

HPD Violations Open Data

Pursuant to New York City’s Housing Maintenance Code, the Department of Housing Preservation and Development (HPD) issues violations against conditions, in rental dwelling units and buildings, that have been verified to violate the New York City Housing Maintenance Code (HMC) or the New York State Multiple Dwelling Law (MDL).

The base data for this file is all violations **open as of October 1, 2012**. All violations issued since that date have been added to the file and the current status of the violation is provided. The file is updated daily with status changes and newly issued violations. An open violation is a violation which is still active on the Department records. See the status table for determining how to filter for open violations versus closed violations, and within open violations for a more detailed current status.

The property owner may or may not have corrected the physical condition if the status is open. The violation status is closed when the violation is observed/verified as corrected by HPD or as certified by the landlord. The processes for having violations dismissed are described at <http://www1.nyc.gov/site/hpd/owners/compliance-clear-violations.page>

Violations are identified by a Violation ID.

Data Dictionary

The ViolationOpenData element is the root element and contains some descriptive elements as well as the Violations collection, which contains Violation elements. Each Violation element contains elements about the building, the violation, and the associated Notice of Violation (NOV) sent to the owner or other responsible party.

| <i>ViolationOpenData Element Element Name</i> | Data Type | Description |
|--|------------------|--|
| Description | String | a brief description of the data set |
| InformationURL | String | a URL where related information can be found |
| SourceAgency | String | the agency providing the data (HPD) |
| SourceSystem | String | the agency’s system for managing the data |
| StartDate | dateTime | the start date of the period covered by the file |
| EndDate | dateTime | the end date of the period covered by the file |

| <i>Violation Element Element Name</i> | Data Type | Description |
|--|------------------|---|
| Violation Status | String | Status of Violation(Open / Close) |
| ViolationID | Long | Unique identifier of Violation |
| BuildingID | Long | Unique identifier of building |
| RegistrationID | Long | Unique identifier of valid registration information |

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|-----------------------|-------------|---|
| BoroID | Int | |
| Boro | LookupValue | Address information for the building |
| HouseNumber | String | |
| LowHouseNumber | String | |
| HighHouseNumber | String | |
| StreetName | String | |
| StreetCode | Long | |
| Zip | String | |
| Apartment | string | |
| Story | Int | Floor of violation |
| Block | Int | Tax block for building |
| Lot | Int | Tax lot for building |
| Class | string | Indicator of seriousness of the violations, where A is the least serious and C is the most serious. Class I violations are informational notices that do not have certification periods associated; they indicate something about the status of the property: that an Order is open against the property (example: Order to Repair/Vacate Order) or that a property is vacant or that a property is not validly registered. |
| InspectionDate | dateTime | Date when the violation was observed |
| ApprovedDate | dateTime | Date when the violation was approved |
| OriginalCertifyByDate | dateTime | Original date by when the owner was to inform HPD that the violation as corrected |
| OriginalCorrectByDate | dateTime | Original date by when the owner was to correct the violation |
| NewCertifyByDate | dateTime | If a postponement is granted, a new certify by date is established. |
| NewCorrectByDate | dateTime | If a postponement is granted, a new correct by date is established. |
| CertifiedDate | dateTime | Date when the violation was certified by the owner. |
| OrderNumber | string | Reference to the abstract description of the violation condition which cites a specific section of the law which is in violation |
| NOVID | Long | Unique identifier of the Notice of Violation sent to the owner |
| NOVDescription | string | Description of the violation |
| NOVIssueDate | Date | Date when the NOV was sent |
| Current Status ID | Int | |
| CurrentStatus | LookupValue | Violation status (see below for details) |
| CurrentStatusDate | dateTime | Date when the current status when into effect |
| NOVTYPE | String | Original / Reissued Notice of Violation. For more information on the Reissuance process, see http://www1.nyc.gov/site/hpd/owners/compliance-clear-violations.page . |

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| <i>Boro Lookup Value Element</i> Element Name | Data Type | Description |
|--|------------------|---|
| GroupName | String | "Boro" |
| SeqNo | Long | Boro code (1 = Manhattan, 2 = Bronx, 3 = Brooklyn, 4 = Queens, 5 = Staten Island) |
| ShortName | String | "MN", "BX", "BK", "QN", "SI" |
| LongName | String | "Manhattan", "Bronx", "Brooklyn", "Queens, "Staten Island" |
| Order | Int | Not applicable |

| <i>CurrentStatus Lookup Value Element</i> Element Name | Data Type | Description |
|---|------------------|--------------------|
| GroupName | String | "ProblemStatus" |
| SeqNo | Long | See below |
| ShortName | String | See below |
| LongName | String | See below |
| Order | Int | Not applicable |

CurrentStatus Values

| SeqNo | ShortName | LongName | Definition | OPEN OR CLOSED? |
|--------------|------------------|-----------------------|--|------------------------|
| 1 | OPEN | VIOLATION OPEN | The violation is active and still requires action from the owner or the violation has been properly certified and is pending the statutory period for reinspection or dismissal. | OPEN |
| 2 | NOV SENT | NOV SENT OUT | The Notice of Violation was sent to the registered owner or "Owner of the Premises". | OPEN |
| 3 | NOV CERT | NOV CERTIFIED ON TIME | The violation was certified as corrected by the Owner on time and properly. | OPEN |
| 4 | NOV LATE | NOV CERTIFIED LATE | The violation was certified as corrected by the Owner but was not submitted to HPD in a timely manner. Therefore, the certification was not acceptable. | OPEN |

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| 6 | POSTP GRANT | CERTIFICATION POSTPONMENT GRANTED | The property owner applied to HPD to extend the correction date for the violation, and HPD granted the request. The new correction and certification date is in the columns NEW CORRECT BY DATE and NEW CERT BY DATE. | OPEN |
| 7 | POSTP DENIED | CERTIFICATION POSTPONMENT DENIED | The property owner applied to HPD to extend the correction date for the violation, and HPD denied the request. The condition must be corrected by the original correction date. | OPEN |
| 8 | FALSE CERT | FALSE CERTIFICATION | The violation was certified as corrected by the Owner on time and properly. A subsequent re-inspection of the condition by HPD found that condition had not been properly corrected. The violation is open and is subject to civil penalties for false certification. | OPEN |
| 11 | OPEN | VIOLATION WILL BE REINSPECTED | HPD will be attempting to reinspect this violation. | OPEN |
| 19 | DISMISSED | VIOLATION CLOSED | The violation has been closed. | CLOSED |
| 20 | DEFECT LETTER | DEFECT LETTER ISSUED | The violation condition was observed corrected by the Department. However, documentation required to close the violation has not yet been received and the violation remains open. | OPEN |
| 21 | NOT COMPLIED | NOT COMPLIED WITH | A reinspection of the violation found that the condition still exists as of the status date. | OPEN |
| 22 | 1 NO ACCESS | FIRST NO ACCESS TO RE- INSPECT VIOLATION | HPD attempted to reinspect the violation but there was no access. The violation remains open. | OPEN |
| 23 | 2 NO ACCESS | SECOND NO ACCESS TO RE- INSPECT VIOLATION | HPD made a second attempt to reinspect the violation but there was no access. The violation remains open. | OPEN |
| 24 | OPEN | VIOLATION REOPEN | The violation was returned to open status from closed. | OPEN |
| 27 | INFO NOV SENT | INFO NOV SENT OUT | Info NOVs are notice that an order has been issued by the Department. These orders do not have associated certification or correction dates and are used to serve notice upon current | OPEN |

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|----|-------------------|----------------------------|---|------|
| | | | and future owners regarding open agency Orders. | |
| 28 | CERT INVALID | INVALID CERTIFICATION | A certification has been submitted but was not acceptable due to a defect in the certification documentation. | OPEN |
| 36 | PARTIAL ACCESS | COMPLIED IN ACCESS AREA | This status applies only to window guard violations. An inspection resulted in a finding that some window guards had been installed, but that there was no access to confirm installation in all windows. | OPEN |