

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyc.gov/html/nyw/pdf/nyw_procurement_policy_10_10_12.pdf
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: ACACIA Financial Group Inc.

2. Vendor Name: Aecom Water Technology Corp.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/10/2011
 End Date: 06/30/2016
 Amount: \$71,131
 Amount Expended for Fiscal Year: \$71,131
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 13000 Lincoln Dr W
 Address Line2:
 City: MARLTON
 State: NJ
 Postal Code: 08053
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Financial Advisor

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/01/2014
 End Date: 06/30/2019
 Amount: \$43,442.48
 Amount Expended for Fiscal Year: \$43,442.48
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 605 Third Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10158
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Consulting Engineer

Procurement Transactions Listing:

3. Vendor Name: Amawalk Consulting Group LLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2016
End Date: 09/30/2021
Amount: \$173,845.93
Amount Expended for Fiscal Year: \$173,845.93

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 26 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA

Procurement Description: Rate Consultant

4. Vendor Name: BLX Group LLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2015
End Date: 06/30/2020
Amount: \$62,500
Amount Expended for Fiscal Year: \$62,500

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 51 West 52nd Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

Procurement Description: Arbitrage Rebate

Procurement Transactions Listing:

5. Vendor Name: BMO Capital Markets GKST Inc.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$40,109
 Amount Expended for Fiscal Year: \$40,109
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 3 Times Square
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10036
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

6. Vendor Name: Bank of America N.A.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/24/2007
 End Date: 03/17/2020
 Amount: \$3,246,195
 Amount Expended for Fiscal Year: \$3,246,195
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: PO Box 660576
 Address Line2:
 City: DALLAS
 State: TX
 Postal Code: 75266
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

7. Vendor Name: Bank of Montreal

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/27/2013
 End Date: 08/11/2020
 Amount: \$410,176
 Amount Expended for Fiscal Year: \$410,176
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 360 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

8. Vendor Name: Bank of New York Mellon

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$381,850.25
 Amount Expended for Fiscal Year: \$381,850.25
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1 Wall St
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Trustee

Procurement Transactions Listing:

9. Vendor Name: Bank of Nova Scotia

10. Vendor Name: Bank of Tokyo-Mitsubishi UFJ

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/30/2006
 End Date: 10/27/2017
 Amount: \$269,818
 Amount Expended for Fiscal Year: \$269,818
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10008
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/04/2012
 End Date: 09/04/2018
 Amount: \$1,699,961
 Amount Expended for Fiscal Year: \$1,699,961
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10020
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

11. Vendor Name: Barclays Bank PLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/16/2009
End Date: 12/14/2018
Amount: \$793,440
Amount Expended for Fiscal Year: \$793,440
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

12. Vendor Name: Barclays Capital Inc.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/11/2016
End Date:
Amount: \$508,115
Amount Expended for Fiscal Year: \$508,115
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

13. Vendor Name: Bayern LB

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/06/2003
End Date: 11/30/2015
Amount: \$110,835
Amount Expended for Fiscal Year: \$110,835
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 560 Lexington Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

14. Vendor Name: Cabrera Capital Markets

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/11/2016
End Date:
Amount: \$29,335.25
Amount Expended for Fiscal Year: \$29,335.25
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 650 5th Ave
Address Line2: #21
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

15. Vendor Name: Citibank N.A.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/29/2015
 End Date: 10/26/2018
 Amount: \$237,106
 Amount Expended for Fiscal Year: \$237,106
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 390 Greenwich St.
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10013
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

16. Vendor Name: Citigroup Global Markets

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$1,119,271
 Amount Expended for Fiscal Year: \$1,119,271
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 390 Greenwich St.
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10013
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

17. Vendor Name: Creative Source

18. Vendor Name: Dexia Credit Locale

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/23/2016
 End Date: 02/24/2017
 Amount: \$13,050
 Amount Expended for Fiscal Year: \$13,050
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 130 W 25th S
 Address Line2: #5C
 City: NEW YORK
 State: NY
 Postal Code: 10001
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: CAFR Designer

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/27/2005
 End Date: 10/27/2017
 Amount: \$149,501
 Amount Expended for Fiscal Year: \$149,501
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 445 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

<p>19. Vendor Name: Drexel Hamilton LLC</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 07/01/2015 End Date: 06/30/2018 Amount: \$40,000 Amount Expended for Fiscal Year: \$40,000 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 40 Wall Street Address Line2: City: NEW YORK State: NY Postal Code: 10005 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Pricing Advisor</p>	<p>20. Vendor Name: Fidelity Capital Markets</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 01/11/2016 End Date: Amount: \$30,094 Amount Expended for Fiscal Year: \$30,094 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 61 Broadway Address Line2: New York City: NEW YORK State: NY Postal Code: 10006 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>
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Procurement Transactions Listing:

21. Vendor Name: Goldman Sachs & Co.

22. Vendor Name: JPMorgan Chase Bank N.A.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$349,073.75
 Amount Expended for Fiscal Year: \$349,073.75
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/17/2013
 End Date: 11/14/2018
 Amount: \$3,398,915
 Amount Expended for Fiscal Year: \$3,398,915
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

23. Vendor Name: JPMorgan Securities Inc.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$748,872
 Amount Expended for Fiscal Year: \$748,872
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

24. Vendor Name: Jefferies LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$147,773.25
 Amount Expended for Fiscal Year: \$147,773.25
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

25. Vendor Name: Lamont Financial Services Corporation

26. Vendor Name: Landesbank (Helaba)

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/09/2015
 End Date: 06/30/2018
 Amount: \$136,017
 Amount Expended for Fiscal Year: \$136,017
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 30 2 Bridges Rd
 Address Line2:
 City: FAIRFIELD
 State: NJ
 Postal Code: 07004
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Financial Advisor

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/07/2008
 End Date: 06/15/2021
 Amount: \$1,388,360
 Amount Expended for Fiscal Year: \$1,388,360
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 420 Fifth Avenue
 Address Line2: 24th fl
 City: NEW YORK
 State: NY
 Postal Code: 10018
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

27. Vendor Name: Lebenthal and Co. LLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/11/2016
End Date:
Amount: \$36,525
Amount Expended for Fiscal Year: \$36,525
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 521 Fifth Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10175
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

28. Vendor Name: Loop Capital Markets LLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/11/2016
End Date:
Amount: \$39,199.25
Amount Expended for Fiscal Year: \$39,199.25
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street
Address Line2: 24th fl
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

29. Vendor Name: Marks Paneth LLP

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2016
End Date: 06/30/2018
Amount: \$140,000
Amount Expended for Fiscal Year: \$140,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 685 3rd Avene
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Auditors

30. Vendor Name: Marks Paneth LLP

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/15/2016
End Date: 06/14/2017
Amount: \$40,000
Amount Expended for Fiscal Year: \$40,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 685 3rd Avene
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Auditors

Procurement Transactions Listing:

31. Vendor Name: Merrill Lynch PF&S Inc.

32. Vendor Name: Mizuho Coporate Bank Ltd.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$984,698.5
 Amount Expended for Fiscal Year: \$984,698.5
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 4 World Financial Ctr
 Address Line2: north
 City: NEW YORK
 State: NY
 Postal Code: 10080
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/17/2013
 End Date: 06/14/2019
 Amount: \$3,438,818
 Amount Expended for Fiscal Year: \$3,438,818
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10012
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

33. Vendor Name: Mizuho Securities USA Inc.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/11/2016
End Date:
Amount: \$41,790
Amount Expended for Fiscal Year: \$41,790
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10012
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

34. Vendor Name: Mohanty Gargiulo LLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2015
End Date: 06/30/2018
Amount: \$60,000
Amount Expended for Fiscal Year: \$60,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 100 Park Ave
Address Line2: Suite 1600
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Swap Advisor

Procurement Transactions Listing:

35. Vendor Name: Morgan Stanley & Co.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/11/2016
End Date:
Amount: \$250,538.5
Amount Expended for Fiscal Year: \$250,538.5
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1221 Avenue of the Americas
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10020
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

36. Vendor Name: Nixon Peabody LLP

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/01/2013
End Date: 12/31/2016
Amount: \$210,000
Amount Expended for Fiscal Year: \$210,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 437 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Bond Counsel Disclosure

Procurement Transactions Listing:

37. Vendor Name: Orrick Herrington & Sutcliffe LLP

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/01/2013
 End Date: 12/31/2016
 Amount: \$750,000
 Amount Expended for Fiscal Year: \$750,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 666 5th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Bond Counsel

38. Vendor Name: PFM Asset Management LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/10/2012
 End Date: 05/09/2017
 Amount: \$63,157
 Amount Expended for Fiscal Year: \$63,157
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 905 Marconi Ave
 Address Line2:
 City: RONKONKOMA
 State: NY
 Postal Code: 11779
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Investment Management Services

Procurement Transactions Listing:

39. Vendor Name: PNC Bank NA

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/04/2012
 End Date: 10/02/2018
 Amount: \$610,641
 Amount Expended for Fiscal Year: \$610,641
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

40. Vendor Name: PNC Capital Markets LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$74,001
 Amount Expended for Fiscal Year: \$74,001
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

41. Vendor Name:	Piper Jaffrey & Co.	42. Vendor Name:	RBC Capital Markets
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	01/11/2016	Award Date:	01/11/2016
End Date:		End Date:	
Amount:	\$40,055	Amount:	\$538,821
Amount Expended for Fiscal Year:	\$40,055	Amount Expended for Fiscal Year:	\$538,821
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount:		Explain why the fair market value is less than the amount:	
Address Line1:	345 Park Ave	Address Line1:	One Liberty Plaza 165 Broadway
Address Line2:		Address Line2:	
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10154	Postal Code:	10006
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Underwriters	Procurement Description:	Underwriters

Procurement Transactions Listing:

43. Vendor Name: RR Donnelly

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/01/2011
 End Date: 12/31/2016
 Amount: \$105,878
 Amount Expended for Fiscal Year: \$105,878
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 255 Greenwich
 Address Line2: #B
 City: NEW YORK
 State: NY
 Postal Code: 10007
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Printer for OS

44. Vendor Name: Ramirez & Co.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$699,095.5
 Amount Expended for Fiscal Year: \$699,095.5
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway
 Address Line2: New York
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

45. Vendor Name: Raymond James & Associates Inc.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$129,286
 Amount Expended for Fiscal Year: \$129,286
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 250 Park Avenue
 Address Line2: 2nd fl
 City: NEW YORK
 State: NY
 Postal Code: 10177
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

46. Vendor Name: Rice Financial Products Company

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$35,630
 Amount Expended for Fiscal Year: \$35,630
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 17 State Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

47. Vendor Name: Roosevelt and Cross Incorporated

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$36,350
 Amount Expended for Fiscal Year: \$36,350
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Exchange Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

48. Vendor Name: Royal Bank of Canada

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/01/2011
 End Date: 03/04/2019
 Amount: \$3,113,304
 Amount Expended for Fiscal Year: \$3,113,304
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 12 East 49th Stree
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

49. Vendor Name: Siebert Brandford Shank & Co. LLC

50. Vendor Name: State Street Bank & trust Company N.A.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$80,299.25
 Amount Expended for Fiscal Year: \$80,299.25
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Wall Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/27/2012
 End Date: 10/07/2020
 Amount: \$1,653,452
 Amount Expended for Fiscal Year: \$1,653,452
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 225 Franklin Street
 Address Line2:
 City: BOSTON
 State: MA
 Postal Code: 02110
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

51. Vendor Name: Sumitomo Mitsui Banking Corp.

52. Vendor Name: TD Bank NA

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 06/07/2012
 End Date: 06/04/2020
 Amount: \$1,862,061
 Amount Expended for Fiscal Year: \$1,862,061
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 277 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10172
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/18/2010
 End Date: 09/17/2018
 Amount: \$1,168,330
 Amount Expended for Fiscal Year: \$1,168,330
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

<p>53. Vendor Name: TD Securities</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 01/11/2016 End Date: Amount: \$218,016 Amount Expended for Fiscal Year: \$218,016 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 31 W 52nd St Address Line2: City: NEW YORK State: NY Postal Code: 10019 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>54. Vendor Name: US Bank/Liquidity</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 06/25/2009 End Date: 03/06/2018 Amount: \$2,294,422.71 Amount Expended for Fiscal Year: \$2,294,422.71 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 461 5th Avenue Address Line2: City: NEW YORK State: NY Postal Code: 10022 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Liquidity Provider</p>
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Procurement Transactions Listing:

55. Vendor Name: US Bank/Remarketing

56. Vendor Name: Wells Fargo Bank NA/Liquidity

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/11/2016
 End Date:
 Amount: \$485,627.25
 Amount Expended for Fiscal Year: \$485,627.25
 Fair market value:

Explain why the fair market value is less than the amount:

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/23/2011
 End Date: 06/25/2018
 Amount: \$897,260.37
 Amount Expended for Fiscal Year: \$897,260.37
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Address Line1: 330 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

57. Vendor Name: Wells Fargo Bank NA/Remarketing

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/11/2016
End Date:
Amount: \$389,671.28
Amount Expended for Fiscal Year: \$389,671.28
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

58. Vendor Name: Wells Fargo Insurance Services of New York Inc.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/25/2015
End Date: 05/24/2016
Amount: \$186,573
Amount Expended for Fiscal Year: \$186,573
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: D&O Insurance

Procurement Transactions Listing:

Additional Comments: