



Hearings Division Quarterly Report



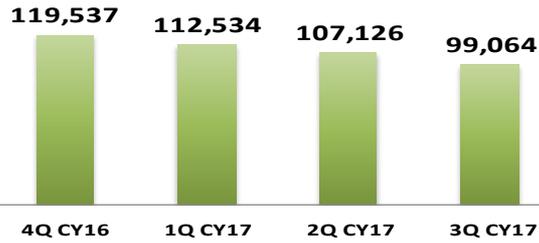
3Q CY2017
(Jul-Sep 2017)

Summonses Received Board Cases*

3Q CY2017

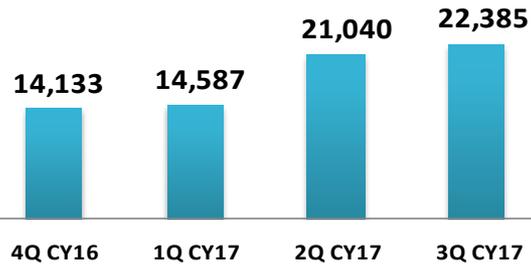
DSNY

8% Decrease



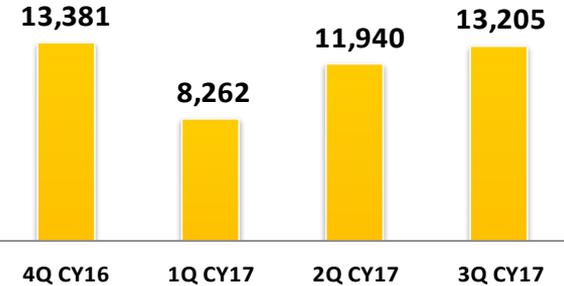
DOB

6% Increase



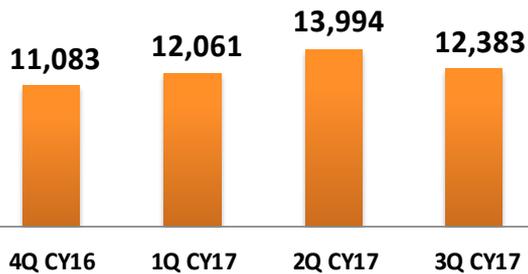
DOT

11% Increase



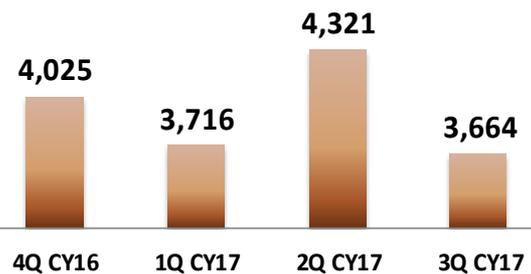
FDNY

12% Decrease



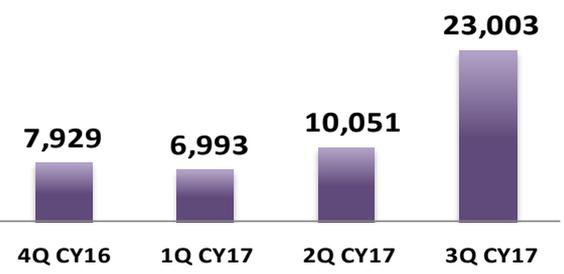
DEP

15% Decrease



DOHMH

129% Increase



*4Q CY2016 (Oct-Dec 2016), 1Q CY2017 (Jan-Mar 2017), 2Q CY2017 (Apr-Jun 2017), 3Q CY2017 (Jul-Sep 2017) ; % change = 2Q CY2017 vs 3Q CY2017

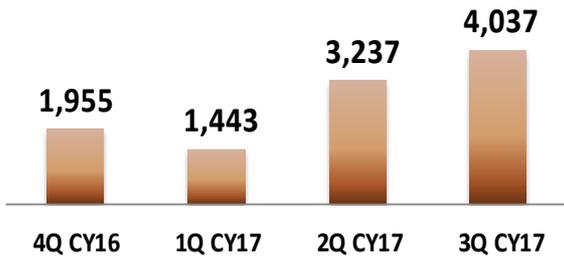
*legacy legislation designated these cases as ECB

