



The City of New York
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**DOI ARRESTS ACCUSED IMPERSONATOR CHARGED WITH POSING AS
“DIRECTOR” OF CITY FINANCIAL INFORMATION SERVICES AGENCY**

ROSE GILL HEARN, Commissioner of the New York City Department of Investigation (“DOI”), announced today the arrest of ALLEN CAPLAN, a retired detective formerly with the New York City Police Department (“NYPD”), on charges of posing as “Director” of the City Financial Information Services Agency (“FISA”) to obtain free admission to the Institutional Investor Magazine’s Second Annual U.S. Investment Management Awards, held at the Mandarin Oriental hotel in Manhattan last night. DOI began investigating last week after FISA reported an individual unknown to that agency was listed as participating in the event, which FISA would have no reason to attend. DOI arrested CAPLAN last night at the event. The office of New York County District Attorney Cyrus R. Vance, Jr., is prosecuting the case.

DOI Commissioner Rose Gill Hearn said, “The defendant fraudulently used the name of a City agency to gain free access to an expensive, private sector financial awards dinner, according to the criminal complaint. Impersonating a City official can have a variety of problematic consequences, which is why it is a crime. DOI thanks FISA for referring this matter to us.”

CAPLAN, 52, of Manhattan, was charged early Tuesday morning, May 17, 2011, with Attempted Grand Larceny in the Fourth Degree and Criminal Impersonation in the Second Degree, class A misdemeanors. Upon conviction, a class A misdemeanor is punishable by up to a year’s incarceration. CAPLAN is expected to be arraigned today in Manhattan Criminal Court.

According to the criminal complaint, the defendant sent an email to a representative of the event indicating he was “David Kanovitz, Director of the Financial Information Services Agency (FISA), a city agency,” and was granted a free invitation to the Institutional Investor Magazine’s Second Annual U.S. Investment Management Awards, which costs \$1,100 per seat. A DOI investigator in an undercover capacity during last night’s event observed the defendant identify himself as “David Kanovitz,” take an ID badge with the same name, and affix it to his jacket pocket. When asked by a DOI investigator where he was from, the defendant replied from the City of New York. The investigation determined that the defendant was granted free admission to the event only because he misrepresented himself as the “Director” of FISA.

The Institutional Investor Magazine’s Second Annual U.S. Investment Management Awards recognizes financial professionals for achievements in the investment field, according to the event’s website.

CAPLAN worked for the NYPD from January 1984 through February 2009 when he retired. His annual base salary was approximately \$87,186.

Commissioner Gill Hearn thanked FISA Executive Director Robert W. Townsend and New York County District Attorney Cyrus R. Vance, Jr., and their staffs, for their assistance in this investigation. Gill Hearn also thanked officials from the financial event and hotel security.

The investigation was conducted by DOI’s Office of Inspector General for FISA and DOI’s Squad of NYPD detectives.

Assistant District Attorney Lee F. Langston of the New York County District Attorney’s Office is prosecuting the case.

A criminal complaint is merely an accusation. A defendant is presumed innocent until proven guilty.

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