Mental Health				
Supportive Housing Types	Clinical Criteria	Homeless Criteria	Documentation Requirements	
SMI/High Service Needs Supportive housing for single adults with a serious mental illness (SMI)1 or who have a SMI with a co-occurring substance use disorder.	Adults with a serious mental illness or who have a SMI with a co- occurring substance use disorder.	None	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days)	
NY/NY I and II Supportive housing for homeless single adults with a SMI or who have a SMI with a co-occurring substance use disorder.	Adults with a serious mental illness or who have a SMI with a co- occurring substance use disorder.	Must be homeless fourteen out of the last sixty days.	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days)	
NY/NY III — Population A Supportive housing for chronically homeless single adults with a SMI or who have a SMI with a co-occurring substance use disorder.	Adults with a serious mental illness or who have a SMI with a co- occurring substance use disorder.	Chronically homeless under the NY/NY III agreement2	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days)	
in NYS operated psychiatric centers or a NYS operated	Adults with SMI and presently living in NYS operated psychiatric centers or NYS operated transitional residences. Also, individuals with an inpatient stay at the Central NY psychiatric center within the last year or currently inpatient in the Second Chance program at NY Presbyterian Hospital.	At risk of street or sheltered homelessness if discharged without supportive housing and are unable to return to their prior placement.	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days)	
NYC 15/15 Supportive Housing Supportive housing for single adults with a serious mental illness and/or substance use disorder.	Single adults with a SMI, SUD (including those who are actively using or have started their recovery process within the last 12 months), or those who may have a co-occurring SMI and SUD.	Must meet the Department of Housing and Urban Development's (HUD) criteria for Chronic Homelessnessa	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days) Note a psychiatric evaluation is not required for applicants where the individual suffers solely from a substance use disorder.	
Empire State Supportive Housing Initiative (ESSHI SMI) Supportive housing for homeless single adults with a SMI or who have a SMI with a co-occurring substance use disorder.	Adults with a serious mental illness or who have a SMI with a co- occurring substance use disorder.	Currently homeless Or At risk of homelessness due to one of the following: Re-entry from incarceration without housing (prison, jail, juvenile justice, ATI and secure detention) Discharge ready from an institutional facility without housing including SOMH state psychiatric center and transitional living residence, hospital inpatient, SOMH licensed housing program, and skilled nursing facility	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days)	
Empire State Supporting Housing Initiative (ESSHI Seniors) Supportive Housing for Single Individuals 55 years or older who are enrolled in Medicaid with a chronic condition or physical disability or needing assistance with one or more instrumental activities of daily living.	Adults with a chronic condition or physical disability or needing assistance with one or more instrumental activities of daily living and enrolled in Medicaid.	Currently homeless Or At risk of homelessness due to: Incarcerated Hospitalized6 Skilled Nursing Facility6 Medical Respite6	NYC Supportive Housing Application Psychosocial Assessment (dated within last 180 days) Homeless Verification Letter	

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Youth			
Supportive Housing Types	Clinical Criteria	Homeless Criteria	Documentation Requirements
NY/NY III — Population C Supportive housing for young adults, 18-24 years of age, who have a SMI being treated in NYS licensed residential treatment facilities, State psychiatric facilities or leaving or having recently left foster care and are at risk of street or sheltered homelessness if discharged without supportive housing.	Young adults, ages 18-24, who have a SMI or a serious emotional disturbance (SED) prior to age 18.		NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days) For young adults in or discharged from foster care, documentation from child welfare agency verifying history of foster care
NY/NY III – Population I	Young adults (aged 18-24) leaving or having recently left foster care	Leaving or having recently left foster care within the last 24	NYC Supportive Housing Application
Supportive housing for young adults 18 - 24 years of age who are at risk of street or sheltered homelessness, leaving or having recently left foster care and are at risk of street or sheltered homelessness if discharged without supportive housing.	or who had been in foster care for more than a year after their 16th birthday.	months. Or History of being in foster care for 1 year after their 16th birthday.	Psychosocial Assessment (dated within last 180 days) For young adults in or discharged from foster care, documentation from child welfare agency verifying history of foster care
Empire State Supportive Housing Initiative (ESSHI- Young Adult SMI) Supportive housing for young adults (18-25 years of age) with a SMI or a serious emotional disturbance (SED).	Young adults, ages 18-25, who have a SMI or a serious emotional disturbance (SED) prior to age 18.	Currently homeless Or At risk of homelessness due to one of the following: • Left foster care within the prior 5 years and who were in foster care at 16 y.o or older • Re-entry from incarceration without housing (prison, jail, juvenile justice, ATI and secure detention) • Discharge ready from an institutional facility without housing including: SOMH state psychiatric center and transitional living residence, hospital inpatient, SOMH licensed housing program, children's community residence, residential treatment facility and residential treatment center	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days) For young adults in or discharged from foster care, documentation from child welfare agency verifying history of foster care
Empire State Supportive Housing Initiative (ESSHI- Young Adult SUD) Supportive housing for young adults (18-25 years of age) with a substance use disorder as the primary barrier to independent living.	Substance use disorder diagnosis And Functionally significant impairment to health, disability, or major life repsonsibilities Or Active substance use within last 3 months Or Participating in or completed SUD treatment early in recovery 3-12 months of sobriety (time in residential treatment excluded)	Currently homeless Or At risk of homelessness due to one of the following: • Left foster care within the prior 5 years and who were in foster care at 16 y.o or older • Re-entry from incarceration without housing (prison, jail, juvenile justice, ATI and secure detention) • Discharge ready from an institutional facility without housing including SUD inpatient rehabilitation treatment or SUD residential program (intensive community and supportive living type), and Addiction Treatment Center	NYC Supportive Housing Application Psychosocial Assessment (dated within last 180 days) Documentation from a substance use treatment provider dated within the last 30 days indicating 90 days of sobriety (including last 3 toxicology results) and/or treatment completion. For young adults in or discharged from foster care, documentation from child welfare agency verifying history of foster care

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Youth (continued)			
Supportive Housing Types	Clinical Criteria	Homeless Criteria	Documentation Requirements
NYC 15/15 – Young Adult Supportive housing for young adults (18-25 years of age) with high service utilization who are homeless or at risk of homelessness (including aging out of foster care)	Marked difficulties in managing self-care or activities of daily living Marked difficulties maintaining social functioning and positive interpersonal relationships Marked difficulties with basic responsibilities at work, school, or home	Leaving or having recently left foster care within the last 24 months Or History of being in foster care for 1 year after their 16th birthday Or Homeless in DHS or DYCD RHY [90 days (nonconsecutive) over past 365 days] Or Unsheltered Youth [90 days (nonconsecutive) over past 365 days]	HRA Supportive Housing Application (2010e) Psychosocial Assessment (within 180 days) For young adults in or discharged from foster care, documentation from child welfare agency verifying history of foster care
NYC 15/15 – Young Adult Family Supportive housing for Young Adults (18-25 years of age) with high service utilization who are pregnant or the head of household who are homeless or at risk of homelessness (including aging out of foster care).	Marked behavioral or functional impairment in at least one of the following areas as a result of emotional/behavioral/developmental issues; particularly as they relate to the ability to maintain an apartment independently: • Marked difficulties in managing self-care or activities of daily living • Marked difficulties maintaining social functioning and positive interpersonal relationships • Marked difficulties with basic responsibilities at work, school, or home And at least one risk factor as listed below: • History of multisystem involvement resulting in more than one system contact in last 2 years (ACS, DOC, DOCCS, RTF, RTC, DYCD, other) • Limited education (No H.S diploma or TASC) • Limited employment history (Less than 6 months in last 24 months) • History of Trauma/Victimization	Leaving or having recently left foster care within the last 24 months Or History of being in foster care for 1 year after their 16th birthday Or Homeless in DHS or DYCD RHY [90 days (nonconsecutive) over past 365 days] Or Unsheltered Youth [90 days (nonconsecutive) over past 365 days]	NYC Supportive Housing Application Psychosocial Assessment (dated within last 180 days) For young adults in or discharged from foster care, documentation from child welfare agency verifying history of foster care Documentation from a NYS licensed medical professional indicating that the youth is pregnant

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Substance Use Disorder				
Supportive Housing Types	Clinical Criteria	Homeless Criteria	Documentation Requirements	
NY/NY III — Population E Supportive housing for homeless single adults who have been homeless for at least six of the last twelve months with a substance use disorder.	Substance use disorder that is the primary barrier to independent living. And Active substance use or less than 3 months of sobriety.	Homeless for at least 6 months in past year.	NYC Supportive Housing Application Psychosocial Assessment (within 180 days)	
NY/NY III — Population F Supportive housing for single adults who are homeless or at risk of homelessness and have completed a course of treatment or are successfully being treated for a substance use disorder.	or are successfully being treated for a substance use disorder.	At risk of street homelessness or sheltered homelessness and who need supportive housing to sustain sobriety and achieve independent living.	NYC Supportive Housing Application Psychosocial Assessment (dated within last 180 days) Documentation from a substance use treatment provider dated within the last 30 days indicating 90 days of sobriety (including last 3 toxicology results) and/or treatment completion.	
NYC 15/15 Supportive Housing Supportive housing for single adults with a serious mental illness and/or substance use disorder.	Single adults with a SMI, SUD (including those who are actively using or have started their recovery process within the last 12 months), or those who may have a co- occurring SMI and SUD.		NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days) Note a psychiatric evaluation is not required for applicants where the individual suffers solely from a substance use disorder	
Empire State Supportive Housing Initiative (ESSHI SUD) Supportive housing for single adults with a substance use disorder as the primary barrier to independent living.		Currently homeless Or At risk of homelessness: Re-entry from incarceration without housing (prison, jail, juvenile justice, ATI and secure detention) Discharge ready from an institutional facility without housing including SUD inpatient rehabilitation treatment or SUD residential program (intensive community and supporitve living type), and Addiction Treatment Center	NYC Supportive Housing Application Psychosocial Assessment (dated within last 180 days) Documentation from a substance use treatment provider dated within the last 30 days indicating 90 days of sobriety (including last 3 toxicology results) and/or treatment completion.	

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	Family			
Supportive Housing Types	Clinical Criteria	Homeless Criteria	Documentation Requirements	
NY/NY III — Population D Supportive housing for families that are chronically homeless or at risk of homelessness, in which the head of household requires support due to a SMI or who are SMI with a co-occurring substance use disorder.	Head of household suffers from a serious mental illness or who have a SMI with a co-occurring substance use disorder.	Chronically homeless or at serious risk of becoming chronically homeless. Or Serious risk of homelessness constitutes as having more than two moves within the last year And Meet at least three of the following conditions: - Under the age of 25 years old with a child - Limited education (No GED or HS diploma) - Limited employment history (<6 months within the last two years) - History of domestic violence with the last 24 months - History of being in a shelter within the last 24 months	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days)	
NY/NY III – Population G Supportive housing for families who are chronically homeless or at risk of homelessness in which the head of household requires support for a substance use disorder, a disabling medical condition or HIV/AIDS.	The head of household suffers from a substance use disorder, a disabling medical condition (including a mental health condition that doesn't qualify as an SMI) or HIV/ AIDS (including non-symptomatic HIV).	Chronically homeless or at serious risk of becoming chronically homeless. Or Serious risk of homelessness constitutes as having more than two moves within the last year And Meet at least three of the following conditions: • Under the age of 25 years old with a child • Limited education (No GED or HS diploma) • Limited employment history (>6 months within the last two years) • History of domestic violence with the last 24 months • History of being in a shelter within the last 24 months	NYC Supportive Housing Application Psychosocial Assessment (dated within last 180 days) Documentation from a NYS licensed medical provider verifying the medical/disabling condition	
NYC 15/15 Supportive Housing Supportive housing for families in which the head of household requires support due to an SMI and/or a substance use disorder. (Household composition will determine eligibility for Family with Children vs Adult Family)	Families with a head of household who has a SMI, SUD (including those who are actively using or have started their recovery process within the last 12 months), or those who may have a co-occurring SMI and SUD.	Must meet the Department of HUD's criteria for Chronic Homelessness 3.	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days) Note a psychiatric evaluation is not required for applicants where the head of household suffers solely from a substance use disorder	

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Family (continued)			
Supportive Housing Types	Clinical Criteria	Homeless Criteria	Documentation Requirements
Empire State Supportive Housing Initiative (ESSHI- Families SUD) Supportive housing for families in which the head of household has a substance use disorder as the primary barrier to independent living.	Functionally significant impairment to health, disability, or major life repsonsibilities Or Active substance use within last 3 months Or Participating in or completed SUD treatment early in recovery 3-12	Currently homeless Or At risk of homelessness due to one of the following: • Re-entry from incarceration without housing (prison, jail, juvenile justice, ATI and secure detention) • Discharge ready from an institutional facility without housing including SUD inpatient rehabilitation treatment or SUD residential program (intensive community and supporitve living type), and Addiction Treatment Center	NYC Supportive Housing Application Psychosocial Assessment (dated within last 180 days) Documentation from a substance use treatment provider dated within the last 30 days indicating 90 days of sobriety (including last 3 toxicology results) and/or treatment completion.
Empire State Supportive Housing Initiative (ESSHI- Families SMI) Supportive housing for families in which the head of household has a SMI or who have a SMI with a co-occurring substance use disorder.		Currently homeless Or At risk of homelessness due to one of the following: • Re-entry from incarceration without housing (prison, jail, juvenile justice, ATI and secure detention) • Discharge ready from an institutional facility without housing including SOMH state psychiatric center and transitional living residence, hospital inpatient, SOMH licensed housing program, and skilled nursing facility	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days)

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HIV/AIDS				
Supportive Housing Types	Clinical Criteria	Homeless Criteria	Documentation Requirements	
NY/NY III – Population H Chronically homeless single adults who are persons living with HIV/AIDS and HASA service connected who suffer from	Persons living with HIV/AIDS and are clients of HASA or who are clients with symptomatic HIV who are receiving cash assistance and who suffer from a SMI, a substance use disorder or SMI with a co-occurring substance use disorder.	Chronically homeless ₂ single adults under the NY/NY III agreement. Or HASA clients in HASA's Emergency Placement Unit (EPU) are considered at risk of chronic homelessness.	NYC Supportive Housing Application Psychiatric Evaluation (dated within last 180 days) Psychosocial Assessment (dated within last 180 days)	
	Other I	Housing		
Housing Type	Clinical Criteria	Homeless Criteria	Documentation Requirements	
clinical condition currently residing in/or serviced by a Department of Homelessness Services (DHS) contracted program.	Marginal functional impairments in at lease <u>one</u> of the areas below as the result of a mental health, developmental, or medical disability or a history of a substance use disorder (sobriety must be 90 days > and no more than five years): • difficulties in managing self-care or activities of daily living • difficulties maintaining social functioning and positive interpersonal relationships • difficulties with basic responsibilities at work, school, or home And Applicant does not currently meet the clinical threshold for supportive housing for those with a serious mental illness and/or active substance use disorder Or Requires the services of a more intensive clinical and/or housing setting	Currently in a DHS contracted: Shelter Safe-haven Drop-in center Street Outreach	NYC Supportive Housing Application Psychosocial (dated within last 180 days) Identification Income verification (within 30 days)s	

¹ Serious Mental Illness as defined by the State Office of Mental Health.

2 Chronically Homeless – According to the NY/NY III definition of chronically homeless individuals and families with one out of the last two years OR two out of the last four years homeless with adequate documentation. If an applicant spent time in an institution, the relevant period will be extended by the number of days spent in the institution for up to three years. Time spent in the institutional setting is not counted as homelessness but will extend the period of time for the homeless eligibility criteria.

3 HUD Chronically Homeless - A "chronically homeless" individual is defined to mean a homeless individual with a disability who lives either in a place not meant for human habitation, a safe haven, or in an emergency shelter, or in an institutional care facility if the individual has been living in the facility for fewer than 90 days and had been living in a place not meant for human habitation, a safe haven, or in an emergency shelter immediately before entering the institutional care facility. In order to meet the "chronically homeless" definition, the individual also must have been living as described above continuously for at least 12 months, or on at least four separate occasions in the last 3 years, where the combined occasions total a length of time of at least 12 months. Each period separating the occasions must include at least 7 nights of living in a situation other than a place not meant for human habitation, in an emergency shelter, or in a safe haven. Chronically homeless families are families with adult heads of household who meet the definition of a chronically homeless individual, as per 75792 Federal Register / Vol. 80, No. 233 / Friday, December 4, 2015 / Rules and Regulations.

4 There are programs known as NYC 15/15 Addendum that utilizes the NY III POP H Eligibility Criteria.

5 Income and Identification documents will not be required for application approval, however, will be requested for referral and placement.

Note: If the client is street homeless, had a history of street homelessness or resided in a shelter system not captured in CAPS, a letter from an appropriate agency (i.e. outreach team, soup kitchen, shelter) documenting the client's history is required.

6 Persons referred must have been homeless prior to placement in hospitals or medical respite. Those coming from SNF must be residing for at least 90 days and have no dwelling to be discharged to.

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