

IMPORTANT UPDATES TO THE GUIDE TO LOCAL LAW #1 OF 2004 WORK PRACTICES

(updated September 2020)

Local Law 1 of 2004 requires that owners follow and retain evidence that safe work practices were used for all repair work in a dwelling unit or building common area where a child under the age of six resides when more than two square feet (or 10 percent of the total surface area of small component) of lead-based paint or paint of an unknown lead content is disturbed. This applies to buildings built prior to 1960 where the law presumes there is lead-based paint, or building built between 1960 and 1978 when the owner is aware of there being lead-based paint.

Please note the following changes to Local Law #1 that recently became effective as you review the enclosed Guide to Local Law #1 of 2004 Work Practices:

1. As of January 1, 2020, the term “reside” now means that a child under six years of age lives in the dwelling unit in a pre-1960 building, OR a child under six years of age routinely spends 10 or more hours per week in such a dwelling unit.
2. Effective August 9, 2020, Local Law 31 of 2020 states that an owner must use an Environmental Protection Agency (EPA) certified inspector or risk assessor, independent of the owner or any firm hired to perform lead-based paint remediation, to test for the presence of lead-based paint in all dwelling units. The inspection must take place within five years of the effective date of the law (by August 9, 2025) or within one year if a child under the age of six comes to reside in the unit (whichever is sooner).

Please review this Guide in its entirety so that you are aware of the requirements regarding the treatment of painted surfaces if your building was built prior to 1960, or if your building was built between 1960-1978 and you are aware that there is lead-based paint in the building.

Note regarding window and door friction surfaces: Although these surfaces are not required to be abated related to a lead-based paint violation (only remediated), they do have be addressed such that they must not create or allow continued binding surfaces, otherwise this will be determined as non-compliance. Since window and door friction surfaces are required to be abated at turnover, HPD strongly encourages owners to abate friction surfaces whenever positive lead-based paint violations are issued. This will result in a safer apartment and fewer lead positive tests when you are testing pursuant to the Local Law 31 requirements.

If you have questions regarding how lead-based paint work should be conducted, you can contact HPD’s Lead-Based Paint Inspection at (212) 863-5501 or visit the [HPD Lead-Based webpage](#).

Additional updates regarding changes to lead-based paint requirements and to general maintenance responsibilities of property owners are available on the [HPD Housing Quality / Safety webpage](#).

Other Languages: 繁體中文 | Español | Русский | 한국어 | Kreyòl ayisyen | افرسی

**GUIDE TO LOCAL LAW #1 OF 2004 WORK
PRACTICES**

THIS GUIDE IS INTENDED FOR INFORMATIONAL PURPOSES ONLY, AND IS NOT A COMPLETE OR FINAL STATEMENT OF ALL OF THE DUTIES OF OWNERS WITH REGARD TO LEAD-BASED PAINT HAZARDS AND VIOLATIONS OR REPAIR AND RENOVATION WORK. FOR A COMPLETE STATEMENT OF THE LAW CONSULT HOUSING MAINTENANCE CODE ARTICLE 14 (ADMINISTRATIVE CODE §27-2056.1 ET SEQ.), CHAPTER 11 OF TITLE 28 OF THE RULES OF THE CITY OF NEW YORK, AND NEW YORK CITY HEALTH CODE §173.14

**COPIES OF THE HPD RULES AND THE LAW ARE AVAILABLE AT:
http://www.ci.nyc.ny.us/html/hpd/html/homeowner/lead_paint.shtml.**

**INFORMATION ABOUT HOW TO CORRECT LEAD HAZARDS WHERE AN ORDER HAS BEEN ISSUED BY THE COMMISSIONER OF HEALTH IS AVAILABLE AT:
<http://www.ci.nyc.ny.us/html/doh/html/pub/pub.shtm?l=lead>.**

INFORMATION ON WORKER TRAINING AND CERTIFIED TRAINING PROVIDERS IS AVAILABLE AT: www.epa.gov/lead.

Owners must correct all lead-based paint hazards and lead-based paint hazard violations in dwelling units where a child under the age of 6 resides. A lead-based paint hazard is any condition in a dwelling or dwelling unit where a child under age 6 resides that causes exposure to lead from lead-contaminated dust, from lead-based paint that is peeling, or from lead-based paint that is present on chewable surfaces, deteriorated subsurfaces, friction surfaces, or impact surfaces that would result in adverse human health effects. All paint in a pre-1960 multiple dwelling unit where a child under age 6 resides and in the common areas of such multiple dwellings is presumed to be lead paint. Correcting lead-based paint hazards and violations promptly using proper work practices and properly trained workers is critical in preventing lead poisoning in young children.

Lead-based paint hazards must be corrected in accordance with the work practices set forth in section 11-06 of Chapter 11 of Title 28 of the Rules of the City of New York (28 RCNY §11-06), and trained workers must be used to do the work. Lead-based paint violations issued by the Department of Housing Preservation and Development must also be corrected using special work practices and trained workers, as set forth in 28 RCNY §11-06. In addition, ANY repair or renovation work in dwelling units where a child under age 6 resides, that disturbs lead-based paint or paint of unknown lead content, is subject to these work practices and must be performed by trained workers. Finally, orders issued by the

Department of Health and Mental Hygiene for correction of violations where a child has been identified to have an elevated blood lead level, must be corrected in accordance with New York City Health Code § 173.14.

Throughout this guide, except where otherwise specified, the words, "department" and "HPD" mean the New York City Department of Housing Preservation and Development, and the acronym "DOHMH" means the New York City Department of Health and Mental Hygiene. The term, "this section" refers to the provisions of this guide as set forth herein.

The following work practices apply to work performed in a pre-1960 multiple dwelling or in a multiple dwelling built between 1960 and 1978 where an owner knows there is lead paint, when the work is performed either in a dwelling unit in which a child under age 6 resides or in the common areas of such a building.

Safe Work Practices.

(a) Filing Procedures for Work Disturbing more than 100 square feet of Painted Surface per Room where no Lead-based Paint Hazard Violation has been issued by HPD. For work that will disturb more than 100 square feet of lead-based paint or paint of unknown lead content per room, or involves the removal of two or more windows where no lead-based paint hazard violation has been issued by HPD an owner must file a notice of commencement of the work. The notice must be filed with DOHMH not less than ten days prior to beginning the work.

(b) Licensing and Training.

(1) Abatement. All work conducted as part of an abatement must be performed by firms and personnel certified to perform lead-based paint activities in accordance with regulations issued by the United States Environmental Protection Agency (EPA) at subpart L of 40 CFR part 745 for the abatement of lead hazards, or successor rule. See 28 RCNY §11-01(a) for the definition of "abatement".

(2) **Lead-Based Paint Hazard Violations issued by HPD** and Work Disturbing more than 100 square feet of Painted Surface per Room. Work ordered by HPD to correct a lead-based paint hazard violation issued under article 14 of the Housing Maintenance Code, or work that disturbs more than 100 square feet of lead-based paint or paint of unknown lead content per room, or involves the removal of two or more windows, must be performed by a firm certified to perform lead abatement by the EPA in accordance with subpart L of 40 CFR part 745 or successor rule. Workers conducting such work must be trained, at a minimum, in accordance with the regulations issued by the United States Department of Housing and Urban Development (HUD) at 24 CFR §35.1330(a)(4), or successor rule, or under an equivalent program approved by HPD or DOHMH.

Such work must be followed by clearance dust testing performed by a independent third party who has been properly trained.

(3) Work Disturbing less than 100 square feet of Painted Surface per Room where no Lead-based Paint Hazard Violation has been issued by HPD. Work performed that will disturb less than 100 square feet of lead-based paint or paint of unknown lead content per room, where no lead-based paint hazard violation has been issued by HPD, must be performed by workers trained under regulations issued by HUD at 24 CFR §35.1330 (a)(4), or successor rule, or under an equivalent program approved by HPD or DOHMH.

Such work must be followed by clearance dust testing performed by a independent third party who has been properly trained.

(4) Turnover Work. Work performed in a dwelling unit upon turnover (vacancy of a unit) in accordance with §27-2056.8 of article 14 of the Housing Maintenance Code and 28 RCNY §11-05. No person shall perform a lead-contaminated dust clearance test pursuant to this paragraph unless such person is a third party, who is independent of the owner and any individual or firm that performs the work upon turnover. Personnel performing lead-contaminated dust clearance testing after completion of such work must be trained in accordance with regulations issued by HUD, or successor rule, or under an equivalent program approved by HPD or DOHMH.

(c) Recordkeeping. An owner must keep a record of all work performed pursuant to this section and such records must be maintained for a period of ten years from the date of completion of the work, or transferred to a subsequent owner and maintained during such time period, and made available to HPD upon request.

(d) Work Methods.

Minimizing dust dispersion. Work must be carried out in such a manner as to minimize the penetration or dispersal of lead contaminants or lead-contaminated materials from the work area to other areas of the dwelling unit and building or adjacent outdoor areas. Repair of lead-based paint hazard violations may be performed by wet sanding, wet scraping, removal, enclosure, encapsulation, replacement or abatement except where otherwise specified in article 14 of the Housing Maintenance Code or the rules promulgated there under. All plastic sheeting used must be six-mil polyethylene.

(e) Prohibited Methods. The following methods must **not** be used while performing work that disturbs lead-based paint or paint of unknown lead content under the circumstances described in this guide:

(1) Open flame burning or torching.

(2) Machine sanding or grinding without HEPA (high efficiency particulate air filter) local exhaust control.

(3) Abrasive blasting or sandblasting without HEPA local exhaust control.

(4) Heat guns operating above 1100 degrees Fahrenheit or charring the paint.

(5) Dry sanding or dry scraping.

(6) Paint stripping in a poorly ventilated space using a volatile stripper that is a hazardous substance in accordance with regulations of the United States Consumer Product Safety Commission at 16 CFR §1500.3, and/or a hazardous chemical in accordance with the United States Occupational Safety and Health Administration regulations at 29 CFR §§1910.1200 or 1926.59, as applicable to the work.

(f) Work Practices and Surface Finishing.

(1) All tools and materials used when disturbing paint lead-based paint or paint of unknown lead content must be used in accordance with the manufacturer's instructions.

(2) All work activities must be performed using standard construction and treatment methods, and in accordance with manufacturer's instructions, where applicable.

(3) All surfaces where paint has been disturbed must be sealed and finished with appropriate materials. Underlying surface substrates must be dry and protected from future moisture before applying a new protective coating or paint, and all paints and coatings must be applied in accordance with the manufacturer's recommendations.

(g) Occupant Protection.

(1) Lead-Based Paint Hazard Violations issued by HPD and Work Disturbing more than 100 square feet of Painted Surface. Work ordered by HPD to correct a lead-based paint hazard violation or work that will disturb more than 100 square feet of lead-based paint or paint of unknown lead content, or that involves the removal of two or more windows, must be performed in accordance with the following requirements:

(i) Postings. No later than twenty-four hours prior to beginning work a warning sign of at least 8-1/2" by 11" with letters at least one inch high, reading as follows: WARNING: LEAD WORK AREA - POISON - NO SMOKING OR EATING must be posted adjacent to the work area and must remain in place until the work area has been cleared for re-occupancy. In addition, a notice of commencement of work information submitted to DOHMH, where applicable, must be posted at the entrance to the dwelling and at the entrance to the dwelling unit.

(ii) Pre-cleaning and protecting moveable

items. Objects and floors in the work area must be cleaned and then objects must either be moved or protected by plastic sheeting.

(iii) Sealing vents. Forced-air systems within the work area must be turned off and covered with plastic sheeting.

(iv) Affixing doorway entrance flap. The work area must be sealed off from non-work areas by taping with waterproof tape and plastic sheeting to create a flap opening into the work area.

(v) Covering floors. The floor of the work area must be covered with at least two sheets of plastic sheeting.

(vi) Sealing openings. All openings, including windows, except those required to be open for ventilation, not sealed off or covered in accordance with this section, must be sealed with two layers of plastic sheeting.

(vii) Instructing occupants. Occupants must be instructed by the owner and contractor to avoid entering the work area until final clearance levels have been achieved.

(viii) Hazardous materials. All hazardous materials must be delivered to the building and maintained during the course of the work in their original containers bearing the manufacturer's labels, and all material safety data sheets, as may be required by law, must be on-site and made available upon request to the occupants of the dwelling unit.

(ix) Clean-up and lead-contaminated dust clearance testing procedures:

(A) Daily clean-up. At the completion of work each day, the work area must be thoroughly wet-mopped or HEPA-vacuumed. In addition, any work area and other adjoining area exposed to lead or lead-contaminated materials must be cleaned as follows:

(a) Large debris (e.g., door, windows, trim). Large debris must be wrapped in plastic sheeting, sealed with waterproof tape, and moved to the area designated for trash storage on the property to be properly disposed of in a lawful manner.

(b) Small debris. Small debris must be HEPA-vacuumed or wet swept and collected. Dry sweeping is prohibited.

(c) Clean-up adjacent to the work area. On a daily basis, as well as during final clean-up, the area adjacent and exterior to the work area must be examined visually to ensure that no lead debris has escaped containment.

(d) Supply storage. Upon finishing work for the day, all work supplies must be stored in sealed containers or removed from the premises, in a lawful manner.

(B) Final clean-up. Final cleaning must be performed as follows, in the following sequence:

(a) The final cleaning process may not start sooner than one (1) hour after paint disturbance activities have been completed, but before repainting, if necessary.

(b) First, all plastic sheeting must be sprayed with water mist and swept prior to removal, and placed in double four-mil or single six-mil plastic bags. Plastic bags must be sealed and properly disposed of in a lawful manner.

(c) Second, all surfaces in the work area must be HEPA-vacuumed.

(d) Third, all surfaces in the work area must be washed with a detergent solution. Wash water must be properly disposed of in a lawful manner.

(e) Fourth, all surfaces exposed to lead dust generated by the lead-based paint disturbance process must be HEPA-vacuumed again.

(f) Fifth, all surfaces must be inspected to ensure that all surfaces have been cleaned and all visible dust and debris have been removed.

(C) Final inspection. After final clean-up, and re-painting if necessary, has been completed, a final inspection must be made by a third party retained by the owner who is independent of the owner and the contractor. The final clearance evaluation must include a visual inspection and lead-contaminated dust clearance testing. Three wipe samples must be collected and tested from each room or work area; one wipe sample each must be taken from a window well, a window sill and the floor. In addition, lead-contaminated dust clearance samples must be collected and tested from the floor in rooms or areas immediately adjacent to the work area.

(D) Clearance for re-occupancy. Lead-contaminated dust levels in excess of the following constitute contamination and require repetition of the clean-up and testing process in all areas where such levels are found. Areas where every lead-contaminated dust sample result is below the following levels may be cleared for re-occupancy:

Samples taken prior to June 12, 2019:

- Floors: 40 micrograms of lead per square foot
- Window Sills: 250 micrograms of lead/square foot
- Window Wells: 400 micrograms of lead/square foot

Samples taken on/after June 12, 2019:

- Floors: 10 micrograms of lead per square foot
- Window Sills: 50 micrograms of lead/ square foot
- Window Wells: 100 micrograms of lead/ square foot

Only upon receipt of laboratory test results showing that the above dust lead levels are not exceeded in the dwelling may the work area be cleared for permanent re-occupancy. However, temporary access to work areas may be allowed, provided that clean-up is completed and dust test samples have been collected in compliance with this section. The owner must provide all lead-contaminated dust clearance test results to the occupants of the dwelling or dwelling unit.

(E) Relocation. An owner must request that an occupant temporarily relocate where it appears that work cannot be performed safely with occupants

in residence. Such owner must offer a suitable, decent, safe and similarly accessible dwelling unit that does not have lead-based paint hazards to such occupants for temporary relocation. Unreasonable refusal by such occupants to relocate pursuant to such offer constitutes a refusal of access under Housing Maintenance Code §§27-2009 and 27-2056.4(b), and, where applicable, 9 NYCRR §2524.3(e). Relocation is not required provided that work can be done safely with occupants in residence, and that at the end of each day of work, the work area is properly cleaned as specified in subdivision (g)(1)(ix)(A) of this section; occupants have safe access to areas adequate for sleeping; occupants have bathroom and kitchen facilities available to them; occupants have safe access to entry/egress pathways; and the work does not create other safety hazards (e.g., exposed electrical wiring or holes in the floor).

(F) Temporary access to the work area when occupants not relocated. When occupants are not relocated, temporary access may be allowed to areas in which work is in progress after work has ceased for the day, provided that at the end of each work day:

(a) Any work area to be accessed is properly cleaned as specified in the daily clean-up requirements of subdivision (g)(1)(ix)(A) of this section and the final clean-up requirements of subdivision (g)(1)(ix)(B)(b) through (d) and (f);

(b) There are no safety hazards (including, but not limited to, exposed electric wiring or holes in the floor) or covered vents;

(c) Floor coverings containing leaded dust and debris and hazardous materials are removed;

(d) Floors in the work area are re-covered with a non-skid floor covering securely taped to the floor;

(e) Work areas are prepared in accordance with the requirements above when work recommences; and

(f) At the end of each work day, and before access is permitted, a checklist indicating compliance with these conditions is completed and signed by the person responsible for overseeing the work. No person shall make a false, untrue or misleading statement or forge the signature of another person on any document or record required to be prepared pursuant to these rules.

(g) Temporary access in accordance with these provisions may be allowed for no longer than five days. If work has not resumed within five days, temporary access may continue only if the person responsible for overseeing the work has repeated the actions required by clauses (a) through (f) of this subparagraph (F). Nothing herein shall extend the time for compliance with any violation issued pursuant to article 14 of the Housing Maintenance Code.

(2) Work Disturbing less than 100 square feet of Painted Surface per Room where no Lead-based Paint Hazard Violation has been issued by HPD. Work that will disturb less than 100 square feet of

lead-based paint or paint of unknown lead content per room where no lead-based paint hazard violation has been issued by HPD must be performed in accordance with the following requirements:

(i) Postings. A warning sign must be posted in accordance with subdivision (g)(1)(i) of this section and caution tape must be placed across the entrance to the work area.

(ii) Pre-cleaning and protecting moveable items. Must be performed as described in subdivision (g)(1)(ii) of this section.

(iii) Covering floors. The floor of the work area must be covered with plastic or equivalent sheeting as described in subdivision (g)(1)(ii) of this section.

(iv) Sealing openings. Where applicable, forced air systems in the work area must be turned off and any openings in the work area must be sealed as described in subdivision (g)(1)(iii) and (iv) of this section.

(v) Instructing occupants. Occupants must be instructed by the owner and contractor to avoid entering the work area until final clean up has been completed.

(vi) Hazardous materials. All hazardous materials must be handled as described in subdivision (g)(1)(viii) of this section.

(vii) Clean-up and lead-contaminated dust clearance testing must be conducted in accordance with subdivision (g)(1)(ix) of this section.

(viii) Relocation and temporary access to work areas when occupants are not relocated, where provided, must be performed in accordance with (g)(1)(ix)(E) and (F) of this section.

(3) Turnover¹. Work performed in a dwelling unit on turnover in accordance §27-2056.8 of article 14 of the Housing Maintenance Code.

(i) Preparation. The procedures described in subdivision (g)(2)(i)-(iv) of this section must be followed.

(ii) Clean-up. At the completion of work, the work area must be thoroughly wet-mopped or HEPA-vacuumed and a visual examination must be conducted in the work area and the area adjacent and exterior to the work area. Any noted lead-contaminated dust or debris must be wet-mopped or HEPA-vacuumed. All work supplies must be stored at the end of each work day in sealed containers or removed from the premises, in a lawful manner.

(iii) Lead-contaminated dust clearance testing. Lead-contaminated dust clearance testing must be conducted in accordance with subdivision (g)(1)(ix)(C)-(D) of this section.

¹Under HPD's rules, "Turnover" means the new occupancy of a dwelling unit after termination of a prior tenancy, and does not include temporary relocation of a tenant. Owners must repair all lead hazards in any pre-1960 multiple dwelling unit or in any pre-1960 non owner occupied private dwelling unit. Owners must certify that they have complied with the turnover requirements in a notice provided to the new occupant.