys and they have to complete. They're
15 also applying for continuing education credits
16 for any EI professionals or post-graduate
17 students across different disciplines who want to
18 participate in this certificate program, and
19 again for Brooklyn College, they have a State
20 Department of Education Approved Advanced
21 Certificate Program in Early Intervention and
22 Parenting. So pre-service graduate students can
23 take this course, these courses, as well as post-
24 graduate or EI professionals who are interested.
25 There are also piloting the use of technology in

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2 the Human Development I and II courses, which
3 Professor Ntalla is teaching and what they're
4 going to use is video equipment to record the
5 interaction of the student with the family and
6 the parents and then use that as a tool for self-
7 reflection and assessment of the student's use of
8 family-centered best practices and, and Embedded
9 Coaching. And they're also meeting and
10 supporting our other academic partners as they
11 come aboard with us. We had a meeting with
12 Hunter College back in early February. We met
13 with SUNY Downstate just a couple weeks ago at
14 ICLC, Infant and Child Learning Center, and we're
15 probably meeting with other partners really soon.
16 In fact, last night, I presented to the students
17 at Brooklyn College that are taking Dr. Haroula's
18 class, Ntalla's class right now, and last week I
19 met with graduate students at Teachers College,
20 part of the QUIERE program there, who are getting
21 a dual degree in Early Childhood and Early
22 Childhood Special Education. So we're doing a
23 lot of outreach in the community. And they are
24 also finalizing their evaluation plan for the
25 advanced certificate program which will include

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2 surveys completed by the students themselves and
3 by the clinical supervisors, and, and they're
4 looking at what kind of data to collect and what
5 kind of tools to use. And some of the tools
6 we're thinking about using are the Natural
7 Environments Rating Scale and Rush and Shelden's
8 Coaching Practices Rating Scale. So that's what
9 we're doing so far with Brooklyn College. That's
10 a lot that we have to do. SUNY Downstate
11 Occupational Therapy Program, they decided to
12 create a core EI curriculum for those graduate
13 students in the occupational therapy program who
14 want to work in Early Intervention after they
15 graduate. So it will consist of three courses,
16 Intro to Early Intervention, Topics in Early
17 Intervention, and then an elective in Early
18 Intervention. Two of those courses are going to
19 be online. So right now, they're creating and
20 finalizing the curriculum for those two online
21 courses and they're also working on completing
22 their fieldwork handbook as well, which also will
23 outline the responsibilities of the graduate
24 students, include the parent consents for those
25 students to work with those families and also a -

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2 - they have a self-assessment tool that they're
3 going to give each student to complete prior to
4 the start of the EI core curriculum, after they
5 finish their coursework, before they do their
6 fieldwork, after they do their fieldwork, and six
7 months after they graduate from the program to
8 get some feedback on how well the core curriculum
9 prepared them to work in Early Intervention. So
10 it's like a self-assessment for competency in
11 Early Intervention and Brooklyn College is going
12 to do the same thing. I think they're going to
13 do their post-assessment one year after to see
14 how many people end up working in Early
15 Intervention and how well the certificate program
16 prepared them for Early Intervention as well. So
17 we've been meeting also with Hunter College to
18 prepare an academic partnership with them as well
19 and they're finalizing their proposal for us to
20 review. They're thinking about providing Early
21 Intervention courses within their school of
22 continuing education. In this way, graduate
23 students or EI professionals or post-graduate
24 students from different disciplines may register
25 for these elective EI courses. We're going to

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2 maybe at the next meeting -- LEICC meeting be
3 able to report more in detail about their
4 academic partnership, and also we recently met --
5 Dr. Casalino, Lidiya Lednyak and I met recently
6 with Dr. Wolf and Dr. Wang [phonetic] at Queens
7 College and Dr. Rodriguez, Dr. Bacon and Dr.
8 Gottlieb at Lehman College to see also if they
9 would be interested in participating in some form
10 of academic partnership with the Bureau. So are
11 there any questions? It's a lot of work going
12 on. Yeah. I keep doing this and I hope I'm
13 doing this right. Is it the middle button?

14 UNIDENTIFIED FEMALE: No, the side
15 button.

16 UNIDENTIFIED MALE: The side button.

17 DR. GONG: Ahh, so because we're firming
18 up on all the field replacements, if anyone's
19 interested in working with students from Brooklyn
20 College, they can contact Amanda Lopez or Dr.
21 Shannon. If anyone's interested in working,
22 doing field work with the OT students from SUNY
23 Downstate, please speak to Jasmine Thomas who is
24 here today, that's her email as well, and Dr.
25 Beth Elenko. And the last thing I want to

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2 mention is that we have upcoming professional
3 development trainings that will happen probably
4 later this year, but we haven't finalized a date.
5 One is on Reflective Supervision Training with
6 Rebecca Shahmoon Shanok, Gail Gordon, Phyllis
7 Ackman, and Elaine Geller, and the second
8 training that we're going to offer from the
9 bureau is on bilingual evaluations with Catherine
10 Crowley. Okay, that's it. Thank you very much.

11 DR. CASALINO: Nora, just do the
12 provider update, because we're running really
13 short on time.

14 MS. PUFFETT: Sure, okay.

15 DR. CASALINO: We do have an agenda
16 item. Nora was going to talk about the new
17 committee. Do you want to -- shall we postpone
18 yours?

19 MS. PUFFETT: Yeah, don't worry about
20 it.

21 DR. CASALINO: Let's postpone Nora's
22 till the next time, because we have some guests.

23 MS. PUFFETT: That's fine.

24 [CROSSTALK]

25 DR. CASALINO: Robert Stephens?

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2 MR. ROBERT STEPHENS: Yes. Hello, how
3 is everyone?

4 [CROSSTALK]

5 MR. STEPHENS: Good but very, very
6 quickly, not going to take up a whole lot of your
7 time, my name is Robert Stephens, liaison manager
8 for the Office of Health Insurance Services,
9 actually the new Preventive Primary Care Division
10 under Dr. Bassett. The Office of Health
11 Insurance Services has enjoyed a relationship
12 with the Early Intervention Program for quite a
13 while now, for, for a few years and basically
14 what we've been doing is we've met with a number
15 of the providers actually all of them and
16 hopefully with the new providers will be meeting
17 with you as well. Then what we've been doing is
18 we've been training service coordinators on
19 referring clients or their family members who may
20 require health insurance, and it's been
21 wonderful. We thank you for the efforts that
22 you've made. We've conducted in the past three
23 to four years, we've conducted close to 200
24 trainings, meeting with close to 1700 service
25 coordinators. Of course, some of the older

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2 service coordinators and a new service
3 coordinator and we've received close to 4,000
4 referrals from you. So we thank you and we've
5 been able to assist some 800 families with health
6 insurance services. Not only do we do the health
7 insurance services, but we also assist with food
8 stamps to, to SSI and so forth. But health
9 insurance has been primary. With the advent of
10 the Affordable Care Act, the state has trained
11 our facilitator enrollers and they're now all
12 certified application counselors. So we've been
13 able to do even greater things. We've been able
14 to now assist anyone between the age of birth to
15 64 years old. And you know health insurance is
16 extremely, extremely important for the Early
17 Intervention population and the general public.
18 And so if you know of anyone, if you have any
19 concerns with your family or of neighbors or
20 anyone that you would know, please refer them to
21 us. This particular package that we've given to
22 you, you can make a contact with it. We're
23 actually right now making inroads hopefully with
24 DC 75, to gain contact with family members and
25 parents and so forth who may require health

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2 insurance services. And what we need to
3 understand is that health insurance is for
4 everyone. All of us are affected by this. So
5 again with the advent of the Affordable Care Act,
6 we are able to do even greater things. We
7 concentrate again on the Early Intervention
8 Program and their families and the EI child, the
9 sibling and family members as well. Again, we
10 can able -- we are able to assist anyone between
11 the age of birth and 64 years old. So, again, to
12 the EIP program thank you for this collaboration,
13 for the relationship, and to the providers, thank
14 you, you're doing a marvelous job. Thank you.