Summonses Received Board Cases*

3Q CY2017

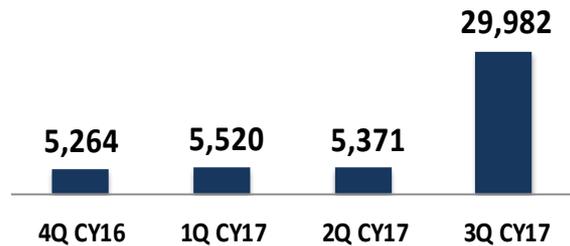
DRP

25% Increase



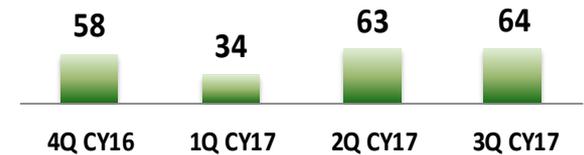
NYPD

458% Increase



DOITT

2% Increase



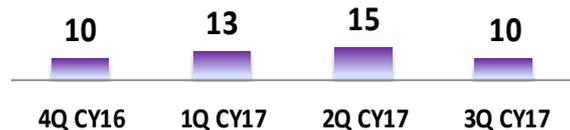
BIC

23% Decrease



LPC

33% Decrease



Agency Group	2Q CY17 vs 3Q CY17
DSNY	-8%
DOB	6%
DOT	11%
FDNY	-12%
DOHMH	129%
NYPD	458%
DEP	-15%
DPR	25%
DOITT	2%
BIC	-23%
LPC	-33%

* 4Q CY2016 (Oct-Dec 2016), 1Q CY2017 (Jan-Mar 2017), 2Q CY2017 (Apr-Jun 2017), 3Q CY2017 (Jul-Sep 2017); % change = 2Q CY2017 vs 3Q CY2017

*legacy legislation designated these cases as ECB

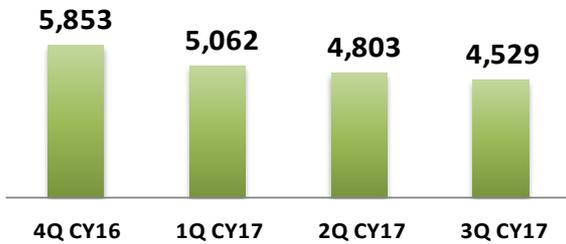
Summonses Received

Non-Board Cases*

3Q CY2017

Non-Board Health

5.7% Decrease



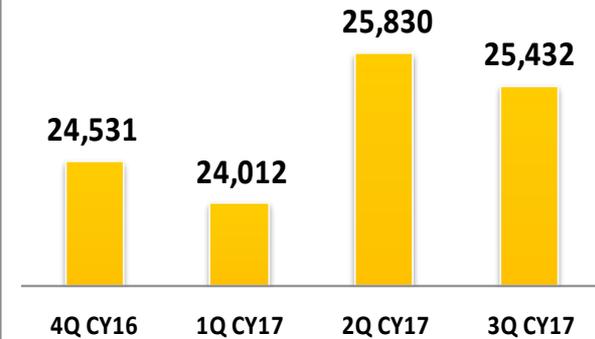
Non-Board DCA[†]

10.6% Decrease



Vehicle for Hire

1.5% Decrease



[†] OATH began hearing DCA cases after August 22, 2016.

* 4Q CY2016 (Oct-Dec 2016), 1Q CY2017 (Jan-Mar 2017), 2Q CY2017 (Apr-Jun 2017), 3Q CY2017 (Jul-Sep 2017) ; % change = 2Q CY2017 vs 3Q CY2017

*Numbers reflect summons.

Summonses Received Board Cases *

Quarterly Comparisons

Agency	3Q CY2016 (Jul, Aug & Sep 2016)	3Q CY2017 (Jul, Aug & Sep 2017)	% Change
DSNY	122,784	99,064	-19%
DOB	15,487	22,385	45%
DOT	12,702	13,205	4%
FDNY	11,945	12,383	4%
DOHMH	10,000	23,003	130%
NYPD	4,378	29,982	585%
DEP	4,087	3,664	-10%
DPR	2,439	4,037	66%
DOITT	32	64	100%
BIC	18	17	-6%
LPC	24	10	-58%
DCA	-	-	-
Agency Code Missing	368	141	-62%
Misc	117	130	11%
Total	184,381	208,085	13%

Total Summonses Heard Board Cases* Quarterly Comparisons

Agency	3Q CY2016 (Jul, Aug & Sep 2016)	3Q CY2017 (Jul, Aug & Sep 2017)	% Change
DSNY	18,683	15,717	-16%
DOB	15,202	18,306	20%
DOHMH	4,842	5,595	16%
FDNY	4,824	5,298	10%
DEP	3,598	3,264	-9%
DOT	3,738	2,185	-42%
NYPD	2,612	5,693	118%
DOITT	104	143	38%
DPR	620	751	21%
BIC	14	5	-64%
LPC	8	3	-63%
DCA	0	0	0%
Agency Code Missing	26	25	-4%
Misc	588	1,184	101%
TOTAL	54,859	58,169	6%

Decisions Rendered Board Cases* *Quarterly Comparisons*

Agency	3Q CY2016 <i>(Jul, Aug & Sep 2016)</i>	3Q CY2017 <i>(Jul, Aug & Sep 2017)</i>	% Change
DSNY	21,028	15,407	-27%
DOB	9,280	10,877	17%
DOHMH	3,998	4,777	19%
FDNY	4,079	4,696	15%
DOT	3,035	1,948	-36%
DEP	2,354	2,372	1%
NYPD	2,397	7,298	204%
DPR	534	756	42%
DOITT	47	73	55%
BIC	11	5	-55%
LPC	6	3	-50%
DCA	0	0	0%
Agency Code Missing or Invalid	20	32	60%
Misc	41	44	7%
TOTAL	46,830	48,288	3%

Hearing to Decision

Board Cases*

(Elapsed Time from Last Hearing to Decision Date)

3Q CY2017

	4Q CY2016 (Oct-Dec 2016)	1Q CY2017 (Jan-Mar 2017)	2Q CY2017 (Apr-Jun 2017)	3Q CY2017 (Jul- Sep2017)
< 10 days	65%	69%	71%	64%
11 to 20 days	17%	16%	20%	26%
21 to 30 days	15%	10%	5%	8%

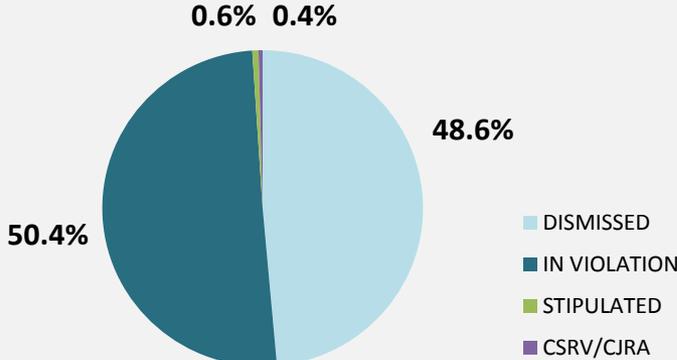
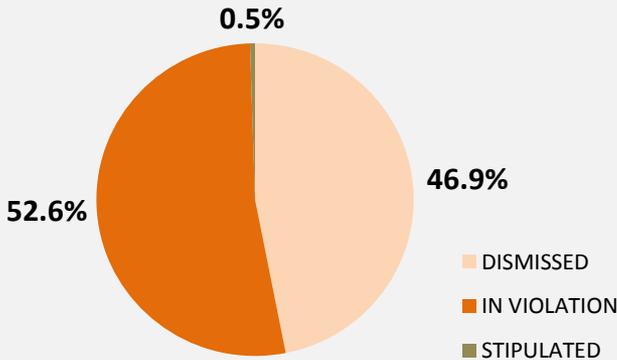
	4Q CY2016 (Oct-Dec 2016)	1Q CY2017 (Jan-Mar 2017)	2Q CY2017 (Jan-Mar 2017)	3Q CY2017 (Jul- Sep2017)
< 20 days	82%	85%	91%	90%
21 to 30 days	15%	10%	5%	8%
31 to 40 days	3.2%	4.3%	2.5%	1.1%

Decisions Rendered after Hearing Board Cases*

3Q CY2016 vs 3Q CY2017
(In Violation/Dismissed/Stipulated)

	3Q CY2016 (Jul, Aug & Sep)
DISMISSED	21,962
IN VIOLATION	24,630
STIPULATED	238
TOTAL	46,830

	3Q CY2017 (Jul, Aug & Sep)
DISMISSED	23,455
IN VIOLATION	24,334
STIPULATED	303
CSR/CJRA	196
TOTAL	48,288

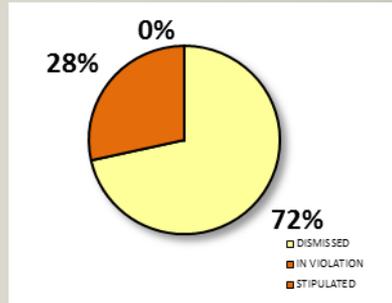


*legacy legislation designated these cases as ECB

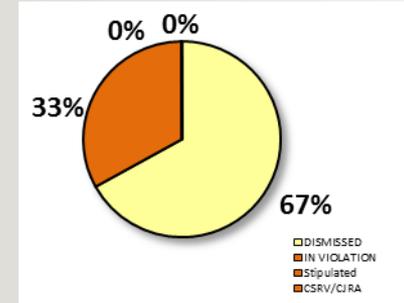
Decisions Rendered after Hearing Board Cases*

(By Agency – 3Q CY2016 vs 3Q CY2017)

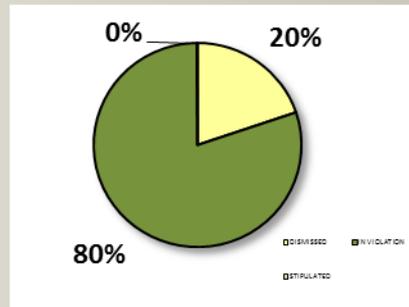
DSNY	3Q CY2016
DISMISSED	15,042
IN VIOLATION	5,986
STIPULATED	-
TOTAL	21,028



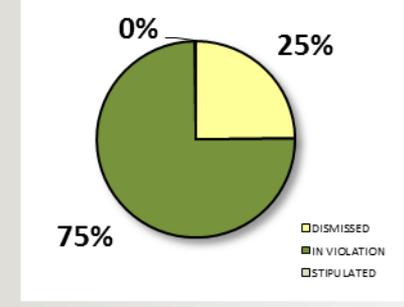
DSNY	3Q CY2017
DISMISSED	10,317
IN VIOLATION	5,075
STIPULATED	1
CSR/CJRA	14
TOTAL	15,407



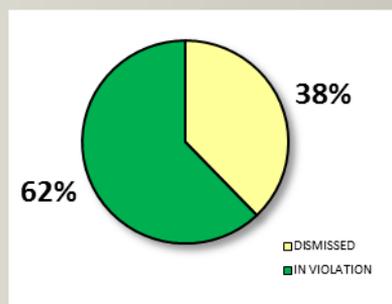
DOB	3Q CY2016
DISMISSED	1,839
IN VIOLATION	7,432
STIPULATED	9
TOTAL	9,280



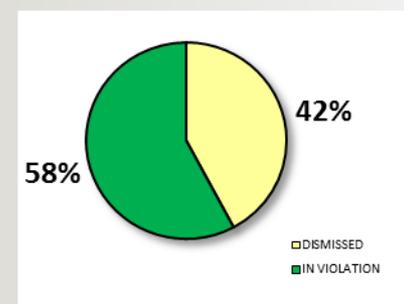
DOB	3Q CY2017
DISMISSED	2,702
IN VIOLATION	8,159
STIPULATED	16
TOTAL	10,877



DOT	3Q CY2016
DISMISSED	1,148
IN VIOLATION	1,887
TOTAL	3,035



DOT	3Q CY2017
DISMISSED	821
IN VIOLATION	1,127
TOTAL	1,948



*Jul, Aug, Sep 2016

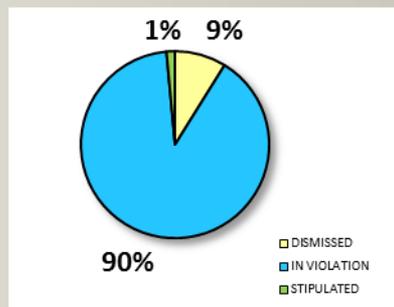
*Jul, Aug, Sep 2017

*legacy legislation designated these cases as ECB

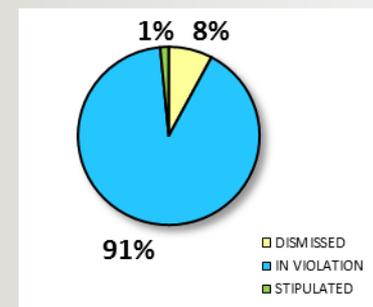
Decisions Rendered after Hearing Board Cases*

(By Agency – 3Q CY2016 vs 3Q CY2017)

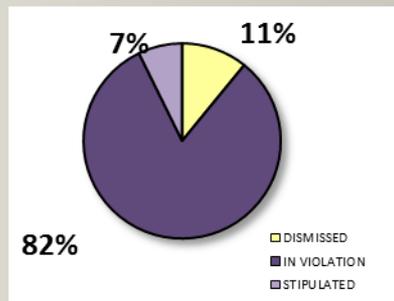
FDNY	3Q CY2016
DISMISSED	360
IN VIOLATION	3,659
STIPULATED	60
TOTAL	4,079



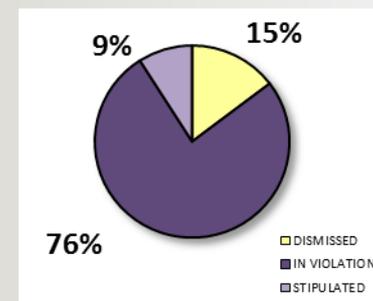
FDNY	3Q CY2017
DISMISSED	368
IN VIOLATION	4,256
STIPULATED	72
TOTAL	4,696



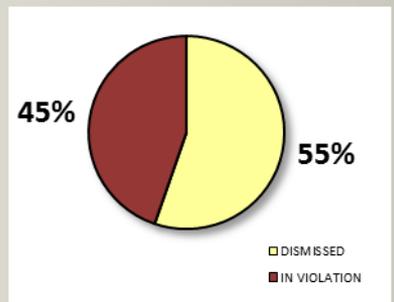
DEP	3Q CY2016
DISMISSED	254
IN VIOLATION	1,931
STIPULATED	169
TOTAL	2,354



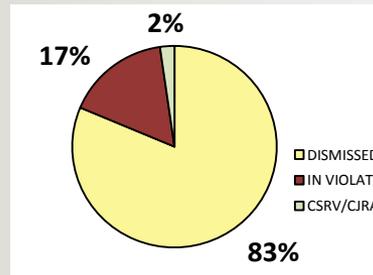
DEP	3Q CY2017
DISMISSED	348
IN VIOLATION	1,810
STIPULATED	214
TOTAL	2,372



NYPD	3Q CY2016
DISMISSED	1,325
IN VIOLATION	1,072
TOTAL	2,397



NYPD	3Q CY2017
DISMISSED	5,936
IN VIOLATION	1,196
CSRV/CJRA	166
TOTAL	7,298



*April, May, and June 2016

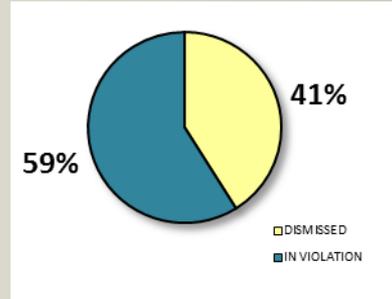
*April, May, and June 2017

*legacy legislation designated these cases as ECB

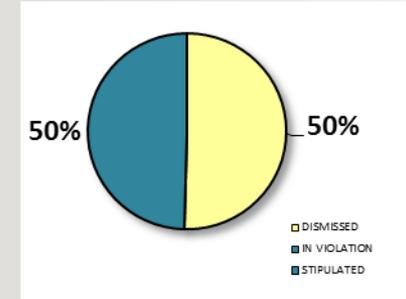
Decisions Rendered after Hearing Board Cases*

(By Agency – 3Q CY2016 vs 3Q CY2017)

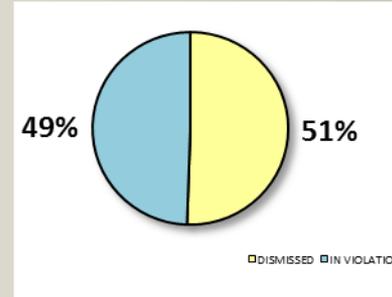
DOHMH	3Q CY2016
DISMISSED	1,643
IN VIOLATION	2,355
STIPULATED	-
TOTAL	3,998



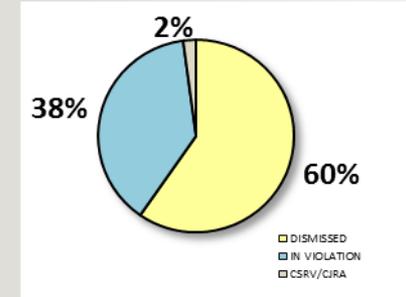
DOHMH	3Q CY2017
DISMISSED	2,409
IN VIOLATION	2,368
STIPULATED	-
TOTAL	4,777



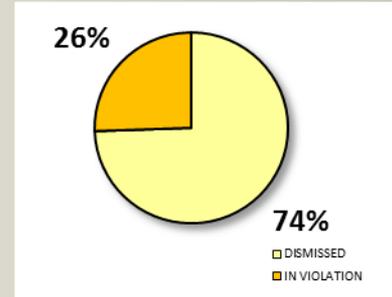
DPR	3Q CY2016
DISMISSED	270
IN VIOLATION	264
TOTAL	534



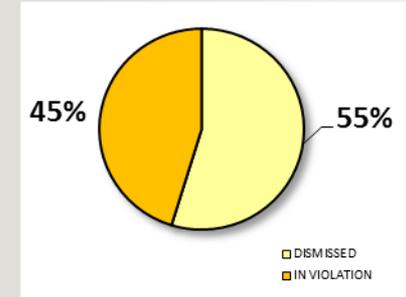
DPR	3Q CY2017
DISMISSED	451
IN VIOLATION	289
CSR/CJRA	16
TOTAL	756



DOITT	3Q CY2016
DISMISSED	35
IN VIOLATION	12
TOTAL	47



DOITT	3Q CY2017
DISMISSED	40
IN VIOLATION	33
TOTAL	73



*Jul, Aug, Sep 2016

*Jul, Aug, Sep 2017

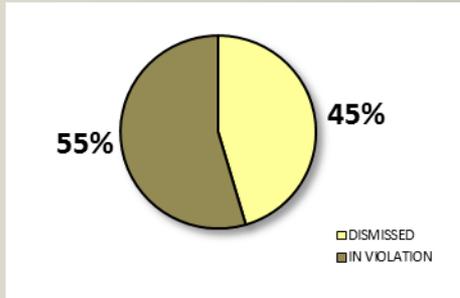
*legacy legislation designated these cases as ECB

October 26, 2017

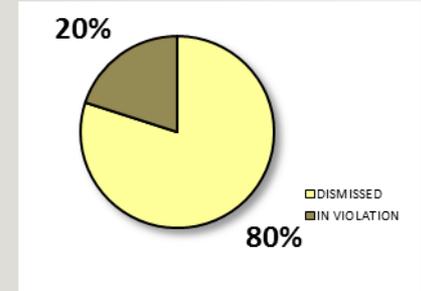
Decisions Rendered after Hearing Board Cases*

(By Agency – 3Q CY2016 vs 3Q CY2017)

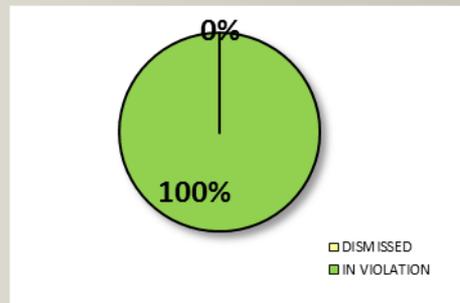
BIC	3Q CY2016
DISMISSED	5
IN VIOLATION	6
TOTAL	11



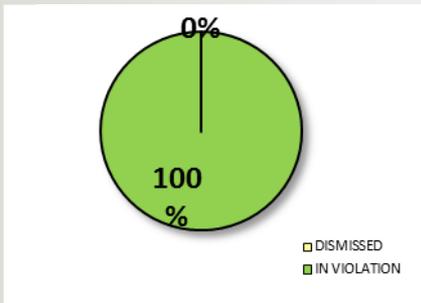
BIC	3Q CY2017
DISMISSED	4
IN VIOLATION	1
TOTAL	5



LPC	3Q CY2016
DISMISSED	0
IN VIOLATION	6
TOTAL	6



LPC	3Q CY2017
DISMISSED	0
IN VIOLATION	3
TOTAL	3



*Jul, Aug, Sep 2016

*Jul, Aug, Sep 2017

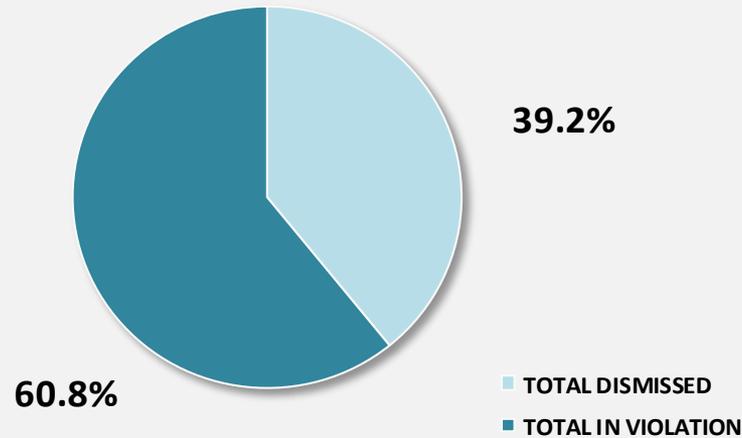
*legacy legislation designated these cases as ECB

Decisions Rendered after Hearing

Non-Board Health Cases *

3Q CY2017
(In Violation/Dismissed)

	3Q CY2017 (Jul, Aug & Sep 2017)
DISMISSED	6,421
IN VIOLATION[†]	9,958
TOTAL	16,379



[†] The number does not include default decisions.

*The numbers reflect per charge not summons.

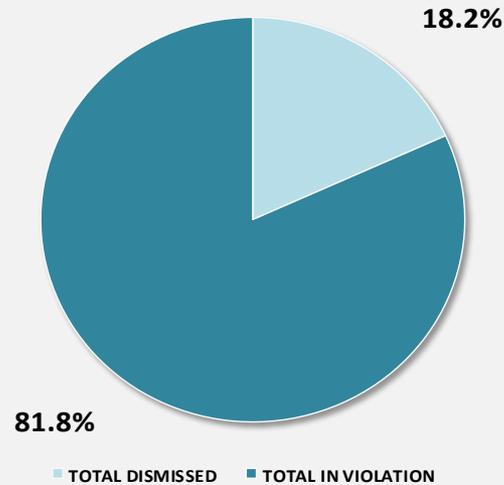
Decisions Rendered after Hearing

Non-Board DCA Cases *

3Q CY2017

(In Violation/Dismissed)

	3Q CY2017 (Jul, Aug & Sep 2017)
DISMISSED	195
IN VIOLATION[†]	877
TOTAL	1,072



[†] The number does not include default decisions.

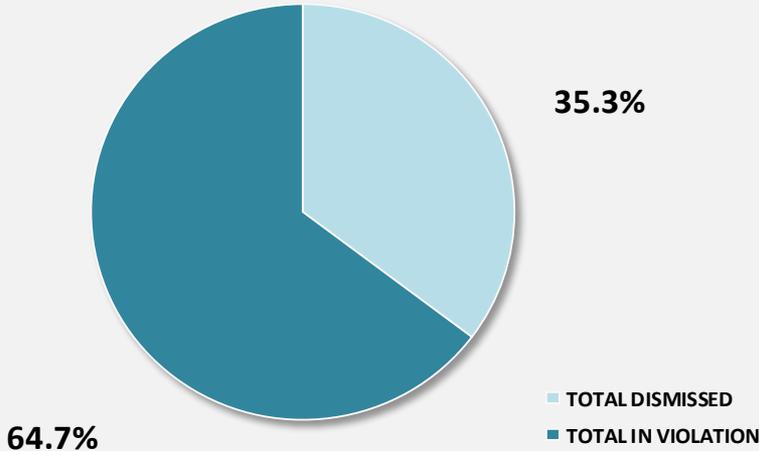
*The numbers reflect per charge not summons.

Decisions Rendered after Hearing Non-Board Vehicle for Hire Cases

3Q CY2017

(In Violation/Dismissed)

	3Q CY2017 (Jul, Aug & Sep 2017)
DISMISSED	806
IN VIOLATION †	1,479
TOTAL	2,285



† The number does not include default decisions.

Most Commonly Issued Summonses By Agency

Board Cases*

(3Q CY2017)

DOT
FAILURE TO PERMANENTLY RESTORE CUT WITHIN REQUIRED TIME
FAILURE TO COMPLY WITH THE TERMS AND CONDITIONS OF DOT PERMITS
USE/OPENING OF STREET W/O PERMIT

DOB
FAIL TO COMPLY W/COMMISSIONER ORDER TO FILE CERT OF CORRECTION W/DOB
UNLAWFUL ACTS.FAILURE TO COMPLY WITH AN ORDER OF THE COMMISSIONER
MISCELLANEOUS VIOLATIONS

NYPD
UNAUTHORIZED PRESENCE IN PARK WHEN CLOSED TO PUBLIC
PUBLIC URINATION 1ST OFFENSE
FAILURE TO COMPLY WITH DIRECTIONS/PROHIBITIONS ON SIGNS

DSNY
FAILURE TO CLEAN 18 INCHES INTO STREET
DIRTY SIDEWALK/DIRTY AREA
DIRTY AREA

*July, August, and September 2017

*legacy legislation designated these cases as ECB

Most Commonly Issued Summonses By Agency

Board Cases*

(3Q CY2017)

DEP
FAILED TO SUBMIT AN ANNUAL TEST REPORT FOR A BACKFLOW PREVENTER
FAILED TO INSTALL A BACKFLOW PREVENTER
FAIL TO ADOPT/IMPLEMENT NOISE MITIGATION PLAN FOR CONSTRUCTION SITE

FDNY
INSPECTION AND TESTING
PERMITS
FIRE PROTECTION SYSTEMS:FAIL TO PREVENT UNNECESSARY/UNWARRANTED ALARMS

DOHMH
FAIL TO ELIMINATE RODENT INFESTATION SHOWN BY ACTIVE RODENT SIGNS 1ST
FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO RODENT-DEBRIS,VEGETATION 1ST
FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO PESTS, GARBAGE CANS/SPILLAGE

LPC
WORK W/O OR VIOL.OF CERT.OF APPROP/NO EFFECT-ALT EXT ARCHIT FEAT.TYPEA
WORK W/O OR IN VIO. OF A CERT. OF APPRO. OR CERT. OF NO EFFECT TYPEC
WORK W/O OR VIOL.OF CERT.OF APPROP/NOEFFECT-ALT EXT ARCHIT FEAT.TYPE A

*July, August and September 2017

*legacy legislation designated these cases as ECB

October 26, 2017

Most Commonly Issued Summonses By Agency

Board Cases*

(3Q CY2017)

DOITT
FAILURE TO PROVIDE WORKING PUBLIC PAY TELEPHONE & OPERATOR SERVICES
FAILED TO CLEAN/MAINTAIN PUBLIC PAY TELEPHONE AS PER REQMNTS OF SUBSEC
REQUIRED SIGN MISSING/IMPERMISSIBLE AS PER REQUIREMENTS OF SUBSECTION

BIC
IDLING OF MOTOR VEHICLE ENGINE MORE THAN THREE MINUTES 1ST OFF
FAILED TO OBTAIN IDENTIFICATION CARD AS PER SUBSEC.
FAILURE TO REGISTER WHOLSAL AND/OR MARKET BUSINESS, AS PER SUBSE.

DPR
UNLAWFUL VENDING
UNAUTHORIZED CONSUMPTION/POSSESSION OF ALCOHOLIC BEVERAGE
FAILURE TO COMPLY WITH DIRECTIONS/PROHIBITIONS ON SIGNS

*July, August and September 2017

*legacy legislation designated these cases as ECB

Appealed Summonses by Enforcement Agency Board Cases* (3Q CY2017)

Agency	# of Violations
DEP	29
DOB	297
DOHMH	48
DOS	731
DOT	31
DPR	9
FDNY	52
NYPD	32
MAYORS OFF	4
Grand Total	1,233

*July, August and September 2017

*legacy legislation designated these cases as ECB

Appealed Summonses by Enforcement Agency for Non-Board Cases (3Q CY2017)

Agency	# of Violations
Health	46
DCA	69
Vehicle for Hire	384
Total	499

*July, August and September 2017

Defaults Board Cases* (3Q CY2017)

Total # of Defaults (Jul, Aug & Sep 2017) =

79,190

Top Issuing Agencies	# of Defaults 3Q CY2017	% out of Total Defaults
DSNY	49,178	62%
NYPD	8,181	10%
DOHMH	6,123	8%
DOB	5,382	6.8%
DOT	4,327	5%
FDNY	3,281	4%
DRP	1,580	2%
DEP	991	1.25%

Defaults *
Non-Board Cases
(3Q CY2017)

Total # of Defaults (Jul, Aug and Sep 2017) =

10,792

Issuing Agency	# of Defaults 3Q CY2017
Health	2,094
DCA	2,104
Vehicle for Hire	6,594