

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyc.gov/html/nyw/pdf/nyw_procurement_policy_10_10_12.pdf
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: Aecom Water Technology Corp.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2014
End Date: 06/30/2019
Amount: \$103,462
Amount Expended for Fiscal Year: \$103,462

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 605 Third Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10158
Plus 4:
Province Region:
Country: USA

Procurement Description: Consulting Engineer

2. Vendor Name: Amawalk Consulting Group LLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/11/2014
End Date: 09/10/2016
Amount: \$189,747
Amount Expended for Fiscal Year: \$189,747

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 26 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA

Procurement Description: Rate Consultant

Procurement Transactions Listing:

3. Vendor Name: BLX Group LLC

Type of Procurement: Other
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/01/2015
 End Date: 06/30/2020
 Amount: \$86,198
 Amount Expended for Fiscal Year: \$172,396
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 51 West 52nd Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Arbitrage rebate

4. Vendor Name: BMO Capital Markets GKST Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/20/2012
 End Date:
 Amount: \$95,783
 Amount Expended for Fiscal Year: \$95,783
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 360 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

5. Vendor Name: Bank of America N.A.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 03/19/2008
End Date: 10/22/2019
Amount: \$2,854,557
Amount Expended for Fiscal Year: \$2,854,557

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 660576
Address Line2:
City: DALLAS
State: TX
Postal Code: 75266
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

6. Vendor Name: Bank of Montreal

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date: 09/16/2016
Amount: \$423,755
Amount Expended for Fiscal Year: \$423,755

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 360 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

<p>7. Vendor Name: Bank of New York Mellon</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 02/02/2008 End Date: Amount: \$374,247 Amount Expended for Fiscal Year: \$374,247 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 1 Wall St Address Line2: City: NEW YORK State: NY Postal Code: 10005 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Trustee</p>	<p>8. Vendor Name: Bank of Nova Scotia</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 11/30/2006 End Date: 11/01/2016 Amount: \$269,081 Amount Expended for Fiscal Year: \$269,081 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: One Liberty Plaza Address Line2: City: NEW YORK State: NY Postal Code: 10008 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Liquidity Provider</p>
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Procurement Transactions Listing:

<p>9. Vendor Name: Barclays Bank PLC</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 12/16/2009 End Date: 12/14/2018 Amount: \$1,305,494 Amount Expended for Fiscal Year: \$1,305,494 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 745 7th Avenue Address Line2: City: NEW YORK State: NY Postal Code: 10022 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Liquidity Provider</p>	<p>10. Vendor Name: Barclays Capital Inc.</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 09/15/2009 End Date: Amount: \$1,733,834 Amount Expended for Fiscal Year: \$1,733,834 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 745 7th Avenue Address Line2: City: NEW YORK State: NY Postal Code: 10022 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>
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Procurement Transactions Listing:

11. Vendor Name: Bayern LB

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/06/2003
End Date: 10/30/2015
Amount: \$223,507
Amount Expended for Fiscal Year: \$223,507
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 560 Lexington
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

12. Vendor Name: Cabrera Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: \$41,385
Amount Expended for Fiscal Year: \$41,385
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 650 5th Ave
Address Line2: #21
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

13. Vendor Name: Castleoak

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: \$25,910
Amount Expended for Fiscal Year: \$25,910
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 110 E 59th
Address Line2: #5
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

14. Vendor Name: Citigroup Global Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$1,928,260
Amount Expended for Fiscal Year: \$1,928,260
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 390 Greenwich St.
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

15. Vendor Name: Deloitte & Touche LLP

Type of Procurement: Other

Award Process: Authority Contract - Competitive Bid

Award Date: 06/13/2014

End Date: 06/12/2016

Amount: \$150,000

Amount Expended for Fiscal Year: \$150,000

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 2 World Financial Center

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10007

Plus 4:

Province Region:

Country: USA

Procurement Description: Auditors

16. Vendor Name: Dexia Credit Locale

Type of Procurement: Financial Services

Award Process: Authority Contract - Competitive Bid

Award Date: 10/27/2005

End Date: 10/27/2017

Amount: \$296,565

Amount Expended for Fiscal Year: \$296,565

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 445 Park Avenue

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10022

Plus 4:

Province Region:

Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

17. Vendor Name: Drexel Hamilton LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/10/2011
 End Date: 06/30/2015
 Amount: \$100,000
 Amount Expended for Fiscal Year: \$100,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 Wall Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Pricing Advisor

18. Vendor Name: Fidelity Capital Markets

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/15/2009
 End Date:
 Amount: \$124,826
 Amount Expended for Fiscal Year: \$124,826
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway
 Address Line2: New York
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

19. Vendor Name: Goldman Sachs & Co.

20. Vendor Name: JPMorgan Chase Bank N.A.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$659,317
 Amount Expended for Fiscal Year: \$659,317
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/15/2001
 End Date: 04/11/2019
 Amount: \$3,457,001
 Amount Expended for Fiscal Year: \$3,457,001
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

21. Vendor Name: JPMorgan Securities Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$1,180,164
Amount Expended for Fiscal Year: \$1,180,164
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

22. Vendor Name: Jefferies & Company

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$254,335
Amount Expended for Fiscal Year: \$254,335
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

23. Vendor Name: Jeffries LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/20/2012
 End Date:
 Amount: \$39,671
 Amount Expended for Fiscal Year: \$39,671
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

24. Vendor Name: Landesbank (Helaba)

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/07/2008
 End Date: 08/05/2016
 Amount: \$1,380,773
 Amount Expended for Fiscal Year: \$1,380,773
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 420 Fifth Avenue
 Address Line2: 24th fl
 City: NEW YORK
 State: NY
 Postal Code: 10018
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

25. Vendor Name: Lebenthal and Co. LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$34,513
Amount Expended for Fiscal Year: \$34,513
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 521 Fifth Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10175
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

26. Vendor Name: Loop Capital Markets LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$63,120
Amount Expended for Fiscal Year: \$63,120
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street
Address Line2: 24th fl
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

<p>27. Vendor Name: McGladrey LLP</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 05/01/2015 End Date: 04/30/2017 Amount: \$1,853 Amount Expended for Fiscal Year: \$1,853 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 1185 Avenue of the Americas Address Line2: City: NEW YORK State: NY Postal Code: 10036 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Computer Consultant</p>	<p>28. Vendor Name: Merrill Lynch PF&S Inc.</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 02/02/2008 End Date: Amount: \$2,035,052 Amount Expended for Fiscal Year: \$2,035,052 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 4 World Financial Ctr Address Line2: north City: NEW YORK State: NY Postal Code: 10080 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>
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Procurement Transactions Listing:

29. Vendor Name: Mizuho Coporate Bank Ltd.

30. Vendor Name: Morgan Stanley & Co.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/29/2011
 End Date: 08/19/2019
 Amount: \$3,059,284
 Amount Expended for Fiscal Year: \$3,059,284
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10012
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$492,927
 Amount Expended for Fiscal Year: \$492,927
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1221 Avenue of the Americas
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10020
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

31. Vendor Name: Nixon Peabody LLP

Type of Procurement: Other
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/01/2015
 End Date: 12/31/2015
 Amount: \$160,000
 Amount Expended for Fiscal Year: \$160,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 437 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Bond Counsel Disclosure

32. Vendor Name: Northern Trust

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/20/2012
 End Date:
 Amount: \$3,108
 Amount Expended for Fiscal Year: \$3,108
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 W 57th S
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

33. Vendor Name: Orrick Herrington & Sutcliffe LLP

Type of Procurement: Other
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/01/2006
 End Date: 12/31/2015
 Amount: \$1,071,236
 Amount Expended for Fiscal Year: \$1,071,236
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 666 5th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Bond Counsel

34. Vendor Name: PNC Bank National Association

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/01/2012
 End Date: 10/02/2018
 Amount: \$357,924
 Amount Expended for Fiscal Year: \$357,924
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

35. Vendor Name: PNC Capital Markets LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$40,000
Amount Expended for Fiscal Year: \$40,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

36. Vendor Name: RBC Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$2,377,184
Amount Expended for Fiscal Year: \$2,377,184
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza 165 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

