



Environmental Control Board

A Division of the Office of Administrative Trials and Hearings



Quarterly Review for Board Members (4Q CY2015)

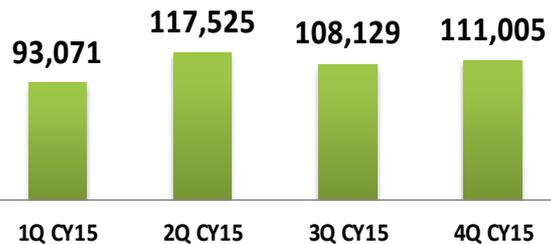
January 28, 2016

Summonses Received by ECB

4Q CY2015

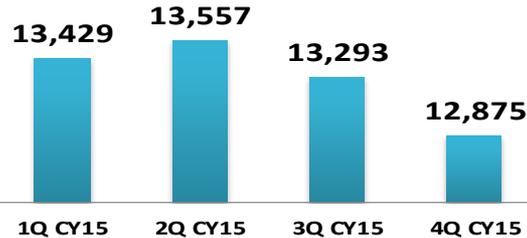
DSNY

3% Increase



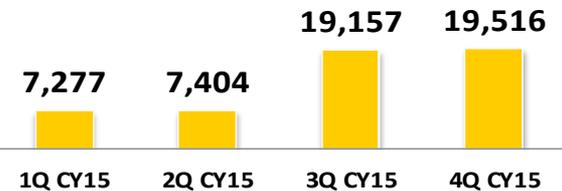
DOB

3% Decrease



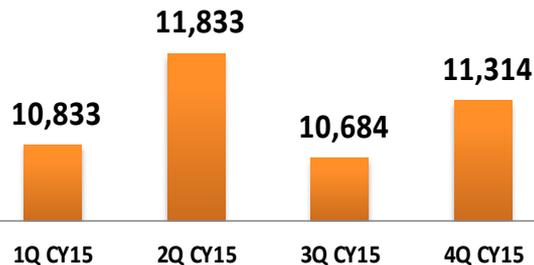
DOT

2% Increase



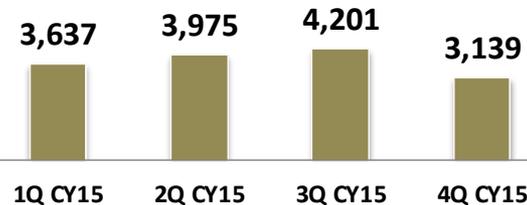
FDNY

6% Increase



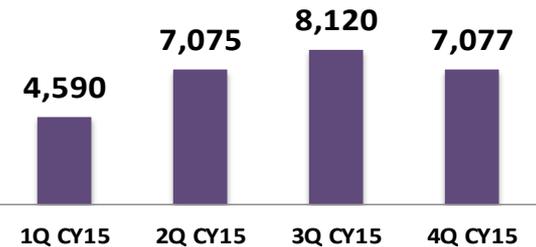
DEP

25% Decrease



DOHMH

13% Decrease



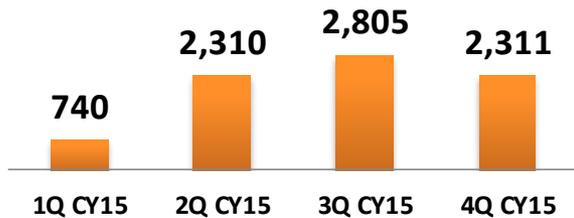
*1Q CY2015 (Jan-Mar 2015); 2Q CY2015 (Apr-Jun 2015); 3Q CY2015 (Jul-Sep 2015); 4Q CY2015 (Oct-Dec 2015) % change = 3Q CY2015 vs 4Q CY2015

Summonses Received by ECB

4Q CY2015

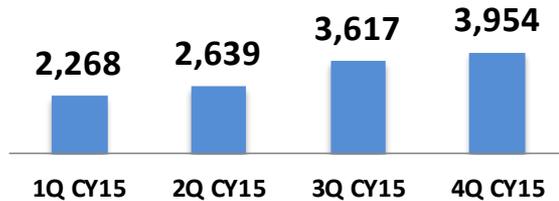
DPR

18% Decrease



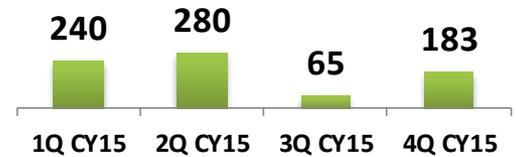
NYPD

9% Increase



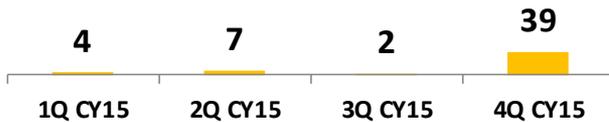
DOITT

182% Increase



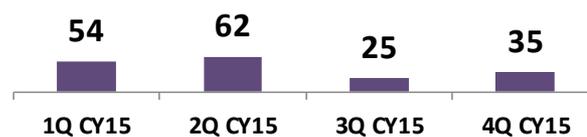
BIC

1850% Increase



LPC

40% Increase



| Agency Group | 3Q CY15 vs 4Q CY15 |
|--------------|--------------------|
| DSNY | 3% |
| DOT | 2% |
| DOB | -3% |
| FDNY | 6% |
| DOHMH | -13% |
| NYPD | 9% |
| DEP | -25% |
| DPR | -18% |
| DOITT | 182% |
| BIC | 1850% |
| LPC | 40% |

*1Q CY2015 (Jan-Mar 2015); 2Q CY2015 (Apr-Jun 2015); 3Q CY2015 (Jul-Sep 2015); 4Q CY2015 (Oct-Dec 2015) % change = 3Q CY2015 vs 4Q CY2015

Summonses Received by ECB

Quarterly Comparisons

| Summonses Received | 4Q CY2014 (Oct, Nov & Dec 2014) | 4Q CY2015 (Oct, Nov & Dec 2015) |
|----------------------------|--|--|
| DSNY | 100,717 | 111,005 |
| DOT | 9,894 | 19,516 |
| DOB | 12,853 | 12,875 |
| FDNY | 10,267 | 11,314 |
| DOHMH | 6,833 | 7,077 |
| NYPD | 4,230 | 3,954 |
| DEP | 3,191 | 3,139 |
| DPR | 1,215 | 2,311 |
| DOITT | 337 | 183 |
| BIC | 7 | 39 |
| LPC | 42 | 35 |
| DCA | 0 | 1 |
| Agency Code Missing | 106 | 100 |
| Misc | 70 | 165 |
| Total | 149,762 | 171,714 |

Total Summonses Heard

Quarterly Comparisons

| Agency | 4Q CY2014 <i>(Oct, Nov & Dec 2014)</i> | 4Q CY2015 <i>(Oct, Nov & Dec 2015)</i> |
|--------------------------------|--|--|
| DSNY | 16,857 | 16,338 |
| DOB | 10,678 | 11,269 |
| DOHMH | 3,849 | 4,562 |
| FDNY | 4,859 | 4,085 |
| DEP | 3,607 | 3,652 |
| DOT | 2,573 | 3,446 |
| NYPD | 2,928 | 2,188 |
| DOITT | 337 | 760 |
| DPR | 446 | 690 |
| BIC | 5 | 19 |
| LPC | 19 | 17 |
| DCA | 0 | 0 |
| Agency Code Missing | 33 | 27 |
| Misc | 453 | 454 |
| TOTAL | 46,644 | 47,507 |

Decisions Rendered

Quarterly Comparisons

| Agency | 4Q CY2014 (Oct, Nov & Dec 2014) | 4Q CY2015 (Oct, Nov & Dec 2015) |
|-----------------------------------|--|--|
| DSNY | 15,504 | 15,809 |
| DOB | 6,628 | 7,213 |
| DOHMH | 3,240 | 3,877 |
| FDNY | 4,185 | 3,493 |
| DOT | 1,843 | 2,488 |
| DEP | 2,039 | 2,356 |
| NYPD | 2,549 | 2,271 |
| DPR | 443 | 629 |
| DOITT | 113 | 475 |
| BIC | 4 | 16 |
| LPC | 19 | 10 |
| DCA | 0 | 0 |
| Agency Code Missing or Invalid | 33 | 29 |
| Misc | 21 | 44 |
| TOTAL | 36,621 | 38,710 |

•Hearing to Decision

(Elapsed Time from Last Hearing to Decision Date)

4Q CY2015

| | 1Q CY2015 (Jan-Mar 2015) | 2Q CY2015 (Apr-Jun 2015) | 3Q CY2015 (Jul-Sep 2015) | 4Q CY2015 (Oct-Dec 2015) |
|------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| < 10 days | 85% | 91% | 91% | 84% |
| 11 to 20 days | 13% | 8% | 8% | 14% |
| 21 to 30 days | 2% | 1% | 1% | 2% |

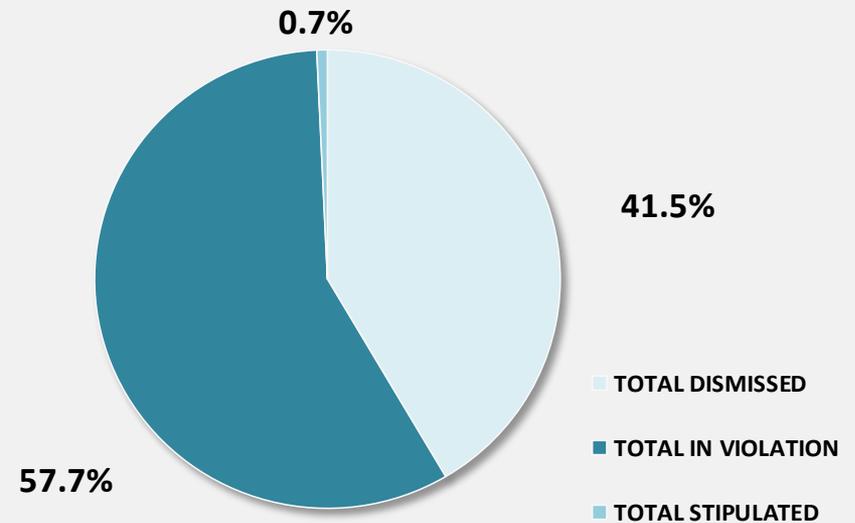
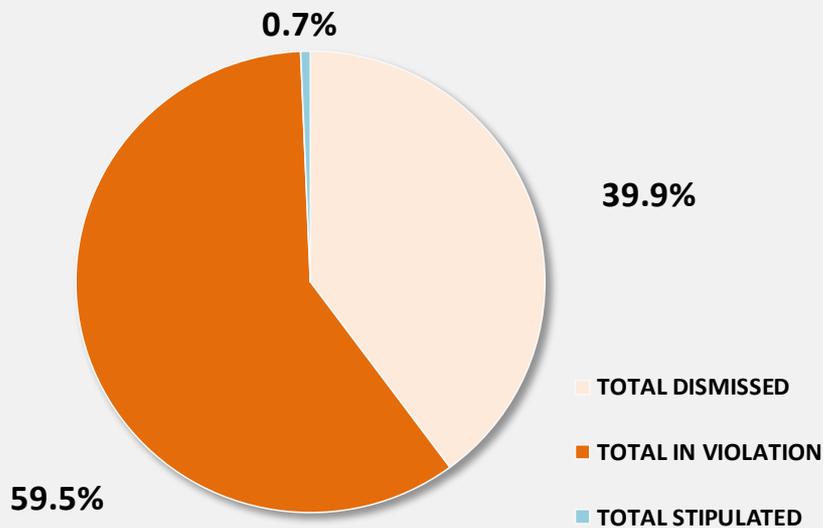
| | 1Q CY2015 (Jan-Mar 2015) | 2Q CY2015 (Apr-Jun 2015) | 3Q CY2015 (Jul-Sep 2015) | 4Q CY2015 (Oct-Dec 2015) |
|------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| < 20 days | 98.0% | 98.6% | 98.6% | 98.0% |
| 21 to 30 days | 1.8% | 1.2% | 1.3% | 1.6% |
| 31 to 40 days | 0.1% | 0.2% | 0.1% | 0.2% |

Decisions Rendered after Hearings

4Q CY2014 vs 4Q CY2015
(In Violation/Dismissed/Stipulated)

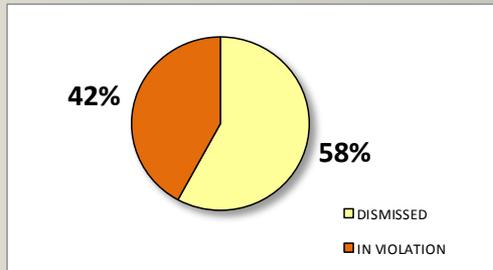
| | 4Q CY2014 (Oct, Nov & Dec 2014) |
|--------------|------------------------------------|
| DISMISSED | 14,597 |
| IN VIOLATION | 21,781 |
| STIPULATED | 243 |
| TOTAL | 36,621 |

| | 4Q CY2015 (Oct, Nov & Dec 2015) |
|--------------|------------------------------------|
| DISMISSED | 16,074 |
| IN VIOLATION | 22,352 |
| STIPULATED | 284 |
| TOTAL | 38,710 |

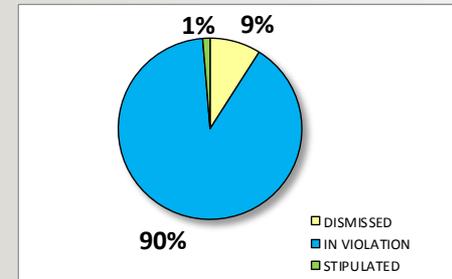


Decisions Rendered after Hearings (By Agency – 4Q CY2015)

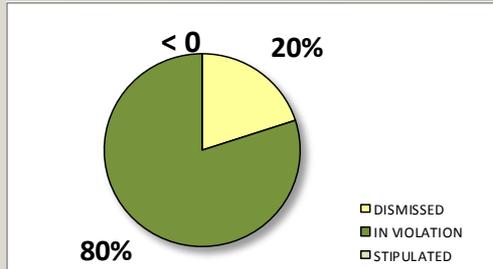
| | |
|--------------|--------------|
| DSNY | 4Q CY2015 |
| DISMISSED | 9,241 |
| IN VIOLATION | 6,568 |
| TOTAL | 15,809 |



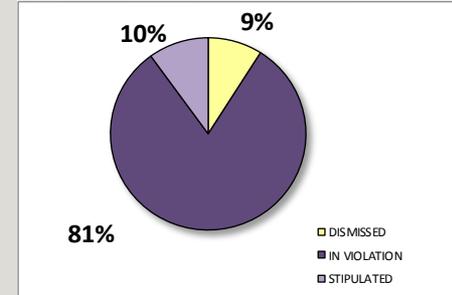
| | |
|--------------|--------------|
| FDNY | 4Q CY2015 |
| DISMISSED | 303 |
| IN VIOLATION | 3,154 |
| STIPULATED | 36 |
| TOTAL | 3,493 |



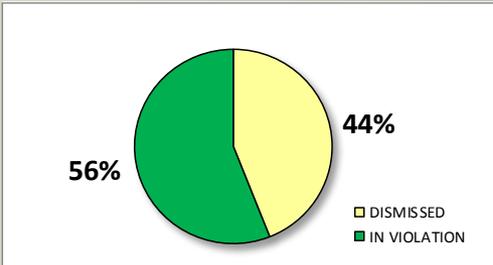
| | |
|--------------|--------------|
| DOB | 4Q CY2015 |
| DISMISSED | 1,460 |
| IN VIOLATION | 5,740 |
| STIPULATED | 13 |
| TOTAL | 7,213 |



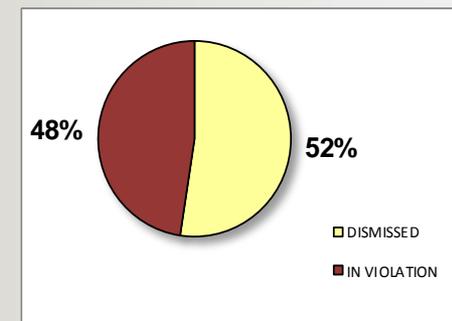
| | |
|--------------|--------------|
| DEP | 4Q CY2015 |
| DISMISSED | 213 |
| IN VIOLATION | 1,908 |
| STIPULATED | 235 |
| TOTAL | 2,356 |



| | |
|--------------|--------------|
| DOT | 4Q CY2015 |
| DISMISSED | 1,096 |
| IN VIOLATION | 1,392 |
| TOTAL | 2,488 |



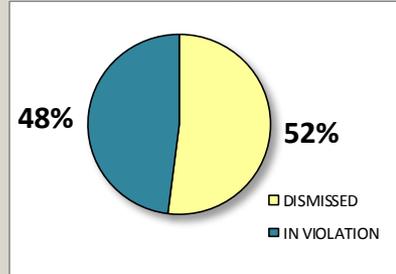
| | |
|--------------|--------------|
| NYPD | 4Q CY2015 |
| DISMISSED | 1,185 |
| IN VIOLATION | 1,086 |
| TOTAL | 2,271 |



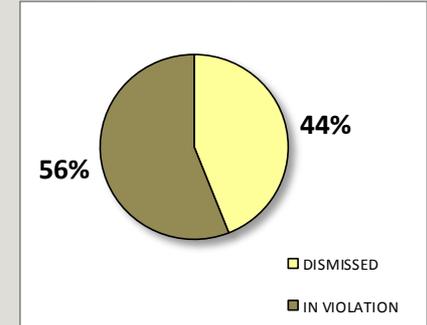
*October, November and December 2015

Decisions Rendered after Hearings (By Agency – 4Q CY2015)

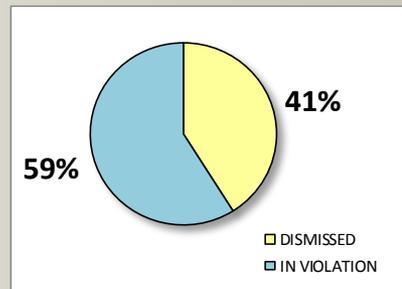
| DOHMH | 4Q CY2015 |
|--------------|--------------|
| DISMISSED | 2,024 |
| IN VIOLATION | 1,853 |
| TOTAL | 3,877 |



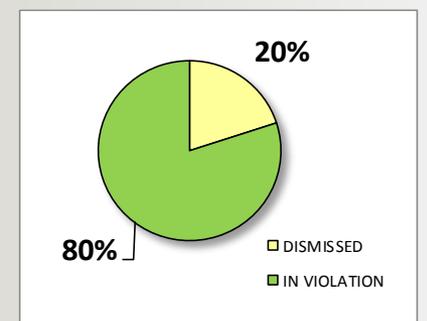
| BIC | 4Q CY2015 |
|--------------|--------------|
| DISMISSED | 7 |
| IN VIOLATION | 9 |
| TOTAL | 16 |



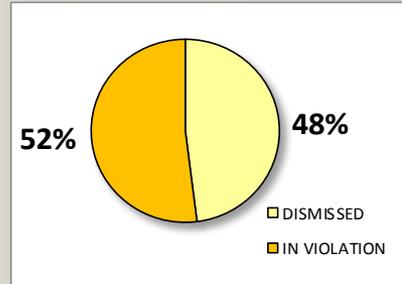
| DPR | 4Q CY2015 |
|--------------|--------------|
| DISMISSED | 258 |
| IN VIOLATION | 371 |
| TOTAL | 629 |



| LPC | 4Q CY2015 |
|--------------|--------------|
| DISMISSED | 2 |
| IN VIOLATION | 8 |
| TOTAL | 10 |



| DOITT | 4Q CY2015 |
|--------------|--------------|
| DISMISSED | 227 |
| IN VIOLATION | 248 |
| TOTAL | 475 |



* October, November and December 2015

Most Commonly Issued Summonses By Agency (4Q CY2015)

| DOT |
|--|
| FAILURE TO PERMANENTLY RESTORE CUT WITHIN REQUIRED TIME |
| USE/OPENING OF STREET W/O PERMIT |
| FAILURE TO COMPLY WITH THE TERMS AND CONDITIONS OF DOT PERMITS |

| DOB |
|--|
| UNLAWFUL ACTS.FAILURE TO COMPLY WITH AN ORDER OF THE COMMISSIONER |
| FAIL TO COMPLY W/COMMISSIONER ORDER TO FILE CERT OF CORRECTION W/DOB |
| WORK WITHOUT A PERMIT |

| NYPD |
|--|
| VEND IN BUS STOP, NEXT TO HOSPITAL/10 FT OF DRIVE, SUBWAY, CROSSWALK |
| VENDING AT TIME/PLACE PROHIBITED |
| OPERATION OF MOTORIZED SCOOTER WITHIN THE CITY OF NEW YORK |

| DSNY |
|--|
| DIRTY SIDEWALK/DIRTY AREA |
| FAILURE TO CLEAN 18 INCHES INTO STREET |
| DIRTY AREA |

* October, November and December 2015

Most Commonly Issued Summonses By Agency (4Q CY2015)

| DEP |
|---|
| FAILED TO SUBMIT AN ANNUAL TEST REPORT FOR A BACKFLOW PREVENTER |
| FAILED TO INSTALL A BACKFLOW PREVENTER |
| FAIL TO ADOPT/IMPLEMENT NOISE MITIGATION PLAN FOR CONSTRUCTION SITE |

| FDNY |
|--|
| INSPECTION AND TESTING |
| FIRE PROTECTION SYSTEMS:FAIL TO PREVENT UNNECESSARY/UNWARRANTED ALARMS |
| POSTING OF PERMITS AND RECORD KEEPING |

| DOHMH |
|---|
| FAIL TO ELIMINATE RODENT INFESTATION SHOWN BY ACTIVE RODENT SIGNS 1ST |
| FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO RODENT-DEBRIS,VEGETATION 1ST |
| FAILING TO COMPLY WITH DEPT, BOARD OF HEALTH, OR COMMISSIONER'S ORDER |

| LPC |
|--|
| WORK W/O OR VIOL OF CERT.OF APPROP/NO EFFCT(TYPE C)FLAG,SIGN,BANR,AWNG |
| WORK W/O OR VIOL.OF CERT.OF APPROP/NO EFFECT-ALT EXT ARCHIT FEAT.TYPEA |
| WORK W/O OR VIOL OF CERT.OF APPROP/NO EFFECT-ALTERAL'N STRFRONT-TYPE A |

* October, November and December 2015

Most Commonly Issued Summonses By Agency

(4Q CY2015)

| DOITT |
|--|
| FAILURE TO PROVIDE WORKING PUBLIC PAY TELEPHONE & OPERATOR SERVICES |
| REQUIRED SIGN MISSING/IMPERMISSIBLE AS PER REQUIREMENTS OF SUBSECTION |
| FAILED TO CLEAN/MAINTAIN PUBLIC PAY TELEPHONE AS PER REQMNTS OF SUBSEC |

| BIC |
|---|
| FAILED TO OBTAIN IDENTIFICATION CARD AS PER SUBSEC. |
| FAILED TO DISPLAY IDENTIFICATION CARD AS PER SUBSEC. |
| IDLING OF MOTOR VEHICLE ENGINE OVER THREE MINUTES (DIESEL FUEL) |

| DPR |
|---|
| UNAUTHORIZED VENDING |
| FAILURE TO COMPLY WITH DIRECTIONS/PROHIBITIONS ON SIGNS |
| FAILURE TO COMPLY WITH BICYCLE RIDING RESTRICTIONS |

* October, November and December 2015

Appealed Summonses by Enforcement Agency (4Q CY2015)

| Agency | # of Violations |
|--------------|-----------------|
| DOB | 225 |
| DSNY | 197 |
| FDNY | 88 |
| DOHMH | 81 |
| DEP | 44 |
| DOT | 34 |
| NYPD | 22 |
| DPR | 20 |
| DOITT | 5 |
| Total | 716 |

* October, November and December 2015

Defaults (4Q CY2015)

Total # of Defaults (Oct, Nov & Dec 2015) =

81,769

| Issuing Agency | # of Defaults 4Q CY2015 | % out of Total Defaults |
|----------------|----------------------------|-------------------------------|
| DSNY | 55,872 | 68% |
| DOT | 11,101 | 14% |
| DOB | 4,232 | 5% |