15 DR. CASALINO: Thank you.

16 MS. RENEE NOEL: Hi, good morning
17 everyone. I'm Renee Noel from the Bureau of
18 Child Care, Department of Health and Mental
19 Hygiene. Thank you. And I'm here to discuss
20 with you the flu mandate that was recently
21 enacted, and I wanted to let you know that it's a
22 collaborative effort between two bureaus within
23 the Department of Health: the Bureau of Child
24 Care and the Bureau of Immunization, and I have a
25 colleague here with me, Paula Francis-Crick, and

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2 she will jump in when, you know, if any of you

3 have questions. Okay, so the flu mandate, right?

4 All children ages six months to 59 months just

5 before turning five, enrolled in childcare, must

6 receive the influenza vaccine before, you know,

7 by December 31st of each year, okay? Okay, so

8 who's affected by this mandate, and the, we, we,

9 the programs that are affected by it are the

10 child, the group childcare centers that are

11 regulated under Article 47 of the New York City

12 Health Code. Also, the school-based childcare

13 regulated under Article 43. So Article 43

14 programs are children that are three to five

15 years old within a school or elementary

16 institution, educational institution. So like a

17 Yeshiva or the Archdiocese, you know, these

18 little private schools. So just that three to

19 five-year old is regulated under Article 43. So

20 those are the programs that would be affected by

21 this flu mandate, okay? So the state programs

22 are not covered under this mandate. And like, we

23 have programs that we call legally exempt, you

24 know, they are in the home. The home programs

25 are not under this mandate. The legally exempt

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2 are programs that have less than two kids or, you
3 know, they're, they're not regulated at all. And
4 so the reason behind, you know, the mandate, the
5 high, the highest priority is the daycare
6 centers, because of the environment that these
7 children are in. You know, close proximity, you
8 know, personal hygiene is not at the utmost. You
9 know, it's hard to get a two-year old to, you
10 know, cough into their arm. So that's the --
11 it's, the influenza can spread easily in that
12 sort of environment. Okay. Oh, I'm sorry. And
13 also we're, statistics were, you know, research
14 and all of that done in regards to, you know,
15 out-of-pocket expenses, out of stack, you know,
16 having to go to the emergency room. The expenses
17 that that posed. As well as parents having to
18 stay home and lost wages. Okay, so all of that
19 was put into, you know, brought up. And just
20 giving you a, you know, a visual of how it can,
21 you know, a child becomes the source of infection
22 in the entire community, in, in to the family, to
23 their peers, and to the larger community. And so
24 vaccinating the child is, is promoting herd
25 immunity. So you're helping the other

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2 individuals in your classroom as well once you
3 are being -- once you are vaccinated. Okay.
4 And, sorry. Okay, so this diagram is just, it's
5 a, it's giving you the numbers prior to the
6 influenza mandate, the flu mandate. And we don't
7 have -- we have some numbers for now, like
8 recently since the mandate. So the mandate went
9 into effect January of 2014. And so these are
10 the, the coverage of the, of the age group that
11 had at least one dose during the season, okay?
12 So as of March 11th of this year, we received the
13 data that it's at 63 percent and it's climbing
14 obviously. And so the hope is that it would go
15 up to 90, even 100 percent, but as high as
16 possible. That's the goal. Alright, so all this
17 statistical information was taken to the Board of
18 Health. It has to be approved by the Board of
19 Health prior to being enacted. And so we have
20 public comments, we had -- it, it, and
21 individuals spoke up at these public comments and
22 we took all that information and the Board made a
23 decision. And their decision was made on January
24 11th of 2014. They did -- it was enacted. So
25 programs must be in compliance by December 31st

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2 of 2015. So the reasoning behind that, we wanted
3 to do education as an enforcement, instead of
4 initially coming out and doing -- citing
5 violations. So giving people, you know,
6 programs, daycare centers, opportunities to speak
7 with their parents, you know, get all the
8 information that they need provided to their
9 parents so that by December of this year, 2015,
10 they would, they would all be, you know,
11 understand and be able to be in compliance with
12 the, with the mandate. So right now we're just
13 doing enforcement. You know, the flu mandate, we
14 had blast emails sent out to the programs letting
15 them know about the public comment. Notices
16 about the, you know, the providers after the --
17 we sent out notices to the providers after the
18 Board of Health approval. We sent out flu
19 mandate posters, letters that they can give to
20 their parents. You know, we, we, we wanted to
21 educate them and give them as much information as
22 possible. We also did presentations at specific
23 organizations. So the first year, education,
24 which is promoting, sending out materials,
25 letting them know we have a media campaign. If

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2 you've noticed in the subways, you know, there's
3 the flu posters in the subway stations. And so
4 that was our hope to make sure -- so no
5 violations are being cited. We're not issuing
6 notices of violations to any of the programs.
7 We're noting it in our reports. We're educating
8 them. We're giving them the information. And
9 year two, which is December 31, 2015, so that
10 means January of 2016 is when we begin issuing
11 the notices of violation. Okay? So programs
12 will have to be in compliance by then. So the
13 exemptions, you know, this is the main thing, you
14 know, a lot of phone calls have been coming in.
15 They want to know what to do. Parents are
16 against it. A lot of parents they don't want
17 their children vaccinated with the influenza. So
18 there are only two exemptions, for medical
19 contraindication and religious grounds. Okay?
20 So for medical, you have to get a doctor's note.
21 It has to be signed by the doctor. It cannot be
22 the doctor which we've seen, the doctor says that
23 the parent refuses to give the child a vaccine.
24 That is not acceptable. It has to be it's a
25 detriment to the child, the child is allergic to

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2 it, the -- it would be, it would be
3 counterintuitive, intuitive, you know, it won't
4 do anything for the child. So that is the only
5 reason. It has to be from a doctor and signed by
6 the doctor. The program can ask for additional
7 information. They can call the doctor. It's
8 their prerogative. They can do that. Religious
9 grounds, this is the parent giving the letter to
10 the program, you know, just with their sincere
11 and genuine religious belief. It cannot be
12 philosophical or anything like that. It's just
13 their religious belief that they don't, it's
14 against their religion to vaccinate. And, and
15 again the program, the director, the owner can
16 ask for additional information. If they wish,
17 they can ask from the clergy member or the rabbi,
18 whoever that they, they request. But as a
19 regulatory, we go in -- we, as long as we see the
20 child, in the child's record that a medical doc-,
21 the medical letter from the doctor or the
22 religious letter from the parent, we accept that.
23 We're not going to go dig further. You know,
24 it's up to the program to do that. Okay, so the
25 program's obligations. Retain completed medical

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2 forms for all children enrolled, have medical
3 forms readily available for our inspection,
4 ensure all children enrolled have the required
5 immunizations or the exemptions. Adhere to the
6 Health Department Health Code in which they are
7 governed under, which either 43 or 47, and the
8 permittee may refuse, so the program may refuse
9 to allow any child to attend a childcare service
10 if they do not have the, the vaccine or the
11 exemptions. As a -- we, when we go in, we're not
12 going to say, okay, that child -- the child
13 doesn't have anything. We are not going to say,
14 oh, send that child home. We're going to issue
15 the violation to the program and it's up to the
16 program to make that corrective action, whatever
17 that corrective action is, you know, and they can
18 exclude the child, but we won't exclude the child
19 or tell you this child must go home. Okay.
20 These are the posters. I don't know, I'm not up
21 there so I can't pull it up, but the posters are
22 online and, and readily available and in
23 different languages. Programs can call, parents
24 can call, and to our 311 call center and it can
25 be mailed out to them. And also the influenza

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2 health bulletin is available as well on our
3 website. Monitoring, all the -- oh, I can't even
4 say the word anymore -- statistical information
5 that we received is from our citywide
6 immunization registry, which doctors, most
7 pediatricians have this on, you know, their, they
8 put all the information in there and we are able
9 to monitor the what's coming in and who is being
10 covered and who is getting the immunizations.
11 And what we're doing with the programs, daycare
12 centers, is asking them to register with the
13 citywide immunization registry, which helps them
14 in a sense because they can go in as well. They
15 get their password and everything, they can go in
16 and they can see if the child is up to date with
17 their, with their immunizations. And so this is
18 what it looks like, the citywide, it's on our
19 website, citywide immunization registry. Sorry.
20 And this is our contact information, the Bureau
21 of Childcare website and borough office contact.
22 We're decentralized. So we have all -- in four
23 boroughs, not all five. Brooklyn and Staten
24 Island are together in Brooklyn. And we have
25 Queens, Bronx and Manhattan. And so all the

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2 contact information is on our website at that
3 link, and the phone number, our main number at
4 central office in Manhattan is that number I just
5 gave you, it's up there. And for immunization,
6 the Bureau of Immunization, that's their link to
7 the website and their contact information, their
8 phone number. Okay? And that's it. Question?

9 MR. TREIBER: I just have one question.

10 MS. NOEL: Sure.

11 MR. TREIBER: In terms of the exclusion,
12 the, I mean, I'm sure -- so if a program is going
13 to be cited with a violation if they, if the
14 child doesn't have the immunization, is there any
15 other documentation that they can have to show,
16 because I think the programs are in a really
17 difficult position. I mean, because if you're
18 not going to order them to send the child home,
19 and, and I as a program send the child home, the
20 parents are going to be really angry at me if I'm
21 not ordered to do it. So I think that has to
22 really be looked at.

23 MS. NOEL: Mm-hmm.

24 MR. TREIBER: And then secondarily, you
25 know, if a program has notes from a parent that

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2 they have a doctor's appointment in February or,
3 you know, late February or whatever, is that
4 sufficient to cover it? Because I think those
5 are issues that programs are going to face
6 because this year there was no enforcement so
7 programs didn't exclude kids. What I've heard
8 from program providers already is that they're
9 planning to exclude kids as of January 1st, and
10 the onus is going to be on them, if the
11 Department isn't telling them to exclude kids.

12 MS. NOEL: Right. Okay, so we'll look
13 into that, but we just, we, we would, we can't
14 really -- we don't have that really, the
15 relationship with the parent, do you understand?

16 MR. TREIBER: Mm-hmm.

17 MS. NOEL: It's the provider and the
18 parent that has that relationship. We just
19 oversee the daycare centers and the programs. So
20 that's where the obligation is. So we cite the
21 violation. We can't -- I don't know why it's
22 written in the code that it, it's the provider's
23 obli-, you know, decision. I believe, I believe
24 it's because it's their business, their
25 organization. We're just the regulatory, where

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2 it's -- I'll, I'll take it back but I'm not sure
3 why, you know, I know they, they, they, they want
4 something to fall back on. They want to say,
5 well, the Health Department told me, you know,
6 this is the, you know, and I, I'm, I've been
7 getting the calls and I understand. I've spoken
8 with parents. I've explained it to -- I
9 explained that to them as well, but I don't know.
10 I'll have to take that back.

11 MS. SILVER: I was going to just say the
12 same thing, because it's the word may.

13 MS. NOEL: Yeah.

14 MS. SILVER: That leaves it so open and
15 like Chris was saying, the parent can come in but
16 have an appointment next week and, and I know
17 that happened this, this past year. Like you
18 were saying, it wasn't enforced. So people kind
19 of gave the parents the wiggle room.

20 MS. NOEL: Mm-hmm.

21 MS. SILVER: But I think what you're
22 saying is if the daycare come and you don't have
23 documentation to the exclusions that you
24 mentioned --

25 MS. NOEL: Mm-hmm.

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2 MS. SILVER: -- but there's a child here
3 who doesn't have an immunization, then the
4 daycare is going to get a violation.

5 MS. NOEL: Yes.

6 MS. SILVER: So it's kind of like, you
7 know, kind of like a contradiction from the
8 department then, you know, like you were saying,
9 you're, you're putting the daycare or the, the
10 school at a very difficult place.

11 MS. NOEL: Okay, alright. Duly noted.
12 Paula --

13 MS. FRANCIS-CRICK: I do have a quick
14 comment. In regards to, and we're not the
15 regulatory department that oversees the childcare
16 status of the Department.

17 MS. SILVER: Okay.

18 MS. FRANCIS-CRICK: But from the Bureau
19 of Immunization's perspective, if a child has an
20 appointment, a documented appointment in the
21 record indicating that they have an appointment
22 to get a vaccine, then it's a show of good faith,
23 and most facility directors will accept that, and
24 it would be considered more like a provisional
25 the child is in a provisional status or they're

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2 showing good faith that they will get the
3 vaccine. It is then up to the daycare director
4 to follow through if the child did in fact bring
5 in documentation past that appointment date, the
6 date of the vaccine.

7 MS. SILVER: So you can speak for the,
8 for the daycare people but you're assuming that
9 if they were to come and visit but they had that
10 documentation, that they wouldn't be issued a
11 violation, and then whatever that date is, they
12 would show the documentation and send it to the -
13 -

14 MS. FRANCIS-CRICK: Yeah.

15 MS. SILVER: Okay.

16 MS. FRANCIS-CRICK: It's what was also
17 tell schools, the private schools, public
18 schools. Once a parent is showing good faith,
19 then there's no reason to exclude the child, then
20 up to the person, the director of the facility to
21 follow through and ensure that the child kept the
22 appointment, brought in documentation. If the
23 due dates have passed and there is no
24 documentation, then it is up to the director to
25 take further action, and they may exclude the

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2 child.

3 MS. SILVER: Fair enough. Thank you.

4 DR. SHULMAN: I feel the need to say as
5 the medical provider that the flu vaccines,
6 unlike all the other vaccines, flu season, you
7 really have to have it by December or you're not
8 going to be protected for the season. So this is
9 one of those instances it's not only a
10 bureaucratic date, it's an actual health
11 indicated date.

12 MS. NOEL: Okay.

13 MR. TREIBER: Any other questions?
14 Thank you very much. Thank you. Okay, so I'm
15 going to make my report really, really quick. I
16 did just want to give an update. The Early
17 Intervention Provider Association got together, I
18 think it was in December. I don't know, it was
19 snowing up in Albany, that's all I remember. And
20 we came up with a recommendation to ask the
21 legislature for a 4.8 percent increase for EI
22 rates. My understanding is that that was turned
23 down, it wasn't approved at all, what else is
24 new. And one of the things, though, that, that
25 came out from this is that there was some

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2 questions regarding the lower utilization of
3 Early Intervention services in the State of New
4 York, the governor's budget lowered the amount of
5 allocation for Early Intervention by \$4 million,
6 and part of the justification for that was that
7 there were fewer kids using Early Intervention,
8 also there was an abundance of providers. There
9 were way more providers providing the service and
10 so they didn't feel that there was a need for any
11 kind of Early Intervention increase. So one of
12 the things that the advocates did ask for was
13 legis-, in the legislation and the, in the budget
14 bill, that both the assembly and the senate put
15 out, we asked for language regarding data
16 collection and so much more intensive numbers on
17 behalf of the state and the municipalities so
18 that we would then be able to make some arguments
19 regarding the need for these increases and other
20 things, and also verify whether or not the
21 numbers have actually changed that significantly
22 to warrant changes in the amounts of money in the
23 allocation. And I don't know at this point
24 whether or not that language actually ended up in
25 the final budget bill because all I know is

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2 that's one small piece of it, but hopefully we'll
3 have an answer at some point regarding that. The
4 other thing I just wanted to give you an update
5 on was regarding the school psychology issue.
6 And it has impact in two ways. One, it certainly
7 has an impact on the children leaving Early
8 Intervention, whether or not they can be
9 evaluated or not, and the school psychology issue
10 started regarding State Education Department's
11 enforcement of regulations that school
12 psychologists are no longer seen as eligible to
13 be able to do evaluations on children who are not
14 enrolled in 4410 programs. And then the state
15 issued another revised memo saying basically they
16 weren't going to enforce it. The reality though
17 is that there are many 4410 providers that are
18 evaluation sites that are not doing evaluations
19 unless they have a licensed psychologist doing
20 them, which is someone with a PhD. It's also
21 very complicated. There's very few licensed
22 bilingual psychologists to do any kind of
23 bilingual evaluations. I participated in a
24 meeting a few weeks ago with members of the State
25 Senate, members of the Assembly, people from

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2 State Ed, Office of the Professions, and all of
3 their legal counsel regarding this issue, a
4 number of provider groups were there. And one of
5 the things they came up was a debate about
6 whether or not they were going to proceed with
7 one of two tracks. One was going to be a waiver
8 that would grant a waiver for school
9 psychologists to conduct 4410 evaluations
10 initially for kids, and then the other was
11 whether or not they would create a new licensure
12 for school psychologists. The Office of the
13 Professions and people at State Ed and others
14 said that would be a very difficult thing to
15 accomplish. So it looks like they're moving
16 towards a waiver for initial evaluations allowing
17 school psychologists to conduct those. However,
18 the language is problematic, and just so you're
19 aware, what the language in the proposed bill
20 says and it's an assembly bill 5325, it was
21 introduced by Assembly Member Glick, and the
22 challenge in the language is it basically says
23 that the person conducting these evaluations has
24 to be employed in a salary position by the center
25 based provider, and the certified school

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2 psychologist has to then be in a salaried
3 position. Well, we've already sort of gotten an
4 indication that most school psychologists right
5 now are not salaried and they are, they are hired
6 as a consultant at an hourly basis to do the
7 evaluations. So most of them would not be
8 eligible for the waiver under this. So we've
9 already started to reach out to some of the
10 members of the assembly to start to see if the
11 language can be adjusted because we don't know
12 exactly why they said salaried position. And
13 we're trying to look into that. So hopefully
14 we'll have some more updates on this.

15 MS. SILVER: But I thought that part of
16 the bill was going to be in the governor's budget
17 that is going to be due tomorrow, that's what Pam
18 had originally said --

19 MR. TREIBER: This is a separate --

20 MS. SILVER: -- that portion was going
21 to be in the budget and, and the possibility of,
22 of licensing school psychologists would be in the
23 [unintelligible 01:55:27].

24 MR. TREIBER: I'm not sure. What I know
25 is that it's a separate bill now. I don't know

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2 if it maybe [unintelligible 01:55:33].

3 MS. SILVER: I guess we'll find out
4 tomorrow.

5 MR. TREIBER: Yeah.

6 MS. SILVER: So --

7 MR. TREIBER: Okay? Alright. Thank
8 you. And then I think it's just the committee
9 reports. Cathy?

10 MS. WARKALA: I have nothing.

11 MR. TREIBER: Tracy was the new chair of
12 our policy review committee.

13 MS. LEBRIGHT: Yeah, just very quickly.
14 We reviewed revisions to the transportation
15 policy. I think the EI community happened to see
16 that parent reimbursement and car service options
17 are again going to be available for families that
18 need them. Our review led to one major
19 modification. Mainly, that distribution of Metro
20 cards and gift cards which are part of the
21 policy, is actually going to be assumed totally
22 by the Department so service coordinators and
23 providers won't be involved in that. And so
24 Chris sent the policy out to the full LEICC for
25 review last week and comments were due yesterday.

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2 So hopefully we'll be seeing that policy coming
3 out soon. I think our next task is going to be
4 tackling transition and I'm told we will actually
5 be working our way through the, most of the
6 policy now.

7 MR. TREIBER: Mary, anything?:

8 [Unintelligible 01:56:50]

9 DR. DEBEY: Jeanette has already --

10 MR. TREIBER: Okay.

11 DR. DEBEY: -- talked about the other
12 CUNY meeting this quarter on how to have the
13 family-centered emphasis throughout all Early
14 Intervention.

15 [CROSSTALK]

16 MR. TREIBER: Okay, thank you.

17

18 [END OF MEETING]

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CERTIFICATE OF ACCURACY

I, Andrew Slawsky, certify that the foregoing transcript of the Board Meeting of NYC Local Early Intervention Coordinating Council (LEICC) on March 31, 2015 was prepared using the required transcription equipment and is a true and accurate record of the proceedings.

Certified By



Date: May 8, 2015

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