37. Vendor Name: RR Donnelly

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/31/2012
 End Date:
 Amount: \$142,563
 Amount Expended for Fiscal Year: \$142,563
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 255 Greenwich #B
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10007
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Printer for OS

38. Vendor Name: Raftelis Financial Consultants

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/11/2014
 End Date: 09/12/2015
 Amount: \$10,675
 Amount Expended for Fiscal Year: \$10,675
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 201 S. Lake Avenue
 Address Line2:
 City: PASADENA
 State: CA
 Postal Code: 91101
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Rate Consultant

Procurement Transactions Listing:

<p>39. Vendor Name: Ramirez & Co.</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 09/15/2009 End Date: Amount: \$1,153,883 Amount Expended for Fiscal Year: \$1,153,883 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 61 Broadway Address Line2: New York City: NEW YORK State: NY Postal Code: 10006 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>40. Vendor Name: Raymond James & Associates Inc.</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 02/02/2008 End Date: Amount: \$1,396,609 Amount Expended for Fiscal Year: \$1,396,609 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 250 Park Avenue Address Line2: 2nd fl City: NEW YORK State: NY Postal Code: 10177 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>
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Procurement Transactions Listing:

41. Vendor Name: Reitdesign Inc.

Type of Procurement: Other
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/01/2015
 End Date: 02/26/2016
 Amount: \$15,313
 Amount Expended for Fiscal Year: \$15,313
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 11 Park Pl
 Address Line2: 916
 City: NEW YORK
 State: NY
 Postal Code: 10007
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: CAFR Designer

42. Vendor Name: Rice Financial Products Company

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/15/2009
 End Date:
 Amount: \$677,075
 Amount Expended for Fiscal Year: \$677,075
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 17 State Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

43. Vendor Name: Roosevelt and Cross Incorporated

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$126,401
 Amount Expended for Fiscal Year: \$126,401
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: One Exchange Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

44. Vendor Name: Royal Bank of Canada

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/01/2011
 End Date: 08/19/2018
 Amount: \$3,251,992
 Amount Expended for Fiscal Year: \$3,251,992
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 12 East 49th Stree
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

45. Vendor Name: Siebert Brandford Shank & Co. LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$179,798
 Amount Expended for Fiscal Year: \$179,798
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Wall Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

46. Vendor Name: State Street Bank & trust Company N.A.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/27/2005
 End Date: 10/27/2020
 Amount: \$1,764,052
 Amount Expended for Fiscal Year: \$1,764,052
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 225 Franklin Street
 Address Line2:
 City: BOSTON
 State: MA
 Postal Code: 02110
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

47. Vendor Name: Sumitomo Mitsui Banking Corp.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 06/07/2012
 End Date: 06/04/2020
 Amount: \$1,910,034
 Amount Expended for Fiscal Year: \$1,910,034
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 277 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10172
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

48. Vendor Name: TD Bank NA

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/18/2010
 End Date: 11/16/2018
 Amount: \$1,166,929
 Amount Expended for Fiscal Year: \$1,166,929
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

49. Vendor Name: TD Securities

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/15/2009
 End Date:
 Amount: \$200,356
 Amount Expended for Fiscal Year: \$200,356
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

50. Vendor Name: US Bank

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 06/25/2009
 End Date: 04/11/2018
 Amount: \$2,632,392
 Amount Expended for Fiscal Year: \$2,632,392
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

51. Vendor Name: US Bank

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$582,844
Amount Expended for Fiscal Year: \$582,844
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

52. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/25/2009
End Date: 07/10/2018
Amount: \$833,871
Amount Expended for Fiscal Year: \$833,871
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

53. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$645,947
Amount Expended for Fiscal Year: \$645,947
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

54. Vendor Name: Wells Fargo Insurance Services of New York Inc.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/23/2014
End Date: 05/31/2015
Amount: \$191,650
Amount Expended for Fiscal Year: \$191,650
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: D&O Insurance

Procurement Transactions Listing:

55. Vendor Name: Williams Capital Group L.P.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: \$5,700
Amount Expended for Fiscal Year: \$5,700
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 650 Fifth Ave
Address Line2: 11th Floor
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

Additional Comments: