
Madison Avenue wends its way through three New York City Historic Districts: The Upper East Side Historic District, the Metropolitan Museum Historic District, and the Carnegie Hill (and Extension) Historic District. Within these historic districts the avenue features a number of distinct building types, including converted rowhouses, apartment buildings, hotels and commercial structures, as well as churches, banks and mansions. Existing in varying ratios at different points along the avenue, these building types, together with their blend of contemporary and historic storefront design, create a consistent and special character that generally defines Madison Avenue in these three historic districts.

Among the many architectural changes that began to occur in the early twentieth century was the conversion of single-family rowhouses on Madison Avenue to accommodate shops or offices. This typically occurred by removing the rowhouse stoop and constructing a two-story (sometimes one- or three-story) addition. This type of “converted” rowhouse is found throughout Madison Avenue, with higher concentrations of these buildings in the southern part of the avenue. While these commercial additions express a rhythm and scale that characterize the streetscape, these additions never related to the upper floors of the rowhouses in terms of their design, scale or materials.

The avenue has long been a premier shopping street, both for residents and visitors. This retail activity is reflected in the contemporary architecture of the storefronts along the avenue. Most of the shops have a transparency derived from their large areas of glass which contrasts with the rich masonry facades of the rowhouses and apartment buildings which rise above them. The variety and transparency of the storefronts, and their contrast with the solid and stylistically detailed upper floor facades of the rowhouses and apartment houses help make Madison Avenue one of the most vibrant streets in the city.

Altogether, the converted rowhouses and the commercial bases of the later apartment, hotel and infill buildings, create a one- to two-story band of retail activity that is a strong component of the character of the avenue. Sometimes the streetscape is characterized by two-story band of converted rowhouses; other times the character is defined by a band of commercial architecture of varying heights due to a more diverse mixture of building types. In the Metropolitan Museum Historic District, the streetscape is characterized by converted rowhouses with one or two story commercial extensions.

The proposed District Master Plan for Storefronts on Madison Avenue in the Metropolitan Museum Historic District ("Master Plan") recognizes that the storefronts lining Madison Avenue undergo frequent change. The objective of the Master Plan is to provide owners, architects and store tenants with general design criteria that will allow quick review of storefront alterations while protecting the architecturally and historically significant features of the buildings. The Master Plan will cover buildings on Madison Avenue within the Metropolitan Museum Historic District. Additionally, at corner buildings the Master Plan will cover the commercial portions of a building facing onto both Madison Avenue and a side street.

The Master Plan uses a color coded format to categorize existing storefronts into two groups based on their remaining significant architectural features: Green and Red. The Master Plan will authorize
staff to issue permits for new storefronts and alterations to existing storefronts if the proposed work meets the criteria associated with the building's color category.

It is important to note that the Master Plan primarily concerns changes to storefront infill. Proposed alterations to the enframement (the lintels, cornice, parapet, and piers that define the storefront opening) usually fall outside the scope of the Master Plan because the enframement is usually historic material. This is reflected graphically by the fact that most piers are not color coded. However, with respect to proposed alterations to some or all of the piers on commercial extensions on rowhouses that are currently clad in modern materials (and which are coded GREEN), modifications may be permitted as follows: (a) if historic piers remain underneath the modern cladding these piers must be uncovered and restored; and (b) if historic piers do not exist underneath the cladding but exist elsewhere around the storefront opening, the new piers must replicate the historic design; and (c) if no historic piers remain anywhere around the storefront opening the existing piers may be modified provided that the entire multistory enframement, the piers and lintel, are modified together and designed and treated as a single architectural component.

(a) Summary of Categories and Scope of Staff-Approved Work

The following is a brief description of storefront categories and permitted alterations. It must be emphasized that the coding is based on a visual analysis of the existing storefront fabric, and that coding of storefronts as GREEN represents a presumption that no historic fabric exists. Historic fabric may be concealed or obscured by modern fabric and, therefore, each application will be carefully reviewed and investigative probes may be required to determine the existence of historic fabric. If original or historic fabric is uncovered during the probes or new storefront construction, work must cease and the Commission should be notified immediately. The Commission reserves the right to amend or revoke the permit, upon written notice to the applicant, in the event that the actual building or site conditions are materially different from those described in the application and in the Master Plan, and to re-categorize the storefront based on the new information.

GREEN - Storefronts in converted brownstones or buildings planned for shops on lower floor(s) that have no remaining significant architectural features.

For GREEN coded storefronts, the staff may approve new storefronts if the new storefront design conforms to the criteria relating to location (color-coded area), storefront articulation (maintaining the modularity, the horizontal division between the first and second floor, and the street wall), transparency, and the storefront features including, awnings, signage, security gates, lighting and air conditioners and louvers, also conform to the standards in the Master Plan.

RED - Historic storefronts. This category requires the retention and restoration of historic storefronts.

Applications for new storefronts or alterations to existing storefronts that do not meet the requirements for staff level approval under the criteria of the Master Plan shall be subject to the LPC's usual review procedures as set forth in the Landmarks Law.

(b) How to Use This Master Plan
The intent and scope of this master plan primarily covers changes to storefront infill. In certain situations piers and lintels have also been coded because they have been previously altered. Areas of the drawings that are not coded are not covered under the Master Plan, with the exceptions discussed below. For example, the non-commercial upper floors of buildings, piers, lintels, intermediate cornices and other significant and non-significant architectural features are excluded from the scope of the Master Plan. Changes to these uncoded features remain subject to the Commission’s usual review procedures as set forth in the Landmarks Law and the Rules of the City of New York.

There are two exceptions to this policy concerning uncoded areas: existing awnings and the installation of new signage. Existing storefront awnings have not been coded -- but changes to them are covered under the Master Plan -- because many existing awnings are larger than the masonry openings to which they relate and may cover areas of a building that are not included under the master plan. In addition, many existing awnings conceal storefront fabric that cannot be evaluated or coded until the awning is removed. With respect to storefront signage, there may be appropriate areas for storefront signage that fall outside of the coded areas but which are specifically discussed in the section on signage. LPC staff is authorized to issue permits for storefront signage that meet the requirements of the Master Plan regardless of whether the areas are coded.

2. DEFINITIONS.

As used in the Master Plan, the following terms shall have the following meanings:

"Awning" shall mean a metal frame clad with fabric attached over a storefront, door or window.

"Bulkhead" shall mean the part of the storefront that forms a base for one or more display windows.

"Building street wall" shall mean the predominant plane of the building facade at the level of the storefront.

"Canopy" shall mean a metal frame clad with fabric that projects from a building entrance over the sidewalk to the curb, where it is supported on vertical posts.

"The Commission " shall mean the Commissioners of the Landmarks Preservation Commission, including the Chairman, as established by Section 3020 of the New York City Charter.

"Cornice" shall mean a horizontal molded projection forming the top of an entablature wall, facade, building or storefront.

"Display window" shall mean the large glazed portion of the storefront, and the associated framing, above the bulkhead and below the transom, extending pier to pier. The display window is typically used for the display of goods and to provide daylight and visibility into the commercial space.

“Enframement” shall mean those elements that define a storefront opening: the piers and the lintel, cornice, signband or parapet.

“Extension” shall mean that portion of the lower floors of a rowhouse that have been modified to accommodate commercial infill, including a projecting commercial addition.
"Facade" shall mean an entire exterior face of a building.

"Fixed awning" shall mean an awning with a nonretractable metal frame clad with fabric.

"Fixture" shall mean an appliance or device attached to the facade (e.g., awning, sign, lighting fixture, conduit, or security gate).

"Historic fabric" shall mean a building's original or significant historic facade construction material or ornament, or fragments thereof.

"Landmarks Law" shall refer to Section 3020 of the New York City Charter and Chapter 3 of Title 25 of the Administrative Code of the City of New York.

"Lighting" shall mean the method or equipment for providing artificial illumination.

"Lintel" shall mean the horizontal member or element above a door or window opening.

"LPC staff" shall mean the staff of the Landmarks Preservation Commission acting in the Commission's agency capacity.

"Marquee" shall mean a projecting exterior roof-like shelter at the approach of a door.

"Permit" shall mean any permit other than a Notice to Proceed, issued by the Landmarks Preservation Commission, in accordance with the provisions of the Landmarks Law:

(a) "PMW" shall mean a Permit for Minor Work as defined by Section 25-310 of the Landmarks Law.

(b) "CNE" shall mean a Certificate of No Effect as defined by Section 25-306 of the Landmarks Law.

(c) "CofA" shall mean a Certificate of Appropriateness as defined by Section 25-307 of the Landmarks Law and shall not refer to a Certificate of Appropriateness as defined by Section 25-309.

(d) "ATP" shall mean an Authorization to Proceed as defined by Section 12-01(f) of Title 63 of the Rules of the City of New York.

"Pier" shall mean an exterior vertical member(s) or element(s) (usually of brick, stone, or metal) placed at intervals along a wall which typically separates storefront openings within a single building or define a single storefront opening.

"Retractable awning" shall mean an awning attached to a frame which allows it to be extended out or folded or rolled back tightly against the building facade.

"Roll-down gate" shall mean a security gate with a retracting mechanism that allows it to roll up and down.
"Rules" shall mean the rules governing the practice and procedure of the Commission as promulgated in Title 63 of the Rules of the City of New York.

“Scissor gate” shall mean a security gate with a sideways retracting mechanism.

"Security gate" shall mean a movable metal fixture installed in front of a storefront opening or bay, or inside the display window or door to protect the store from theft or vandalism when the store is closed. A security gate can be either the roll-down or scissor variety.

"Security gate housing" or "housing" shall mean the container that houses the rolling mechanism of a roll-down security gate.

"Security gate tracks" shall mean the interior or exterior tracks along the sides of the storefront opening or bay (for roll-down gates), or along the top and bottom of the storefront (for scissor gates) that hold the edges of the gates.

"Sign" shall mean a fixture or area containing lettering or graphics used to advertise a store, goods or services.

"Signage" shall mean any lettering or graphics in general, used to advertise a store, goods, or services.

"Signband" shall mean the flat, horizontal area on the facade usually located immediately above the storefront and below the second story window sill where signs were historically attached. Signbands can also be found immediately above the storefront display window, but below the masonry opening's lintel. On many of the brownstones with later two or three story projections, a second or third story signband is usually located above the commercial windows and below the cornice.

"Significant architectural feature" shall mean an exterior architectural component of a building that contributes to its special historic, cultural, and aesthetic character, or reinforces the special characteristics for which the Metropolitan Museum Historic District was designated.

"Sill" shall mean the bottom horizontal member or element of a window or door.

"Skirt" shall mean a bottom finishing piece that hangs from the lower edge of an awning.

"Soffit" shall mean the underside of a structural component such as a beam, arch, or recessed area.

"Spandrel area" shall mean the portion of the facade below the sill of an upper story window and above the lintel of the window or display window directly below it or above the lintel of a window or display window and the building cornice or top of the building.

“Storefront” shall mean storefront infill.

"Storefront bay" shall mean the area of a storefront defined by and spanning two piers.

"Storefront infill" shall mean the framing, glazing, and cladding contained within a storefront opening in the facade, including without limitation thereof, display windows, bulkheads and entranceways.

"Storefront opening" shall mean the area of the facade between the piers and lintel, which contains
storefront infill.

"Transom" shall mean a glazed area above a display window or door that is separated from the display window or door by a horizontal framing member ("the transom bar"). The glazing in the transom may be fixed or operable.

3. DESIGN CRITERIA FOR MADISON AVENUE STOREFRONTS BY CATEGORY

Work to alter or replace storefronts on Madison Avenue shall be approved by LPC staff if it meets all of the applicable criteria set forth below:

(a) **CATEGORY - GREEN:** Storefronts with no remaining original or historic architectural components in commercial extensions in converted rowhouses; and storefronts in buildings planned with commercial storefronts on the lower floors, with no original or historic architectural components remaining and which are not uniform in appearance.

(1) **STOREFRONT CLADDING AND MATERIALS**
Unless otherwise restricted by provisions of this Master Plan, GREEN coded storefront components may be reclad or replaced with new modern materials on the assumption that no original or historic fabric remains underneath the GREEN-coded material. If original or historic material is discovered when the existing GREEN-coded cladding is removed, work shall stop and the LPC staff must be notified immediately. If significant and material amounts of historic or original material remain, it shall be restored and the storefront coding may be changed to reflect this new condition.

(2) **STOREFRONT LOCATION**
The proposed alterations must be within the boundaries of the area coded GREEN as indicated by the attached elevation drawings. Alterations to those areas of a storefront not coded GREEN are not covered under this subsection (a).

(3) **STOREFRONT ARTICULATION**
(i) The storefront opening shall be defined by the original or existing piers, lintel, cornice, signband or parapet. The storefront opening shall not otherwise be enlarged or reduced in size.

(ii) For a building with a two-story storefront, the design shall feature a substantial exterior opaque horizontal division, such as a signband, spandrel or cornice, to visually recall the historic separation between the first and second floors.

(iii) The placement of the bulkhead (if desired), display window and transom shall maintain the building street wall. All storefront infill shall be fixed, with the exception of the entrance doors. A new display window, bulkhead, and door that incorporates an external rolldown gate with recessed housing that complies with the criteria set forth below may be recessed up to four inches to accommodate the width of the gate tracks.
(iv) A storefront with out-swinging doors shall have an entrance recessed a minimum of 18 inches and a maximum of 36 inches from the building street wall. A recess is optional if a storefront has in-swinging doors.

(4) STOREFRONT TRANSPARENCY
A new or altered storefront shall incorporate a large clear glazed area to maintain the transparency of the storefront. Any blocking of the transparency of the glass portions of the storefront should be reversible and maintain the exterior surface of the glass. Back-painting or the installation of removable opaque panels behind the glass shall be permitted if the shop requires smaller transparent areas. The installation of tinted or mirrored glass shall not be permitted.

(5) STOREFRONT ENFRAMEMENT
With respect to commercial extensions on rowhouses, piers currently clad with modern materials may be modified if they meet the following criteria: (a) if historic piers exist under the modern cladding, the historic piers must be uncovered and restored; (b) if historic piers do not exist under the modern cladding, but they exist elsewhere on the extension, the piers must replicate the historic design in terms of details, finish, color and overall visual appearance; and (c) if historic piers do not exist anywhere on the extension, the piers may be modified provided that the enframement on the entire commercial extension, the piers and lintel, are modified together and treated and designed as a single architectural component. With respect to work approved pursuant to subdivision (b) and (c) above, modern storefront cladding on a commercial extension shall not materially change the height or width of the storefront extension.

(b) CATEGORY-RED – Historic Storefronts

Historic fabric and new fabric which replicates the historic fabric must be retained and restored. If original or historic components cannot be reasonably retained or repaired, replacement in kind is required; however, the same material is not required if the appearance of historic components is replicated in terms of details, finish, color and overall visual appearance. All alterations shall also preserve all significant original or historic architectural components which are presently concealed by non-original material.

4. REQUIREMENTS FOR STOREFRONT COMPONENTS

In buildings where historic storefront fabric remains, the installation of features shall preserve the historic fabric and shall not conceal or cause damage to the historic fabric. All alterations shall preserve significant original or historic architectural components that are presently concealed by non-original material.

LPC staff shall issue an ATP for work to alter or replace the storefront components described below if the proposed work meets all of the criteria applicable to such storefront components.

(a) AWNINGS

General Requirements. These rules apply to the installation of awnings at ground story storefronts and at storefront windows at the second and third floor of the commercial extensions
on rowhouses. For awnings on windows above the commercial extensions on rowhouses, see the
general awning rule set forth in Section 2-12 of Title 63 of the Rules of the City of New York.
For awnings on storefronts, the criteria set forth below shall apply in lieu of the general awning
rule set forth in Section 2-12 of Title 63.

If a new storefront is being installed and an awning is desired, the storefront shall incorporate an
awning in compliance with the criteria set forth below. Existing awnings in non-compliance with
these criteria can be re-covered, but cannot be maintained if the storefront is being changed unless
the applicant can demonstrate to LPC staff that the new storefront installation will not require the
removal of the existing awning.

(1) GENERAL AWNING CRITERIA

(i) The installation of the awning shall not conceal, damage or destroy any
significant architectural features.

(ii) An awning may be retractable or fixed. It shall be of the sloped variety
unless the shape of the window opening is arched, in which case an awning
which follows the contour of the opening would be permitted. If a skirt is
desired, it shall not exceed 12 inches in height and be unframed and flexible.

(iii) The awning shall be attached to the storefront at the lintel or transom bar,
except that the awning may be attached above the lintel and below or within the
lower portion of the sign band where an existing or permitted roll-down security
gate makes it impossible to install the awning at the lintel or transom bar. Where
the awning is installed above the lintel but below or in the lower portion of the
sign band, the awning encroachment on the area above the lintel shall be the
minimum required to install the awning, but in no case more than 8 inches.

(iv) The width of the awning shall not exceed the width of the storefront opening
or associated commercial window opening. The awning may not extend over
more than one storefront bay. The edges of the awning shall be aligned with the
inside face of the principal piers of the storefront or the commercial
window/store opening.

(v) The underside of the awning shall be open. Straight, fixed sloped awnings
shall have open sides; a straight, retractable sloped awning may have side panels.

(vi) The lowest framed portion of the awning shall be at least 8 feet above the
sidewalk. The lowest unframed portion shall be at least 7 feet above the
sidewalk.

(vii) The awning shall project, and be at an angle and of a length, size and slope
which are proportional to the size and height of the display window or door.

(viii) The awning shall be clad only with water repellant canvas with a matte
finish or other fabric of a similar appearance. Translucent and transparent
awning coverings shall not be permitted.
(ix) Signs shall be permitted to be painted on the awning skirt and on the sloped portion of the awning but will be limited to certain maximum sizes. Lettering on the skirt must be proportional to the height of the skirt. Graphics may be placed on the sloped portion of the awning but such graphics shall be no more than four square feet or 10 percent of the surface area, whichever is less.

(x) The awning fabric shall be a solid color or have stripes that harmonize with the historic color palette of the building.

(2) TYPES OF AWNINGS NOT PERMITTED. The following types of awnings are not permitted:

(i) Novelty awnings.

(ii) Awnings illuminated from within or below.

(iii) Box awnings.

(iv) Waterfall awnings.

(v) Marquees.

(3) CANOPIES. Sidewalk canopies are not permitted.

(b) STOREFRONT SIGNAGE

General Requirements. These rules apply to the installation of signage at the ground floor and at the second and third floors of the commercial extensions on rowhouses; they do not apply to the installation of signage above the commercial extension on rowhouses. Note: Signs may be installed in uncoded areas, such as signbands, provided the installation meets all of the relevant criteria set forth below.

(1) CRITERIA FOR INSTALLATION OF SIGNS AT THE GROUND FLOOR AND AT THE COMMERCIAL EXTENSIONS.

(i) The installation of signage shall not damage, destroy or obscure significant architectural features of the building or storefront.

(ii) Signs may not extend beyond the width of the storefront opening.

(iii) Signs may be horizontally installed in the signband or spandrel, or applied onto the display window, transom or door.

(iv) The height of the sign shall not exceed the height of the signband, or, if there is no sign band, the spandrel area above the storefront, with a maximum height limit of 18 inches.

(v) A second story sign shall relate to the commercial premises located at the second story.
(vii) A bracket sign shall relate to the commercial space it advertises, shall be
installed in a location that is no higher than the second story window, and shall
conform to the Building Code and Zoning Resolution.

(viii) In buildings with multiple RED coded storefronts at the second floor, the
placement of second story signage shall be consistent throughout the building.

(ix) The total amount of signage and number of signs shall not overwhelm or
detract from the primary facade.

(2) TYPES OF SIGNS PERMITTED. The following types of signs are permitted:

(i) Signage painted on glass doors, windows, and transoms, where such signs do
not exceed 25% of the glazed area. No permit is required for this size sign.

(ii) Non-illuminated letters or logos may be pin-mounted into the masonry or pin
mounted or painted on a wood, metal or opaque glass panel that is mounted flat
and horizontally within the signband or spandrel;

(iii) one neon sign per tenant may be installed within the display window behind
the glass, provided: (A) the sign is hung from a skeletal frame and be set back
from the display window; (B) the transparency of the display window is not
significantly reduced by the presence of such sign; and (C) the size of the sign
does not exceed 2 feet by 2 feet. The perimeter of the display window shall not
be outlined in neon or any other lighting type.

(iv) Small identification signs or plaques for second and third story tenants
installed adjacent to the ground floor entrances to the upper stories.

(v) Signs or logos painted on awnings (if permitted under the awning rules, set
forth above).

(vi) Small painted wood or metal bracket signs.

(3) THE FOLLOWING TYPES OF SIGNS ARE NOT PERMITTED.

(i) Projecting flagpoles and advertising banners.

(ii) Internally illuminated box signs with glass or plastic lenses.

(iii) Internally illuminated fabric signs or awnings.

(iv) Flashing signs, moving signs, or strobe lights.

(v) Individual pin-mounted opaque letters illuminated from behind.

(c) STOREFRONT LIGHTING
External light fixtures shall be permitted provided they meet the following criteria:

(1) Lighting fixtures may be installed in areas of plain masonry, metal or wood, provided the installation does not damage, destroy or obscure significant decorative features of the building or storefront.

(2) Lighting conduits shall be internal and not visible;

(3) External light fixtures shall illuminate only the storefronts or related signage;

(4) The number and size of light fixtures shall be modest and in keeping with the style and scale of the storefront; and

(5) Recessed light fixtures shall be mounted within the soffits of recessed storefront entrances.

(d) SECURITY GATES

General Requirements. All security gates, (interior or exterior) must be of the open mesh variety so as to maintain storefront transparency, but may have a solid portion at the base that does not exceed the height of the bulkhead it covers. The security gate shall not obscure or detract from the design and details of the existing storefront and shall be architecturally integrated with the design and construction of a new storefront.

(1) SECURITY GATES FOR NEW STOREFRONTS. A new storefront shall be constructed with an internally-housed or completely internal security gate system or scissor gates that meet the following criteria:

(i) Internal Gates. A roll-down security gate may be mounted on the interior of the storefront so that the gate rolls down on the inside of the display window and door. An internally mounted gate is required if an externally mounted gate cannot be installed in compliance with the criteria for external gates set fourth below in subparagraph (ii).

(ii) External Gates. A roll-down security gate may be mounted on the exterior of the storefront so that the gate rolls down on the exterior side of the display window and door if:

(A) it does not affect, obscure or damage historic fabric;

(B) the security gate housing is located on the interior of the storefront, or the outer face of the security gate housing is recessed so as not to protrude beyond the building street wall; and

(C) the security gate tracks are recessed or set into reveals along the sides of the storefront.

(iii) Scissor Gates. Scissor gates may be installed provided they meet the
following criteria:

(A) Scissor gates may be installed on the exterior of the storefront if their installation does not obscure or damage any significant architectural feature, the gate tracks are recessed or set into reveals in the ground and storefront lintel as well as reveals in the sides of the storefront.

(B) Scissor gates may be installed on the interior of the storefront so that the gate slides across on the inside of the display window and door.

(2) SECURITY GATES FOR EXISTING STOREFRONTS

(i) An internal gate, scissor gate or external gate may be installed if the installation is in compliance with the relevant criteria set forth above in (1) Security Gates for New Storefronts.

(ii) A replacement external gate that is not in compliance with the criteria set forth above in subparagraph (ii) may be mounted on the exterior of the storefront if all of the following criteria are met:

(A) The existing storefront is not being replaced and the storefront has an exterior gate that existed at the time of designation, or has a gate that was previously approved by LPC.

(B) The installation of the new security gate will not obscure or damage any significant architectural features.

(C) The security gate housing and tracks shall be finished in a color to match or harmonize with the storefront and the security gate housing will be completely covered by an awning that is installed and maintained in compliance the awning rules set forth above.

(D) The security gate shall be composed entirely of open mesh, but may have a solid metal panel at the base that does not exceed the height of the bulkhead it covers.

(e) STOREFRONT AIR CONDITIONERS/LOUVERS

(1) Temporary, seasonal air conditioning units shall be installed in transoms over doors.

(2) Architectural louvers for permanent heating, venting and air-conditioning (HVAC) units may be installed on the exterior of the storefront provided they meet the following criteria:

(i) The units and the louvers are installed so as not to damage, destroy or obscure significant architectural features of the building or storefront.
(ii) The louvers are painted to match the color of the surrounding storefront elements.

(iii) If the units are installed in the door or window transom the louver is mounted flush with the plane of the door or transom.

(iv) HVAC units may be installed through the bulkhead of a storefront if the bulkhead is not historic or a replica of a historic design, the unit is centered in the bulkhead, it is installed flush with the exterior of the bulkhead and the louver or decorative grille is designed to compliment the design of the storefront. The grille may be decorative or finished to match the surrounding bulkhead.